

4.4: Nucleophilic substitution and elimination reactions

Learning Objectives

- Identify nucleophiles and electrophiles, their similarities, and differences from acids and bases.
- Understand S_N2 , E2, S_N1 , or E1 reaction mechanisms, factors that affect them, and conditions that dictate which one will take place.
- Learn some examples of S_N2 , E2, S_N1 , and E1 reactions of alcohols, ethers, amines, and thiols.

Nucleophile and electrophile

Nucleophile is a neutral or anionic specie that can donate a lone pair or π bonding electrons to make a covalent bond.

Examples of nucleophile are negative or partial negative (δ^-) atoms with lone pair or π bond in the following $H_3N:$, $H_2\ddot{O}:$, $H\ddot{O}:$, and $H_2C=CH_2$.

Electrophile is an electron-deficient atom of a neutral or cationic species that can receive an electron pair to make a covalent bond.

Examples of electrophile are positive charge or partial positive (δ^+) charge C's or H's in the following species: $(CH_3)_3C^+$, $\overset{\delta+}{CH_3}-\overset{\delta-}{Cl}$, $(\overset{\delta+}{CH_3})_2\overset{\delta-}{C}=\overset{\delta+}{O}$, and $\overset{\delta+}{H}-\overset{\delta-}{Cl}$.

Differences between nucleophile-electrophile and acid-base

The base is a substance that donates a pair of electrons to a proton to make a covalent bond. So, a base is a sub-class of nucleophiles and an acidic proton is a subclass of electrophiles. Acid-base reactions are fast reactions. In acid-base reactions, emphasis is on thermodynamic, specifically on the equilibrium constant K quantified in terms of pK_a , i.e., smaller the pK_a means larger K and stronger acid. Nucleophiles donate electrons to an electron-deficient carbon or some atom usually other than a proton. Emphasis in nucleophilic-electrophilic reaction is on the kinetics, a good nucleophile reacts faster and a poor nucleophile reacts slower.

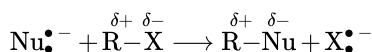
Similarities and differences in nucleophilicity and basicity

The similarity is that the stronger bases are stronger nucleophiles when compared within the same row of the periodic table. For example, basicity follows the order $HO^- < NH_2^- < F^-$ and their nucleophilicity follows the same order. Similarly, anionic species, e.g., NH_2^- , HO^- and RO^- are stronger bases and good nucleophiles compared to the corresponding neutral species of the same element, i.e., NH_3 , H_2O and ROH .

The difference is that the basicity and nucleophilicity are affected differently when comparing atoms of different rows. For example, hydride ion (H^-) from the 1st row is a stronger base but a poor nucleophile. the opposite is true for the 3rd-row and higher elements, e.g., SH^- , Cl^- , Br^- . and I^- are weak bases but usually good nucleophiles. Unlike basicity, nucleophilicity is affected by steric factors and solvents. Most of these differences are related to the fact that in acid-base reactions, the electron recipient is the 1s orbital of a proton, and in nucleophilic-electrophilic reactions, the electron recipient is 2s, 2p, or larger orbital of carbon or some other element. The details of these factors are out of the scope of this book. Base strength is described as stronger or weak, and nucleophilic strength is described as good or poor.

Nucleophilic substitution mechanisms

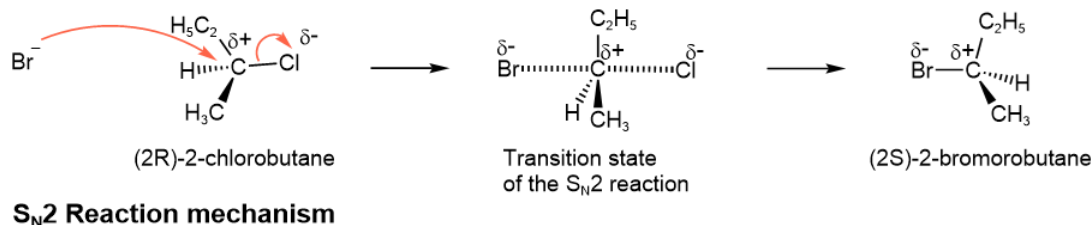
In a nucleophilic substitution reaction, a nucleophile ($Nu:^-$) attacks and makes a covalent bond with δ^+ atom of the target molecule, called **substrate** ($R-\overset{\delta+}{X}$). The polar bond of the target molecule breaks heterolytically, leaving the bonding electrons with the more electronegative end called **leaving group** ($X:\overset{\delta-}{-}$), as shown in the following generalized reaction.



Since one nucleophile, i.e., $\text{Nu}:\text{C}^-$, replaces another nucleophile, i.e., $\text{X}:\text{C}^-$ on the substrate, it is called a **nucleophilic substitution reaction**. The nucleophilic substitution reactions are based on the fact the incoming nucleophile has a stronger tendency to make a covalent bond with the electrophilic center than the leaving group. In other words, the incoming nucleophile is stronger and the leaving group is a weaker nucleophile. Mechanisms of the nucleophilic substitution reactions are described below.

Nucleophilic substitution bimolecular ($\text{S}_{\text{N}}2$)

One mechanism for nucleophilic substitution reaction is concerted bond-making and breaking in a single step, as shown below.



The incoming nucleophile approaches the electrophilic $\overset{\delta+}{\text{C}}$ from the side opposite to the leaving group. The incoming nucleophile starts making the bond and the leaving group starts breaking the bond simultaneously. The other three groups pointing away from the leaving group, start moving to the other side, away from the incoming nucleophile. In the transition state, the three groups acquire a trigonal planar arrangement perpendicular to the bond being broken and formed. This reaction mechanism is called $\text{S}_{\text{N}}2$, where S is for substitution, N is for nucleophilic, and 2 is for bimolecular.

- If the electrophilic $\overset{\delta+}{\text{C}}$ is a chiral center, its configuration is inverted in the product of $\text{S}_{\text{N}}2$ reaction.
- This elementary step of $\text{S}_{\text{N}}2$ reaction involves two reactants in its rate-determining step, the incoming nucleophile, and the substrate, and it is a bimolecular reaction.

The rate of an $\text{S}_{\text{N}}2$ reaction is directly proportional to the substrate concentration and the nucleophile concentration. The rate depends on the substrate's structure, the nature of the leaving group, the nature of the nucleophile, and the solvent, as described next.

Effect of the structure of the substrate

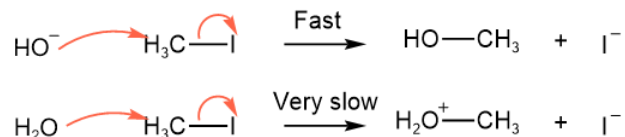
The rate follows the following order with respect to the nature of the electrophilic $\overset{\delta+}{\text{C}}$: $\text{methyl} > \text{primary} > \text{secondary} > \text{tertiary}$. The steric hindrance posted by the substrate to the nucleophile explains it, which follows the same order, as illustrated below.

Type of electrophile carbon	Methyl	Primary	Secondary	Tertiary
Model				
Structures with mechanism arrows	<p style="text-align: center;">bromomethane</p>	<p style="text-align: center;">bromoethane (primary)</p>	<p style="text-align: center;">2-bromopropane (secondary)</p>	<p style="text-align: center;">2-bromo-2-methylpropane (tertiary)</p>

The relative S_N2 reaction rate	145	1	0.008	no reaction
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Effect of the nucleophile

Good nucleophiles react faster. Particularly, anionic nucleophiles, like HO^- or RO^- are employed for S_N2 as they react much faster than their corresponding neutral counterparts, i.e., H_2O or ROH , as shown in the following example.



Effect of the leaving group

Leaving group propensity has a trend opposite to the basicity of the leaving group, i.e., good leaving groups are weaker bases. For example, the basicity of halide ions follows this order: $\text{F}^- > \text{Cl}^- > \text{Br}^- > \text{I}^-$ but the rate of reaction of alkyl halides, under the same conditions, follows the opposite trend, i.e., $\text{R}-\text{F} < \text{R}-\text{Cl} < \text{R}-\text{Br} < \text{R}-\text{I}$. The effect of the leaving group on the rate of the reaction is shown in the following table.

Reaction	Relative rate
$\text{HO}^- + \text{RCH}_2-\text{F} \longrightarrow \text{RCH}_2-\text{OH} + \text{F}^-$	1
$\text{HO}^- + \text{RCH}_2-\text{Cl} \longrightarrow \text{RCH}_2-\text{OH} + \text{Cl}^-$	200
$\text{HO}^- + \text{RCH}_2-\text{Br} \longrightarrow \text{RCH}_2-\text{OH} + \text{Br}^-$	10,000
$\text{HO}^- + \text{RCH}_2-\text{I} \longrightarrow \text{RCH}_2-\text{OH} + \text{I}^-$	30,000

Effect of the solvent

The solvent is needed to dissolve both the substrate and the nucleophile. The substrate is a polar compound like $\text{CH}_3^{\delta+}-\text{Br}^{\delta-}$ and the nucleophile is usually in the form of an ionic solid like Na^+OH^- or $\text{CH}_3\text{O}^-\text{Na}^+$. Nonpolar solvents do not work because polar and ionic substances do not dissolve in nonpolar solvents. Polar solvents can dissolve polar and ionic compounds. Polar solvents fall into two categories:

- **polar protic** solvent that have an acidic proton like water (H_2O) and methanol (CH_3-OH), and
- **polar aprotic** like acetone ($(\text{CH}_3)_2\text{C}^{\delta+}=\text{O}^{\delta-}$) and dimethylsulfoxide ($(\text{CH}_3)_2\text{S}^{\delta+}=\text{O}^{\delta-}$) which do not have an acidic proton. Their δ^+ end is at the center of the molecule, surrounded by bulky groups.

Polar protic solvent dissolves ionic compounds by ion-dipole interactions forming a layer of solvent around cation and anion. They are not suitable for S_N2 reaction as the solvent layer prevents nucleophiles from approaching electrophilic $\text{C}^{\delta+}$.

Aprotic solvents dissolve the ionic compound by the ion-dipole interaction but leave the anion, i.e., nucleophile, almost free. This is because the anion is prevented from approaching the δ^+ pole of the solvent due to steric hindrance, as illustrated in Figure 4.4.1. Recall that electrostatic force is inversely proportional to the square of the distance between the +ve charge and the -ve charge, i.e., the longer the distance, the weaker the force.

Polar aprotic solvents are suitable for S_N2 reaction as the nucleophiles are relatively free to approach electrophilic $\text{C}^{\delta+}$ of the substrate.

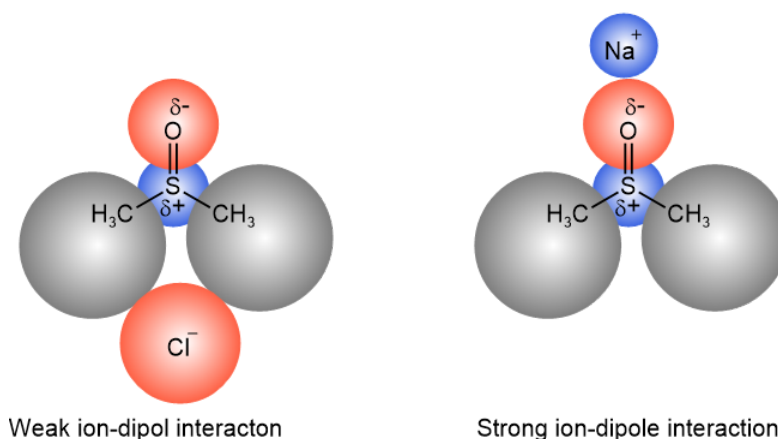
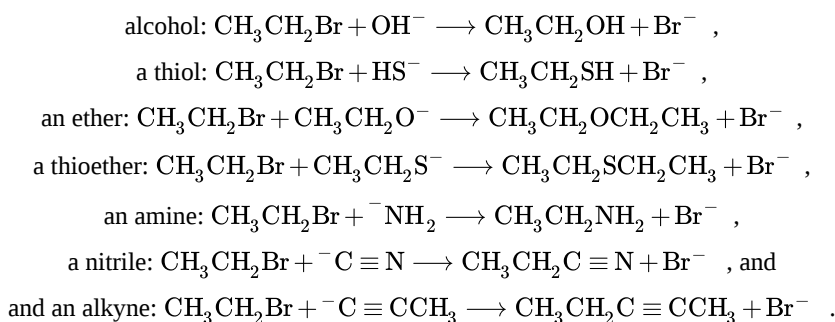


Figure 4.4.1: Illustration of dissolution of Na^+Cl^- in dimethylsulfoxide ($(\text{CH}_3)_2\text{S}^{\delta+}=\text{O}^{\delta-}$). The aprotic solvent dissolves ionic compounds by making strong ion-dipole interaction with the cation but leaves the anion relatively free as it is prevented by steric hindrance from approaching the δ^+ pole of the solvent molecule.

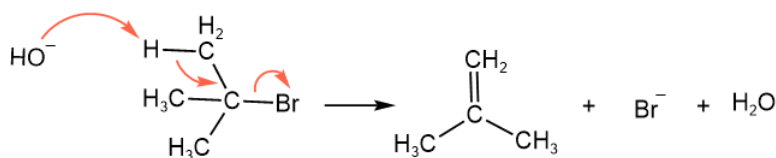
Examples of $\text{S}_{\text{N}}2$ reactions

Alkyl halides are derived from alkanes by free radical reactions. The alkyl halides are then converted to a number of other classes of organic compounds by $\text{S}_{\text{N}}2$ reaction, e.g., ethyl bromide can be converted to:



Elimination bimolecular (E2)

Recall that the C connected to a functional group is αC , and the one adjacent to it is βC . Also, recall that $\text{H}-\text{Br}^{\delta+ \delta-}$ is a strong acid because the acidic polar leaves electron on electronegative Br. Similarly, protons on βC are acidic because they can send the bonding electrons to Br through the following mechanism.

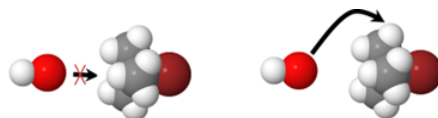


Nucleophiles are bases at the same time. The base HO^- attacks βH , the $\text{H}-\text{C}$ bonding electrons establish a π -bond between αC and βC , and the αC let go the leaving group Br^- . Since a βH is eliminated along with the leaving group, This reaction mechanism is called β -elimination. It is a bimolecular reaction because of two species involved in this elementary step: the base and the substrate. This specific β -elimination is called **elimination bimolecular (E2)**, where E stands for elimination and 2 for bimolecular.

$\text{S}_{\text{N}}2$ vs. E2

$\text{S}_{\text{N}}2$ and E2 reactions compete because the same reagent is nucleophile in $\text{S}_{\text{N}}2$ and base in the E2 reaction. However, the effect of the structure of the substrate is opposite in $\text{S}_{\text{N}}2$ and E2. The structure of substrate affects $\text{S}_{\text{N}}2$ in this order:
 $\text{methyl} > \text{primary} > \text{secondary} > \text{tertiary}$. Tertiary substrates do not react by $\text{S}_{\text{N}}2$ mechanics because the nucleophile is

prevented from approaching αC by steric hindrance. However, βH is still exposed and easily approachable by the base, as shown below.



Further, E2 is facilitated by lowering steric crowding around the αC . Therefore, tertiary substrates yield E2 product under the $\text{S}_{\text{N}}2$ conditions. The steric crowding around αC of secondary substrates is less than tertiary. So, E2 and $\text{S}_{\text{N}}2$ compete, and elimination and substitution products are formed from the secondary substrates. There is no steric crowding in the case of primary or methyl substrates. So, $\text{S}_{\text{N}}2$ dominated over E2, and substitution product is formed almost exclusively in the case of primary and methyl substrates. The effect of the structure of the substrate on E2 reaction is in this order:

methyl < primary < secondary < tertiary, which is the opposite of $\text{S}_{\text{N}}2$. E2 reactions synthesize alkenes from alkyl halides, as in the above example, and form similar functional groups that will be described later.

Substitution nucleophilic unimolecular $\text{S}_{\text{N}}1$

Ionic compounds like Na^+Cl^- dissociate into ions in polar protic solvents like H_2O better than in polar aprotic solvents like. This is because unlike polar aprotic solvents solvating only cations, polar protic solvents solvent both cations and anions. Polar covalent bonded compounds like $\text{H}-\text{Br}$ also dissociate in polar protic solvent by the same mechanism. Polar organic compounds like $\text{H}_3\text{C}-\text{Br}$ have less tendency to dissociate. However, if the substrate is tertiary like $(\text{H}_3\text{C})_3\text{C}-\text{Br}$, the effect of steric crowding on αC and the dissociation ability of the polar protic solvent together are strong enough to dissociate polar organic compounds, as in 1st step of the mechanism shown in Figure 4.4.2.

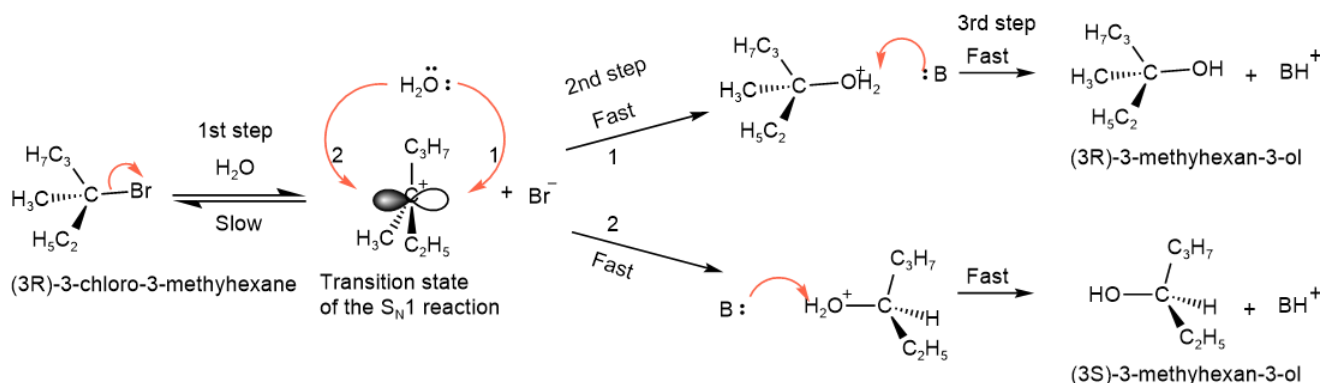


Figure 4.4.2: $\text{S}_{\text{N}}1$ reaction mechanism illustrated. (Copyright; Public domain)

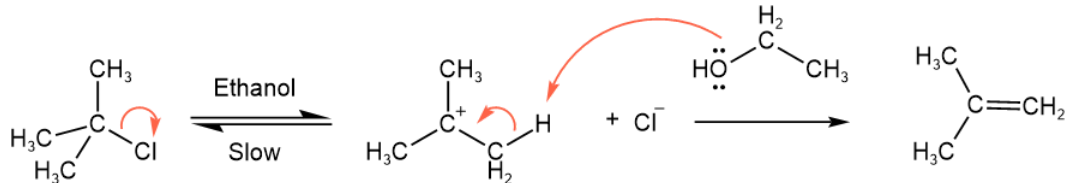
The carbonation produced in the 1st step, e.g., $(\text{H}_3\text{C})_3\text{C}^+$ in this case, is a strong electrophile and reacts with any nucleophile around, including solvent molecules, e.g., (H_2O) in this case. Since the 2nd step is non-selective, the solvent wins over any other nucleophile in the system because of its higher concentration. The neutral nucleophile becomes a cationic group in the product of the 2nd step, e.g., $\text{R}-\text{OH}_2^+$ in this case. The strong acid like $\text{R}-\text{OH}_2^+$ donates its proton to any base (:B) in the medium, including the solvent, e.g., H_2O in this case.

The overall reaction is substitution nucleophilic. The overall reaction is unimolecular proportional to the substrate concentration in the 1st step. So, this mechanism is called substitution nucleophilic unimolecular $\text{S}_{\text{N}}1$, where S stands for substitution, N or nucleophilic, and 1 for unimolecular.

- $\text{S}_{\text{N}}1$ is unimolecular.
- The carbonation intermediate is trigonal planar and the nucleophile can attack it from either side to make a new covalent bond. Therefore, if $\text{S}_{\text{N}}1$ reaction happens on a chiral carbon, around 50% of the product retains the configuration of the reactant, and the other 50% has the inverted configuration, i.e., **the product is a racemic mixture**.
- $\text{S}_{\text{N}}1$ Reaction takes place on tertiary alkyl halides. Secondary and primary alkyl halides do not undergo $\text{S}_{\text{N}}1$ reactions.

Elimination unimolecular (E1)

The carbocation formed in S_N1 reaction has acidic protons on βC because the electrons left on the conjugate base are stabilized as a π -bond, as in the mechanism shown below.



This mechanism eliminates a leaving group and a proton β to the leaving group. The 1st step, which is the rate-determining step, is unimolecular. This mechanism is called elimination unimolecular (E1), where E stands for elimination and 1 for unimolecular. E1 always competes with S_N1 because they have a common first step.

What decides the reaction will happen by S_N2 , E2, S_N1 , or E1 mechanism?

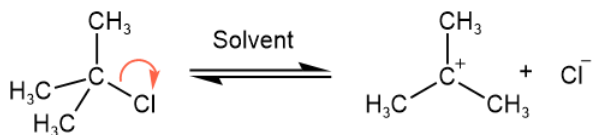
All of the S_N2 , E2, S_N1 , or E1 involve two nucleophiles, an incoming nucleophile and a leaving group which is a nucleophile attached to the substrate. Incoming nucleophile substitutes the leaving group in substitution reactions and eliminates βH and the leaving group in elimination reactions. The question is what decides which mechanism the reaction will follow? This section addresses the answers to this question. The solvent and the nucleophile determine S_N2 or S_N1 condition.

Strong nucleophiles, usually anionic like HO^- , RO^- , and polar aprotic solvent like acetone ($(CH_3)_2C=O$) or dimethylsulfoxide ($(CH_3)_2S=O$) define **S_N2 and E2 condition**.

The substrate dictates S_N2 , E2, or both will happen: S_N2 happens on the primary or methyl substrate, E2 happens on the tertiary substrate, and both happen simultaneously on a secondary substrate. S_N2 and E2 reactions do not take place in polar protic solvents.

Polar protic solvents like water (H_2O) or methanol (CH_3-OH) and neutral nucleophiles like H_2O , ROH define **S_N1 and E1 condition**.

Anionic nucleophiles can not exist in protic solvents due to acid-base neutralization reactions between them. Neutral species are poor nucleophiles but are OK in S_N1 or E1 reactions because they are not involved in the rate-determining step. The following data on the dissociation rate of tertiary butyl chloride shows the effect of polar protic solvent on the rate-determining step of S_N1 and E1 reactions.



Solvent	Polarity (Dielectric constant)	Protic or aprotic	Relative rate
Water (H_2O)	78	Polar protic	40
Ethanol (CH_3CH_2-OH)	24	Polar protic	1
Acetone ($(CH_3)_2C=O$)	21	Polar aprotic	0.005

S_N1 and E1 usually compete as both have the same rate-determining step. Tertiary substrate easily react by S_N1 and E1 mechanism. Secondary substrate may or may not take place by S_N1 and E1. For example, secondary alkyl halides do not react, but secondary alcohols and ethers react by S_N1 and E1. Primary substrates usually do not react by S_N1 and E1 mechanisms.

Effect of leaving group on S_N2 , E2, S_N1 , and E1 mechanisms

Recall that the stronger the acid, the weaker the conjugate base, and vice versa. For example, HI is a strong acid and I^- is a weak base, while HF is a weak acid and F^- is a strong base. In other words, strong bases do not tend to protons, and weak bases easily leave protons in acid-base reactions. The same applies to leaving groups in S_N2 , E2, S_N1 , and E1 reactions.

Strong bases are poor leaving groups, and weak bases are good leaving groups in S_N2 , E2, S_N1 , and E1 reactions.

The basicity and hence the leaving propensity of leaving groups follows these trends:

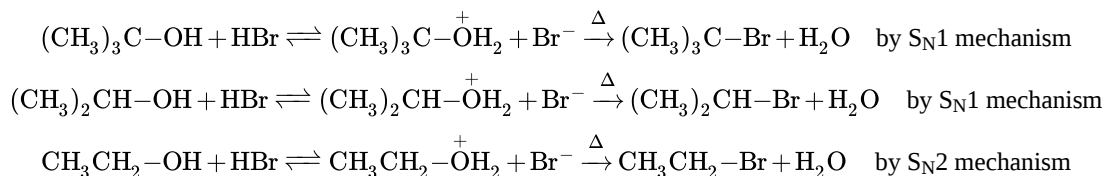
- Basicity decreases from top to bottom in a group of periodic table. For example, the base strength of halides follows this order:
 $F^- > Cl^- > Br^- > I^-$. Leaving propensity follows the opposite trend i.e., $-I$ is the best-leaving group, while $-F$ is the worst leaving group. $-F$ does not act as a leaving group.
- Basicity decreases from right to left in a row of the periodic table. For example, the base strength of 2nd row elements follows this order: $F^- < OH^- < NH_2^- < CH_3^-$. Leaving propensity follows the opposite trend, i.e., $-OH$, $-NH_2$, $-CH_3$ are the worst leaving group than $-F$ and do not act as leaving group.
- Basicity decreases upon protonation in the cases of amphoteric species. For example, H_2O is a weaker base than OH^- . That is why, $-OH$ is not a leaving group, but $-OH_2^+$ is a good leaving group that leaves as a weak base H_2O .

Nucleophilic substitution and elimination reactions of alcohols, ethers, amines, and sulfur compounds

Reactions of alcohols

Substitution reactions

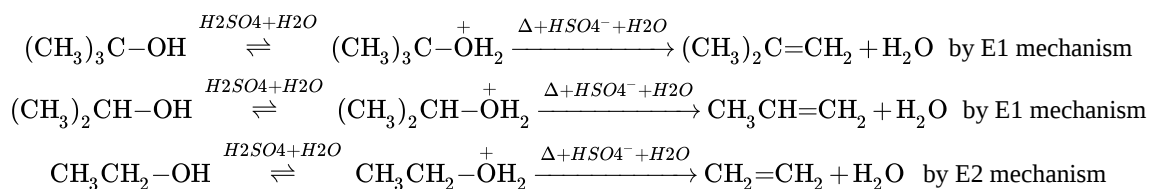
The O of an alcohol ($R-OH$) is protonated by a strong acid to convert it from not leaving group ($-OH$) to a good leaving group ($-OH_2^+$). The protonated alcohols undergo S_N1 or E1 reactions, except when the substrate is primary, that undergoes S_N2 or E2 reactions. For example, primary, secondary, and tertiary alcohols undergo nucleophilic substitution with HCl, HBr, or HI as shown below.



Elimination reactions may compete with substitution reactions described above, but the alkene products of elimination add HCl, HBr, or HI and end in the same final product as the substitution products. These reactions of alkenes will be described in a later section.

Elimination reactions

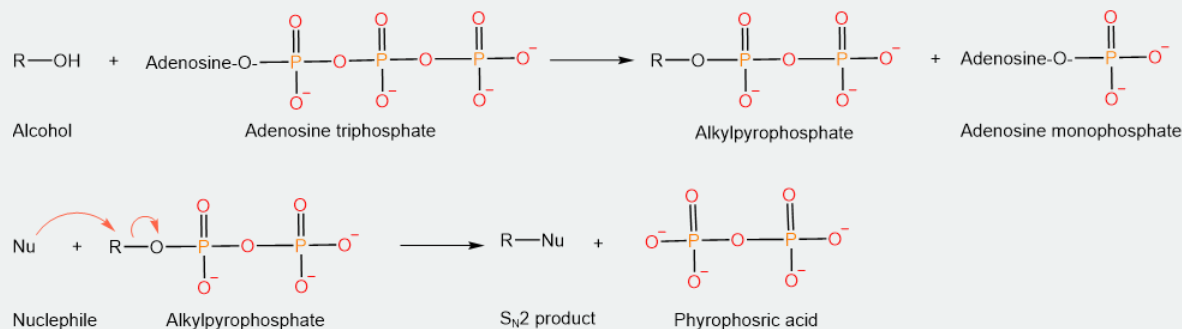
In order to perform elimination reactions, the O of alcohols ($R-OH$) is protonated by sulfuric acid (H_2SO_4) in water solution. The conjugate base of sulfuric, i.e., $[HSO_4]^-$ is a weak nucleophile and does not cause the substitution reaction. Water is a nucleophile, but its creation does not change the intermediate. However, water acts as a base picking up βH , causing elimination reactions, as shown below.



These reactions are called dehydration of alcohols that convert alcohols to alkenes.

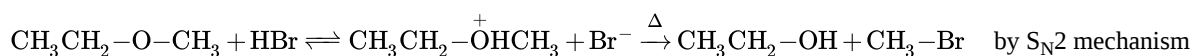
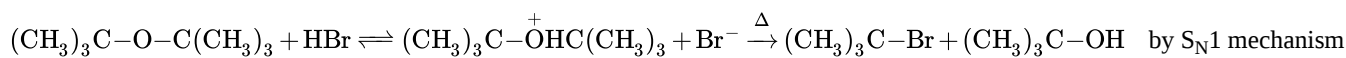
Activating alcohols in biochemical systems

Alcohols are common intermediates in biochemical reactions. However, unlike in a chemical laboratory, strong acids like HBr or H_2SO_4 needed to activate alcohol groups do not survive under physiological conditions. Phosphoric acid and its anhydrides, i.e., pyrophosphoric acid and triphosphoric acids are weak acids and their conjugate bases, i.e., phosphate, pyrophosphate, and triphosphate, are weak bases and good leaving groups. Therefore, biological systems convert alcohols into phosphate or pyrophosphate esters by reacting with adenosine triphosphate (ATP). It allows them to participate in the nucleophilic substitution reactions under physiological conditions as shown below.



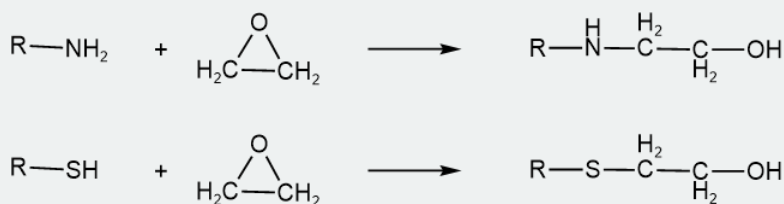
Reactions of ethers

Like alcohols, the O of ethers (R-O-R') is protonated by a strong acid to convert it from not leaving group ($(-\text{OR}')$) to a good leaving group ($(-\text{OHR}')$). For example, ethers undergo nucleophilic substitution reactions with HCl , HBr , or HI producing alcohols and an alkyl halides, as shown below.



Ethylene oxide: A sterilant based on $\text{S}_{\text{N}}2$ reactions

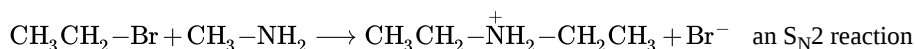
Ethylene oxide is a three-membered cyclic ether. It is a colorless gas with a boiling point of 11°C . The C-O bonds in ethylene oxide are unstable due to angle strain. Therefore, the ether groups in ethylene oxide act as excellent leaving groups because angle strain is released. Ethylene oxide reacts fast by $\text{S}_{\text{N}}2$ mechanism with amino ($-\text{NH}_2$) and sulfhydryl ($-\text{SH}$) groups that are commonly present in biochemicals, as shown below.



These reactions modify the biochemicals, leading to the death of microorganisms. Ethylene oxide is used as a fumigant in foods and textiles and to sterilize surgical instruments in hospitals.

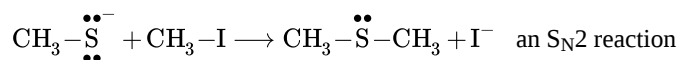
Reactions of amines

Amine $-\text{NH}_2$ and also its protonated form $-\text{NH}_3^+$ are poor as leaving groups and do not act as leaving groups. However, amines are good nucleophiles and act as incoming nucleophiles in various reactions, e.g., in $\text{S}_{\text{N}}2$ reactions with alkyl halides, as shown below.



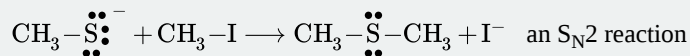
Reactions of thiols

Thiolate ion is a good nucleophile for S_N2 reactions as shown in the following example.

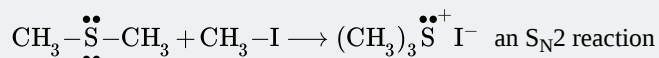


✚ Methylating agent in lab and in biochemical systems

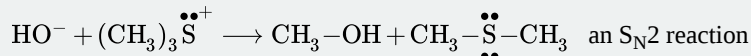
Methyl (CH_3-X) is the best electrophilic site, and iodide ($-\text{I}$) is the best-leaving group that makes methyl iodide (CH_3-I) the best substrate for methylating any nucleophile in an S_N2 reactions, as shown in the following examples.



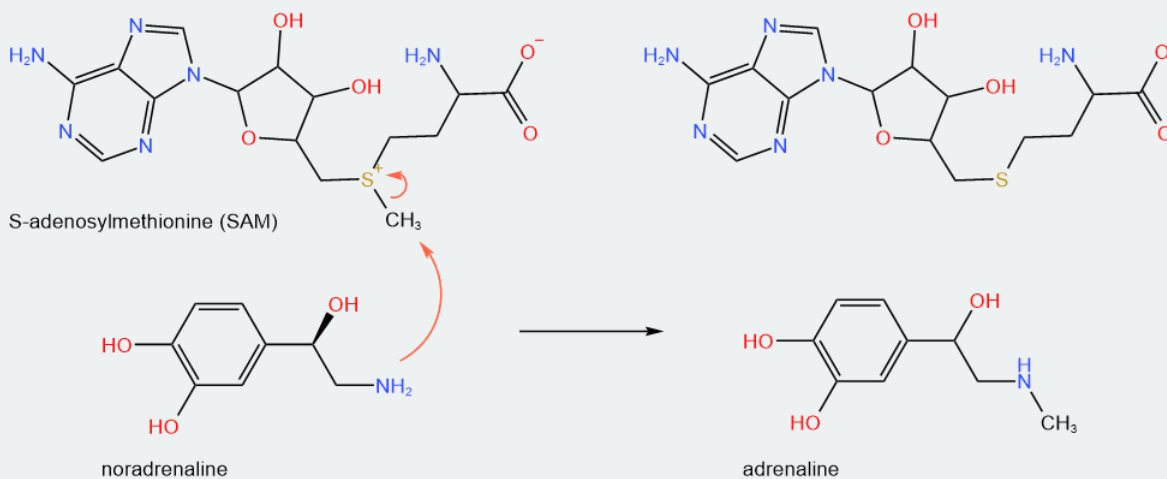
Sulfur is a larger atom that can accommodate three alkyl groups. Therefore, dimethyl sulfide produced in the above reaction can be methylated one more time, producing a sulfonium salt, as shown below.



Dimethyl sulfide ($(\text{CH}_3)_2\ddot{\text{S}}^-$) is an excellent leaving group that makes trimethylsulfonium ion ($(\text{CH}_3)_3\ddot{\text{S}}^+$) an excellent methylating agent, as shown in an example below.



methyl iodide ($\text{H}_3\text{C}-\text{I}$) is a common methylating agent in laboratory, - but it is not available in biological systems. S-adenosylmethionine (SAM), which is similar to trimethylsulfonium ion ($(\text{CH}_3)_3\ddot{\text{S}}^+$) is a common methylating agent in biological systems, as shown in an example below.



In the above example of a biochemical reaction, noradrenaline hormone is converted into a more potent adrenaline hormone by methylation reaction using SAM as a methylating agent.