

## 2.8 Nuclear Energy - Fission and Fusion

### Skills to Develop

- Explain nuclear fission
- Relate the concepts of critical mass and nuclear chain reactions
- Summarize basic requirements for nuclear fission

Many heavier elements with smaller binding energies per nucleon can decompose into more stable elements that have intermediate mass numbers and larger binding energies per nucleon—that is, mass numbers and binding energies per nucleon that are closer to the “peak” of the binding energy graph near 56. Sometimes neutrons are also produced. This decomposition is called fission, the breaking of a large nucleus into smaller pieces. The breaking is rather random with the formation of a large number of different products. Fission usually does not occur naturally, but is induced by bombardment with neutrons. The first reported nuclear fission occurred in 1939 when three German scientists, Lise Meitner, Otto Hahn, and Fritz Strassman, bombarded uranium-235 atoms with slow-moving neutrons that split the U-238 nuclei into smaller fragments that consisted of several neutrons and elements near the middle of the periodic table. Since then, fission has been observed in many other isotopes, including most actinide isotopes that have an odd number of neutrons. A typical nuclear fission reaction is shown in Figure 1.

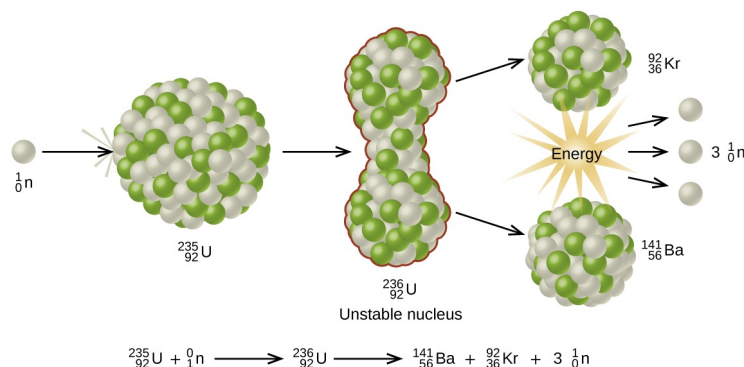


Figure 1: When a slow neutron hits a fissionable U-235 nucleus, it is absorbed and forms an unstable U-236 nucleus. The U-236 nucleus then rapidly breaks apart into two smaller nuclei (in this case, Ba-141 and Kr-92) along with several neutrons (usually two or three), and releases a very large amount of energy.

Among the products of Meitner, Hahn, and Strassman’s fission reaction were barium, krypton, lanthanum, and cerium, all of which have nuclei that are more stable than uranium-235. Since then, hundreds of different isotopes have been observed among the products of fissionable substances. A few of the many reactions that occur for U-235, and a graph showing the distribution of its fission products and their yields, are shown in Figure 2. Similar fission reactions have been observed with other uranium isotopes, as well as with a variety of other isotopes such as those of plutonium.

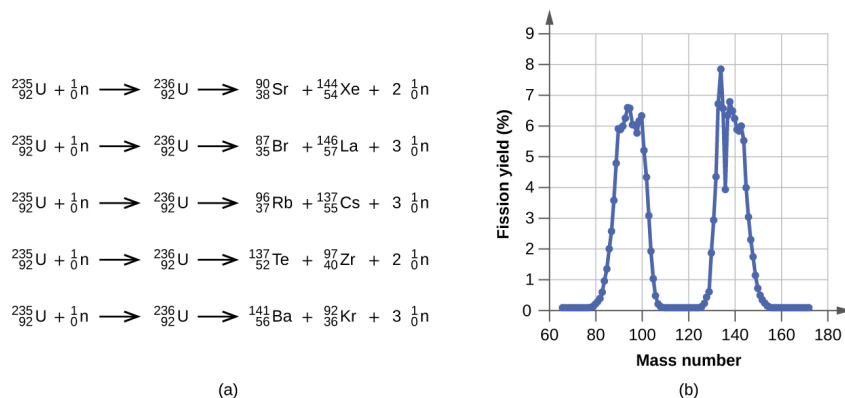


Figure 2: (a) Nuclear fission of U-235 produces a range of fission products. (b) The larger fission products of U-235 are typically one isotope with a mass number around 85–105, and another isotope with a mass number that is about 50% larger, that is, about 130–150.

A tremendous amount of energy is produced by the fission of heavy elements. For instance, when one mole of U-235 undergoes fission, the products weigh about 0.2 grams less than the reactants; this “lost” mass is converted into a very large amount of energy, about  $1.8 \times 10^{10}$  kJ per mole of U-235. Nuclear fission reactions produce incredibly large amounts of energy compared to chemical reactions. The fission of 1 kilogram of uranium-235, for example, produces about 2.5 million times as much energy as is produced by burning 1 kilogram of coal.

As described earlier, when undergoing fission U-235 produces two “medium-sized” nuclei, and two or three neutrons. These neutrons may then cause the fission of other uranium-235 atoms, which in turn provide more neutrons that can cause fission of even more nuclei, and so on. If this occurs, we have a nuclear chain reaction (Figure 3). On the other hand, if too many neutrons escape the bulk material without interacting with a nucleus, then no chain reaction will occur.

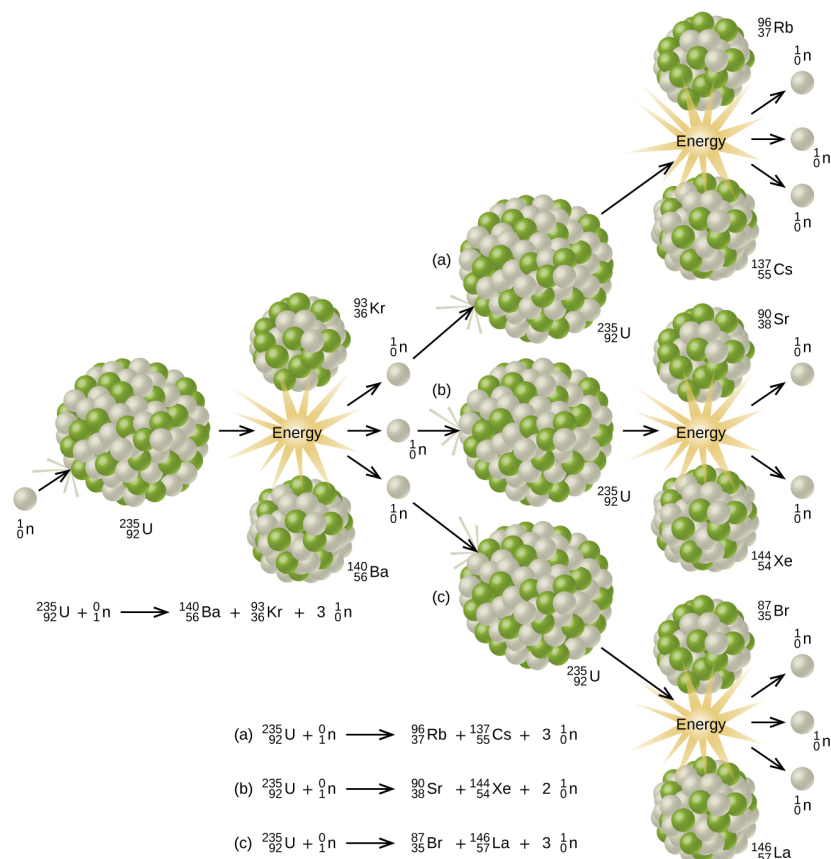


Figure 3: The fission of a large nucleus, such as U-235, produces two or three neutrons, each of which is capable of causing fission of another nucleus by the reactions shown. If this process continues, a nuclear chain reaction occurs.

Material that can sustain a nuclear fission chain reaction is said to be fissile or fissionable. (Technically, fissile material can undergo fission with neutrons of any energy, whereas fissionable material requires high-energy neutrons.) Nuclear fission becomes self-sustaining when the number of neutrons produced by fission equals or exceeds the number of neutrons absorbed by splitting nuclei plus the number that escape into the surroundings. The amount of a fissionable material that will support a self-sustaining chain reaction is a critical mass. An amount of fissionable material that cannot sustain a chain reaction is a subcritical mass. An amount of material in which there is an increasing rate of fission is known as a supercritical mass. The critical mass depends on the type of material: its purity, the temperature, the shape of the sample, and how the neutron reactions are controlled (Figure 4).

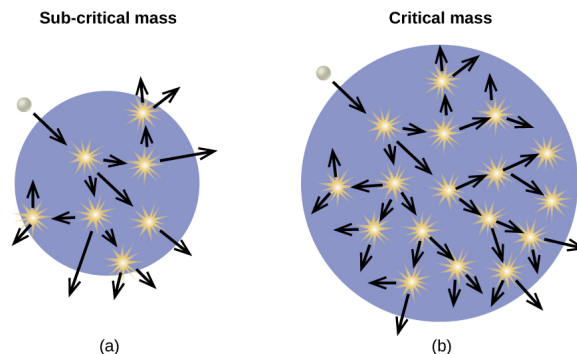
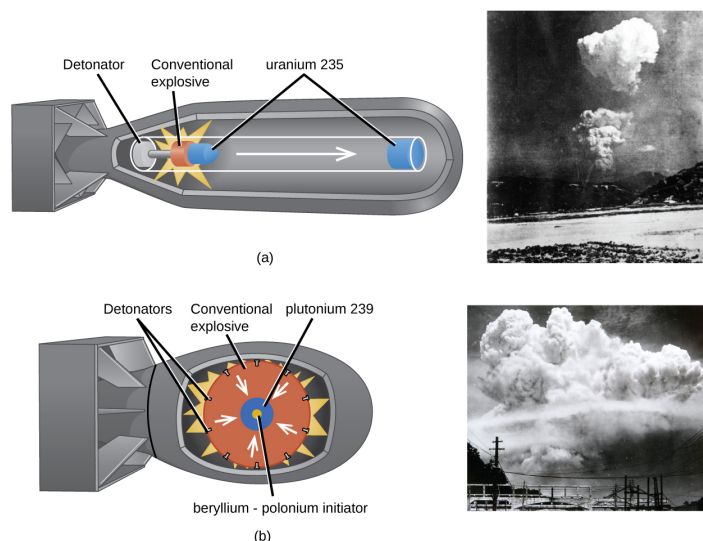


Figure 4: (a) In a subcritical mass, the fissile material is too small and allows too many neutrons to escape the material, so a chain reaction does not occur. (b) In a critical mass, a large enough number of neutrons in the fissile material induce fission to create a chain reaction.

An atomic bomb (Figure 5) contains several pounds of fissionable material,  $^{235}_{92}\text{U}$  or  $^{239}_{94}\text{Pu}$ , a source of neutrons, and an explosive device for compressing it quickly into a small volume. When fissionable material is in small pieces, the proportion of neutrons that escape through the

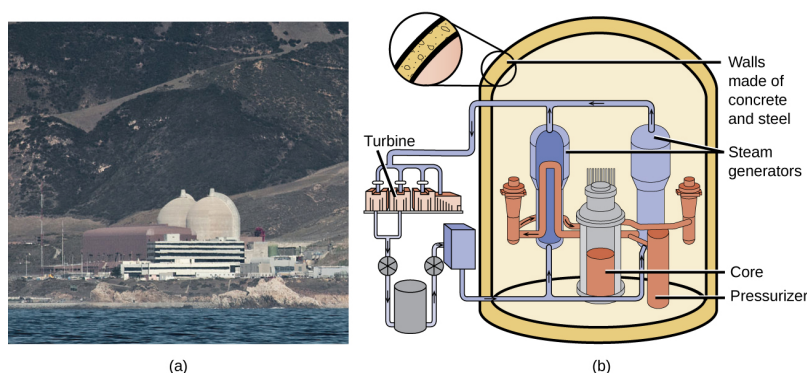
relatively large surface area is great, and a chain reaction does not take place. When the small pieces of fissionable material are brought together quickly to form a body with a mass larger than the critical mass, the relative number of escaping neutrons decreases, and a chain reaction and explosion result.



**Figure 5:** (a) The nuclear fission bomb that destroyed Hiroshima on August 6, 1945, consisted of two subcritical masses of U-235, where conventional explosives were used to fire one of the subcritical masses into the other, creating the critical mass for the nuclear explosion. (b) The plutonium bomb that destroyed Nagasaki on August 12, 1945, consisted of a hollow sphere of plutonium that was rapidly compressed by conventional explosives. This led to a concentration of plutonium in the center that was greater than the critical mass necessary for the nuclear explosion.

### Fission Reactors

Chain reactions of fissionable materials can be controlled and sustained without an explosion in a nuclear reactor (Figure 6). Any nuclear reactor that produces power via the fission of uranium or plutonium by bombardment with neutrons must have at least five components: nuclear fuel consisting of fissionable material, a nuclear moderator, reactor coolant, control rods, and a shield and containment system. We will discuss these components in greater detail later in the section. The reactor works by separating the fissionable nuclear material such that a critical mass cannot be formed, controlling both the flux and absorption of neutrons to allow shutting down the fission reactions. In a nuclear reactor used for the production of electricity, the energy released by fission reactions is trapped as thermal energy and used to boil water and produce steam. The steam is used to turn a turbine, which powers a generator for the production of electricity.



**Figure 6:** (a) The Diablo Canyon Nuclear Power Plant near San Luis Obispo is the only nuclear power plant currently in operation in California. The domes are the containment structures for the nuclear reactors, and the brown building houses the turbine where electricity is generated. Ocean water is used for cooling. (b) The Diablo Canyon uses a pressurized water reactor, one of a few different fission reactor designs in use around the world, to produce electricity. Energy from the nuclear fission reactions in the core heats water in a closed, pressurized system. Heat from this system produces steam that drives a turbine, which in turn produces electricity. (credit a: modification of work by “Mike” Michael L. Baird; credit b: modification of work by the Nuclear Regulatory Commission)

### Nuclear Fuels

Nuclear fuel consists of a fissionable isotope, such as uranium-235, which must be present in sufficient quantity to provide a self-sustaining chain reaction. In the United States, uranium ores contain from 0.05–0.3% of the uranium oxide  $U_3O_8$ ; the uranium in the ore is about 99.3%

nonfissionable U-238 with only 0.7% fissionable U-235. Nuclear reactors require a fuel with a higher concentration of U-235 than is found in nature; it is normally enriched to have about 5% of uranium mass as U-235. At this concentration, it is not possible to achieve the supercritical mass necessary for a nuclear explosion. Uranium can be enriched by gaseous diffusion (the only method currently used in the US), using a gas centrifuge, or by laser separation.

In the gaseous diffusion enrichment plant where U-235 fuel is prepared,  $\text{UF}_6$  (uranium hexafluoride) gas at low pressure moves through barriers that have holes just barely large enough for  $\text{UF}_6$  to pass through. The slightly lighter  $^{235}\text{UF}_6$  molecules diffuse through the barrier slightly faster than the heavier  $^{238}\text{UF}_6$  molecules. This process is repeated through hundreds of barriers, gradually increasing the concentration of  $^{235}\text{UF}_6$  to the level needed by the nuclear reactor. The basis for this process, [Graham's law](#), is described in the chapter on gases. The enriched  $\text{UF}_6$  gas is collected, cooled until it solidifies, and then taken to a fabrication facility where it is made into fuel assemblies. Each fuel assembly consists of fuel rods that contain many thimble-sized, ceramic-encased, enriched uranium (usually  $\text{UO}_2$ ) fuel pellets. Modern nuclear reactors may contain as many as 10 million fuel pellets. The amount of energy in each of these pellets is equal to that in almost a ton of coal or 150 gallons of oil.

### Nuclear Moderators

Neutrons produced by nuclear reactions move too fast to cause fission (Figure 4). They must first be slowed to be absorbed by the fuel and produce additional nuclear reactions. A nuclear moderator is a substance that slows the neutrons to a speed that is low enough to cause fission. Early reactors used high-purity graphite as a moderator. Modern reactors in the US exclusively use heavy water ( $^2\text{H}_2\text{O}$ ) or light water (ordinary  $\text{H}_2\text{O}$ ), whereas some reactors in other countries use other materials, such as carbon dioxide, beryllium, or graphite.

### Reactor Coolants

A nuclear reactor coolant is used to carry the heat produced by the fission reaction to an external boiler and turbine, where it is transformed into electricity. Two overlapping coolant loops are often used; this counteracts the transfer of radioactivity from the reactor to the primary coolant loop. All nuclear power plants in the US use water as a coolant. Other coolants include molten sodium, lead, a lead-bismuth mixture, or molten salts.

### Control Rods

Nuclear reactors use control rods (Figure 8) to control the fission rate of the nuclear fuel by adjusting the number of slow neutrons present to keep the rate of the chain reaction at a safe level. Control rods are made of boron, cadmium, hafnium, or other elements that are able to absorb neutrons. Boron-10, for example, absorbs neutrons by a reaction that produces lithium-7 and alpha particles:



When control rod assemblies are inserted into the fuel element in the reactor core, they absorb a larger fraction of the slow neutrons, thereby slowing the rate of the fission reaction and decreasing the power produced. Conversely, if the control rods are removed, fewer neutrons are absorbed, and the fission rate and energy production increase. In an emergency, the chain reaction can be shut down by fully inserting all of the control rods into the nuclear core between the fuel rods.

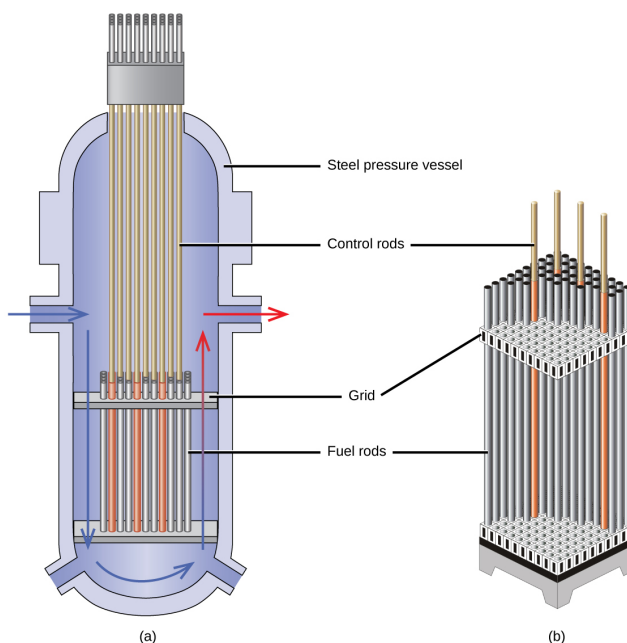


Figure 7: The nuclear reactor core shown in (a) contains the fuel and control rod assembly shown in (b). (credit: modification of work by E. Generalic, <http://glossary.periodni.com/glossar...en=control+rod>)

## Shield and Containment System

During its operation, a nuclear reactor produces neutrons and other radiation. Even when shut down, the decay products are radioactive. In addition, an operating reactor is thermally very hot, and high pressures result from the circulation of water or another coolant through it. Thus, a reactor must withstand high temperatures and pressures, and must protect operating personnel from the radiation. Reactors are equipped with a containment system (or shield) that consists of three parts:

1. The reactor vessel, a steel shell that is 3–20-centimeters thick and, with the moderator, absorbs much of the radiation produced by the reactor
2. A main shield of 1–3 meters of high-density concrete
3. A personnel shield of lighter materials that protects operators from  $\gamma$  rays and X-rays

In addition, reactors are often covered with a steel or concrete dome that is designed to contain any radioactive materials might be released by a reactor accident.



**Video 1:** *Click here to watch a 3-minute video from the Nuclear Energy Institute on how nuclear reactors work.*

Nuclear power plants are designed in such a way that they cannot form a supercritical mass of fissionable material and therefore cannot create a nuclear explosion. But as history has shown, failures of systems and safeguards can cause catastrophic accidents, including chemical explosions and nuclear meltdowns (damage to the reactor core from overheating). The following Chemistry in Everyday Life feature explores three infamous meltdown incidents.

## Nuclear Accidents

The importance of cooling and containment are amply illustrated by three major accidents that occurred with the nuclear reactors at nuclear power generating stations in the United States (Three Mile Island), the former Soviet Union (Chernobyl), and Japan (Fukushima).

In March 1979, the cooling system of the Unit 2 reactor at Three Mile Island Nuclear Generating Station in Pennsylvania failed, and the cooling water spilled from the reactor onto the floor of the containment building. After the pumps stopped, the reactors overheated due to the high radioactive decay heat produced in the first few days after the nuclear reactor shut down. The temperature of the core climbed to at least 2200 °C, and the upper portion of the core began to melt. In addition, the zirconium alloy cladding of the fuel rods began to react with steam and produced hydrogen:



The hydrogen accumulated in the confinement building, and it was feared that there was danger of an explosion of the mixture of hydrogen and air in the building. Consequently, hydrogen gas and radioactive gases (primarily krypton and xenon) were vented from the building. Within a week, cooling water circulation was restored and the core began to cool. The plant was closed for nearly 10 years during the cleanup process.

Although zero discharge of radioactive material is desirable, the discharge of radioactive krypton and xenon, such as occurred at the Three Mile Island plant, is among the most tolerable. These gases readily disperse in the atmosphere and thus do not produce highly radioactive areas. Moreover, they are noble gases and are not incorporated into plant and animal matter in the food chain. Effectively none of the heavy elements of the core of the reactor were released into the environment, and no cleanup of the area outside of the containment building was necessary (Figure 8).

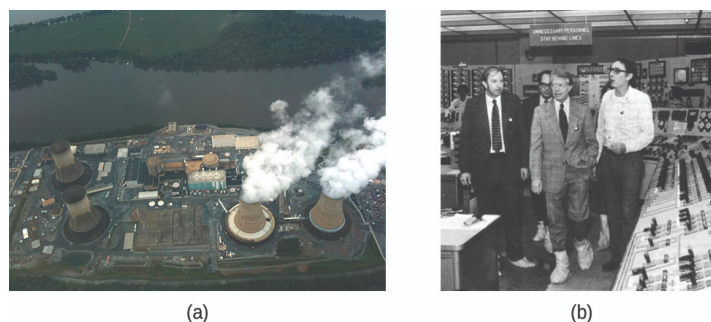


Figure 8: (a) In this 2010 photo of Three Mile Island, the remaining structures from the damaged Unit 2 reactor are seen on the left, whereas the separate Unit 1 reactor, unaffected by the accident, continues generating power to this day (right). (b) President Jimmy Carter visited the Unit 2 control room a few days after the accident in 1979.

Another major nuclear accident involving a reactor occurred in April 1986, at the Chernobyl Nuclear Power Plant in Ukraine, which was still a part of the former Soviet Union. While operating at low power during an unauthorized experiment with some of its safety devices shut off, one of the reactors at the plant became unstable. Its chain reaction became uncontrollable and increased to a level far beyond what the reactor was designed for. The steam pressure in the reactor rose to between 100 and 500 times the full power pressure and ruptured the reactor. Because the reactor was not enclosed in a containment building, a large amount of radioactive material spewed out, and additional fission products were released, as the graphite (carbon) moderator of the core ignited and burned. The fire was controlled, but over 200 plant workers and firefighters developed acute radiation sickness and at least 32 soon died from the effects of the radiation. It is predicted that about 4000 more deaths will occur among emergency workers and former Chernobyl residents from radiation-induced cancer and leukemia. The reactor has since been encapsulated in steel and concrete, a now-decaying structure known as the sarcophagus. Almost 30 years later, significant radiation problems still persist in the area, and Chernobyl largely remains a wasteland.

In 2011, the Fukushima Daiichi Nuclear Power Plant in Japan was badly damaged by a 9.0-magnitude earthquake and resulting tsunami. Three reactors up and running at the time were shut down automatically, and emergency generators came online to power electronics and coolant systems. However, the tsunami quickly flooded the emergency generators and cut power to the pumps that circulated coolant water through the reactors. High-temperature steam in the reactors reacted with zirconium alloy to produce hydrogen gas. The gas escaped into the containment building, and the mixture of hydrogen and air exploded. Radioactive material was released from the containment vessels as the result of deliberate venting to reduce the hydrogen pressure, deliberate discharge of coolant water into the sea, and accidental or uncontrolled events.

An evacuation zone around the damaged plant extended over 12.4 miles away, and an estimated 200,000 people were evacuated from the area. All 48 of Japan's nuclear power plants were subsequently shut down, remaining shuttered as of December 2014. Since the disaster, public opinion has shifted from largely favoring to largely opposing increasing the use of nuclear power plants, and a restart of Japan's atomic energy program is still stalled (Figure 10).



Figure 9: (a) After the accident, contaminated waste had to be removed, and (b) an evacuation zone was set up around the plant in areas that received heavy doses of radioactive fallout. (credit a: modification of work by "Live Action Hero"/Flickr)

The energy produced by a reactor fueled with enriched uranium results from the fission of uranium as well as from the fission of plutonium produced as the reactor operates. As discussed previously, the plutonium forms from the combination of neutrons and the uranium in the fuel. In any nuclear reactor, only about 0.1% of the mass of the fuel is converted into energy. The other 99.9% remains in the fuel rods as fission products and unused fuel. All of the fission products absorb neutrons, and after a period of several months to a few years, depending on the reactor, the fission products must be removed by changing the fuel rods. Otherwise, the concentration of these fission products would increase and absorb more neutrons until the reactor could no longer operate.

Spent fuel rods contain a variety of products, consisting of unstable nuclei ranging in atomic number from 25 to 60, some transuranium elements, including plutonium and americium, and unreacted uranium isotopes. The unstable nuclei and the transuranium isotopes give the spent fuel a dangerously high level of radioactivity. The long-lived isotopes require thousands of years to decay to a safe level. The ultimate fate of the nuclear reactor as a significant source of energy in the United States probably rests on whether or not a politically and scientifically satisfactory technique for processing and storing the components of spent fuel rods can be developed.

## Fusion

### Skills to Develop

- Describe the nuclear reactions in a nuclear fusion reaction
- Quantify the energy released or absorbed in a fusion reaction

The process of converting very light nuclei into heavier nuclei is also accompanied by the conversion of mass into large amounts of energy, a process called fusion. The principal source of energy in the sun is a net fusion reaction in which four hydrogen nuclei fuse and produce one helium nucleus and two positrons. This is a net reaction of a more complicated series of events:



A helium nucleus has a mass that is 0.7% less than that of four hydrogen nuclei; this lost mass is converted into energy during the fusion. This reaction produces about  $3.6 \times 10^{11}$  kJ of energy per mole of  ${}^4_2\text{He}$  produced. This is somewhat larger than the energy produced by the nuclear fission of one mole of U-235 ( $1.8 \times 10^{10}$  kJ), and over 3 million times larger than the energy produced by the (chemical) combustion of one mole of octane (5471 kJ).

It has been determined that the nuclei of the heavy isotopes of hydrogen, a deuteron,  ${}^2_1\text{H}$  and a triton,  ${}^3_1\text{H}$ , undergo fusion at extremely high temperatures (thermonuclear fusion). They form a helium nucleus and a neutron:



This change proceeds with a mass loss of 0.0188 amu, corresponding to the release of  $1.69 \times 10^9$  kilojoules per mole of  ${}^4_2\text{He}$  formed. The very high temperature is necessary to give the nuclei enough kinetic energy to overcome the very strong repulsive forces resulting from the positive charges on their nuclei so they can collide.

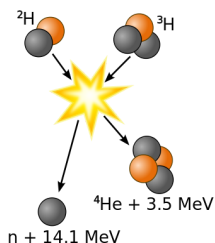


Figure 1: Fusion of deuterium with tritium creating helium-4, freeing a neutron, and releasing 17.59 MeV of energy, as an appropriate amount of mass changing forms to appear as the kinetic energy of the products, in agreement with kinetic  $E = \Delta mc^2$ , where  $\Delta m$  is the change in rest mass of particles.[Image use with permission via Wikipedia (Wykis)]

The most important fusion process in nature is the one that powers stars. In the 20th century, it was realized that the energy released from nuclear fusion reactions accounted for the longevity of the Sun and other stars as a source of heat and light. The fusion of nuclei in a star, starting from its initial hydrogen and helium abundance, provides that energy and synthesizes new nuclei as a byproduct of that fusion process. The prime energy producer in the Sun is the fusion of hydrogen to form helium, which occurs at a solar-core temperature of 14 million kelvin. The net result is the fusion of four protons into one alpha particle, with the release of two positrons, two neutrinos (which changes two of the protons into neutrons), and energy (Figure 2).

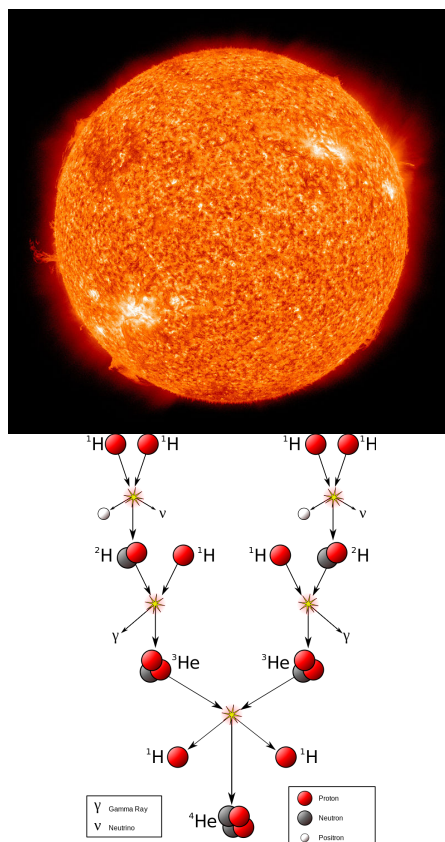


Figure 2: (left) The Sun is a main-sequence star, and thus generates its energy by nuclear fusion of hydrogen nuclei into helium. In its core, the Sun fuses 620 million metric tons of hydrogen each second. (right) The proton-proton chain dominates in stars the size of the Sun or smaller.

### Example 1

Calculate the energy released in each of the following hypothetical processes.

- $3\,{}^4_2\text{He} \rightarrow {}^{12}_6\text{C}$
- $6\,{}^1_1\text{H} + 6\,{}^1_0\text{n} \rightarrow {}^{12}_6\text{C}$
- $6\,{}^2_1\text{D} \rightarrow {}^{12}_6\text{C}$

**Discuss your results.**

- $Q_a = 3 \times 4.0026 - 12.0000\text{ amu} \times (1.4924 \times 10^{-10}\text{ J/amu}) = 1.17 \times 10^{-12}\text{ J}$
- $Q_b = (6 \times (1.007825 + 1.008665) - 12.000000)\text{ amu} \times (1.4924 \times 10^{-10}\text{ J/amu}) = 1.476 \times 10^{-11}\text{ J}$
- $Q_c = 6 \times 2.014102 - 12.000000\text{ amu} \times (1.4924 \times 10^{-10}\text{ J/amu}) = 1.263 \times 10^{-11}\text{ J}$

Fusion of He to give C releases the least amount of energy, because the fusion to produce He has released a large amount. The difference between the second and the third is the binding energy of deuterium. The conservation of mass-and-energy is well illustrated in these calculations. On the other hand, the calculation is based on the conservation of mass-and-energy.

### Nuclear Reactors

Useful fusion reactions require very high temperatures for their initiation—about 15,000,000 K or more. At these temperatures, all molecules dissociate into atoms, and the atoms ionize, forming plasma. These conditions occur in an extremely large number of locations throughout the universe—stars are powered by fusion. Humans have already figured out how to create temperatures high enough to achieve fusion on a large scale in thermonuclear weapons. A thermonuclear weapon such as a hydrogen bomb contains a nuclear fission bomb that, when exploded, gives off enough energy to produce the extremely high temperatures necessary for fusion to occur.

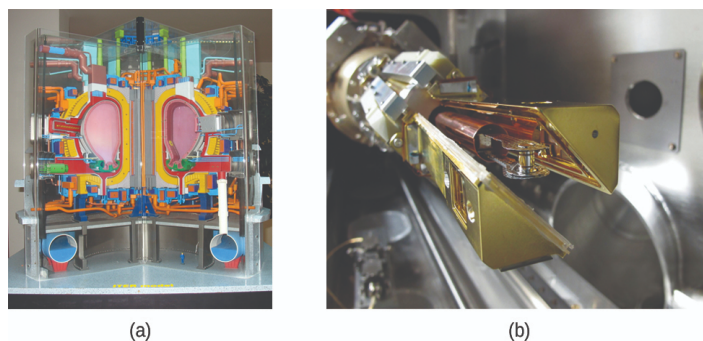


Figure 3: (a) This model is of the International Thermonuclear Experimental Reactor (ITER) reactor. Currently under construction in the south of France with an expected completion date of 2027, the ITER will be the world's largest experimental Tokamak nuclear fusion reactor with a goal of achieving large-scale sustained energy production. (b) In 2012, the National Ignition Facility at Lawrence Livermore National Laboratory briefly produced over 500,000,000,000 watts (500 terawatts, or 500 TW) of peak power and delivered 1,850,000 joules (1.85 MJ) of energy, the largest laser energy ever produced and 1000 times the power usage of the entire United States in any given moment. Although lasting only a few billionths of a second, the 192 lasers attained the conditions needed for nuclear fusion ignition. This image shows the target prior to the laser shot.  
(credit a: modification of work by Stephan Mosel)

Another much more beneficial way to create fusion reactions is in a fusion reactor, a nuclear reactor in which fusion reactions of light nuclei are controlled. Because no solid materials are stable at such high temperatures, mechanical devices cannot contain the plasma in which fusion reactions occur. Two techniques to contain plasma at the density and temperature necessary for a fusion reaction are currently the focus of intensive research efforts: containment by a magnetic field and by the use of focused laser beams (Figure 3). A number of large projects are working to attain one of the biggest goals in science: getting hydrogen fuel to ignite and produce more energy than the amount supplied to achieve the extremely high temperatures and pressures that are required for fusion. At the time of this writing, there are no self-sustaining fusion reactors operating in the world, although small-scale controlled fusion reactions have been run for very brief periods.

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