

17.6: Catalysts and Catalysis

Learning Objectives

Make sure you thoroughly understand the following essential ideas which have been presented above. It is especially important that you know the precise meanings of all the green-highlighted terms in the context of this topic.

- What are *catalysts*, and how do they work in terms altering the parameters of a reaction?
- Describe the similarities and differences between the three principal classes of catalysts.
- Define *physisorption* and *chemisorption*, and explain the role of the latter in initiating a catalytic event.
- What is the meaning and significance of the *Sabatier Principle*?
- What are the principal differences between the *Langmuir-Hinshelwood* and *Eley-Rideal* mechanisms of heterogeneous catalysis?
- Describe the role of the *enzyme-substrate complex*.
- How might the particular properties of the *amino-acids* surrounding the active site of an enzyme contribute to its catalytic effect?
- Describe the *lock-and-key* and *induced-fit* models of enzyme action.
- Explain the function and significance of *allosteric sites* on an enzyme.

It almost seems like magic! A mixture of gaseous H_2 and O_2 can coexist indefinitely without any detectable reaction, but if a platinum wire is heated and then immersed in the gaseous mixture, the reaction proceeds gently as the heat liberated by the reaction makes the wire glow red-hot. Catalysts play an essential role in our modern industrial economy, in our stewardship of the environment, and in all biological processes. This lesson will give you a glimpse into the wonderful world of catalysts, helping you to understand what they are and how they work.

What are Catalysts?

Catalysts have no effect on the equilibrium constant and thus on the equilibrium composition. Catalysts are substances that speed up a reaction but which are not consumed by it and do not appear in the net reaction equation. Also — and this is very important — *catalysts affect the forward and reverse rates equally*; this means that catalysts have no effect on the equilibrium constant and thus on the composition of the equilibrium state. Thus a catalyst (in this case, sulfuric acid) can be used to speed up a reversible reaction such as ester formation or its reverse, ester hydrolysis:

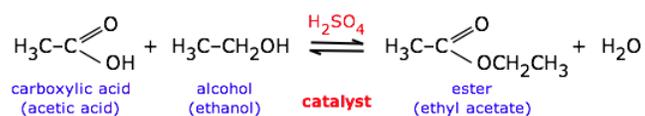


Figure 17.6.1: An acid catalyzed reactions

The catalyst has no effect on the equilibrium constant or the direction of the reaction. The direction can be controlled by adding or removing water (Le Chatelier principle).

Catalysts function by allowing the reaction to take place through an alternative mechanism that requires a smaller activation energy. This change is brought about by a specific interaction between the catalyst and the reaction components. You will recall that the rate constant of a reaction is an exponential function of the activation energy, so even a modest reduction of E_a can yield an impressive increase in the rate.

Catalysts provide alternative reaction pathways

Catalysts are conventionally divided into two categories: *homogeneous* and *heterogeneous*. *Enzymes*, natural biological catalysts, are often included in the former group, but because they share some properties of both but exhibit some very special properties of their own, we will treat them here as a third category.

Some common examples of catalysis

How to burn a Sugar Cube

When heated by itself, a sugar cube (sucrose) melts at 185°C but does not burn. But if the cube is rubbed in cigarette ashes, it burns before melting owing to the catalytic action of trace metal compounds in the ashes.

Platinum as an Oxidation Catalyst

The surface of metallic platinum is an efficient catalyst for the oxidation of many fuel vapors. This property is exploited in flameless camping stoves (left). The image at the right shows a glowing platinum wire heated by the slow combustion of ammonia on its surface. However, if you dip a heated Pt wire into *liquid* ammonia, you get a miniature explosion: see video below.



This is oxidation of ammonia by oxygen catalysed by warmed platinum wire. Oxygen is bubbled through ammonia solution, in which it mixes with ammonia gas present. The reaction causes the platinum wire to glow, and the hot wire ignites a mixture of ammonia and oxygen.

Decomposition of Hydrogen Peroxide

Hydrogen peroxide is thermodynamically unstable according to the reaction



In the absence of contaminants this reaction is very slow, but a variety of substances, ranging from iodine, metal oxides, trace amount of metals, greatly accelerate the reaction, in some cases almost explosively owing to the rapid release of heat. The most effective catalyst of all is the enzyme *catalase*, present in blood and intracellular fluids; adding a drop of blood to a solution of 30% hydrogen peroxide induces a vigorous reaction.

Rapid liberation of O_2 can result in a spectacular bubble bath if some soap is added. [This same reaction has been used to power a racing car!]

Potassium iodide efficiently catalyzes H_2O_2 decomposition. This short video shows what happens when some colored soap, H_2O_2 , and KI are combined. But "don't try this at [your] home"!

Each kind of catalyst facilitates a different pathway with its own activation energy. Because the rate is an exponential function of E_a (Arrhenius equation), even relatively small differences in E_a 's can have dramatic effects on reaction rates. Note especially the values for *catalase*; the chemist is still a rank amateur compared to what Nature can accomplish through natural selection!

catalyst	E_a kJ/mol	relative rate
no catalyst	75	1
iodide ion	56	2140
colloidal platinum	50	24,000
catalase (enzyme)	21	2,900,000,000

How catalytic activity is expressed

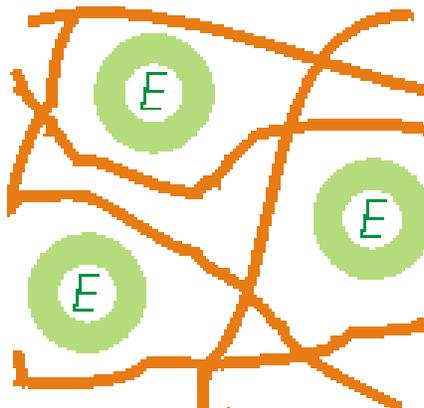
Changes in the rate constant or of the *activation energy* are obvious ways of measuring the efficacy of a catalyst. But two other terms have come into use that have special relevance in industrial applications.

Turnover number

The turnover number (TON) is an average number of cycles a catalyst can undergo before its performance deteriorates (see [below](#)). Reported TONs for common industrial catalysts span a very wide range from perhaps 10 to well over 10^5 , which approaches the limits of diffusion transport.

Turnover Frequency

This term, which was originally applied to enzyme-catalyzed reactions, has come into more general use. It is simply the number of times the overall catalyzed reaction takes place per catalyst (or per active site on an enzyme or heterogeneous catalyst) per unit time: is defined as



The number of active sites S on a heterogeneous catalyst is often difficult to estimate, so it is often replaced by the total area of the exposed catalyst, which is usually experimentally measurable. TOFs for heterogeneous reactions generally fall between 10^{-2} to 10^2 s^{-1} .

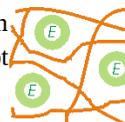
Homogeneous Catalysis

As the name implies, homogeneous catalysts are present in the same phase (gas or liquid solution) as the reactants. Homogeneous catalysts generally enter directly into the chemical reaction (by forming a new compound or complex with a reactant), but are released in their initial form after the reaction is complete, so that they do not appear in the net reaction equation.

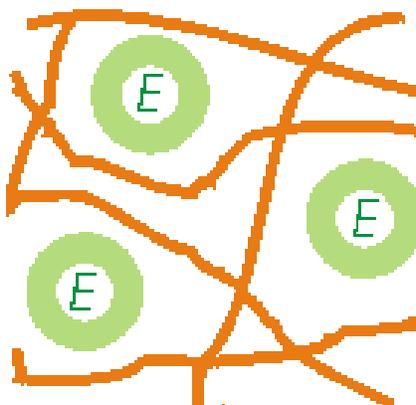
Iodine-catalyzed *cis-trans* isomerization

Unless you are taking an organic chemistry course in which your instructor indicates otherwise, **don't try to memorize these mechanisms**. They are presented here for the purpose of convincing you that catalysis is not black magic, and to familiarize you with some of the features of catalyzed mechanisms. It should be sufficient for you to merely convince yourself that the individual steps make chemical sense.

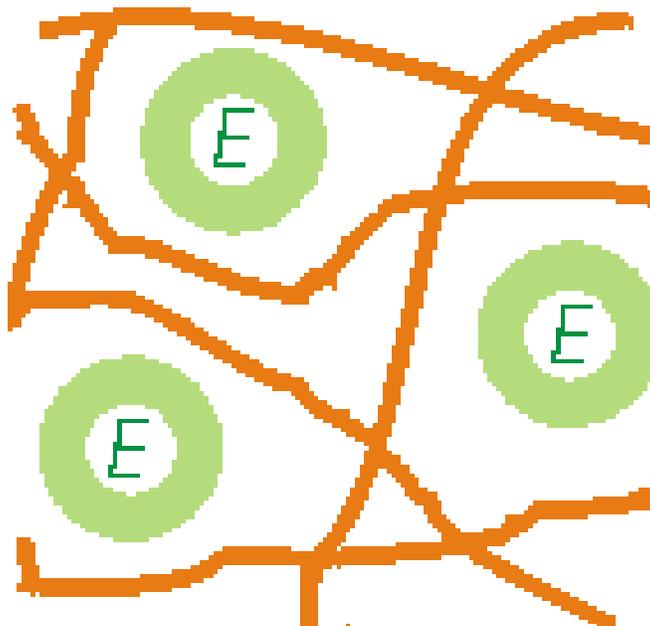
You will recall that *cis-trans isomerism* is possible when atoms connected to each of two doubly-bonded carbons can be on the same (*cis*) or opposite (*trans*) sides of the bond. This reflects the fact that rotation about a double bond is not possible.



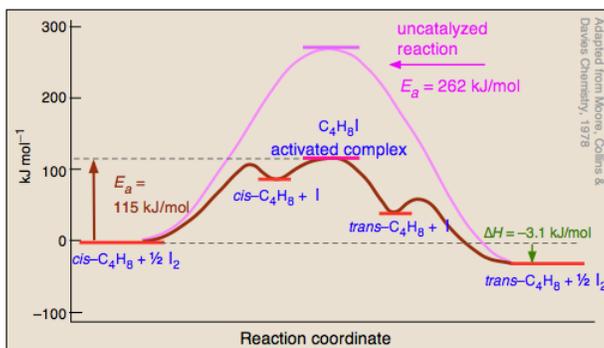
Conversion of an alkene between its *cis*- and *trans* forms can only occur if the double bond is temporarily broken, thus freeing the two ends to rotate. Processes that cleave covalent bonds have high activation energies, so *cis-trans* isomerization reactions tend to be slow even at high temperatures. Iodine is one of several catalysts that greatly accelerate this process, so the isomerization of *butene* serves as a good introductory example of homogeneous catalysis.



The mechanism of the iodine-catalyzed reaction is believed to involve the attack of iodine atoms (formed by the dissociation equilibrium $\text{I}_2 \rightleftharpoons 2\text{I}$) on one of the carbons in Step ②:

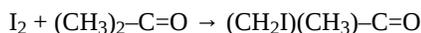


During its brief existence, the free-radical activated complex can undergo rotation about the C—C bond, so that when it decomposes by releasing the iodine (I), a portion of the reconstituted butene will be in its *trans* form. Finally, the iodine atoms recombine into diiodine. Since processes $\text{I}_2 \rightleftharpoons 2\text{I}$ and $2\text{I} \rightleftharpoons \text{I}_2$ cancel out, iodine does not appear in the net reaction equation — a requirement for a true catalyst.

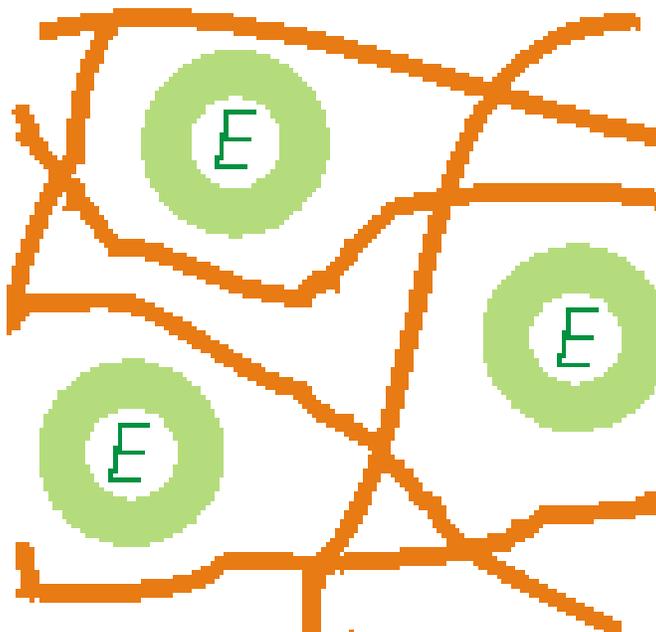


Acid-base catalysis

Many reactions are catalyzed by the presence of an acid or a base; in many cases, both acids and bases will catalyze the same reaction. As one might expect, the mechanism involves the addition or removal of a proton, changing the reactant into a more kinetically labile form. A simple example is the addition of iodine to propanone



The mechanism for the acid-catalyzed process involves several steps. The role of the acid is to provide a proton that attaches to the carbonyl oxygen, forming an unstable oxonium ion . The latter rapidly rearranges into an enol  (i.e., a carbon connected to both a double bond (*ene*) and a hydroxyl (*ol*) group.) This completes the catalytic part of the process, which is basically an acid-base (proton-transfer) reaction in which the role of the proton is to withdraw an electron from the ketone oxygen.



In the second stage, the enol reacts with the iodine. The curved arrows indicate shifts in electron locations. In  below, an electron is withdrawn from the π orbital of the double bond by one of the atoms of the I_2 molecule. This induces a shift of electrons in the latter, causing half of this molecule to be expelled as an iodide ion. The other half of the iodine is now an iodonium ion I^+ which displaces a proton from one of the methyl groups. The resultant carbonium ion  then expels the $-\text{OH}$ proton to yield the final neutral product.

Perhaps the most well-known acid-catalyzed reaction is the hydrolysis (or formation) of an ester — a reaction that most students encounter in an organic chemistry laboratory course. This is a more complicated process involving five steps; its mechanism is discussed [here](#). See also this U. Calgary site, which describes both the acid- and base-catalyzed reaction.

Oxidation-reduction catalysis

Many oxidation-reduction (electron-transfer) reactions, including direct oxidation by molecular oxygen, are quite slow. Ions of transition metals capable of existing in two oxidation states can often materially increase the rate. An example would be the reduction of Fe^{3+} by the vanadium ion V^{3+} :



This reaction is catalyzed by either Cu^+ or Cu^{3+} , and the rate is proportional to the concentration of V^{3+} and of the copper ion, but independent of the Fe^{3+} concentration. The mechanism is believed to involve two steps:

$1 \text{ V}^{3+} + \text{Cu}^{2+} \xrightarrow{k_1} \text{V}^{4+} + \text{Cu}^+$	(rate-determining)
$2 \text{ Fe}^{3+} + \text{Cu}^+ \xrightarrow{\text{catalyst}} \text{Fe}^{2+} + \text{Cu}^{2+}$	(very fast)

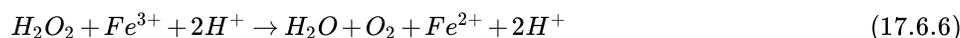
(If Cu^+ is used as the catalyst, it is first oxidized to Cu^{2+} by step 2.)

Hydrogen Peroxide, again

Ions capable of being oxidized by an oxidizing agent such as H_2O_2 can serve as catalysts for its decomposition. Thus H_2O_2 oxidizes iodide ion to iodate, when then reduces another H_2O_2 molecule, returning an I^- ion to start the cycle over again:

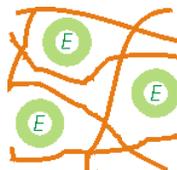


Iron(II) can do the same thing. Even traces of metallic iron can yield enough Fe^{2+} to decompose solutions of hydrogen peroxide.



Heterogeneous catalysts

As its name implies, a heterogeneous catalyst exists as a separate phase (almost always a solid) from the one (most commonly a gas) in which the reaction takes place. The catalytic affect arises from disruption (often leading to dissociation) of the reactant molecules brought about by their interaction with the surface of the catalyst.



← Model of a catalyst consisting of clusters of 8-10 platinum atoms (blue) deposited on an aluminum oxide surface. This catalyst efficiently removes hydrogen atoms from propane, converting it into the industrially-important propylene. [source]

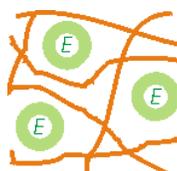
Unbalanced forces at surfaces

You will recall that one universal property of matter is the weak attractive forces that arise when two particles closely approach each other. (See [here](#) for a quick review.) When the particles have opposite electric charges or enter into covalent bonding, these far stronger attraction dominate and define the "chemistry" of the interacting species.

The molecular units within the bulk of a solid are bound to their neighbors through these forces which act in opposing directions to keep each under a kind of "tension" that restricts its movement and contributes to the cohesiveness and rigidity of the solid.

At the surface of any kind of condensed matter, things are quite different. The atoms or molecules that reside on the surface experience unbalanced forces which prevents them from assuming the same low potential energies that characterize the interior units. (The same thing happens in liquids, and gives rise to a variety of interfacial effects such as surface tension.)

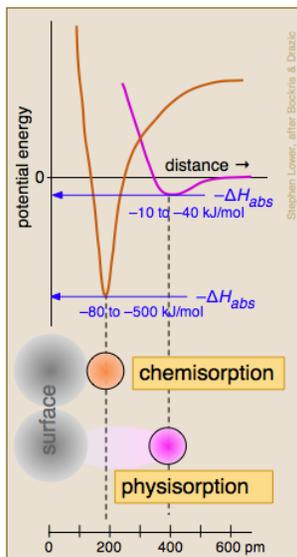
But in the case of a solid, in which the attractive forces tend to be stronger, something much more significant happens. The molecular units that reside on the surface can be thought of as partially buried in it, with their protruding parts (and the intermolecular attractions that emerge from them) exposed to the outer world. The strength of the attractive force field which emanates from a solid surface varies in strength depending on the nature of the atoms or molecules that make up the solid.



Don't confuse **adsorption** with **absorption**; the latter refers to the bulk uptake of a substance into the interior of a porous material. At the microscopic level, of course, absorption also involves adsorption. The process in which molecules in a gas or a liquid come into contact with and attach themselves to a solid surface is known as *adsorption*. Adsorption is almost always an exothermic process and its strength is conventionally expressed by the enthalpy or "heat" of adsorption ΔH_{ads} .

Chemisorption and Physisorption

Two general categories of adsorption are commonly recognized, depending on the extent to which the electronic- or bonding structure of the attached molecule is affected. When the attractive forces arise from relatively weak van der Waals interactions, there is little such effect and ΔH_{ads} tends to be small. This condition is described as *physical adsorption* (*physisorption*). Physisorption of a gas to a surface is energetically similar to the condensation of the gas to a liquid, it usually builds up multiple layers of adsorbed molecules, and it proceeds with zero activation energy.

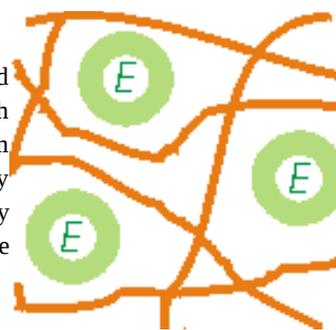


Of more relevance to catalytic phenomena is *chemisorption*, in which the adsorbate is bound to the surface by what amounts to a chemical bond. The resulting disruption of the electron structure of the adsorbed species "activates" it and makes it amenable to a chemical reaction (often dissociation) that could not be readily achieved through thermal activation in the gas or liquid phase. In contrast to physisorption, chemisorption generally involves an activation energy (supplied by ΔH_{ads}) and the adsorbed species is always a monolayer.

Mechanisms of reactions on surfaces

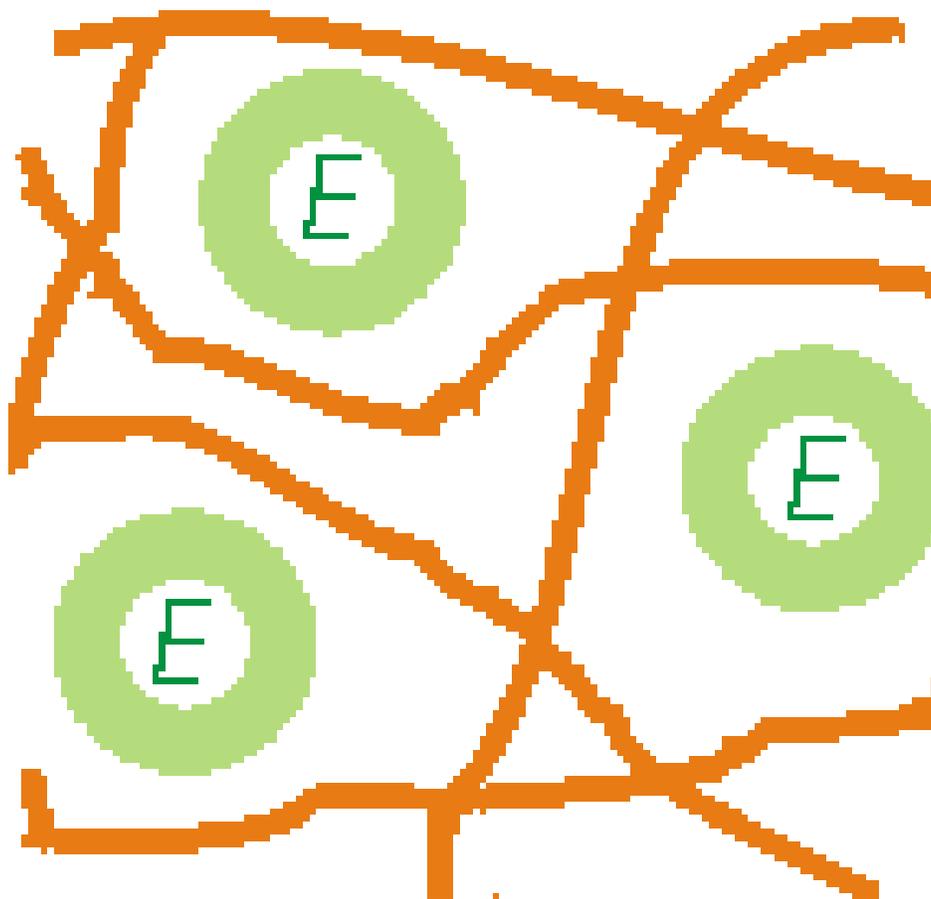
Dissociative adsorption

The simplest heterogeneous process is chemisorption followed by bond-breaking as described above. The most common and thoroughly-studied of these is the dissociation of hydrogen which takes place on the surface of most transition metals. The single 1s electron of each hydrogen atom coordinates with the *d* orbitals of the metal, forming a pair of chemisorption bonds (indicated by the red dashed lines). Although these new bonds are more stable than the single covalent bond they replace, the resulting hydrogen atoms are able to migrate along the surface owing to the continuous extent of the *d*-orbital conduction band.



The Langmuir-Hinshelwood mechanism

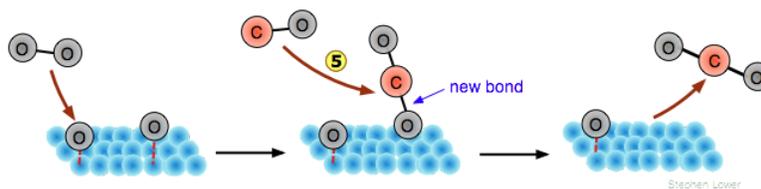
Although the adsorbed atoms ("*adatoms*") are not free radicals, they are nevertheless highly reactive, so if a second, different molecular species adsorbs onto the same surface, an interchange of atoms may be possible. Thus carbon monoxide can be oxidized to CO_2 by the process illustrated below:



In this example, only the O_2 molecule undergoes dissociation E^{\ominus} . The CO molecule adsorbs without dissociation E^{\ominus} , configured perpendicular to the surface with the chemisorption bond centered over a hollow space between the metal atoms. After the two adsorbed species have migrated near each other E^{\ominus} , the oxygen atom switches its attachment from the metal surface to form a more stable $C=O$ bond with the carbon E^{\ominus} , followed by release of the product molecule.

The Eley-Rideal mechanism

An alternative mechanism eliminates the second chemisorption step; the oxygen atoms react directly with the gaseous CO molecules E^{\ominus} by replacing the chemisorption bond with a new $C-O$ bond as they swoop over the surface:



Examples of both mechanisms are known, but the Langmuir-Hinshelwood mechanism is more important in that it exploits the activation of the adsorbed reactant. In the case of carbon monoxide oxidation, studies involving molecular beam experiments support this scheme. A key piece of evidence is the observation of a short time lag between contact of a CO molecule with the surface and release of the CO_2 , suggesting that CO remains chemisorbed during the interval.

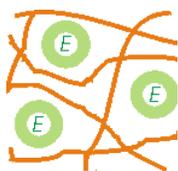
The Sabatier Principle

To be effective, these processes of adsorption, reaction, and desorption must be orchestrated in a way that depends critically on the properties of the catalyst in relation to the chemisorption properties (ΔH_{ads}) of the reactants and products.

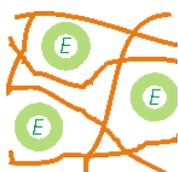
- Adsorption of the reactant onto the catalytic surface (2) must be strong enough to perturb the bonding within the species to dissociate or activate it;

- If the resulting fragments must migrate to other locations on the surface (3-4), their chemisorption must be weak enough to allow this movement but not so small that they escape before they have a chance to react;
- The product species must have sufficiently small ΔH_{ads} values to ensure their rapid desorption from the catalyst (5) so that surface is freed up to repeat the cycle

The importance of choosing a catalyst that achieves the proper balance of the heats of adsorption of the various reaction components is known as the *Sabatier Principle*, but is sometimes referred to as the "just-right" or "[Goldilocks principle](#)". Remember the story of [Goldilocks and the Three Bears](#)? ... or see this UTube video.



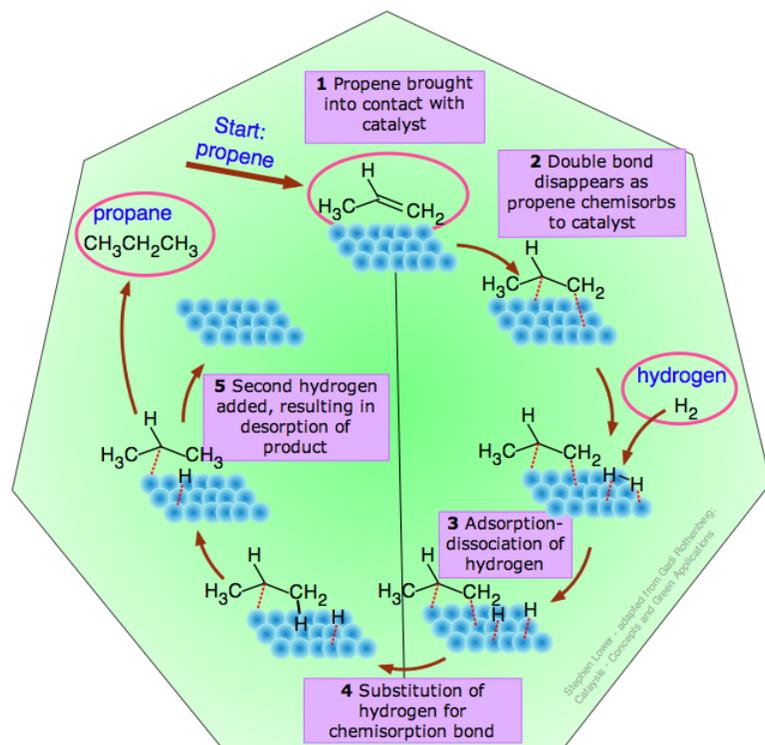
In its application to catalysis, this principle is frequently illustrated by a "volcano diagram" in which the rate of the catalyzed reaction is plotted as a function of ΔH_{ads} of a substrate such as H_2 on a transition metal surface.



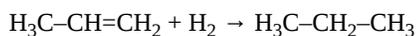
The plot at the left shows the relative effectiveness of various metals in catalyzing the decomposition of formic acid $HCOOH$. The vertical axis is plotted as temperature, the idea being that the better the catalyst, the lower the temperature required to maintain a given rate.

The catalytic cycle

This term refers to the idealized sequence of steps between the adsorption of a reactant onto the catalyst and the desorption of the product, culminating in restoration of the catalyst to its original condition. A typical catalytic cycle for the hydrogenation of propene is illustrated below.



This particular reaction



takes place spontaneously only in the reverse direction, but it is representative of the process used to hydrogenate the carbon-carbon double bonds in vegetable oils to produce solid [saturated fats](#) such as [margarine](#).

Catalyst poisoning and breakdown

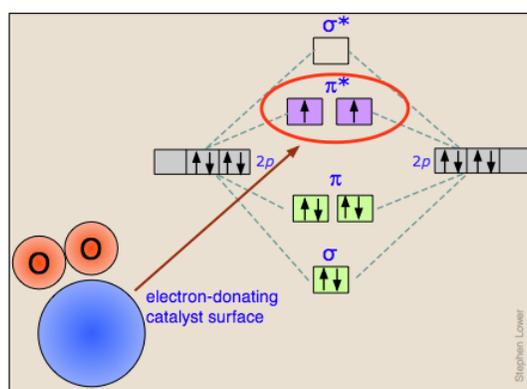
Catalyst poisoning, brought about by irreversible binding of a substance to its surface, can be permanent or temporary. In the latter case the catalyst can be regenerated, usually by heating to a high temperature. In organisms, many of the substances we know as "poisons" act as catalytic poisons on enzymes. If catalysts truly remain unchanged, they should last forever, but in actual practice, various events can occur that limit the useful lifetime of many catalysts.

- Impurities in the feedstock or the products of side reactions can bind permanently to a sufficient number of active sites to reduce catalytic efficiency over time, "poisoning" the catalyst.
- Physical deterioration of the catalyst or of its support, often brought about by the high temperatures sometimes used in industrial processes, can reduce the effective surface area or the accessibility of reactants to the active sites.

Catalysts tend to be rather expensive, so it is advantageous if they can be reprocessed or regenerated to restore their activity. It is a common industrial practice to periodically shut down process units to replace spent catalysts.

How heterogeneous catalysts work

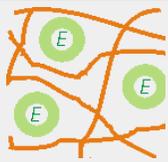
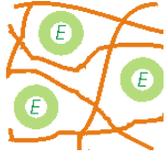
The actual mechanisms by which adsorption of a molecule onto a catalytic surface facilitates the cleavage of a bond vary greatly from case to case.

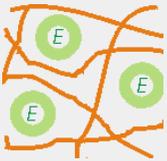
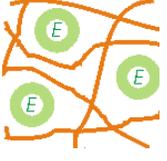
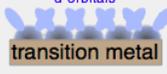


We give here only one example, that of the dissociation of dioxygen O_2 on the surface of a catalyst capable of temporarily donating an electron which enters an oxygen antibonding molecular orbital that will clearly destabilize the O-O bond. (Once the bond has been broken, the electron is given back to the catalyst.)

Types of catalytically active surfaces

Heterogeneous catalysts mostly depend on one or more of the following kinds of surfaces:

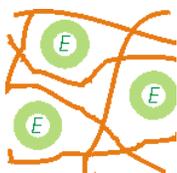
Active surface type	Remarks
	Atoms at surfaces or crystal edges in macromolecular 2- and 3-D networks such as graphite or quartz may have free valences
	Surfaces and edges are sites of intense electric fields able to interact with ions and polar molecules

	Oxides can acquire H^+ and/or OH^- groups able to act as acid- or base catalysts
	"Electron gas" at metal surfaces can perturb bonding in substrate molecules
	Vacant <i>d</i> orbitals can provide a variety of coordination sites for activation.
	Semiconductors (including many oxides) can supply electrons, thermally excited through reasonably small (<50 kJ) band gaps.

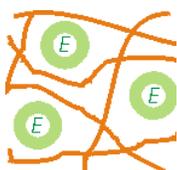
Some factors affecting catalyst efficacy

Since heterogeneous catalysis requires direct contact between the reactants and the catalytic surface, the area of active surface goes at the top of the list. In the case of a metallic film, this is not the same as the nominal area of the film as measured by a ruler; at the microscopic level, even apparently smooth surfaces are highly irregular, and some cavities may be too small to accommodate reactant molecules.

Consider, for example, that a 1-cm cube of platinum (costing roughly \$1000) has a nominal surface area of only 6 cm². If this is broken up into 10¹² smaller cubes whose sides are 10⁻⁶ m, the total surface area would be 60,000 cm², capable in principle of increasing the rate of a Pt-catalyzed reaction by a factor of 10⁴. These very finely-divided (and often very expensive) metals are typically attached to an inert *supporting surface* to maximize their exposure to the reactants.



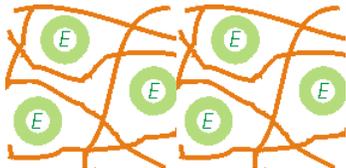
Surface topography. At the microscopic level, even an apparently smooth surface is pitted and uneven, and some sites will be more active than others. Penetration of molecules into and out of some of the smaller channels of a porous surface may become rate-limiting.



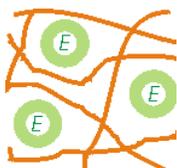
An otherwise smooth surface will always possess a variety of defects such as steps and corners which offer greater exposure and may be either the only active sites on the surface, or overly active so as to permanently bind to a reactant, reducing the active area of the surface. In one study, it was determined that kink defects constituting just 5 percent of platinum surface were responsible for over 90% of the catalytic activity in a certain reaction.

Steric factors

When chemisorption occurs at two or more locations on the reactant, efficient catalysis requires that the spacing of the active centers on the catalytic surface be such that surface bonds can be formed without significant angular distortion.



Thus activation of the ethylene double bond on a nickel surface proceeds efficiently because the angle between the C—Ni bonds and the C—C is close to the tetrahedral value of 109.5° required for carbon sp^3 hybrid orbital formation. Similarly, we can expect that the hydrogenation of benzene should proceed efficiently on a surface in which the active sites are spaced in the range of 150 and 250 pm.



This is one reason why many metallic catalysts exhibit different catalytic activity on different crystal faces.

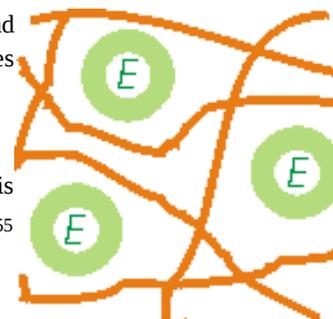
Some special types of heterogeneous catalysts

Metal-cluster catalysts

As the particle size of a catalyst is reduced, the fraction of more highly exposed step, edge, and corner atoms increases. An extreme case occurs with nano-sized (1-2 nm) metal cluster structures composed typically of 10-50 atoms.

[link] →

Metallic gold, well known for its chemical inertness, exhibits very high catalytic activity when it is deposited as metallic clusters on an oxide support. For example, O_2 dissociates readily on Au_{55} clusters which have been found to efficiently catalyze the oxidation of hydrocarbons [article].



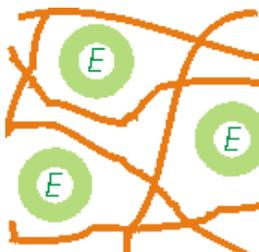
Zeolite catalysts

Zeolites are clay-like aluminosilicate solids that form open-framework microporous structures that may contain linked cages, cavities or channels whose dimensions can be tailored to the sizes of the reactants and products. To those molecules able to diffuse through these spaces, zeolites are in effect "all surface", making them highly efficient. This size-selectivity makes them important for adsorption, separation, ion-exchange, and catalytic applications. Many zeolites occur as minerals, but others are made synthetically in order to optimize their properties.

As catalysts, zeolites offer a number of advantages that has made them especially important in "green chemistry" operations in which the number of processing steps, unwanted byproducts, and waste stream volumes are minimized.

Enzymes and biocatalysis

"A Jug of Wine, a Loaf of Bread, and . . ." Enzymes!



This distortion of Robert FitzGerald's already-distorted translation of the famous quatrain from the wonderful *Rubaiyat of Omar Khayyam* underlines the central role that enzymes and their technology have played in civilization since ancient times.

← Illustration by Edmund Dulac (1882-1953)

Fermentation and wine-making have been a part of human history and culture for at least 8000 years, but recognition of the role of catalysis in these processes had to wait until the late nineteenth century. By the 1830's, numerous similar agents, such as those that facilitate protein digestion in the stomach, had been

discovered. The term "enzyme", meaning "from yeast", was coined by the German physiologist Wilhelm Kühne in 1876. In 1900, Eduard Buchner (1860-1917, 1907 Nobel Prize in Chemistry) showed that fermentation, previously believed to depend on a mysterious "life force" contained in living organisms such as yeast, could be achieved by a cell-free "press juice" that he squeezed out of yeast.

By this time it was recognized that enzymes are a form of catalyst (a term introduced by Berzelius in 1835), but their exact chemical nature remained in question. They appeared to be associated with proteins, but the general realization that enzymes *are* proteins began only in the 1930s when the first pure enzyme was crystallized, and did not become generally accepted until the 1950s. It is now clear that nearly all enzymes are proteins, the major exception being a small but important class of RNA-based enzymes known as ribozymes.

Proteins are composed of long sequences of amino acids strung together by amide bonds; this sequence defines the *primary structure* of the protein.. Their huge size (typically 200-2000 amino acid units, with total molecular weights 20,000 - 200,000) allows them to fold in complicated ways (known as secondary and tertiary structures) whose configurations are essential to their catalytic function.

Because enzymes are generally very much larger than the reactant molecules they act upon (known in biochemistry as *substrates*), enzymatic catalysis is in some ways similar to heterogeneous catalysis. The main difference is that the binding of a substrate to the enzyme is much more selective.

Precursors and cofactors

Most enzymes come into being as inactive precursors (*zymogens*) which are converted to their active forms at the time and place they are needed.

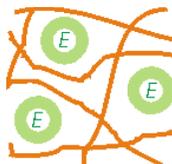
- For example, the enzymes that lead to the clotting of blood are supposed to remain inactive until bleeding actually begins; a major activating factor is exposure of the blood to proteins in the damaged vessel wall.
- The enzyme that catalyzes the formation of lactose (milk sugar) in the mammary gland is formed during pregnancy, but it remains inactive until the time of birth, when hormonal changes cause a modifier unit to bind to and activate the enzyme.

Conversion to the active form may involve a simple breaking up of the protein by hydrolysis of an appropriate peptide bond or the addition of a phosphate or similar group to one of the amino acid residues.

Many enzyme proteins also require "helper" molecules, known as *cofactors*, to make them catalytically active. These may be simple metal ions (many of the trace nutrient ions of Cu, Mn, Mo, V, etc.) or they may be more complex organic molecules which are called *coenzymes*. Many of the latter are what we commonly refer to as *vitamins*. Other molecules, known as *inhibitors*, decrease enzyme activity; many drugs and poisons act in this way.

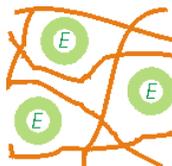
The enzyme-substrate complex

The standard model of enzyme kinetics consists of a two-step process in which an enzyme binds reversibly to its substrate S (the reactant) to form an *enzyme-substrate complex* ES:



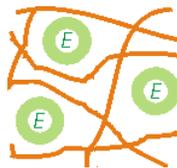
The enzyme-substrate complex plays a role similar to that of the activated complex in conventional kinetics, but the main function of the enzyme is to stabilize the transition state.

In the second, essentially irreversible step, the product and the enzyme are released:



The overall kinetics of the process were worked out in 1913 by the German biochemist Leonor Michaelis and his Canadian student Maud Menten.

The basic kinetic treatment of this process involves the assumption that the concentrations $[E]$ and $[ES]$ reach steady-state values which do not change with time. (The detailed treatment, which is beyond the scope of this course, can be found here.)

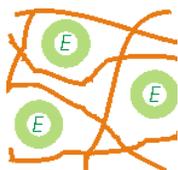


The overall process is described by the *Michaelis-Menten equation* which is plotted here. The *Michaelis constant* k_M is defined as shown, but can be simplified to the ES dissociation constant k_{-1}/k_1 in cases when dissociation of the complex is the rate-limiting step. The quantity v_{max} is not observed directly, but can be determined from k_M as shown here.

Enzymes are proteins

In order to understand enzymes and how they catalyze reactions, it is first necessary to review a few basic concepts relating to proteins and the amino acids of which they are composed.

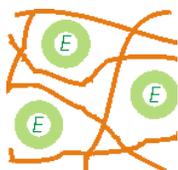
Amino acids: polar, nonpolar, positive, negative.



The 21 amino acids that make up proteins all possess the basic structure shown here, where R represents either hydrogen or a side chain which may itself contain additional $-\text{NH}_2$ or $-\text{COOH}$ groups. Both kinds of groups can hydrogen-bond with water and with the polar parts of substrates, and therefore contribute to the amino acid's polarity and hydrophilic nature. Side chains that contain longer carbon chains and especially benzene rings have the opposite effect, and tend to render the amino acid non-polar and hydrophobic.

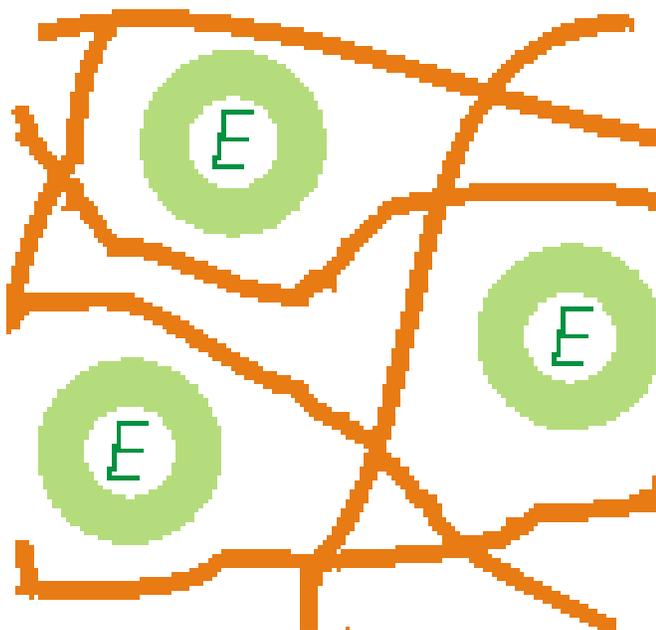
The pK_a of an ionizable group is the pH at which half of these groups will be ionized. For example, if a $-\text{COOH}$ group has a pK_a of 2.5, it will exist almost entirely in this form at a pH of about 1.5 or less, and as the negative ion $-\text{COO}^-$ at pH above about 3.5.

Both the $-\text{NH}_2$ and $-\text{COOH}$ groups are ionizable (i.e., they can act as proton donors or acceptors) and when they are in their ionic forms, they will have an electric charge. The $-\text{COOH}$ groups have pK_a 's in the range 1.8-2.8, and will therefore be in their ionized forms $-\text{COO}^-$ at ordinary cellular pH values of around 7.4. The amino group pK_a 's are around 8.8-10.6, so these will also normally be in their ionized forms NH_3^+ .



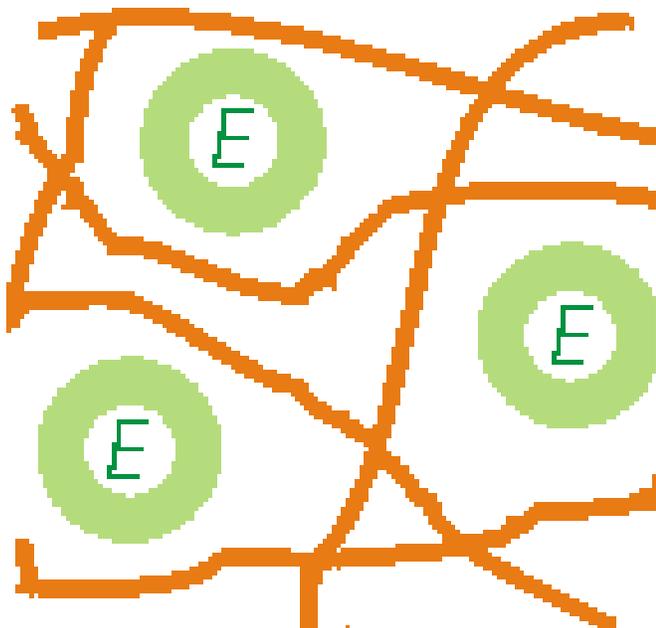
This means that at ordinary cellular pH, both the carboxyl and amino groups will be ionized. But because the charges have opposite signs, an amino acid that has no extra ionizable groups in its side chain will have a net charge of zero. But if the side chain contains an extra amino or carboxyl group, the amino acid can carry a net electric charge. The following diagram illustrates typical amino acids that fall into each of the groups described above.

For clarity, only the charges in the side chains are shown here, but of course the carboxyl and amino groups at the head end of each amino acid will also be ionized. The small green numbers are pK_a values. See here for a complete list of amino acids that occur in proteins.



Proteins

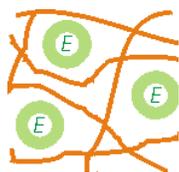
Proteins are made up of one or more chains of amino acids linked to each other through peptide bonds by elimination of a water molecule.



The product shown above is called a *peptide*, specifically it is a *dipeptide* because it contains two *amino acid residues* (what's left after the water has been removed.) Proteins are simply very long polypeptide chains, or combinations of them. (The distinction between a long polypeptide and a small protein is rather fuzzy!)

Globular proteins

Most enzymes fall into the category of *globular proteins*. In contrast to the *fibrous proteins* that form the structural components of tissues, globular proteins are soluble in water and rarely have any systematic tertiary structures. They are made up of one or more amino-acid ("*peptide*") chains which fold into various shapes that can roughly be described as spherical — hence the term "globular", and the suffix "globin" that is frequently appended to their names, as in "hemoglobin".



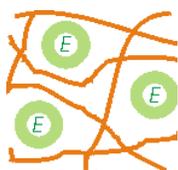
Protein folding is a spontaneous process that is influenced by a number of factors. One of these is obviously their primary amino-acid sequence that facilitates formation of intramolecular bonds between amino acids in different parts of the chain. These consist mostly of hydrogen bonds, although disulfide bonds S—S between sulfur-containing amino acids are not uncommon.

In addition to these intramolecular forces, interactions with the surroundings play an important role. The most important of these is the *hydrophobic effect*, which favors folding conformations in which polar amino acids (which form hydrogen bonds with water) are on the outside, while the so-called *hydrophobic* amino acids remain in protected locations within the folds.

How enzymes work: the active site

The catalytic process mediated by an enzyme takes place in a depression or cleft that exposes the substrate to only a few of the hundreds-to-thousands of amino acid residues in the protein chain. The high specificity and activity of enzyme catalysis is sensitively dependent on the shape of this cavity and on the properties of the surrounding amino acids

In 1894, long before it was clear that enzymes are proteins, the German chemist Emil Fischer suggested the so-called lock-and-key model as a way of understanding how a given enzyme can act specifically on only one kind of substrate molecule. This model is essentially an elaboration of the one we still use for explaining heterogeneous catalysis.

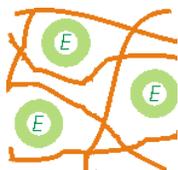


Although the basic lock-and-key model continues to be useful, it has been modified into what is now called the *induced-fit model*. This assumes that when the substrate enters the active site and interacts with the surrounding parts of the amino acid chain, it reshapes the active site (and perhaps other parts of the enzyme) so that it can engage more fully with the substrate.

One important step in this process is to squeeze out any water molecules that are bound to the substrate and which would interfere with its optimal positioning.

Within the active site, specific interactions between the substrate and appropriately charged, hydrophilic and hydrophobic amino acids of the active site then stabilize the transition state by distorting the substrate molecule in such a way as to lead to a transition state having a substantially lower activation energy than can be achieved by ordinary non-enzymatic catalysis. Beyond this point, the basic catalytic steps are fairly conventional, with acid/base and nucleophilic catalysis being the most common.

For a very clear and instructive illustration of a typical multi-step sequence of a typical enzyme-catalyzed reaction, [see this page](#) from Mark Bishop's online textbook, from which this illustration is taken.



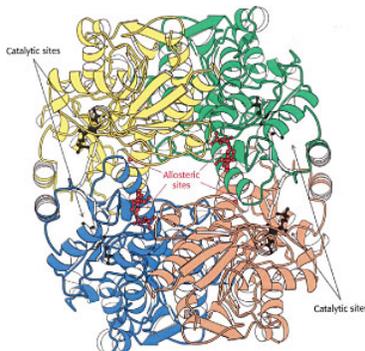
Enzyme regulation and inhibition

If all the enzymes in an organism were active all the time, the result would be runaway chaos. Most cellular processes such as the production and utilization of energy, cell division, and the breakdown of metabolic products must operate in an exquisitely choreographed, finely-tuned manner, much like a large symphony orchestra; no place for jazz-improv here!

Nature has devised various ways of achieving this; we described the action of precursors and coenzymes [above](#). Here we focus on one of the most important (and chemically-interesting) regulatory mechanisms.

Allosteric regulation: tweaking the active site

There is an important class of enzymes that possess special sites (distinct from the catalytically active sites) to which certain external molecules can reversibly bind. Although these *allosteric sites*, as they are called, may be quite far removed from the catalytic sites, the effect of binding or release of these molecules is to trigger a rapid change in the folding pattern of the enzyme that alters the shape of the active site. The effect is to enable a signalling or regulatory molecule (often a very small one such as NO) to modulate the catalytic activity of the active site, effectively turning the enzyme on or off.



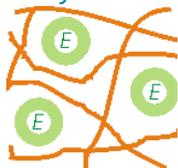
In some instances, the product of an enzyme-catalyzed reaction can itself bind to an allosteric site, decreasing the activity of the enzyme and thus providing negative feedback that helps keep the product at the desired concentration. It is believed that concentrations of plasma ions such as calcium, and of energy-supplying ATP are, are regulated in this way.

Allosteric enzymes are more than catalysts: they act as control points in metabolic and cellular signalling networks. Allosteric enzymes frequently stand at the beginning of a sequence of enzymes in a metabolic chain, or at branch points where two such chains diverge, acting very much like traffic signals at congested intersections.

Enzyme inhibition

As is the case with heterogeneous catalysts, certain molecules other than the normal substrate may be able to enter and be retained in the active site so as to competitively inhibit an enzyme's activity. This is how penicillin and related antibiotics work; these molecules covalently bond to amino acid residues in the active site of an enzyme that catalyzes the formation of an essential component of bacterial cell walls. When the cell divides, the newly-formed progeny essentially fall apart.

Enzymes outside the cell



Artist Mike Perkins' conception of an immobilized enzyme within a functionalized nanoporous silica pore. The enzyme is shown as green with positively charged regions shown in red. The blue structures inside the pores represent the negatively charged functional groups added to the mesoporous silica to create a favorable chemical environment for the enzyme. The favorable environment in each pore attracts the enzyme molecule to move into the unoccupied pore. This environment stabilizes and increases the chemical reactivity of the enzyme, allowing it to convert harmful substrate materials (purple particles) into useful or harmless products (yellow and red particles). [link]

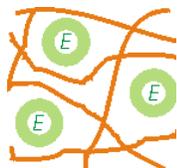
Enzymes have been widely employed in the food, pulp-and-paper, and detergent industries for a very long time, but mostly as impure whole-cell extracts.

In recent years, developments in biotechnology and the gradual move of industry from reliance on petroleum-based feedstocks and solvents to so-called "green" chemistry have made enzymes more attractive as industrial catalysts. Compared to the latter, purified enzymes tend to be expensive, difficult to recycle, and unstable outside of rather narrow ranges of temperature, pH, and solvent composition.

Immobilized enzymes

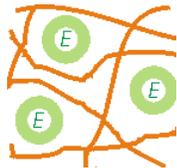
Many of the problems connected with the use of free enzymes can be overcome by immobilizing the enzyme. This can be accomplished in several ways:

Binding the enzyme to an inert solid supporting material



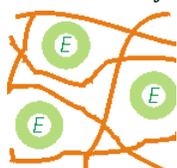
This was first done in 1916, using charcoal or aluminum hydroxide. since the 1960's when this method became more popular, synthetic polymers, often engineered for a specific application, have come into wide use. Some natural biopolymers such as cellulose or starch, and inorganic solids such as silica and alumina have also been employed.

Trapping the enzyme in a porous material



Polymerization of acrylamide in the presence of an enzyme yields a porous gel which allows free diffusion of substrate and products, Other materials used for this purpose include natural gels such as alginate (obtained from seaweed), porous silica or ceramic solids, and zeolites.

Cross-linked enzymes



If the enzyme is chemically linked to another substance (usually a very small molecule), the resulting solid, known as a cross-linked enzyme aggregate, can retain high catalytic efficiency over a very wide range of conditions. A more recent related development involves precipitating a less-purified form of the enzyme (by addition of ethylene glycol or ammonium sulfate, for example) and then cross-linking the resulting aggregates.

[images↑]

References: more to explore

Catalysis

1. Gadi Rothenberg- *Catalysis: Concepts and Green Applications* (Wiley-VCH, 2008)
2. R.A. van Santan, M. Neurock - *Molecular Heterogeneous Catalysis: A conceptual and computational approach* (Wiley-VCH, 2006)
3. History of Catalysis: List of Web pages, including Fifty Years of Catalysis - A list of major advances in the field 1949-1999. More detailed History pages from UK
4. [Catalysis and its applications](#) - a very readable and interesting account of the applications of catalysis to various industrially-important fields.

This page titled [17.6: Catalysts and Catalysis](#) is shared under a [CC BY 3.0](#) license and was authored, remixed, and/or curated by [Stephen Lower](#) via [source content](#) that was edited to the style and standards of the LibreTexts platform.