

# Organic Chemistry Vol 1

Layne Morsch et al.

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## CHAPTER OVERVIEW

### 1: STRUCTURE AND BONDING

#### CHAPTER OBJECTIVES

This chapter provides a review of material covered in a standard freshman general-chemistry course through a discussion of the following topics:

- the differences between organic and inorganic chemistry.
- the shapes and significance of atomic orbitals.
- electron configurations.
- ionic and covalent bonding.
- molecular orbital theory.
- hybridization.
- the structure and geometry of the compounds methane, ethane, ethylene and acetylene.

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## 1.0: INTRODUCTION TO ORGANIC CHEMISTRY

### OBJECTIVES

After completing this section, you should be able to

1. Define organic chemistry as the study of carbon-containing compounds.
2. Explain why the results of the experiments carried out by Chevreul and Wöhler contributed to the demise of the “vital force” theory.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- organic chemistry

All living things on earth are formed mostly of carbon compounds. The prevalence of carbon compounds in living things has led to the epithet “carbon-based” life. The truth is we know of no other kind of life. Early chemists regarded substances isolated from *organisms* (plants and animals) as a different type of matter that could not be synthesized artificially, and these substances were thus known as *organic compounds*.

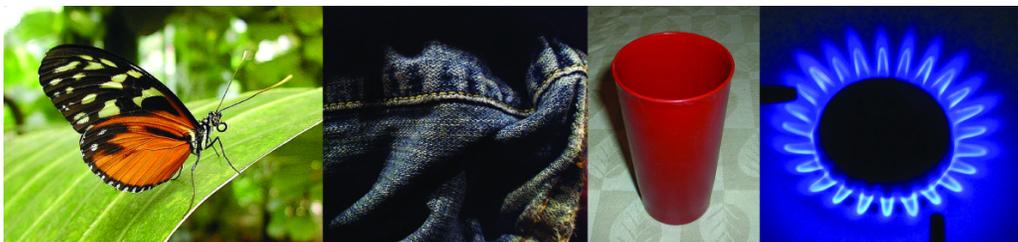


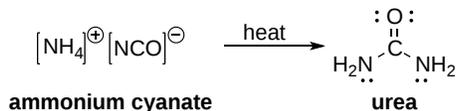
Figure 1.0.1: All organic compounds contain carbon and most are formed by living things, although they are also formed by geological and artificial processes. (credit left: modification of work by Jon Sullivan; credit left middle: modification of work by Deb Tremper; credit right middle: modification of work by “annsryp”/Wikimedia Commons; credit right: modification of work by George Shuklin)

Jöns Jacob Berzelius, a physician by trade, first coined the term “organic chemistry” in 1806 for the study of compounds derived from biological sources. Up through the early 19th century, naturalists and scientists observed critical differences between compounds that were derived from living things and those that were not.



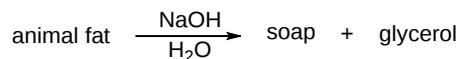
**Berzelius**

In 1828, Friedrich Wöhler (widely regarded as a pioneer in organic chemistry) successfully completed an organic synthesis by heating ammonium cyanate and synthesizing of the biological compound urea (a component of urine in many animals) in what is now called “the Wöhler synthesis.” Until this discovery it was widely believed by chemists that organic substances could only be formed under the influence of the “vital force” in the bodies of animals and plants. Wöhler’s synthesis dramatically proved that view to be false.



Urea synthesis was a critical discovery for biochemists because it showed that a compound known to be produced in nature only by biological organisms could be produced in a laboratory under controlled conditions from inanimate matter. This “in vitro” synthesis of organic matter disproved the common theory (vitalism) about the *vis vitalis*, a transcendent “life force” needed for producing organic compounds.

The ability to manipulate organic compounds includes fermentation to create wine and the making of soap, both of which have been a part of society so long their discovery has been lost in antiquity. Evidence has shown the Babylonians, as early as 2800 BC, were creating soap by mixing animal fat with wood ashes. It wasn't until the 19th century that the chemical nature of the creation of soap was discovered by Eugène Chevreul. In a reaction now called saponification, fats are heated in the presence of a strong base (KOH or NaOH) to produce fatty acid salts and glycerol. The fatty acid salts are the soap which improve water's ability to dissolve grease.



Although originally defined as the chemistry of biological molecules, **organic chemistry** has since been redefined to refer specifically to carbon compounds — even those with non-biological origin. Some carbon molecules are not considered organic, with carbon dioxide being the most well known and most common inorganic carbon compound, but such molecules are the exception and not the rule. Organic chemistry focuses on carbon compounds and following movement of the electrons in carbon chains and rings, and also how electrons are shared with other carbon atoms and heteroatoms. Organic chemistry is primarily concerned with the properties of covalent bonds and non-metallic elements, though ions and metals do play critical roles in some reactions.

Why is carbon so special? The answer to this question involves carbon's special ability to bond with itself, which will be discussed in this chapter. Carbon is unique in its ability to form a wide variety of compounds from simple to complex. There are literally millions of organic compounds known to science from methane, which contains one carbon atom, to DNA which contains millions of carbons. More importantly, organic chemistry gives us the ability to make and alter the structure of organic compounds, which is the main topic in this book. The applications of organic chemistry are myriad, and include all sorts of plastics, dyes, flavorings, scents, detergents, explosives, fuels and many, many other products. Read the ingredient list for almost any kind of food that you eat — or even your shampoo bottle — and you will see the handiwork of organic chemists listed there.



The value to us of organic compounds ensures that organic chemistry is an important discipline within the general field of chemistry. In this chapter, we discuss why the element carbon gives rise to a vast number and variety of compounds, how those compounds are classified, and the role of organic compounds in representative biological and industrial settings. The field of organic chemistry is probably the most active and important field of chemistry at the moment, due to its extreme applicability to both biochemistry (especially in the pharmaceutical industry) and petrochemistry (especially in the energy industry). Organic chemistry has a relatively recent history, but it will have an enormously important future, affecting the lives of everyone around the world for many, many years to come

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## 1.1: ATOMIC STRUCTURE - THE NUCLEUS

### OBJECTIVE

After completing this section, you should be able to describe the basic structure of the atom.

### KEY TERMS

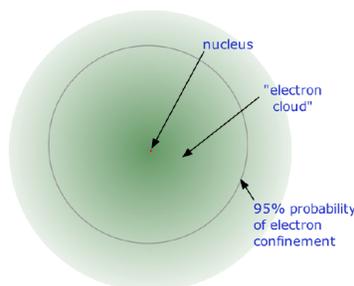
Make certain that you can define, and use in context, the key terms below.

- atomic number
- atomic weight
- electron
- mass number
- neutron
- proton

### THE NUCLEAR ATOM

The precise physical nature of atoms finally emerged from a series of elegant experiments carried out between 1895 and 1915. The most notable of these achievements was Ernest Rutherford's famous 1911 alpha-ray scattering experiment, which established that:

- Almost all of the *mass* of an atom is contained within a tiny (and therefore extremely dense) *nucleus* which carries a positive electric charge whose value identifies each element and is known as the *atomic number* of the element.
- Almost all of the *volume* of an atom consists of empty space in which *electrons*, the fundamental carriers of negative electric charge, reside. The extremely small mass of the electron ( $1/1840^{\text{th}}$  the mass of the hydrogen nucleus) causes it to behave as a quantum particle, which means that its location at any moment cannot be specified; the best we can do is describe its behavior in terms of the probability of its manifesting itself at any point in space. It is common (but somewhat misleading) to describe the volume of space in which the electrons of an atom have a significant probability of being found as the *electron cloud*. The latter has no definite outer boundary, so neither does the atom. The radius of an atom must be defined arbitrarily, such as the boundary in which the electron can be found with 95% probability. Atomic radii are typically 30-300 pm.



The nucleus is itself composed of two kinds of particles. *Protons* are the carriers of positive electric charge in the nucleus; the proton charge is exactly the same as the electron charge, but of opposite sign. This means that in any [electrically neutral] atom, the number of protons in the nucleus (often referred to as the *nuclear charge*) is balanced by the same number of electrons outside the nucleus. The other nuclear particle is the *neutron*. As its name implies, this particle carries no electrical charge. Its mass is almost the same as that of the proton. Most nuclei contain roughly equal numbers of neutrons and protons, so we can say that these two particles together account for almost all the mass of the atom.

*Because the electrons of an atom are in contact with the outside world, it is possible for one or more electrons to be lost, or some new ones to be added. The resulting electrically-charged atom is called an ion.*

### ATOMIC NUMBER (Z)

What single parameter uniquely characterizes the atom of a given element? It is not the atom's relative mass, as we will see in the section on isotopes below. It is, rather, the number of protons in the nucleus, which we call the *atomic number* and denote by the symbol  $Z$ . Each proton carries an electric charge of  $+1$ , so the atomic number also specifies the electric charge of the nucleus. In the neutral atom, the  $Z$  protons within the nucleus are balanced by  $Z$  electrons outside it.



Atomic numbers were first worked out in 1913 by Henry Moseley, a young member of Rutherford's research group in Manchester.

Moseley searched for a measurable property of each element that increases linearly with atomic number. He found this in a class of X-rays emitted by an element when it is bombarded with electrons. The frequencies of these X-rays are unique to each element, and they increase uniformly in successive elements. Moseley found that the square roots of these frequencies give a straight line when plotted against  $Z$ ; this enabled him to sort the elements in order of increasing atomic number.

You can think of the atomic number as a kind of serial number of an element, commencing at 1 for hydrogen and increasing by one for each successive element. The chemical name of the element and its symbol are uniquely tied to the atomic number; thus the symbol "Sr" stands for strontium, whose atoms all have  $Z = 38$ .

### MASS NUMBER ( $A$ )

The *mass number* equals the sum of the numbers of protons and the number of neutrons in the nucleus. It is sometimes represented by the symbol  $A$ , so

$$A = Z + N$$

in which  $Z$  is the atomic number and  $N$  is the *neutron number*.

### ELEMENTS

To date, about 115 different elements have been discovered; by definition, each is chemically unique. To understand why they are unique, you need to understand the structure of the atom (the fundamental, individual particle of an element) and the characteristics of its components. Atoms consist of electrons, protons, and neutrons. Although this is an oversimplification that ignores the other subatomic particles that have been discovered, it is sufficient for discussion of chemical principles. Some properties of these subatomic particles are summarized in Table 1.1.1, which illustrates three important points:

1. Electrons and protons have electrical charges that are identical in magnitude but opposite in sign. Relative charges of  $-1$  and  $+1$  are assigned to the electron and proton, respectively.
2. Neutrons have approximately the same mass as protons but no charge. They are electrically neutral.
3. The mass of a proton or a neutron is about 1836 times greater than the mass of an electron. Protons and neutrons constitute the bulk of the mass of atoms.

The discovery of the electron and the proton was crucial to the development of the modern model of the atom and provides an excellent case study in the application of the scientific method. In fact, the elucidation of the atom's structure is one of the greatest detective stories in the history of science.

Table 1.1.1: Properties of Subatomic Particles\*

Particle	Mass (g)	Atomic Mass (amu)	Electrical Charge (coulombs)	Relative Charge
electron	$9.109 \times 10^{-28}$	0.0005486	$-1.602 \times 10^{-19}$	-1
proton	$1.673 \times 10^{-24}$	1.007276	$+1.602 \times 10^{-19}$	+1
neutron	$1.675 \times 10^{-24}$	1.008665	0	0

In most cases, the symbols for the elements are derived directly from each element's name, such as C for carbon, U for uranium, Ca for calcium, and Po for polonium. Elements have also been named for their properties [such as radium (Ra) for its radioactivity], for the native country of the scientist(s) who discovered them [polonium (Po) for Poland], for eminent scientists [curium (Cm) for the Curies], for gods and goddesses [selenium (Se) for the Greek goddess of the moon, Selene], and for other poetic or historical reasons. Some of the symbols used for elements that have been known since antiquity are derived from historical names that are no longer in use; only the symbols remain to indicate their origin. Examples are Fe for iron, from the Latin *ferrum*; Na for sodium, from the Latin *natrium*; and W for tungsten, from the German *wolfram*. Examples are in Table 1.1.2.

Table 1.1.2: Element Symbols Based on Names No Longer in Use

Element	Symbol	Derivation	Meaning
antimony	Sb	stibium	Latin for "mark"
copper	Cu	cuprum	from Cyprium, Latin name for the island of Cyprus, the major source of copper ore in the Roman Empire
gold	Au	aurum	Latin for "gold"
iron	Fe	ferrum	Latin for "iron"
lead	Pb	plumbum	Latin for "heavy"
mercury	Hg	hydrargyrum	Latin for "liquid silver"
potassium	K	kalium	from the Arabic al-qili, "alkali"
silver	Ag	argentum	Latin for "silver"
sodium	Na	natrium	Latin for "sodium"
tin	Sn	stannum	Latin for "tin"
tungsten	W	wolfram	German for "wolf stone" because it interfered with the smelting of tin and was thought to devour the tin

Recall that the nuclei of most atoms contain neutrons as well as protons. Unlike protons, the number of neutrons is not absolutely fixed for most elements. Atoms that have the same number of protons, and hence the same atomic number, but different numbers of neutrons are called isotopes. All isotopes of an element have the same number of protons and electrons, which means they exhibit the same chemistry. The isotopes of an element differ only in their atomic mass, which is given by the mass number ( $A$ ), the sum of the numbers of protons and neutrons.

### CARBON ISOTOPES

The element carbon ( $C$ ) has an atomic number of 6, which means that all neutral carbon atoms contain 6 protons and 6 electrons. In a typical sample of carbon-containing material, 98.89% of the carbon atoms also contain 6 neutrons, so each has a mass number of 12. An isotope of any element can be uniquely represented as  ${}^A_ZX$ , where  $X$  is the atomic symbol of the element. The isotope of carbon that has 6 neutrons is therefore  ${}^{12}_6C$ . The subscript indicating the atomic number is actually redundant because the atomic symbol already uniquely specifies  $Z$ . Consequently,  ${}^{12}_6C$  is more often written as  ${}^{12}C$ , which is read as "carbon-12." Nevertheless, the value of  $Z$  is commonly included in the notation for nuclear reactions because these reactions involve changes in  $Z$ .

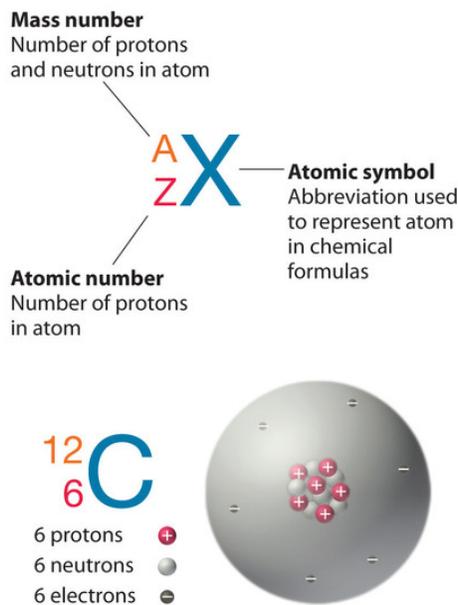


Figure 1.1.2: Formalism used for identifying specific nuclide (any particular kind of nucleus)

In addition to  ${}^{12}C$ , a typical sample of carbon contains 1.11%  ${}^{13}_6C$  ( ${}^{13}C$ ), with 7 neutrons and 6 protons, and a trace of  ${}^{14}_6C$  ( ${}^{14}C$ ), with 8 neutrons and 6 protons. The nucleus of  ${}^{14}C$  is not stable, however, but undergoes a slow radioactive decay that is the basis of the carbon-14 dating technique used in archaeology. Many elements other than carbon have more than one stable isotope; tin, for example, has 10 isotopes. The properties of some common isotopes are in Table 1.1.3.

Table 1.1.3: Properties of Selected Isotopes

Element	Symbol	Atomic Mass (amu)	Isotope Mass Number	Isotope Masses (amu)	Percent Abundances (%)
hydrogen	H	1.0079	1	1.007825	99.9855
			2	2.014102	0.0115
boron	B	10.81	10	10.012937	19.91
			11	11.009305	80.09
carbon	C	12.011	12	12 (defined)	99.89
			13	13.003355	1.11
			16	15.994915	99.757
oxygen	O	15.9994	17	16.999132	0.0378
			18	17.999161	0.205
			54	53.939611	5.82
			56	55.934938	91.66
iron	Fe	55.845	57	56.935394	2.19
			58	57.933276	0.33
			234	234.040952	0.0054
uranium	U	238.03	235	235.043930	0.7204
			238	238.050788	99.274

Sources of isotope data: G. Audi et al., Nuclear Physics A 729 (2003): 337–676; J. C. Kotz and K. F. Purcell, Chemistry and Chemical Reactivity, 2nd ed., 1991.

### ✓ EXAMPLE 1.1.1

An element with three stable isotopes has 82 protons. The separate isotopes contain 124, 125, and 126 neutrons. Identify the element and write symbols for the isotopes.

**Given:** number of protons and neutrons

**Asked for:** element and atomic symbol

**Strategy:**

- Refer to the periodic table and use the number of protons to identify the element.
- Calculate the mass number of each isotope by adding together the numbers of protons and neutrons.
- Give the symbol of each isotope with the mass number as the superscript and the number of protons as the subscript, both written to the left of the symbol of the element.

**Solution:**

**A** The element with 82 protons (atomic number of 82) is lead: Pb.

**B** For the first isotope,  $A = 82 \text{ protons} + 124 \text{ neutrons} = 206$ . Similarly,  $A = 82 + 125 = 207$  and  $A = 82 + 126 = 208$  for the second and third isotopes, respectively. The symbols for these isotopes are  ${}^{206}_{82}\text{Pb}$ ,  ${}^{207}_{82}\text{Pb}$ , and  ${}^{208}_{82}\text{Pb}$ , which are usually abbreviated as  ${}^{206}\text{Pb}$ ,  ${}^{207}\text{Pb}$ , and  ${}^{208}\text{Pb}$ .

### ? EXERCISE 1.1.1

Identify the element with 35 protons and write the symbols for its isotopes with 44 and 46 neutrons.

**Answer**

${}^{79}_{35}\text{Br}$  and  ${}^{81}_{35}\text{Br}$  or, more commonly,  ${}^{79}\text{Br}$  and  ${}^{81}\text{Br}$ .

## SUMMARY

The atom consists of discrete particles that govern its chemical and physical behavior. Each atom of an element contains the same number of protons, which is the **atomic number** ( $Z$ ). Neutral atoms have the same number of electrons and protons. Atoms of an element that contain different numbers of neutrons are called **isotopes**. Each isotope of a given element has the same atomic number but a different **mass number** ( $A$ ), which is the sum of the numbers of protons and neutrons. The relative masses of atoms are reported using the **atomic mass unit** (**amu**), which is defined as one-twelfth of the mass of one atom of carbon-12, with 6 protons, 6 neutrons, and 6 electrons.

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## 1.2: ATOMIC STRUCTURE - ORBITALS

### OBJECTIVES

After completing this section, you should be able to

1. describe the physical significance of an orbital.
2. list the atomic orbitals from 1s to 3d in order of increasing energy.
3. sketch the shapes of s and p orbitals.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- nodal plane
- node
- orbital
- quantum mechanics
- wave function

### ATOMIC ORBITALS

An orbital is the quantum mechanical refinement of Bohr's orbit. In contrast to his concept of a simple circular orbit with a fixed radius, orbitals are mathematically derived regions of space with different *probabilities* of having an electron. One way of representing electron probability distributions is  $\Psi^2$ . Because  $\Psi^2$  gives the probability of finding an electron in a given volume of space (such as a cubic picometer), a plot of  $\Psi^2$  versus distance from the nucleus ( $r$ ) is a plot of the **probability density**. For example, the 1s orbital is spherically symmetrical, so the probability of finding a 1s electron at any given point depends *only* on its distance from the nucleus. The probability density is greatest at  $r = 0$  (at the nucleus) and decreases steadily with increasing distance. At very large values of  $r$ , the electron probability density is very small but *not* zero.

We can calculate the **radial probability** (the probability of finding a 1s electron at a distance  $r$  from the nucleus) by adding together the probabilities of an electron being at all points on a series of  $x$  spherical shells of radius  $r_1, r_2, r_3, \dots, r_{x-1}, r_x$ . In effect, we are dividing the atom into very thin concentric shells, much like the layers of an onion (part (a) in Figure 1.2.1), and calculating the probability of finding an electron on each spherical shell. Recall that the electron probability density is greatest at  $r = 0$  (part (b) in Figure 1.2.1), so the density of dots is greatest for the smallest spherical shells in part (a) in Figure 1.2.1. In contrast, the surface area of each spherical shell is equal to  $4\pi r^2$ , which increases very rapidly with increasing  $r$  (part (c) in Figure 1.2.1). Because the surface area of the spherical shells increases more rapidly with increasing  $r$  than the electron probability density decreases, the plot of radial probability has a maximum at a particular distance (part (d) in Figure 1.2.1). Most important, when  $r$  is very small, the surface area of a spherical shell is so small that the *total* probability of finding an electron close to the nucleus is very low; at the nucleus, the electron probability vanishes (part (d) in Figure 1.2.1).

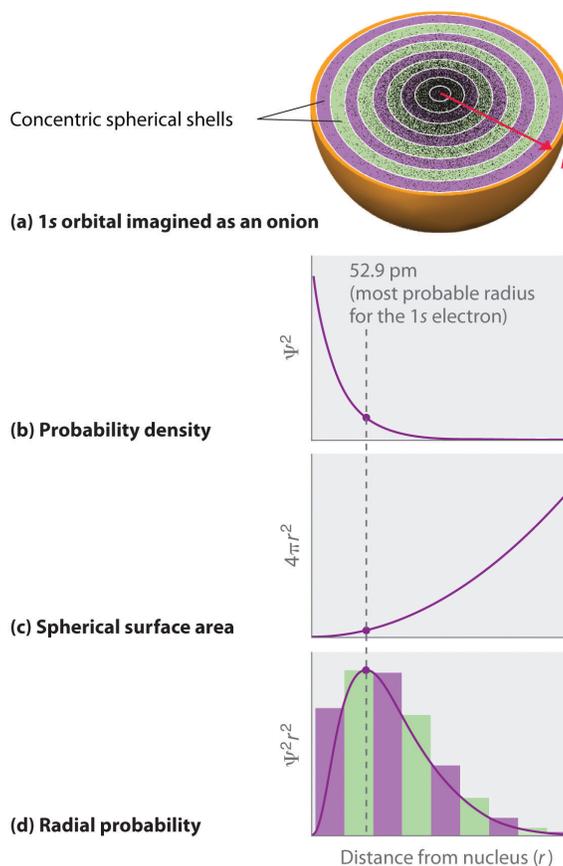


Figure 1.2.1 Most Probable Radius for the Electron in the Ground State of the Hydrogen Atom. (a) Imagine dividing the atom's total volume into very thin concentric shells as shown in the onion drawing. (b) A plot of electron probability density  $\Psi^2$  versus  $r$  shows that the electron probability density is greatest at  $r = 0$  and falls off smoothly with increasing  $r$ . The density of the dots is therefore greatest in the innermost shells of the onion. (c) The surface area of each shell, given by  $4\pi r^2$ , increases rapidly with increasing  $r$ . (d) If we count the number of dots in each spherical shell, we obtain the total probability of finding the electron at a given value of  $r$ . Because the surface area of each shell increases more rapidly with increasing  $r$  than the electron probability density decreases, a plot of electron probability versus  $r$  (the *radial probability*) shows a peak. This peak corresponds to the most probable radius for the electron, 52.9 pm, which is exactly the radius predicted by Bohr's model of the hydrogen atom.

For the hydrogen atom, the peak in the radial probability plot occurs at  $r = 0.529 \text{ \AA}$  (52.9 pm), which is exactly the radius calculated by Bohr for the  $n = 1$  orbit. Thus the *most probable radius* obtained from quantum mechanics is identical to the radius calculated by classical mechanics. In Bohr's model, however, the electron was assumed to be at this distance 100% of the time, whereas in the quantum mechanical Schrödinger model, it is at this distance only some of the time. The difference between the two models is attributable to the wavelike behavior of the electron and the Heisenberg uncertainty principle.

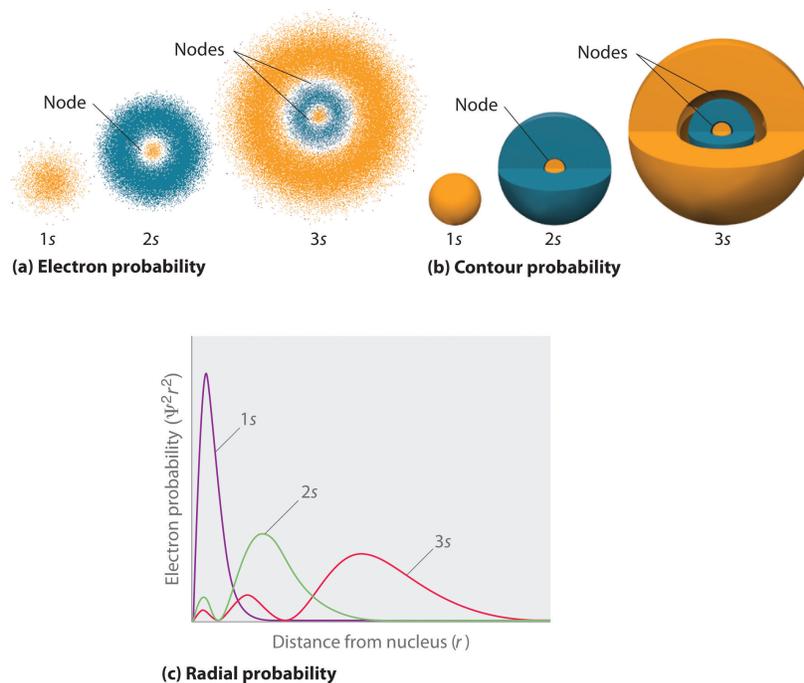


Figure 1.2.2: Probability Densities for the 1s, 2s, and 3s Orbitals of the Hydrogen Atom. (a) The electron probability density in any plane that contains the nucleus is shown. Note the presence of circular regions, or nodes, where the probability density is zero. (b) Contour surfaces enclose 90% of the electron probability, which illustrates the different sizes of the 1s, 2s, and 3s orbitals. The cutaway drawings give partial views of the internal spherical nodes. The orange color corresponds to regions of space where the phase of the wave function is positive, and the blue color corresponds to regions of space where the phase of the wave function is negative. (c) In these plots of electron probability as a function of distance from the nucleus ( $r$ ) in all directions (radial probability), the most probable radius increases as  $n$  increases, but the 2s and 3s orbitals have regions of significant electron probability at small values of  $r$ .

Figure 1.2.2 compares the electron probability densities for the hydrogen 1s, 2s, and 3s orbitals. Note that all three are spherically symmetrical. For the 2s and 3s orbitals, however (and for all other  $s$  orbitals as well), the electron probability density does not fall off smoothly with increasing  $r$ . Instead, a series of minima and maxima are observed in the radial probability plots (part (c) in Figure 1.2.2). The minima correspond to spherical nodes (regions of zero electron probability), which alternate with spherical regions of nonzero electron probability.

## S ORBITALS

Three things happen to  $s$  orbitals as  $n$  increases (Figure 1.2.2):

1. They become larger, extending farther from the nucleus.
2. They contain more nodes. This is similar to a standing wave that has regions of significant amplitude separated by nodes, points with zero amplitude.
3. For a given atom, the  $s$  orbitals also become higher in energy as  $n$  increases because of their increased distance from the nucleus.

Orbitals are generally drawn as three-dimensional surfaces that enclose 90% of the electron density, as was shown for the hydrogen 1s, 2s, and 3s orbitals in part (b) in Figure 1.2.2. Although such drawings show the relative sizes of the orbitals, they do not normally show the spherical nodes in the 2s and 3s orbitals because the spherical nodes lie inside the 90% surface. Fortunately, the positions of the spherical nodes are not important for chemical bonding.

## P ORBITALS

Only  $s$  orbitals are spherically symmetrical. As the value of  $l$  increases, the number of orbitals in a given subshell increases, and the shapes of the orbitals become more complex. Because the  $2p$  subshell has  $l = 1$ , with three values of  $m_l$  ( $-1$ ,  $0$ , and  $+1$ ), there are three  $2p$  orbitals.

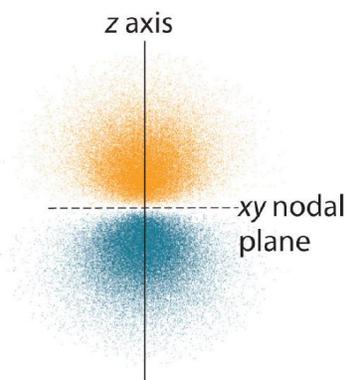


Figure 1.2.2, the colors correspond to regions of space where the phase of the wave function is positive (orange) and negative (blue).

The electron probability distribution for one of the hydrogen  $2p$  orbitals is shown in Figure 1.2.3. Because this orbital has two lobes of electron density arranged along the  $z$  axis, with an electron density of zero in the  $xy$  plane (i.e., the  $xy$  plane is a nodal plane), it is a  $2p_z$  orbital. As shown in Figure 1.2.4, the other two  $2p$  orbitals have identical shapes, but they lie along the  $x$  axis ( $2p_x$ ) and  $y$  axis ( $2p_y$ ), respectively. Note that each  $p$  orbital has just one nodal plane. In each case, the phase of the wave function for each of the  $2p$  orbitals is positive for the lobe that points along the positive axis and negative for the lobe that points along the negative axis. It is important to emphasize that these signs correspond to the *phase* of the wave that describes the electron motion, *not* to positive or negative charges.

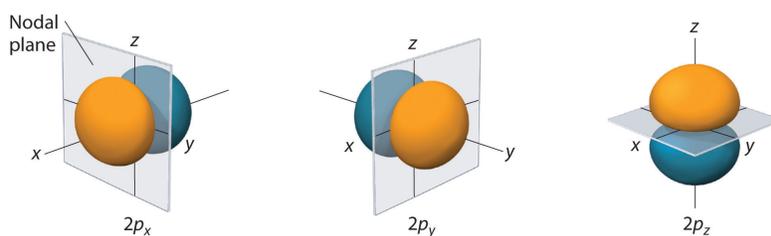


Figure 1.2.4 The Three Equivalent  $2p$  Orbitals of the Hydrogen Atom

The surfaces shown enclose 90% of the total electron probability for the  $2p_x$ ,  $2p_y$ , and  $2p_z$  orbitals. Each orbital is oriented along the axis indicated by the subscript and a nodal plane that is perpendicular to that axis bisects each  $2p$  orbital. The phase of the wave function is positive (orange) in the region of space where  $x$ ,  $y$ , or  $z$  is positive and negative (blue) where  $x$ ,  $y$ , or  $z$  is negative.

Just as with the  $s$  orbitals, the size and complexity of the  $p$  orbitals for any atom increase as the principal quantum number  $n$  increases. The shapes of the 90% probability surfaces of the  $3p$ ,  $4p$ , and higher-energy  $p$  orbitals are, however, essentially the same as those shown in Figure 1.2.4.

The electron configuration of an **atom** is the representation of the arrangement of electrons distributed among the orbital shells and subshells. Commonly, the electron configuration is used to describe the orbitals of an atom in its ground state, but it can also be used to represent an atom that has **ionized** into a cation or anion by compensating with the loss of or gain of electrons in their subsequent orbitals. Many of the physical and chemical properties of **elements** can be correlated to their unique electron configurations. The valence electrons, electrons in the outermost shell, are the determining factor for the unique chemistry of the element.

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## 1.3: ATOMIC STRUCTURE - ELECTRON CONFIGURATIONS

### OBJECTIVE

After completing this section, you should be able to write the ground-state electron configuration for each of the elements up to and including atomic number 36.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- ground-state electronic configuration
- Hund's rule
- Pauli exclusion principle
- aufbau principle

The electron configuration of an atom is the representation of the arrangement of electrons distributed among the orbital shells and subshells. Commonly, the electron configuration is used to describe the orbitals of an atom in its ground state, but it can also be used to represent an atom that has ionized into a cation or anion by compensating with the loss of or gain of electrons in their subsequent orbitals. Many of the physical and chemical properties of elements can be correlated to their unique electron configurations. The valence electrons, electrons in the outermost shell, are the determining factor for the unique chemistry of the element.

**Electron Configurations in the Periodic Table**

1 H 1s																	2 He 1s
3 Li 2s	4 Be											5 B 2p	6 C	7 N	8 O	9 F	10 Ne
11 Na 3s	12 Mg											13 Al 3p	14 Si	15 P	16 S	17 Cl	18 Ar
19 K 4s	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga 4p	32 Ge	33 As	34 Se	35 Br	36 Kr
37 Rb 5s	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In 5p	50 Sn	51 Sb	52 Te	53 I	54 Xe
55 Cs 6s	56 Ba	57 La	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl 6p	82 Pb	83 Bi	84 Po	85 At	86 Rn
87 Fr 7s	88 Ra	89 Ac	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110	111	112	113	114				
		58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu		
		90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No	103 Lr		

Figure 1.3.1: The Periodic Table. (CC BY-SA-NC; Sarah Faizi via LibreTexts)

### ELECTRON CONFIGURATIONS

The electron configuration of an **atom** is the representation of the arrangement of electrons distributed among the orbital shells and subshells. Commonly, the electron configuration is used to describe the orbitals of an atom in its ground state, but it can also be used to represent an atom that has **ionized** into a cation or anion by compensating with the loss of or gain of electrons in their subsequent orbitals. Many of the physical and chemical properties of **elements** can be correlated to their unique electron configurations. The valence electrons, electrons in the outermost shell, are the determining factor for the unique chemistry of the element.

Before assigning the electrons of an atom into orbitals, one must become familiar with the basic concepts of electron configurations. Every element on the periodic table consists of atoms, which are composed of protons, neutrons, and electrons. Electrons exhibit a negative charge and are found around the nucleus of the atom in electron orbitals, defined as the volume of space in which the electron can be found within 95% probability. The four different types of orbitals (s,p,d, and f) have different shapes, and one orbital can hold a maximum of two electrons. The p, d, and f orbitals have different sublevels, thus can hold more electrons.

As stated, the electron configuration of each element is unique to its position on the periodic table. The energy level is determined by the period and the number of electrons is given by the atomic number of the element. Orbitals on different energy levels are similar to each other, but they occupy different areas in space. The 1s orbital and 2s orbital both have the characteristics of an s orbital (radial nodes, spherical volume probabilities, can only hold two electrons, etc.) but, as they are found in different energy levels, they occupy different spaces around the nucleus. Each orbital can be represented by specific blocks on the periodic table. The s-block is the region of the **alkali metals** including helium (Groups 1 & 2), the d-block are the **transition metals** (Groups 3 to 12), the **p-block** are the main group elements from Groups 13 to 18, and the f-block are the **lanthanides** and **actinides** series.

Using the periodic table to determine the electron configurations of atoms is key, but also keep in mind that there are certain rules to follow when assigning electrons to different orbitals. The periodic table is an incredibly helpful tool in writing electron configurations. For more information on how electron configurations and the periodic table are linked, visit the [Connecting Electrons to the Periodic Table](#) module.

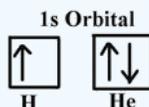
## RULES FOR ASSIGNING ELECTRON ORBITALS

### PAULI EXCLUSION PRINCIPLE

The Pauli exclusion principle states that no two electrons can have the same four quantum numbers. The first three ( $n$ ,  $l$ , and  $m_l$ ) may be the same, but the fourth quantum number must be different. A single orbital can hold a maximum of two electrons, which **must** have opposing spins; otherwise they would have the same four quantum numbers, which is forbidden. One electron is spin up ( $m_s = +1/2$ ) and the other would spin down ( $m_s = -1/2$ ). This tells us that each subshell has double the electrons per orbital. The s subshell has 1 orbital that can hold up to 2 electrons, the p subshell has 3 orbitals that can hold up to 6 electrons, the d subshell has 5 orbitals that hold up to 10 electrons, and the f subshell has 7 orbitals with 14 electrons.

#### ✓ EXAMPLE 1: HYDROGEN AND HELIUM

The first three quantum numbers of an electron are  $n=1$ ,  $l=0$ ,  $m_l=0$ . Only two electrons can correspond to these, which would be either  $m_s = -1/2$  or  $m_s = +1/2$ . As we already know from our studies of quantum numbers and electron orbitals, we can conclude that these four quantum numbers refer to the 1s subshell. If only one of the  $m_s$  values are given then we would have  $1s^1$  (denoting hydrogen) if both are given we would have  $1s^2$  (denoting helium). Visually, this is represented as:



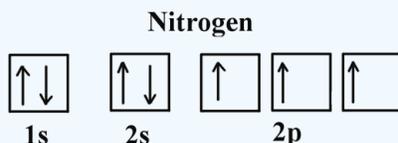
As shown, the 1s subshell can hold only two electrons and, when filled, the electrons have opposite spins.

### HUND'S RULE

When assigning electrons in orbitals, each electron will first fill all the orbitals with similar energy (also referred to as degenerate) before pairing with another electron in a half-filled orbital. Atoms at ground states tend to have as many unpaired electrons as possible. When visualizing this processes, think about how electrons are exhibiting the same behavior as the same poles on a magnet would if they came into contact; as the negatively charged electrons fill orbitals they first try to get as far as possible from each other before having to pair up.

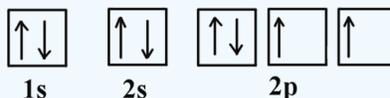
#### ✓ EXAMPLE 2: OXYGEN AND NITROGEN

If we look at the correct electron configuration of the Nitrogen ( $Z = 7$ ) atom, a very important element in the biology of plants:  $1s^2 2s^2 2p^3$



We can clearly see that p orbitals are half-filled as there are three electrons and three p orbitals. This is because Hund's Rule states that the three electrons in the 2p subshell will fill all the empty orbitals first before filling orbitals with electrons in them. If we look at the element after nitrogen in the same period, oxygen ( $Z = 8$ ) its electron configuration is:  $1s^2 2s^2 2p^4$  (for an atom).

### Oxygen



Oxygen has one more electron than nitrogen and as the orbitals are all half filled the electron must pair up.

### OCCUPATION OF ORBITALS

Electrons fill orbitals in a way to minimize the energy of the atom. Therefore, the electrons in an atom fill the principal energy levels in order of increasing energy (the electrons are getting farther from the nucleus). The relative energy of the orbitals is shown in Figure 1.3.2

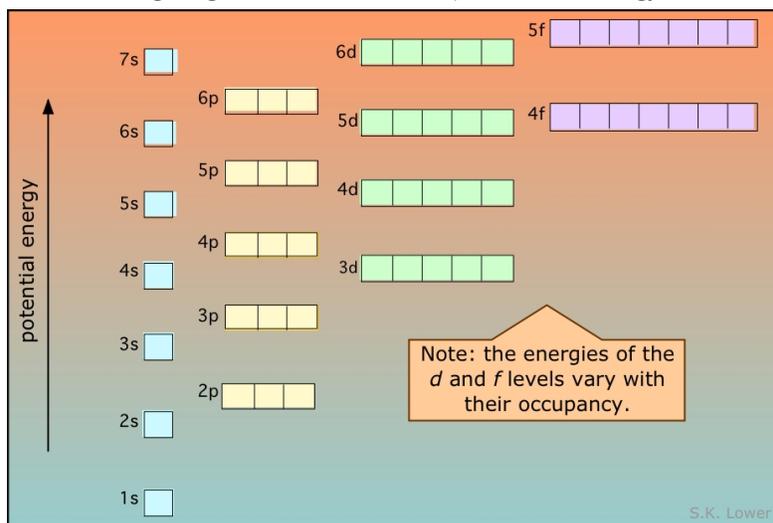


Figure 1.3.2: The relative potential energy of atomic orbitals. (CC BY-NC; Stephen Lower)

The order of levels filled is then:

**1s, 2s, 2p, 3s, 3p, 4s, 3d, 4p, 5s, 4d, 5p, 6s, 4f, 5d, 6p, 7s, 5f, 6d, and 7p**

The general order in which orbitals are filled is depicted in Figure 1.3.3. Subshells corresponding to each value of  $n$  are written from left to right on successive horizontal lines, where each row represents a row in the periodic table. The order in which the orbitals are filled is indicated by the diagonal lines running from the upper right to the lower left. Accordingly, the 4s orbital is filled prior to the 3d orbital because of shielding and penetration effects. Consequently, the electron configuration of potassium, which begins the fourth period, is  $[\text{Ar}]4s^1$ , and the configuration of calcium is  $[\text{Ar}]4s^2$ . Five 3d orbitals are filled by the next 10 elements, the transition metals, followed by three 4p orbitals. Notice that the last member of this row is the noble gas krypton ( $Z = 36$ ),  $[\text{Ar}]4s^23d^{10}4p^6 = [\text{Kr}]$ , which has filled 4s, 3d, and 4p orbitals. The fifth row of the periodic table is essentially the same as the fourth, except that the 5s, 4d, and 5p orbitals are filled sequentially.

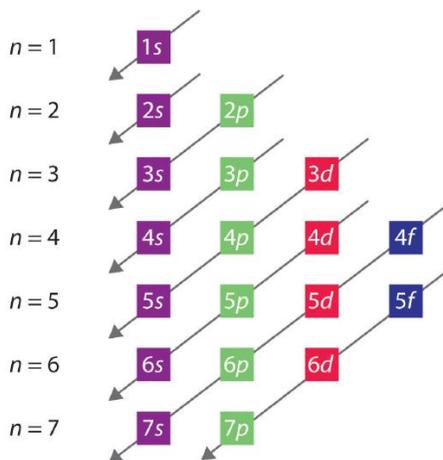


Figure 1.3.3: Predicting the Order in Which Orbitals Are Filled in Multielectron Atoms. If you write the subshells for each value of the principal quantum number on successive lines, the observed order in which they are filled is indicated by a series of diagonal lines running from the upper right to the lower left.

## THE AUFBAU PROCESS

Aufbau comes from the German word "aufbauen" meaning "to build." When writing electron configurations, orbitals are built up from atom to atom. When writing the electron configuration for an atom, orbitals are filled in order of increasing atomic number. However, there are some exceptions to this rule.

### ✓ EXAMPLE 3: 3<sup>RD</sup> ROW ELEMENTS

Following the pattern across a period from B ( $Z=5$ ) to Ne ( $Z=10$ ), the number of electrons increases and the subshells are filled. This example focuses on the p subshell, which fills from boron to neon.

- B ( $Z=5$ ) configuration:  $1s^2 2s^2 2p^1$
- C ( $Z=6$ ) configuration:  $1s^2 2s^2 2p^2$
- N ( $Z=7$ ) configuration:  $1s^2 2s^2 2p^3$
- O ( $Z=8$ ) configuration:  $1s^2 2s^2 2p^4$
- F ( $Z=9$ ) configuration:  $1s^2 2s^2 2p^5$
- Ne ( $Z=10$ ) configuration:  $1s^2 2s^2 2p^6$

## THE NUMBER OF VALENCE ELECTRONS

The number of valence electrons of an element can be determined by the periodic table group (vertical column) in which the element is categorized. With the exception of groups 3–12 (the [transition metals](#)), the units digit of the group number identifies how many valence electrons are associated with a neutral atom of an element listed under that particular column. For example in group 16, the units digit is 6 and elements in this group have 6 valence electrons.

Table 1.3.1: Valence electrons derived from periodic table group

Periodic table group	Valence electrons
Group 1: alkali metals	1
Group 2: alkaline earth metals	2
Groups 3-12: transition metals	2* (The 4s shell is complete and cannot hold any more electrons)
Group 13: boron group	3
Group 14: carbon group	4
Group 15: pnictogens	5
Group 16: chalcogens	6
Group 17: halogens	7
Group 18: noble gases	8**

\* The general method for counting valence electrons is generally not useful for transition metals. Instead the modified **d electron count method** is used.

\*\* Except for *helium*, which has only two valence electrons.

The electron configuration of an element is the arrangement of its electrons in its atomic orbitals. By knowing the electron configuration of an element, we can predict and explain a great deal of its chemistry.

### ✓ EXAMPLE 1.3.1

Draw an orbital diagram and use it to derive the electron configuration of phosphorus,  $Z = 15$ . What is its valence electron configuration?

**Given:** atomic number

**Asked for:** orbital diagram and valence electron configuration for phosphorus

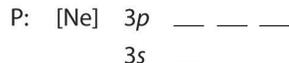
**Strategy:**

- Locate the nearest noble gas preceding phosphorus in the periodic table. Then subtract its number of electrons from those in phosphorus to obtain the number of valence electrons in phosphorus.
- Referring to Figure 1.3.1, draw an orbital diagram to represent those valence orbitals. Following Hund's rule, place the valence electrons in the available orbitals, beginning with the orbital that is lowest in energy. Write the electron configuration from your orbital diagram.
- Ignore the inner orbitals (those that correspond to the electron configuration of the nearest noble gas) and write the valence electron configuration for phosphorus.

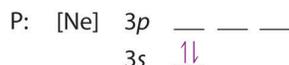
**Solution:**

**A** Because phosphorus is in the third row of the periodic table, we know that it has a [Ne] closed shell with 10 electrons. We begin by subtracting 10 electrons from the 15 in phosphorus.

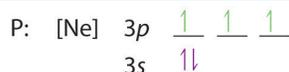
**B** The additional five electrons are placed in the next available orbitals, which Figure 1.2.5 tells us are the 3s and 3p orbitals:



Because the 3s orbital is lower in energy than the 3p orbitals, we fill it first:



Hund's rule tells us that the remaining three electrons will occupy the degenerate 3p orbitals separately but with their spins aligned:



The electron configuration is [Ne]3s<sup>2</sup>3p<sup>3</sup>.

**C** We obtain the valence electron configuration by ignoring the inner orbitals, which for phosphorus means that we ignore the [Ne] closed shell. This gives a valence-electron configuration of 3s<sup>2</sup>3p<sup>3</sup>.

### ? EXERCISE 1.3.1

Draw an orbital diagram and use it to derive the electron configuration of chlorine,  $Z = 17$ . What is its valence electron configuration?

**Answer**



The sixth row of the periodic table will be different from the preceding two because the 4f orbitals, which can hold 14 electrons, are filled between the 6s and the 5d orbitals. The elements that contain 4f orbitals in their valence shell are the lanthanides. When the 6p orbitals are finally filled, we have reached the next (and last known) noble gas, radon ( $Z = 86$ ), [Xe]6s<sup>2</sup>4f<sup>14</sup>5d<sup>10</sup>6p<sup>6</sup> = [Rn]. In the last row, the 5f orbitals are filled between the 7s and the 6d orbitals, which gives the 14 actinide elements. Because the large number of protons makes their nuclei unstable, all the actinides are radioactive.

### ✓ EXAMPLE 1.3.2

Write the electron configuration of mercury ( $Z = 80$ ), showing all the inner orbitals.

**Given:** atomic number

**Asked for:** complete electron configuration

**Strategy:**

Using the orbital diagram in Figure 1.3.3 and the periodic table as a guide, fill the orbitals until all 80 electrons have been placed.

**Solution:**

By placing the electrons in orbitals following the order shown in Figure 1.3.3 and using the periodic table as a guide, we obtain

1s <sup>2</sup>	row 1	2 electrons
2s <sup>2</sup> 2p <sup>6</sup>	row 2	8 electrons
3s <sup>2</sup> 3p <sup>6</sup>	row 3	8 electrons
4s <sup>2</sup> 3d <sup>10</sup> 4p <sup>6</sup>	row 4	18 electrons
5s <sup>2</sup> 4d <sup>10</sup> 5p <sup>6</sup>	row 5	18 electrons
	row 1–5	54 electrons

After filling the first five rows, we still have  $80 - 54 = 26$  more electrons to accommodate. According to Figure 1.3.1, we need to fill the 6s (2 electrons), 4f (14 electrons), and 5d (10 electrons) orbitals. The result is mercury's electron configuration:



with a filled 5d subshell, a 6s<sup>2</sup>4f<sup>14</sup>5d<sup>10</sup> valence shell configuration, and a total of 80 electrons. (You should always check to be sure that the total number of electrons equals the atomic number.)

## SUMMARY

Based on the Pauli principle and a knowledge of orbital energies obtained using hydrogen-like orbitals, it is possible to construct the periodic table by filling up the available orbitals beginning with the lowest-energy orbitals (the **aufbau principle**), which gives rise to a particular arrangement of electrons for each element (its **electron configuration**). **Hund's rule** says that the lowest-energy arrangement of electrons is the one that places them in degenerate orbitals with their spins parallel. For chemical purposes, the most important electrons are those in the outermost principal shell, the **valence electrons**.

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## 1.4: DEVELOPMENT OF CHEMICAL BONDING THEORY

### OBJECTIVES

After completing this section, you should be able to

1. draw Lewis Dot Symbols for main group elements and ions.
2. describe the three-dimensional nature of molecules.
3. sketch a tetrahedral molecule,  $CX_4$ , using the “wedge-and-broken-line” method of representation.
4. make a ball-and-stick model of a simple tetrahedral molecule such as methane,  $CH_4$ .
5. draw Lewis Dot Structures for 2 electron group molecules.
6. draw Lewis Dot Structures for 3 electron group molecules.
7. draw Lewis Dot Structures for 4 electron group molecules.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bond strength
- covalent bond
- ionic bond
- Lewis structure
- lone-pair electron
- non-bonding electron

### Study Notes

To draw Lewis structures successfully, you need to know the number of valence electrons present in each of the atoms involved. Memorize the number of valence electrons possessed by each of the elements commonly encountered in organic chemistry: C, H, O, N, S, P and the halogens.

When drawing any organic structure, you must remember that a neutral carbon atom will almost always have four bonds. Similarly, hydrogen always has one bond; neutral oxygen atoms have two bonds; and neutral nitrogen atoms have three bonds. By committing these simple rules to memory, you can avoid making unnecessary mistakes later in the course.

The “wedge-and-broken-line” type of representation, which helps to convey the three-dimensional nature of organic compounds, will be used throughout the course.

### BONDING OVERVIEW

Why are some substances chemically bonded molecules and others are an association of ions? The answer to this question depends upon the electronic structures of the atoms and nature of the chemical forces within the compounds. Although there are no sharply defined boundaries, chemical bonds are typically classified into three main types: ionic bonds, covalent bonds, and metallic bonds. In this chapter, each type of bond and the general properties found in typical substances in which the bond type occurs will be discussed.

1. Ionic bonds results from **electrostatic forces that exist between ions of opposite charge**. These bonds typically involve a metal with a nonmetal
2. Covalent bonds **result from the sharing of electrons between two atoms**. The bonds typically involve one nonmetallic element with another
3. Metallic bonds are found in solid metals (copper, iron, aluminum) with each metal atom bonded to several neighboring metal atoms and the bonding electrons are free to move throughout the 3-dimensional structure.

Each bond classification is discussed in detail in subsequent sections of the chapter. Let's look at the preferred arrangements of electrons in atoms when they form chemical compounds.

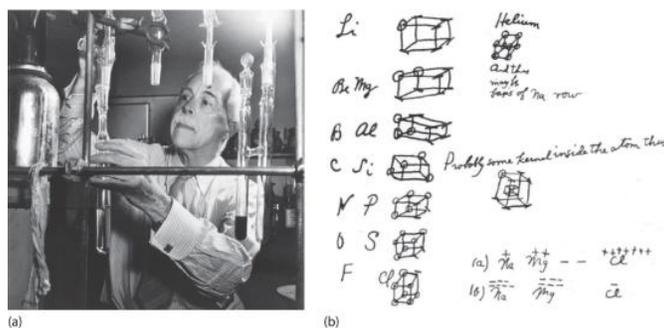


Figure 1.4.1: G. N. Lewis and the Octet Rule. (a) Lewis is working in the laboratory. (b) In Lewis's original sketch for the octet rule, he initially placed the electrons at the corners of a cube rather than placing them as we do now.

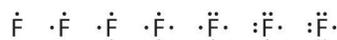
## LEWIS SYMBOLS

At the beginning of the 20<sup>th</sup> century, the American chemist G. N. Lewis (1875–1946) devised a system of symbols—now called Lewis electron dot symbols, often shortened to *Lewis dot symbols*—that can be used for predicting the number of bonds formed by most elements in their compounds. Each Lewis dot symbol consists of the chemical symbol for an element surrounded by dots that represent its valence electrons.

### LEWIS DOT SYMBOLS:

- provide a convenient representation of valence electrons
- allows you to keep track of valence electrons during bond formation
- consists of the chemical symbol for the element plus a dot for each valence electron

To write an element's Lewis dot symbol, we place dots representing its valence electrons, one at a time, around the element's chemical symbol. Up to four dots are placed above, below, to the left, and to the right of the symbol (in any order, as long as elements with four or fewer valence electrons have no more than one dot in each position). The next dots, for elements with more than four valence electrons, are again distributed one at a time, each paired with one of the first four. Fluorine, for example, with the electron configuration  $[\text{He}]2s^22p^5$ , has seven valence electrons, so its Lewis dot symbol is constructed as follows:



Group	1	2	13	14	15	16	17	18
<b>Electron Configuration</b>	$[\text{He}]2s^1$	$[\text{He}]2s^2$	$[\text{He}]2s^22p^1$	$[\text{He}]2s^22p^2$	$[\text{He}]2s^22p^3$	$[\text{He}]2s^22p^4$	$[\text{He}]2s^22p^5$	$[\text{He}]2s^22p^6$
<b>Lewis Dot Symbol</b>	$\text{Li} \cdot$	$\cdot\text{Be} \cdot$	$\cdot\text{B} \cdot$	$\cdot\dot{\text{C}} \cdot$	$\cdot\ddot{\text{N}} \cdot$	$:\ddot{\text{O}} \cdot$	$:\ddot{\text{F}} \cdot$	$:\ddot{\text{Ne}}:$

Figure 1.4.2: Lewis Dot Symbols for the Elements in Period 2

Lewis used the unpaired dots to predict the number of bonds that an element will form in a compound. Consider the symbol for nitrogen in Figure 1.4.2. The Lewis dot symbol explains why nitrogen, with three unpaired valence electrons, tends to form compounds in which it shares the unpaired electrons to form three bonds. Boron, which also has three unpaired valence electrons in its Lewis dot symbol, also tends to form compounds with three bonds, whereas carbon, with four unpaired valence electrons in its Lewis dot symbol, tends to share all of its unpaired valence electrons by forming compounds in which it has four bonds. Lewis symbols are a tool to help draw structures. We will see why bonding in molecular compounds follow Lewis' theory in the next section.

Elements in the same group have the same number of valence electrons and similar Lewis symbols. For example, the electron configuration for atomic sulfur is  $[\text{Ne}]3s^23p^4$ , thus there are *six* valence electrons. Its Lewis symbol would therefore be similar to oxygen and look like:



## THE OCTET RULE

Lewis's major contribution to bonding theory was to recognize that atoms tend to lose, gain, or share electrons to reach a total of eight valence electrons, called an *octet*. This so-called **octet rule** explains the stoichiometry of most compounds in the *s* and *p* blocks of the periodic table. We now know from quantum mechanics that the number eight corresponds to one *ns* and three *np* valence orbitals, which together can accommodate a total of eight electrons. Remarkably, though, Lewis's insight was made nearly a decade before Rutherford

proposed the nuclear model of the atom. Common exceptions to the octet rule are helium, whose  $1s^2$  electron configuration gives it a full  $n = 1$  shell, and hydrogen, which tends to gain or share its one electron to achieve the electron configuration of helium.

Lewis's idea of an octet explains why noble gases rarely form compounds. They have the stable  $s^2p^6$  configuration (full octet, no charge), so they have no reason to react and change their configuration. All other elements attempt to gain, lose, or share electrons to achieve a noble gas configuration. This explains why atoms combine together to form compounds. By forming bonds, it makes the atoms more stable and lower in energy. Making bonds releases energy and represents a driving force for the formation of compounds.

Atoms often gain, lose, or share electrons to achieve the same number of electrons as the noble gas closest to them in the periodic table.

## LEWIS STRUCTURES

Lewis structures represent how Lewis symbols gain, lose, or share electrons to obtain an octet by forming compounds.

### LEWIS STRUCTURES OF IONIC COMPOUNDS

Whenever there is a metal present in the structure of an organic compound, there is a high likelihood that at least one ionic bond is present. Ionic bonds are represented differently in Lewis structures than covalent bonds. Great care should be taken whenever drawing the Lewis structure of an organic compound which contains an ionic bond. Ionic bonds typically are formed when a metal and a nonmetal are part of a compound. Some atoms achieve an octet by fully gaining or losing electrons to form ions. Ionic bonds form through the electrostatic attraction of the created ions. The formula for table salt is NaCl. It is the result of  $\text{Na}^+$  ions and  $\text{Cl}^-$  ions bonding together. If sodium metal and chlorine gas mix under the right conditions, they will form salt. The sodium loses an electron, and the chlorine gains that electron. In the process, a great amount of light and heat is released. The resulting salt is mostly unreactive — it is stable. It will not undergo any explosive reactions, unlike the sodium and chlorine that it is made of. Why? Referring to the octet rule, atoms attempt to get a noble gas electron configuration, which is eight valence electrons. Sodium ( $1s^22s^22p^63s^1$ ) has one valence electron, so giving it up would result in the same electron configuration as neon ( $1s^22s^22p^6$ ). Chlorine ( $1s^22s^22p^63s^23p^7$ ) has seven valence electrons, so if it takes one it will have eight (an octet). Chlorine has the electron configuration of argon ( $1s^22s^22p^63s^23p^8$ ) when it gains an electron.

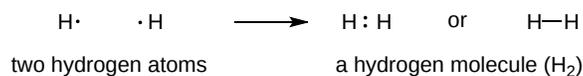
The Lewis structure of an ionic compound shows the movement of electrons. For NaCl, sodium is in group 1 and has one valence electron and chlorine is in group 17 and has seven valence electrons. Sodium loses its sole valence electron thereby becomes positively charged. Chlorine gains this electron, gaining a full octet, and a negative charge. After the gain/loss of an electron the new Lewis structures of  $\text{Na}^+$  and  $\text{Cl}^-$  are written next to each other representing the ionic bond in NaCl.

Examples of Lewis Structures of Ionic Compounds

Metal		Nonmetal		Ionic Compound
Na •	+	•• :Cl: ••	→	$\text{Na}^+ \left[ \text{:}\ddot{\text{Cl}}\text{:} \right]^-$ sodium chloride (sodium ion and chloride ion)
•Mg•	+	•• :O: ••	→	$\text{Mg}^{2+} \left[ \text{:}\ddot{\text{O}}\text{:} \right]^{2-}$ magnesium oxide (magnesium ion and oxide ion)
•Ca•	+	2 :F: ••	→	$\text{Ca}^{2+} \left[ \text{:}\ddot{\text{F}}\text{:} \right]_2^-$ calcium fluoride (calcium ion and two fluoride ions)

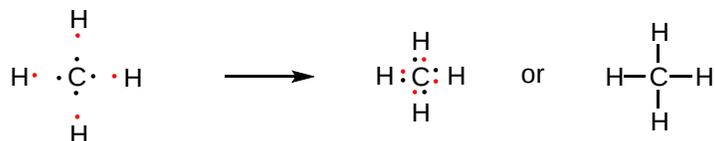
### COVALENT BONDS AND THE LEWIS STRUCTURES OF MOLECULAR COMPOUNDS

While alkali metals (such as sodium and potassium), alkaline earth metals (such as magnesium and calcium), and halogens (such as fluorine and chlorine) often form ions in order to achieve a full octet, the principle elements of organic chemistry - carbon, hydrogen, nitrogen, and oxygen - instead tend to fill their octet by *sharing* electrons with other atoms, forming covalent bonds. Consider the simplest case of hydrogen gas. An isolated hydrogen atom has only one electron, located in the  $1s$  orbital. If two hydrogen atoms come close enough so that their respective  $1s$  orbitals overlap, the two electrons can be shared between the two nuclei, and a covalently bonded  $\text{H}_2$  molecule is formed. In the Lewis structure of  $\text{H}_2$ , each pair of electrons that is shared between two atoms is drawn as a single line, designating a single covalent bond.

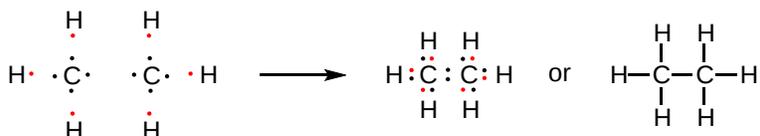


Hydrogen represents a special case, because a hydrogen atom cannot fulfill the octet rule; it needs only two electrons to have a full shell. This is often called the 'doublet rule' for hydrogen.

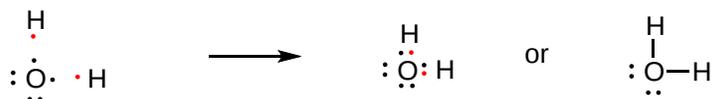
One of the simplest organic molecules is methane with the molecular formula  $\text{CH}_4$ . Methane is the 'natural gas' burned in home furnaces and hot water heaters, as well as in electrical power generating plants. To illustrate the covalent bonding in methane using a Lewis structure, we first must recognize that, although a carbon atom has a total of six electrons it's Lewis symbol has four unpaired electrons. Following Lewis' theory the carbon atom wants to form four covalent bonds to fill its octet. In a methane molecule, the central carbon atom shares its four valence electrons with four hydrogen atoms, thus forming four bonds and fulfilling the octet rule (for the carbon) and the 'doublet rule' (for each of the hydrogens).



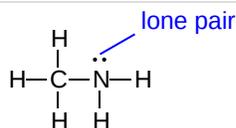
The next relatively simple organic molecule to consider is ethane, which has the molecular formula  $\text{C}_2\text{H}_6$ . If we draw each atom's Lewis symbol separately, we can see that the octet/doublet rule can be fulfilled for all of them by forming one carbon-carbon bond and six carbon-hydrogen bonds.



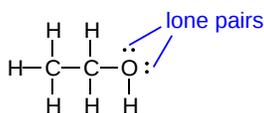
The same approach can be used for molecules in which there is no carbon atom. In a water molecule, the Lewis symbol of the oxygen atom has two unpaired electrons. These are paired with the single electron in the Lewis symbols of the hydrogens' two O-H covalent bonds. The remaining four non-bonding electrons on oxygen called 'lone pairs'.



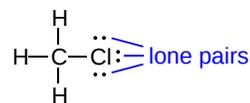
Since the lone pair electrons are often NOT shown in chemical structures, it is important to see mentally add the lone pairs. In the beginning, it can be helpful to physically add the lone pair electrons.



methylamine



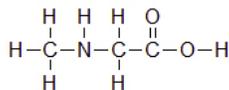
ethanol



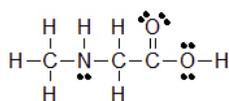
chloromethane

## EXERCISE

For the following structure, please fill in all of the missing lone pair electrons.



## ANSWER



When two or more electrons are shared between atoms a multiple covalent bond is formed. The molecular formula for ethene (also known as ethylene, a compound found in fruits, such as apples, that signals them to ripen) is  $\text{C}_2\text{H}_4$ . Arranging Lewis symbols of the atoms, you can

see that the octet/doublet rule can be fulfilled for all atoms only if the two carbons share *two* pairs of electrons between them. Ethene contains a carbon/carbon double bond.



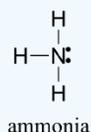
Following this pattern, the triple bond in ethyne molecular formula  $\text{C}_2\text{H}_2$ , (also known as acetylene, the fuel used in welding torches), is formed when the two carbon atoms share *three* pairs of electrons between them.



### ? EXERCISE 1.4.1

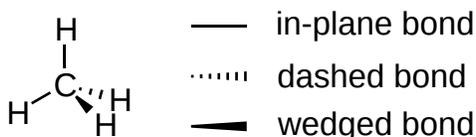
Draw the Lewis structure for ammonia,  $\text{NH}_3$ .

Answer

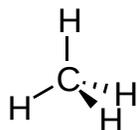


### MOLECULAR SHAPE

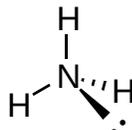
A stick and wedge drawing of methane shows the tetrahedral angles...(The wedge is coming out of the paper and the dashed line is going behind the paper. The solid lines are in the plane of the paper.)



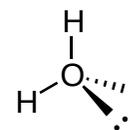
The following examples make use of this notation, and also illustrate the importance of including non-bonding valence shell electron pairs when viewing such configurations.



Methane



Ammonia

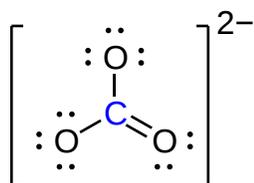


Water

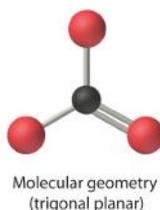
Bonding configurations are readily predicted by valence-shell electron-pair repulsion theory, commonly referred to as **VSEPR** in most introductory chemistry texts. This simple model is based on the fact that electrons repel each other, and that it is reasonable to expect that the bonds and non-bonding valence electron pairs associated with a given atom will prefer to be as far apart as possible. The bonding configurations of carbon are easy to remember, since there are only three categories.

Configuration	Bonding Partners	Bond Angles	Example
Tetrahedral	4	109.5°	
Trigonal Planar	3	120°	
Linear	2	180°	





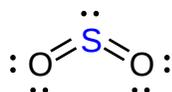
- The structure of  $\text{CO}_3^{2-}$  is a resonance hybrid. It has three identical bonds, each with a bond order of  $4/3$ . We minimize repulsions by placing the three groups  $120^\circ$  apart (Figure 1.4.3).
- All electron groups are bonding pairs (BP). With three bonding groups around the central atom, the structure is designated as  $\text{AX}_3$ .
- We see from Figure 1.4.3 that the molecular geometry of  $\text{CO}_3^{2-}$  is trigonal planar.



In our next example we encounter the effects of lone pairs and multiple bonds on molecular geometry for the first time.

- $\text{AX}_2\text{E}$ :  $\text{SO}_2$**

- The central atom, sulfur, has 6 valence electrons, as does each oxygen atom. With 18 valence electrons, the Lewis electron structure is shown below.



- There are three electron groups around the central atom, two double bonds and one lone pair. We initially place the groups in a trigonal planar arrangement to minimize repulsions (Figure 1.4.3).
- There are two bonding pairs and one lone pair, so the structure is designated as  $\text{AX}_2\text{E}$ . This designation has a total of three electron pairs, two X and one E. Because a lone pair is not shared by two nuclei, it occupies more space near the central atom than a bonding pair (Figure 1.4.4). Thus bonding pairs and lone pairs repel each other electrostatically in the order  $\text{BP-BP} < \text{LP-BP} < \text{LP-LP}$ . In  $\text{SO}_2$ , we have one  $\text{BP-BP}$  interaction and two  $\text{LP-BP}$  interactions.
- The molecular geometry is described only by the positions of the nuclei, *not* by the positions of the lone pairs. Thus with two nuclei and one lone pair the shape is *bent*, or *V shaped*, which can be viewed as a trigonal planar arrangement with a missing vertex (Figures 1.4.2.1 and 1.4.3).

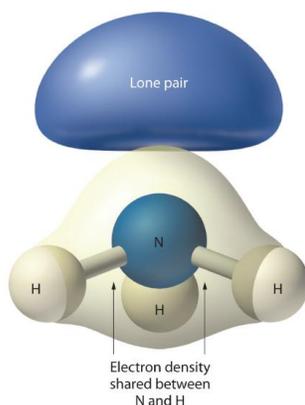
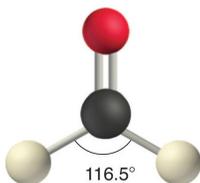


Figure 1.4.4: The Difference in the Space Occupied by a Lone Pair of Electrons and by a Bonding Pair

As with  $\text{SO}_2$ , this composite model of electron distribution and negative electrostatic potential in ammonia shows that a lone pair of electrons occupies a larger region of space around the nitrogen atom than does a bonding pair of electrons that is shared with a hydrogen atom.

Like lone pairs of electrons, multiple bonds occupy more space around the central atom than a single bond, which can cause other bond angles to be somewhat smaller than expected. This is because a multiple bond has a higher electron density than a single bond, so its

electrons occupy more space than those of a single bond. For example, in a molecule such as  $\text{CH}_2\text{O}$  ( $\text{AX}_3$ ), whose structure is shown below, the double bond repels the single bonds more strongly than the single bonds repel each other. This causes a deviation from ideal geometry (an  $\text{H}-\text{C}-\text{H}$  bond angle of  $116.5^\circ$  rather than  $120^\circ$ ).

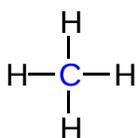


## FOUR ELECTRON GROUPS

One of the limitations of Lewis structures is that they depict molecules and ions in only two dimensions. With four electron groups, we must learn to show molecules and ions in three dimensions.

### $\text{AX}_4$ : $\text{CH}_4$

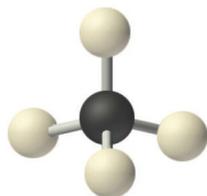
1. The central atom, carbon, contributes four valence electrons, and each hydrogen atom has one valence electron, so the full Lewis electron structure is



2. There are four electron groups around the central atom. As shown in Figure 1.4.2, repulsions are minimized by placing the groups in the corners of a tetrahedron with bond angles of  $109.5^\circ$ .

3. All electron groups are bonding pairs, so the structure is designated as  $\text{AX}_4$ .

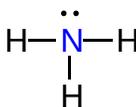
4. With four bonding pairs, the molecular geometry of methane is *tetrahedral* (Figure 1.4.3).



Molecular geometry  
(tetrahedral)

### $\text{AX}_3\text{E}$ : $\text{NH}_3$

1. In ammonia, the central atom, nitrogen, has five valence electrons and each hydrogen donates one valence electron, producing the Lewis electron structure



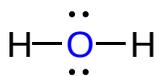
2. There are four electron groups around nitrogen, three bonding pairs and one lone pair. Repulsions are minimized by directing each hydrogen atom and the lone pair to the corners of a tetrahedron.

3. With three bonding pairs and one lone pair, the structure is designated as  $\text{AX}_3\text{E}$ . This designation has a total of four electron pairs, three X and one E. We expect the LP-BP interactions to cause the bonding pair angles to deviate significantly from the angles of a perfect tetrahedron.

4. There are three nuclei and one lone pair, so the molecular geometry is *trigonal pyramidal*. In essence, this is a tetrahedron with a vertex missing (Figure 1.4.3). However, the  $\text{H}-\text{N}-\text{H}$  bond angles are less than the ideal angle of  $109.5^\circ$  because of LP-BP repulsions.

### $\text{AX}_2\text{E}_2$ : $\text{H}_2\text{O}$

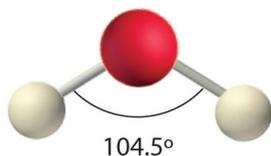
1. Oxygen has six valence electrons and each hydrogen has one valence electron, producing the Lewis electron structure



2. There are four groups around the central oxygen atom, two bonding pairs and two lone pairs. Repulsions are minimized by directing the bonding pairs and the lone pairs to the corners of a tetrahedron Figure 1.4.3.

3. With two bonding pairs and two lone pairs, the structure is designated as  $\text{AX}_2\text{E}_2$  with a total of four electron pairs. Due to LP-LP, LP-BP, and BP-BP interactions, we expect a significant deviation from idealized tetrahedral angles.

4. With two hydrogen atoms and two lone pairs of electrons, the structure has significant lone pair interactions. There are two nuclei about the central atom, so the molecular shape is *bent*, or *V shaped*, with an H-O-H angle that is even less than the H-N-H angles in  $\text{NH}_3$ , as we would expect because of the presence of two lone pairs of electrons on the central atom rather than one.. This molecular shape is essentially a tetrahedron with two missing vertices.




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## 1.5: DESCRIBING CHEMICAL BONDS - VALENCE BOND THEORY

### OBJECTIVES

After completing this section, you should be able to

1. explain how covalent bonds are formed as a result of the ability of atoms to share electrons.
2. describe the formation of covalent bonds in terms of the overlapping of atomic orbitals.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bond strength
- covalent bond
- bond length
- sigma ( $\sigma$ ) bond
- pi ( $\pi$ ) bond
- valence bond theory

### VALENCE BOND THEORY

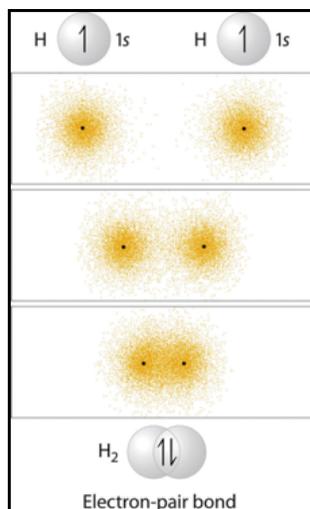
As we have been discussing how to use Lewis structures to depict the bonding in organic compounds, we have been very vague so far in our language about the actual nature of the chemical bonds themselves. We know that a covalent bond involves the ‘sharing’ of a pair of electrons between two atoms – but how does this happen, and how does it lead to the formation of a bond holding the two atoms together? Two main models have been developed to describe how covalent bonds are formed: valence bond theory and molecular orbital theory.

Valence bond theory is most often used to describe bonding in organic molecules. In this model, covalent bonds are considered to form from the overlap of two atomic orbitals on different atoms, each orbital containing a single electron. The electrons become paired in the orbital overlap bonding the atoms together.

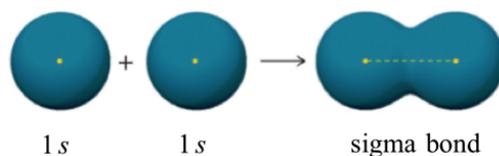
The simplest example valence bond theory can be demonstrated by the  $H_2$  molecule. We can see from the [periodic table](#) that each hydrogen atom has a single valence electron. If 2 hydrogen atoms come together to form a bond, then each hydrogen atom effectively has a share in both electrons and thus each resembles the noble gas helium and is more stable. The 2 electrons shared in the orbital overlap are represented by a single dash between the atoms.



Valence bond theory describes a chemical bond as the overlap of atomic orbitals. In the case of the hydrogen molecule, the 1s orbital of one hydrogen atom overlaps with the 1s orbital of the second hydrogen atom to form a molecular orbital called a sigma bond which contains two electrons of opposite spin. The mutual attraction between this negatively charged electron pair and the two atoms’ positively charged nuclei serves to physically link the two atoms through a force we define as a covalent bond. The strength of a covalent bond depends on the extent of overlap of the orbitals involved. Orbitals that overlap extensively form bonds that are stronger than those that have less overlap.



One more characteristic of the covalent bond in  $H_2$  is important to consider at this point. The two overlapping  $1s$  orbitals can be visualized as two spherical balloons being pressed together. This means that the bond has **cylindrical symmetry**: if we were to take a cross-sectional plane of the bond at any point, it would form a circle. This type of bond is referred to as a  **$\sigma$ (sigma) bond**.



The energy of the system depends on how much the orbitals overlap. The energy diagram below illustrates how the sum of the energies of two hydrogen atoms (the colored curve) changes as they approach each other. When the atoms are far apart there is no overlap, and by convention we set the sum of the energies at zero. As the atoms move together, their orbitals begin to overlap. Each electron begins to feel the attraction of the nucleus in the other atom. In addition, the electrons begin to repel each other, as do the nuclei. While the atoms are still widely separated, the attractions are slightly stronger than the repulsions, and the energy of the system decreases. (A bond begins to form.) As the atoms move closer together, the overlap increases, so the attraction of the nuclei for the electrons continues to increase (as do the repulsions among electrons and between the nuclei). At some specific distance between the atoms, which varies depending on the atoms involved, the energy reaches its lowest (most stable) value. This optimum distance between the two bonded nuclei is called the **bond length** between the two atoms. The bond is stable because at this point, the attractive and repulsive forces combine to create the lowest possible energy configuration.

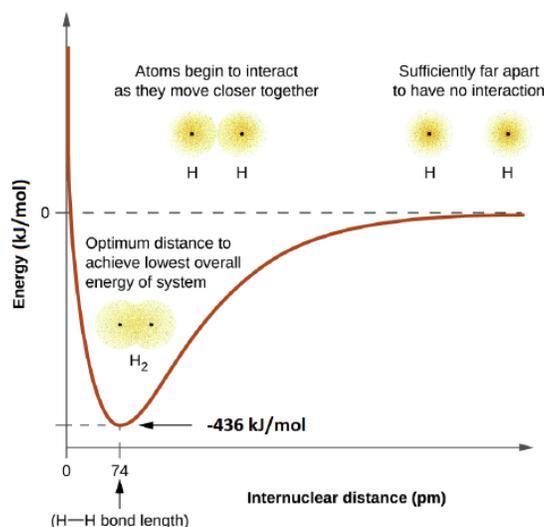


Figure 1.5.2: A Plot of Potential Energy versus Internuclear Distance for the Interaction between Two Gaseous Hydrogen **Atoms**

This optimal internuclear distance is the **bond length**. For the  $H_2$  molecule, the distance is 74 pm (picometers,  $10^{-12}$  meters). Likewise, the difference in potential energy between the lowest energy state (at the optimal internuclear distance) and the state where the two atoms are completely separated is called the **bond dissociation energy**, or, more simply, **bond strength**. For the hydrogen molecule, the H-H bond strength is equal to about 435 kJ/mol. This means it would take 435 kJ to break one mole of H-H bonds.

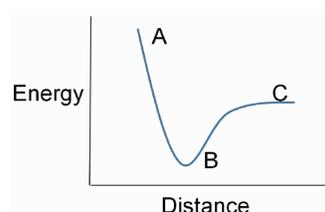
Every covalent bond in a given molecule has a characteristic length and strength. In general, the length of a typical carbon-carbon single bond in an organic molecule is about 150 pm, while carbon-carbon double bonds are about 130 pm, carbon-oxygen double bonds are about 120 pm, and carbon-hydrogen bonds are in the range of 100 to 110 pm. The strength of covalent bonds in organic molecules ranges from about 234 kJ/mol for a carbon-iodine bond (in thyroid hormone, for example), about 410 kJ/mole for a typical carbon-hydrogen bond, and up to over 800 kJ/mole for a carbon-carbon triple bond.

Table: Representative Bond Energies and Lengths

Bond	Length (pm)	Energy (kJ/mol)	Bond	Length (pm)	Energy (kJ/mol)
H-H	74	436	C-O	140.1	358
H-C	106.8	413	C=O	119.7	745
H-N	101.5	391	C≡O	113.7	1072
H-O	97.5	467	H-Cl	127.5	431
C-C	150.6	347	H-Br	141.4	366
C=C	133.5	614	H-I	160.9	298
C≡C	120.8	839	O-O	148	146
C-N	142.1	305	O=O	120.8	498
C=N	130.0	615	F-F	141.2	159
C≡N	116.1	891	Cl-Cl	198.8	243

## EXERCISES

1) For the following energy diagram for energy vs. intermolecular distance is for a fluorine molecule ( $F_2$ ). Please describe the importance for points A, B, & C on the graph.



## SOLUTIONS

1)

A - Repulsive Forces are present, nuclei are too close to one another.

B - Optimal distance between the two orbitals to have a bond (the bond length)

C - Cannot form a bond, the orbitals are too far apart.

## EXERCISES

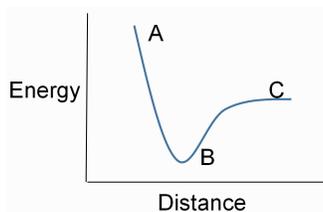
### QUESTIONS

Q1.5.1

Draw an energy diagram for energy vs. intermolecular distance for a fluorine molecule ( $F_2$ ) and describe the regions of the graph.

### SOLUTIONS

S1.5.1



A - Repulsive Forces are present, p-orbitals are too close together

B - Optimal distance between the two p-orbitals to have a bond (the bond length)

C - Cannot form a bond, orbitals are too far away

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## 1.6: SP<sup>3</sup> HYBRID ORBITALS AND THE STRUCTURE OF METHANE

### OBJECTIVE

After completing this section, you should be able to describe the structure of methane in terms of the  $sp^3$  hybridization of the central carbon atom.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

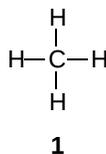
- bond angle
- hybridization
- $sp^3$  hybrid

### STUDY NOTES

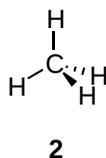
The tetrahedral shape is a very important one in organic chemistry, as it is the basic shape of all compounds in which a carbon atom is bonded to four other atoms. Note that the tetrahedral bond angle of  $H - C - H$  is  $109.5^\circ$ .

### VALENCE BOND THEORY

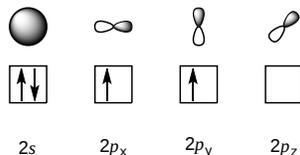
Valence bond theory's use of overlapping atomic orbitals to explain how chemical bonds form works well in simple diatomic molecules such as  $H_2$ . However, when molecules with more than two atoms form stable bonds, we require a more detailed model. A good example is methane ( $CH_4$ ). According to valence bond theory, the structure of a covalent species can be depicted using a Lewis structure.



Experimentally, it has been shown that the four carbon-hydrogen bonds in the methane molecule are identical, meaning they have the same bond energy and the same bond length. Also, VSEPR theory suggests that the geometry at the carbon atom in the methane molecule is tetrahedral (**2**), and there exists a large body of both theoretical and experimental evidence supporting this prediction.



According to valence bond theory, to form a covalent bond forms when an unpaired electron in one atom overlaps with an unpaired electron in a different atom. Now, consider the the electron configuration of the four valence electrons in carbon.



There is a serious mismatch between the electron configuration of carbon ( $1s^2 2s^2 2p^2$ ) and the predicted structure of methane. The modern structure shows that there are only 2 unpaired electrons to share with hydrogens, instead of the 4 needed to create methane. Also, the  $p_x$  and  $p_y$  orbitals are at  $90^\circ$  to each other. They would form perpendicular bonds instead of the tetrahedral  $109.5^\circ$  bond angle predicted by VSEPR and experimental data. Lastly, there are two different orbitals, 2s and 2p, which would create different types of C-H bonds. As noted earlier, experimentally, the four carbon-hydrogen bonds in the methane molecule are identical.

### HYBRID ORBITALS

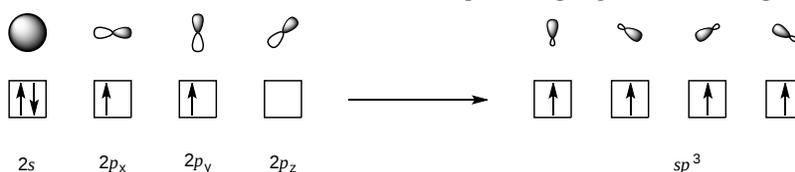
An answer to the problems posed above was offered in 1931 by Linus Pauling. He showed mathematically that an s orbital and three p orbitals on an atom can combine to form four equivalent hybrid atomic orbitals.

## IMPORTANT IDEALS IN UNDERSTANDING HYBRIDIZATION

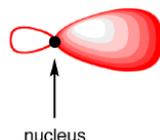
1. Hybrid orbitals do not exist in isolated atoms. They are formed only in covalently bonded atoms.
2. Hybrid orbitals have shapes and orientations that are very different from those of the atomic orbitals in isolated atoms.
3. A set of hybrid orbitals is generated by combining atomic orbitals. The number of hybrid orbitals in a set is equal to the number of atomic orbitals that were combined to produce the set.
4. All orbitals in a set of hybrid orbitals are equivalent in shape and energy.
5. The type of hybrid orbitals formed in a bonded atom create the molecular geometry as predicted by the VSEPR theory.
6. Hybrid orbitals overlap to form  $\sigma$  bonds.
7. Lone pair electrons are often contained in hybrid orbitals

### SP<sup>3</sup> HYBRIDIZATION IN METHANE

In order to explain this observation, valence bond theory relies on a concept called **orbital hybridization**. In this picture, the four valence orbitals of the carbon (one  $2s$  and three  $2p$  orbitals) combine mathematically (remember: orbitals are described by equations) to form four equivalent **hybrid orbitals**, which are named  **$sp^3$  orbitals** because they are formed from mixing one  $s$  and three  $p$  orbitals. In the new electron configuration, each of the four valence electrons on the carbon occupies a single  $sp^3$  orbital creating four unpaired electrons.



The shape of an  $sp^3$  hybridized orbital is a combination of  $s$  and  $p$  atomic orbitals.



Each  $sp^3$ -hybridized orbital bears an electron, and electrons repel each other. To minimize the repulsion between electrons, the four  $sp^3$ -hybridized orbitals arrange themselves around the carbon nucleus so that they are as far away as possible from each other, resulting in the tetrahedral arrangement predicted by VSEPR. The carbon atom in methane is called an " $sp^3$ -hybridized carbon atom." The larger lobes of the  $sp^3$  hybrids are directed towards the four corners of a tetrahedron, meaning that the angle between any two orbitals is  $109.5^\circ$ .

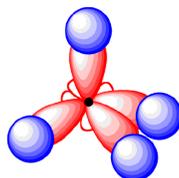


5

### BONDING IN METHANE

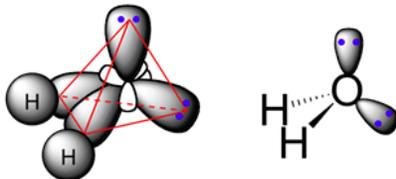
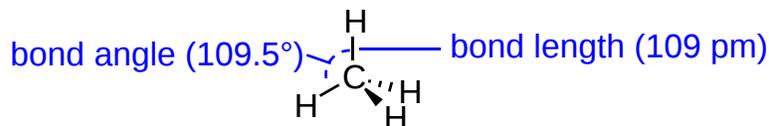
Each C-H bond in methane, then, can be described as an overlap between a half-filled  $1s$  orbital in four hydrogen atoms and the larger lobe of one of the four half-filled  $sp^3$  hybrid orbitals form a four equivalent sigma ( $\sigma$ ) bond. This orbital overlap is often described using the notation:  $sp^3(\text{C})-1s(\text{H})$ . The formation of  $sp^3$  hybrid orbitals successfully explains the tetrahedral structure of methane and the equivalency of the the four C-H bonds.

What remains is an explanation of why the  $sp^3$  hybrid orbitals form. When the  $s$  and 3  $p$  orbitals in carbon hybridize the resulting  $sp^3$  hybrid orbital is unsymmetrical with one lobe larger than the other. This means the larger lobe can overlap more effectively with orbitals from other bonds making them stronger. Hybridizing allows for the carbon to form stronger bonds than it would with unhybridized  $s$  or  $p$  orbitals.



6

The four carbon-hydrogen bonds in methane are equivalent and all have a bond length of 109 pm ( $1.09 \times 10^{-10}$  m), bond strength of 429 kJ/mol. All of the H-C-H bond angles are  $109.5^\circ$ .

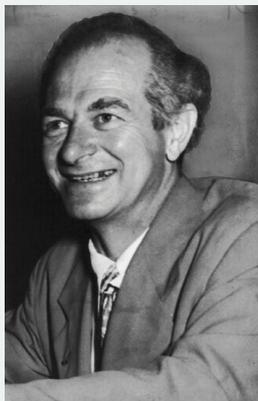


### 📌 LOOKING CLOSER: LINUS PAULING

Arguably the most influential chemist of the 20th century, Linus Pauling (1901–1994) is the only person to have won two individual (that is, unshared) Nobel Prizes. In the 1930s, Pauling used new mathematical theories to enunciate some fundamental principles of the chemical bond. His 1939 book *The Nature of the Chemical Bond* is one of the most significant books ever published in chemistry.

Pauling's big contribution to chemistry was valence bond theory, which combined his knowledge of quantum mechanical theory with his knowledge of basic chemical facts, like bond lengths and bond strengths and shapes of molecules. Valence bond theory, like Lewis's bonding theory, provides a simple model that is useful for predicting and understanding the structures of molecules, especially for organic chemistry.

By 1935, Pauling's interest turned to biological molecules, and he was awarded the 1954 Nobel Prize in Chemistry for his work on protein structure. (He was very close to discovering the double helix structure of DNA when James Watson and James Crick announced their own discovery of its structure in 1953.) He was later awarded the 1962 Nobel Peace Prize for his efforts to ban the testing of nuclear weapons.



*Linus Pauling was one of the most influential chemists of the 20th century.*

In his later years, Pauling became convinced that large doses of vitamin C would prevent disease, including the common cold. Most clinical research failed to show a connection, but Pauling continued to take large doses daily. He died in 1994, having spent a lifetime establishing a scientific legacy that few will ever equal

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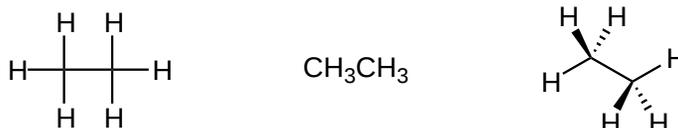
## 1.7: SP<sup>3</sup> HYBRID ORBITALS AND THE STRUCTURE OF ETHANE

### OBJECTIVE

After completing this section, you should be able to describe the structure of ethane in terms of the  $sp^3$  hybridization of the two carbon atoms present in the molecule ethane.

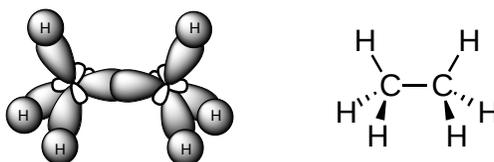
### BONDING IN ETHANE

The simplest molecule with a carbon-carbon bond is ethane,  $C_2H_6$ .

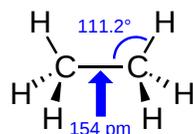


#### Representations of Ethane

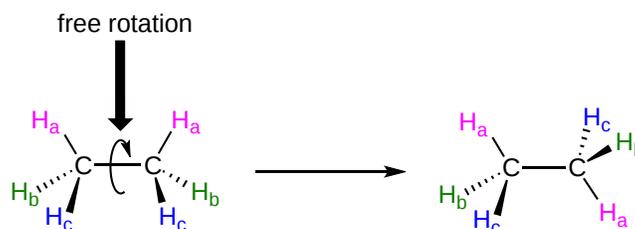
In ethane ( $CH_3CH_3$ ), both carbons are  $sp^3$ -hybridized, meaning that both have four bonds with tetrahedral geometry. An  $sp^3$  orbital of one carbon atom overlaps end to end with an  $sp^3$  orbital of the second carbon atom to form a carbon-carbon  $\sigma$  bond. This orbital overlap is often described using the notation:  $sp^3(C)-sp^3(C)$ . Each of the remaining  $sp^3$  hybrid orbitals overlaps with the  $S$  orbital of a hydrogen atom to form carbon-hydrogen  $\sigma$  bonds.



The  $\sigma$  carbon-carbon bond has a bond length of 154 pm, and a bond strength of 377 kJ/mol. The carbon-hydrogen  $\sigma$  bonds are slightly weaker, 421 kJ/mol, than those of methane. The C-C-H bond angles in ethane are  $111.2^\circ$  which is close to the what is expected for tetrahedral molecules.



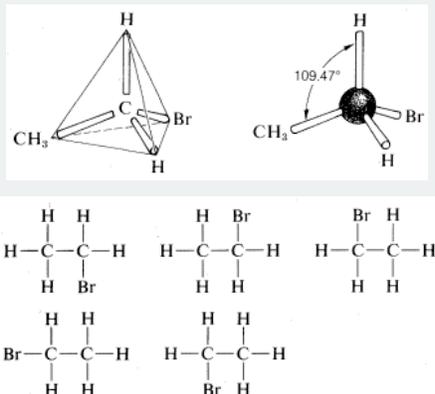
The orientation of the two  $CH_3$  groups is not fixed relative to each other. Because they are formed from the end-on-end overlap of two orbitals, **sigma bonds are free to rotate**. This means, in the case of ethane molecule, that the two methyl ( $CH_3$ ) groups can be pictured as two wheels on a hub, each one able to rotate freely with respect to the other. In [Section 3.7](#) we will learn more about the implications of rotational freedom in sigma bonds, when we discuss the 'conformation' of organic molecules



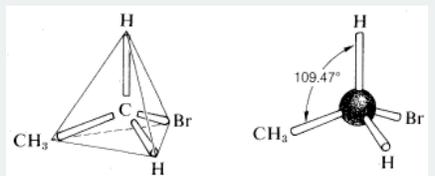
### HOW DID THEY KNOW?

The tetrahedral geometry of carbon was predicted as far back as 1874. But how did they know? A question came up when looking at ethane with a bromine substituent ( $C_2H_5Br$ ). When looking at the possible structures of the compound  $C_2H_5Br$  there are several possible structural formulas. here was a serious problem as to whether these formulas represent the same or different compounds. All that was known in the early days was that every purified sample of  $C_2H_5Br$ , no matter how prepared, had a boiling point of  $38^\circ C$  and density of  $1.460\text{ gml}^{-1}$ . Furthermore, all looked the same, all smelled the same, and all underwent the same chemical reactions. There was no evidence that  $C_2H_5Br$  was a mixture or that more than one compound of this formula could be prepared. One might conclude,

therefore, that all of the structural formulas above represent a single substance but how? A brilliant solution to the problem came when J. H. van 't Hoff proposed that all four bonds of carbon are equivalent and directed to the corners of a regular tetrahedron. If we redraw the structures for  $C_2H_5Br$  with both carbons having tetrahedral geometry, we see that there is only one possible arrangement. This theory hints at the idea of free rotation around sigma bonds which will be discussed later.



There was a serious problem as to whether these formulas represent the same or different compounds. All that was known in the early days was that every purified sample of  $C_2H_5Br$ , no matter how prepared, had a boiling point of  $38\text{ }^\circ\text{C}$  and density of  $1.460\text{ gml}^{-1}$ . Furthermore, all looked the same, all smelled the same, and all underwent the same chemical reactions. There was no evidence that  $C_2H_5Br$  was a mixture or that more than one compound of this formula could be prepared. One might conclude, therefore, that all of the structural formulas above represent a single substance but how? A brilliant solution to the problem came when J. H. van 't Hoff proposed that all four bonds of carbon are equivalent and directed to the corners of a regular tetrahedron. If we redraw the structures for  $C_2H_5Br$  with both carbons having tetrahedral geometry, we see that there is only one possible arrangement. This theory hints at the idea of free rotation around sigma bonds which will be discussed later.



## EXERCISE

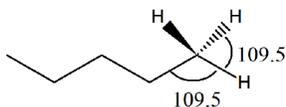
### QUESTIONS

#### Q1.7.1

Draw pentane,  $CH_3CH_2CH_2CH_2CH_3$ , predict the bond angles within this molecule.

### SOLUTIONS

#### S1.7.1



All the bond angles will be the same size.

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## 1.8: SP<sup>2</sup> HYBRID ORBITALS AND THE STRUCTURE OF ETHYLENE

### OBJECTIVES

After completing this section, you should be able to

1. account for the formation of carbon-carbon double bonds using the concept of  $sp^2$  hybridization.
2. describe a carbon-carbon double bond as consisting of one  $\sigma$  bond and one  $\pi$  bond.
3. explain the difference between a  $\sigma$  bond and a  $\pi$  bond in terms of the way in which  $p$  orbitals overlap.

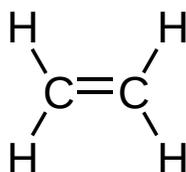
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

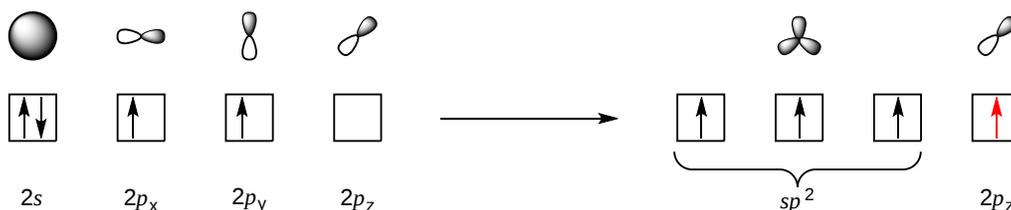
- pi ( $\pi$ ) bond
- $sp^2$  hybrid

### BONDING IN ETHYLENE

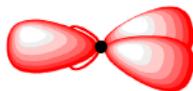
Thus far valence bond theory has been able to describe the bonding in molecules containing only single bonds. However, when molecules contain double or triple bonds the model requires more details. Ethylene (commonly known as ethene),  $\text{CH}_2\text{CH}_2$ , is the simplest molecule which contains a carbon-carbon double bond. The Lewis structure of ethylene indicates that there are one carbon-carbon double bond and four carbon-hydrogen single bonds. Experimentally, the four carbon-hydrogen bonds in the ethylene molecule have been shown to be identical. Because each carbon is surrounded by three electron groups, VSEPR theory says the molecule should have a trigonal planar geometry. Although each carbon has fulfilled its tetravalent requirement, one bond appears different. Clearly, a different type of orbital overlap is involved.



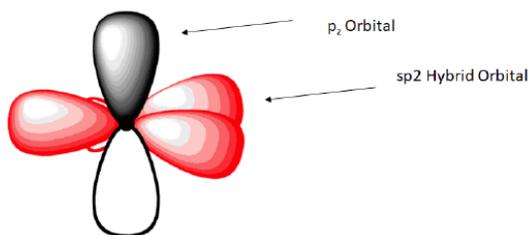
The sigma bonds formed in ethene is by the participation of a different kind of hybrid orbital. Three atomic orbitals on each carbon – the  $2s$ ,  $2p_x$  and  $2p_y$  – combine to form three  $sp^2$  hybrids, leaving the  $2p_z$  orbital unhybridized. Three of the four valence electrons on each carbon are distributed to the three  $sp^2$  hybrid orbitals, while the remaining electron goes into the unhybridized  $p_z$  orbital. Each carbon in ethene is said to be a “ $sp^2$ -hybridized carbon.” The electron configuration of the  $sp^2$  hybridized carbon shows that there are four unpaired electrons to form bonds. However, the unpaired electrons are contained in two different types of orbitals so it is to be expected that two different types of bonds will form.



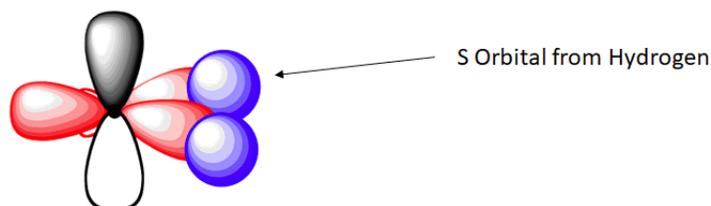
The shape of the  $sp^2$ -hybridized orbital has been mathematically shown to be roughly the same as that of the  $sp^3$ -hybridized orbital. To minimize the repulsion between electrons, the three  $sp^2$ -hybridized orbitals are arranged with a trigonal planar geometry. Each orbital lobe is pointing to the three corners of an equilateral triangle, with angles of  $120^\circ$  between them. Again, geometry and hybridization can be tied together. Atoms surrounded by three electron groups can be said to have a trigonal planar geometry and  $sp^2$  hybridization.



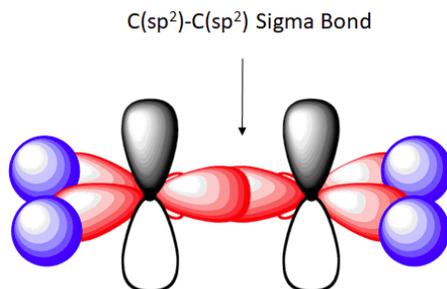
The unhybridized  $2p_z$  orbital is *perpendicular* to the plane of the trigonal planar  $sp^2$  hybrid orbitals.



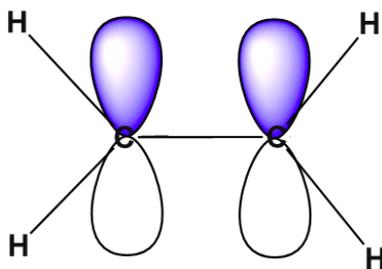
In the ethylene molecule, each carbon atom is bonded to two hydrogen atoms. Thus, overlap two  $sp^2$ -hybridized orbitals with the  $1s$  orbitals of two hydrogen atoms for the C-H sigma bonds in ethylene ( $sp^2(C)-1s(H)$ ). Consequently, consistent with the observations, the four carbon-hydrogen bonds in ethylene are identical.



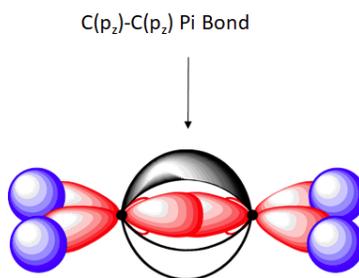
The C-C sigma bond in ethylene is formed by the overlap of an  $sp^2$  hybrid orbital from each carbon.



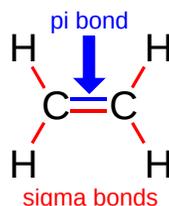
The overlap of hybrid orbitals or a hybrid orbital and a  $1s$  orbital from hydrogen creates the sigma bond framework of the ethylene molecule. However the unhybridized  $p_z$  orbital on each carbon remains.



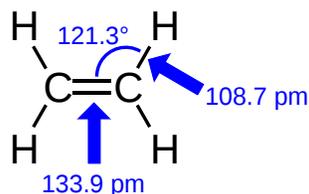
The unhybridized  $p_z$  orbitals on each carbon overlap to a  $\pi$  bond ( $\pi$ ). The orbital overlap is commonly written as  $p_z(C)-1p_z(C)$ . In general multiple bonds in molecular compound are formed by the overlap of unhybridized  $p$  orbitals. It should be noted that the carbon-carbon double bond in ethylene is made up of two different types of bond, a sigma and a  $\pi$ .



Overall, ethylene is said to contain five sigma bonds and one pi bond. Pi bonds tend to be weaker than sigma bonds because the side-by-side overlap of the p orbitals gives a less effective orbital overlap when compared to the end-to-end orbital overlap of a sigma bond. This makes the pi bond much easier to break, which is one of the most important ideas in organic chemistry reactions as we will see in [Chapter 7](#) and subsequent chapters.

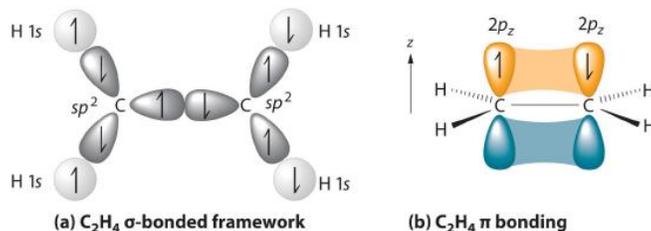


An ethylene molecule is said to be made up of five sigma bonds and one pi bond. The three  $sp^2$  hybrid orbitals on each carbon orient to create the basic trigonal planar geometry. The H-C-C bond angle in ethylene is  $121.3^\circ$ , which is very close to the  $120^\circ$  predicted by VSEPR. The four C-H sigma bonds in ethylene. The carbon-carbon double bond in ethylene is both shorter (133.9 pm) and almost twice as strong (728 kJ/mol) than the carbon-carbon single bond in ethane (154 pm & 377 kJ/mol). Each of the four carbon-hydrogen bonds in ethylene are equivalent and have a length of 108.7 pm.



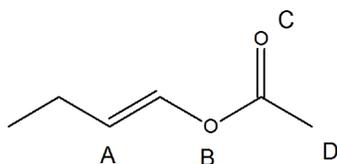
## RIGIDITY IN ETHENE

Because they are the result of side-by-side overlap (rather than end-to-end overlap like a sigma bond), *pi bonds are not free to rotate*. If rotation about this bond were to occur, it would involve disrupting the side-by-side overlap between the two  $2p_z$  orbitals that make up the pi bond. If free rotation were to occur, the p-orbitals would have to go through a phase where they are  $90^\circ$  from each other, which would break the pi bond because there would be no overlap. Since the pi bond is essential to the structure of ethene, it must not break, so there can be no free rotation about the carbon-carbon sigma bond. The presence of the pi bond thus 'locks' the six atoms of ethene into the same plane.



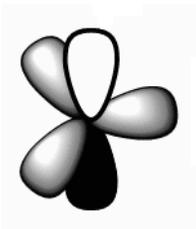
## EXERCISE

1) Consider the following molecule:



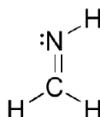
At each atom, what is the hybridization and the bond angle and the bond angle predicted by VSPER?

2) Please identify the types of orbitals shown in the following diagram:



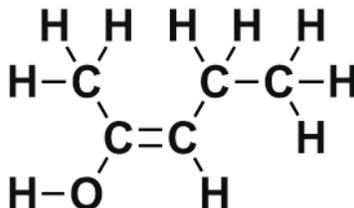
3)

a: Describe the orbitals which overlap to the carbon-nitrogen sigma bond and pi bond in the molecule below:



b: What kind of orbital holds the nitrogen lone pair?

4) For the following molecule please indicate with atoms are being held in the same plane by the carbon-carbon double bond:



## SOLUTIONS

1)

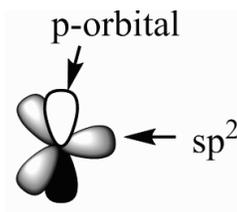
A -  $sp^2$ ,  $120^\circ$

B -  $sp^3$ ,  $109^\circ$

C -  $sp^2$ ,  $120^\circ$  (with the lone pairs present)

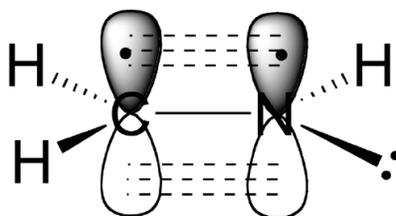
D -  $sp^3$ ,  $109^\circ$

2)



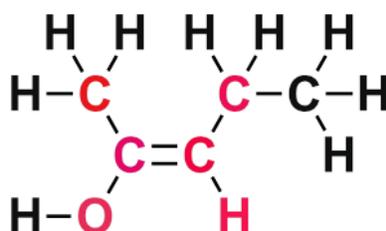
3)

a) The carbon and nitrogen atoms are both  $sp^2$  hybridized. The carbon-nitrogen double bond is composed of a sigma bond formed from two  $sp^2$  orbitals, and a pi bond formed from the side-by-side overlap of two unhybridized  $2p$  orbitals.



b) As shown in the figure above, the nitrogen lone pair electrons occupy one of the three  $sp^2$  hybrid orbitals.

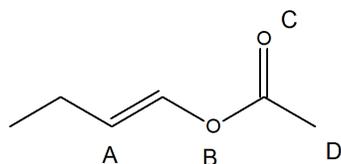
4)



### QUESTIONS

#### Q1.8.1

Consider the following molecule:



At each atom, what is the hybridization and the bond angle? At atom A draw the molecular orbital.

### SOLUTIONS

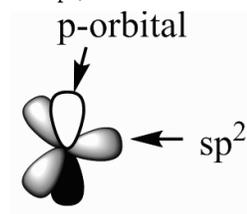
#### S1.8.1

A -  $sp^2$ ,  $120^\circ$

B -  $sp^3$ ,  $109^\circ$

C -  $sp^2$ ,  $120^\circ$  (with the lone pairs present)

D -  $sp^3$ ,  $109^\circ$



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## 1.9: SP HYBRID ORBITALS AND THE STRUCTURE OF ACETYLENE

### OBJECTIVES

After completing this section, you should be able to

1. use the concept of  $sp$  hybridization to account for the formation of carbon-carbon triple bonds, and describe a carbon-carbon triple bond as consisting of one  $\sigma$  bond and two  $\pi$  bonds.
2. list the approximate bond lengths associated with typical carbon-carbon single bonds, double bonds and triple bonds. [You may need to review Sections 1.7 and 1.8.]
3. list the approximate bond angles associated with  $sp^3$ -,  $sp^2$ - and  $sp$ -hybridized carbon atoms and predict the bond angles to be expected in given organic compounds. [If necessary, review Sections 1.6, 1.7 and 1.8.]
4. account for the differences in bond length, bond strength and bond angles found in compounds containing  $sp^3$ -,  $sp^2$ - and  $sp$ -hybridized carbon atoms, such as ethane, ethylene and acetylene.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- $sp$  hybrid orbital

### STUDY NOTES

The bond angles associated with  $sp^3$ -,  $sp^2$ - and  $sp$ -hybridized carbon atoms are approximately  $109.5^\circ$ ,  $120^\circ$  and  $180^\circ$ , respectively.

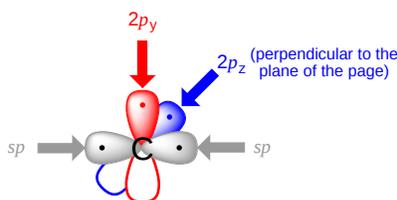
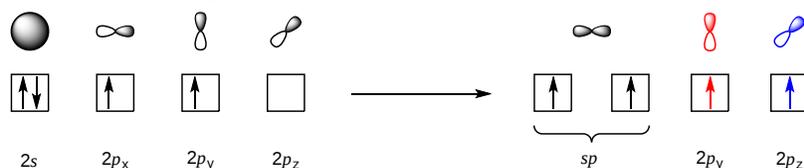
### BONDING IN ACETYLENE

Finally, the hybrid orbital concept applies well to triple-bonded groups, such as alkynes and nitriles. Consider, for example, the structure of ethyne (another common name is acetylene), the simplest alkyne.



ethyne  
(acetylene)

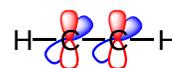
This molecule is linear: all four atoms lie in a straight line. The carbon-carbon triple bond is only  $1.20\text{\AA}$  long. In the hybrid orbital picture of acetylene, both carbons are  **$sp$ -hybridized**. In an  $sp$ -hybridized carbon, the  $2s$  orbital combines with the  $2p_x$  orbital to form two  $sp$  hybrid orbitals that are oriented at an angle of  $180^\circ$  with respect to each other (eg. along the  $x$  axis). The  $2p_y$  and  $2p_z$  orbitals remain non-hybridized, and are oriented perpendicularly along the  $y$  and  $z$  axes, respectively.



The C-C sigma bond is formed by the overlap of one  $sp$  orbital from each of the carbons, while the two C-H sigma bonds are formed by the overlap of the second  $sp$  orbital on each carbon with a  $1s$  orbital on a hydrogen. Each carbon atom still has two half-filled  $2p_y$  and  $2p_z$  orbitals, which are perpendicular both to each other and to the line formed by the sigma bonds. These two perpendicular pairs of  $p$  orbitals form two pi bonds between the carbons, resulting in a triple bond overall (one sigma bond plus two pi bonds).

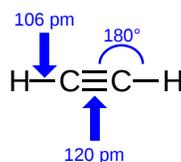


sigma bonding in ethylene



pi bonding in ethylene

Acetylene is said to have three sigma bonds and two pi bonds. The carbon-carbon triple bond in acetylene is the shortest (120 pm) and the strongest (965 kJ/mol) of the carbon-carbon bond types. Because each carbon in acetylene has two electron groups, VSEPR predicts a linear geometry and an H-C-C bond angle of 180°.

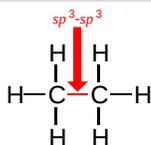


Comparison of C-C bonds Ethane, Ethylene, and Acetylene

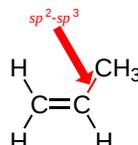
Molecule	Bond	Bond Strength (kJ/mol)	Bond Length (pm)
Ethane, CH <sub>3</sub> CH <sub>3</sub>	(sp <sup>3</sup> ) C-C (sp <sup>3</sup> )	376	154
Ethylene, H <sub>2</sub> C=CH <sub>2</sub>	(sp <sup>2</sup> ) C=C (sp <sup>2</sup> )	728	134
Acetylene, HC≡CH	(sp) C≡C (sp)	965	120

Notice that as the bond order increases the bond length decreases and the bond strength increases.

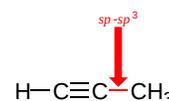
The hybrid orbital concept nicely explains another experimental observation: single bonds adjacent to double and triple bonds are progressively shorter and stronger than 'normal' single bonds, such as the one in a simple alkane. The carbon-carbon bond in ethane (structure A below) results from the overlap of two sp<sup>3</sup> orbitals.



A



B



C

In propene (B), however, the carbon-carbon single bond is the result of overlap between an sp<sup>2</sup> orbital and an sp<sup>3</sup> orbital, while in propyne (C) the carbon-carbon single bond is the result of overlap between an sp orbital and an sp<sup>3</sup> orbital. These are all single bonds, but the single bond in molecule C is shorter and stronger than the one in B, which is in turn shorter and stronger than the one in A.

The explanation here is relatively straightforward. An sp orbital is composed of one s orbital and one p orbital, and thus it has 50% s character and 50% p character. sp<sup>2</sup> orbitals, by comparison, have 33% s character and 67% p character, while sp<sup>3</sup> orbitals have 25% s character and 75% p character. Because of their spherical shape, s orbitals are smaller, and hold electrons closer and 'tighter' to the nucleus, compared to p orbitals. Consequently, bonds involving sp + sp<sup>3</sup> overlap (as in alkyne C) are shorter and stronger than bonds involving sp<sup>2</sup> + sp<sup>3</sup> overlap (as in alkene B). Bonds involving sp<sup>3</sup>-sp<sup>3</sup> overlap (as in alkane A) are the longest and weakest of the group, because of the 75% 'p' character of the hybrids.

## HYBRIDIZATION SUMMARY

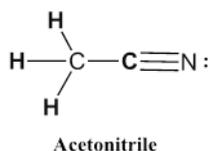
- A single bond is a sigma bond.
- A double bond is made up of a sigma bond and a pi bond.
- A triple bond is made up of a sigma bond and two pi bonds.
- Sigma bonds are made by the overlap of two hybrid orbitals or the overlap of a hybrid orbital and a s orbital from hydrogen.
- Pi bonds are made by the overlap of two unhybridized p orbitals.
- Lone pair electrons are usually contained in hybrid orbitals.

The hybrid orbitals used (and hence the hybridization) depends on how many electron groups are around the atom in question. An electron group can mean either a bonded atom or a lone pair. Molecular geometry is also decided by the number of electron groups so it is directly linked to hybridization.

# of Electron Groups	Hybrid Orbital Used	Example	Basic Geometry	Basic Bond Angle
2	sp		Linear	180°
3	sp <sup>2</sup>		Trigonal Planar	120°
4	sp <sup>3</sup>		Tetrahedral	109.5°

## EXERCISES

1) For the molecule acetonitrile:



- How many sigma and pi bonds does it have?
- What orbitals overlap to form the C-H sigma bonds?
- What orbitals overlap to form the C-C sigma bond?
- What orbitals overlap to form the C-N sigma bond?
- What orbitals overlap to form the C-N pi bonds?
- What orbital contains the lone pair electrons on nitrogen?

## SOLUTIONS

- 5 sigma and 2 pi
  - An sp<sup>3</sup> hybrid orbital from carbon and an s orbital from hydrogen.
  - An sp<sup>3</sup> hybrid orbital from one carbon and an sp<sup>3</sup> orbital from the other carbon.
  - An sp hybrid orbital from carbon and an sp orbital from nitrogen.
  - An p<sub>y</sub> and p<sub>z</sub> orbital from carbon and an p<sub>y</sub> and p<sub>z</sub> orbital from nitrogen.
  - An sp hybrid orbital.

## QUESTIONS

### Q1.9.1

1-Cyclohexyne is a very strained molecule. By looking at the molecule explain why there is such an intermolecular strain using the knowledge of hybridization and bond angles.



## SOLUTIONS

### S1.9.1

The alkyne is a sp hybridized orbital. By looking at a sp orbital, we can see that the bond angle is 180°, but in cyclohexane the regular angles would be 109.5°. Therefore the molecule would be strained to force the 180° to be a 109°.

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## 1.10: HYBRIDIZATION OF NITROGEN, OXYGEN, PHOSPHORUS AND SULFUR

### OBJECTIVE

After completing this section, you should be able to apply the concept of hybridization of atoms such as N, O, P and S to explain the structures of simple species containing these atoms.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- lone pair electrons

### STUDY NOTES

Nitrogen is frequently found in organic compounds. As with carbon atoms, nitrogen atoms can be  $sp^3$ -,  $sp^2$ - or  $sp$ -hybridized.

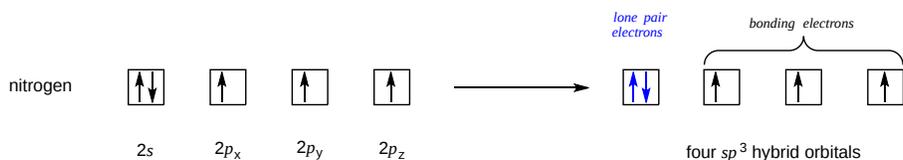
Note that, in this course, the term “lone pair” is used to describe an unshared pair of electrons.

The valence-bond concept of orbital hybridization can be extrapolated to other atoms including nitrogen, oxygen, phosphorus, and sulfur. In other compounds, covalent bonds that are formed can be described using hybrid orbitals.

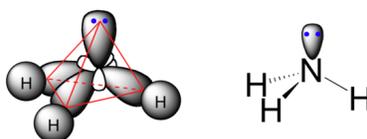
### NITROGEN

#### BONDING IN $NH_3$

The nitrogen in  $NH_3$  has five valence electrons. After hybridization these five electrons are placed in the four equivalent  $sp^3$  hybrid orbitals. The electron configuration of nitrogen now has one  $sp^3$  hybrid orbital completely filled with two electrons and three  $sp^3$  hybrid orbitals with one unpaired electron each. The two electrons in the filled  $sp^3$  hybrid orbital are considered non-bonding because they are already paired. These electrons will be represented as a lone pair on the structure of  $NH_3$ . The three unpaired electrons in the hybrid orbitals are considered bonding and will overlap with the s orbitals in hydrogen to form N-H sigma bonds. Note! This bonding configuration was predicted by the Lewis structure of  $NH_3$ .

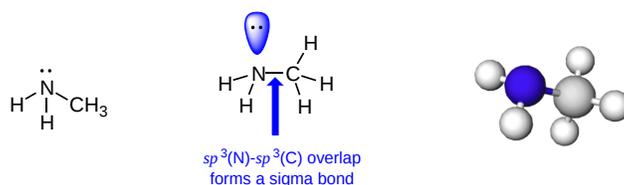


The four  $sp^3$  hybrid orbitals of nitrogen orientate themselves to form a tetrahedral geometry. The three N-H sigma bonds of  $NH_3$  are formed by  $sp^3(N)$ - $1s(H)$  orbital overlap. The fourth  $sp^3$  hybrid orbital contains the two electrons of the lone pair and is not directly involved in bonding.



#### METHYL AMINE

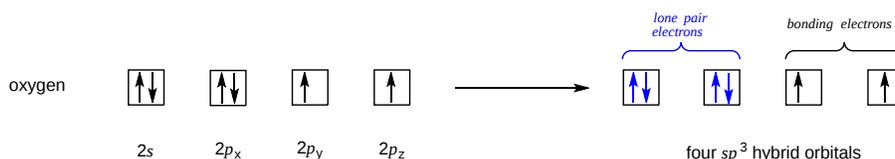
The nitrogen is  $sp^3$  hybridized which means that it has four  $sp^3$  hybrid orbitals. Two of the  $sp^3$  hybridized orbitals overlap with s orbitals from hydrogens to form the two N-H sigma bonds. One of the  $sp^3$  hybridized orbitals overlap with an  $sp^3$  hybridized orbital from carbon to form the C-N sigma bond. The lone pair electrons on the nitrogen are contained in the last  $sp^3$  hybridized orbital. Due to the  $sp^3$  hybridization the nitrogen has a tetrahedral geometry. However, the H-N-H and H-N-C bonds angles are less than the typical  $109.5^\circ$  due to compression by the lone pair electrons.



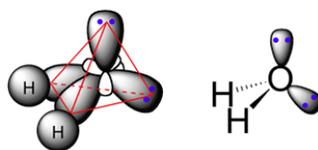
## OXYGEN

### BONDING IN H<sub>2</sub>O

The oxygen in H<sub>2</sub>O has six valence electrons. After hybridization these six electrons are placed in the four equivalent  $sp^3$  hybrid orbitals. The electron configuration of oxygen now has two  $sp^3$  hybrid orbitals completely filled with two electrons and two  $sp^3$  hybrid orbitals with one unpaired electron each. The filled  $sp^3$  hybrid orbitals are considered non-bonding because they are already paired. These electrons will be represented as a two sets of lone pair on the structure of H<sub>2</sub>O. The two unpaired electrons in the hybrid orbitals are considered bonding and will overlap with the s orbitals in hydrogen to form O-H sigma bonds. Note! This bonding configuration was predicted by the Lewis structure of H<sub>2</sub>O.

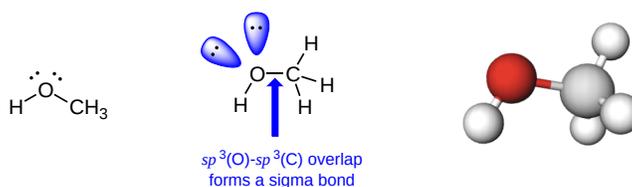


The four  $sp^3$  hybrid orbitals of oxygen orientate themselves to form a tetrahedral geometry. The two O-H sigma bonds of H<sub>2</sub>O are formed by  $sp^3(\text{O})-1s(\text{H})$  orbital overlap. The two remaining  $sp^3$  hybrid orbitals each contain two electrons in the form of a lone pair.



## METHANOL

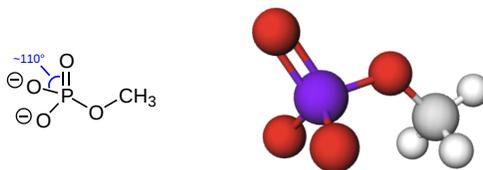
The oxygen is  $sp^3$  hybridized which means that it has four  $sp^3$  hybrid orbitals. One of the  $sp^3$  hybridized orbitals overlap with s orbitals from a hydrogen to form the O-H sigma bonds. One of the  $sp^3$  hybridized orbitals overlap with an  $sp^3$  hybridized orbital from carbon to form the C-O sigma bond. Both the sets of lone pair electrons on the oxygen are contained in the remaining  $sp^3$  hybridized orbital. Due to the  $sp^3$  hybridization the oxygen has a tetrahedral geometry. However, the H-O-C bond angles are less than the typical 109.5° due to compression by the lone pair electrons.



## PHOSPHORUS

### METHYL PHOSPHATE

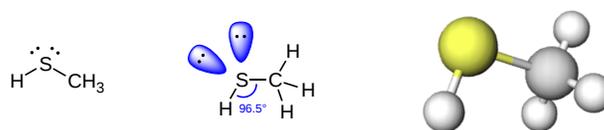
The bond pattern of phosphorus is analogous to nitrogen because they are both in period 15. However, phosphorus can have expanded octets because it is in the  $n = 3$  row. Typically, phosphorus forms five covalent bonds. In biological molecules, phosphorus is usually found in organophosphates. Organophosphates are made up of a phosphorus atom bonded to four oxygens, with one of the oxygens also bonded to a carbon. In methyl phosphate, the phosphorus is  $sp^3$  hybridized and the O-P-O bond angle varies from 110° to 112°.



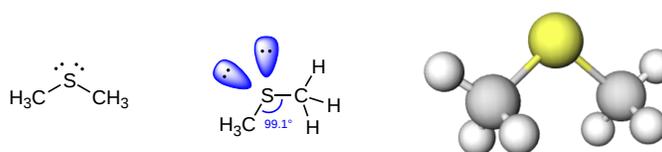
## SULFUR

### METHANETHIOL & DIMETHYL SULFIDE

Sulfur has a bonding pattern similar to oxygen because they are both in period 16 of the periodic table. Because sulfur is positioned in the third row of the periodic table it has the ability to form an expanded octet and the ability to form more than the typical number of covalent bonds. In biological system, sulfur is typically found in molecules called thiols or sulfides. In a thiol, the sulfur atom is bonded to one hydrogen and one carbon and is analogous to an alcohol O-H bond. In a sulfide, the sulfur is bonded to two carbons. The simplest example of a thiol is methane thiol ( $\text{CH}_3\text{SH}$ ) and the simplest example of a sulfide is dimethyl sulfide  $[(\text{CH}_3)_2\text{S}]$ . In both cases the sulfur is  $sp^3$  hybridized, however the sulfur bond angles are much less than the typical tetrahedral  $109.5^\circ$  being  $96.6^\circ$  and  $99.1^\circ$  respectively.



*methanethiol*

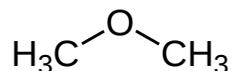


*dimethyl sulfide*

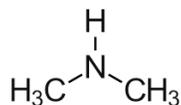
### EXERCISES

1) Insert the missing lone pairs of electrons in the following molecules, and tell what hybridization you expect for each of the indicated atoms.

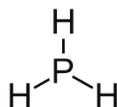
a) The oxygen in dimethyl ether:



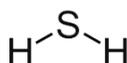
b) The nitrogen in dimethyl amine:



c) The phosphorus in phosphine:



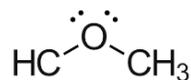
d) The sulfur in hydrogen sulfide:



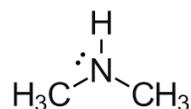
## SOLUTIONS

1)

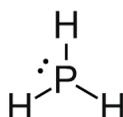
a)  $sp^3$  hybridization



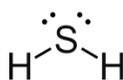
b)  $sp^3$  hybridization



c)  $sp^3$  hybridization



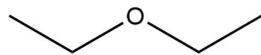
d)  $sp^3$  hybridization



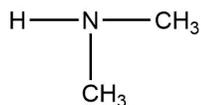
## QUESTIONS

### Q1.10.1

Identify geometry and lone pairs on each heteroatom of the molecules given.



Diethyl Ether



Dimethylamine

## SOLUTIONS

### S1.10.1

Diethyl ether would have two lone pairs of electrons and would have a bent geometry around the oxygen.

Dimethyl amine would have one lone pair and would show a pyramidal geometry around the nitrogen.

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## 1.11: DESCRIBING CHEMICAL BONDS - MOLECULAR ORBITAL THEORY

### OBJECTIVES

After completing this section, you should be able to

1. describe the formation of covalent bonds in terms of molecular orbitals.
2. account for differences in bond length and strength in terms of the efficiency with which atomic orbitals overlap.
3. draw simple molecular orbital diagrams (e.g., for the  $H_2$  molecule) showing the formation of bonding and anti-bonding orbitals.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

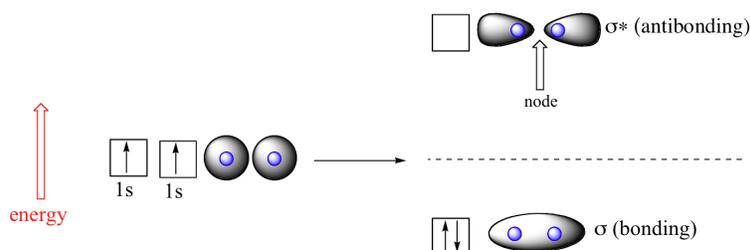
- anti-bonding molecular orbital
- bonding molecular orbital
- molecular orbital (MO) theory

As we have seen, valence bond theory does a remarkably good job of explaining the bonding geometry and properties of many organic compounds. There are some areas, however, where the valence bond theory falls short. It fails to adequately account, for example, for some interesting properties of compounds that contain alternating double and single bonds. In order to understand these properties, we need to think about chemical bonding in a new way, using the ideas of molecular orbital (MO) theory.

### ANOTHER LOOK AT THE $H_2$ MOLECULE: BONDING AND ANTI-BONDING SIGMA MOLECULAR ORBITALS

Let's consider again the simplest possible covalent bond: the one in molecular hydrogen ( $H_2$ ). When we described the hydrogen molecule using valence bond theory, we said that the two  $1s$  orbitals from each atom overlap, allowing the two electrons to be shared and thus forming a covalent bond. In molecular orbital theory, we make a further statement: we say that the two atomic  $1s$  orbitals don't just overlap, they actually *combine to form two completely new orbitals*. These two new orbitals, instead of describing the likely location of an electron around a single nucleus, describe the location of an electron pair around two or more nuclei. The bonding in  $H_2$ , then, is due to the formation of a new **molecular orbital (MO)**, in which a pair of electrons is delocalized around two hydrogen nuclei.

An important principle of quantum mechanical theory is that when orbitals combine, the number of orbitals before the combination takes place must equal the number of new orbitals that result – orbitals don't just disappear! We saw this previously when we discussed hybrid orbitals: one  $s$  and three  $p$  orbitals make four  $sp^3$  hybrids. When two atomic  $1s$  orbitals combine in the formation of  $H_2$ , the result is two molecular orbitals called **sigma ( $\sigma$ ) orbitals**. According to MO theory, the first sigma orbital is lower in energy than either of the two isolated atomic  $1s$  orbitals – thus this sigma orbital is referred to as a **bonding molecular orbital**. The second, **sigma-star ( $\sigma^*$ ) orbital** is higher in energy than the two atomic  $1s$  orbitals, and is referred to as an **anti-bonding molecular orbital**. In MO theory, a star (\*) sign always indicates an anti-bonding orbital.



Following the *aufbau* ('building up') principle, we place the two electrons in the  $H_2$  molecule in the lowest energy molecular orbital, which is the (bonding) sigma orbital.

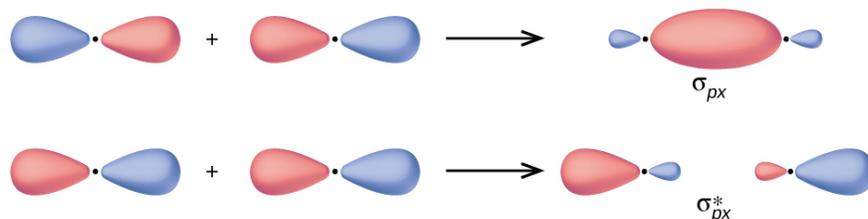
The bonding sigma orbital, which holds both electrons in the ground state of the molecule, is egg-shaped, encompassing the two nuclei, and with the highest likelihood of electrons being in the area between the two nuclei. The high-energy, anti-bonding sigma-star orbital can be visualized as a pair of droplets, with areas of higher electron density near each nucleus and a 'node', (area of zero electron density) midway between the two nuclei.

Remember that we are thinking here about electron behavior as *wave behavior*. When two separate waves combine, they can do so with what is called constructive interference, where the two amplitudes reinforce one another, or destructive interference, where the two amplitudes cancel one another out. Bonding MO's are the consequence of constructive interference between two atomic orbitals which

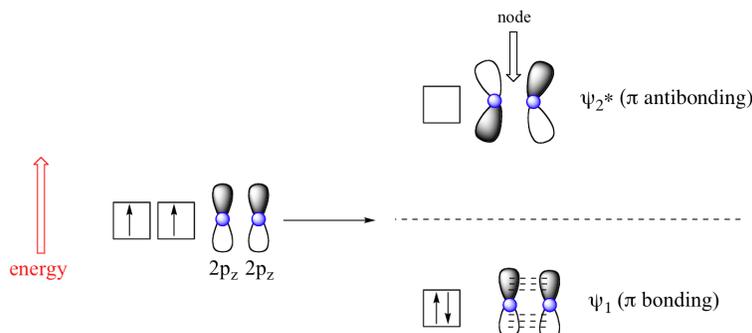
results in an attractive interaction and an increase in electron density between the nuclei. Anti-bonding MO's are the consequence of destructive interference which results in a repulsive interaction and a 'canceling out' of electron density between the nuclei (in other words, a node).

## MO THEORY AND PI BONDS

In p orbitals, the wave function gives rise to two lobes with opposite phases, analogous to how a two-dimensional wave has both parts above and below the average. We indicate the phases by shading the orbital lobes different colors. When orbital lobes of the same phase overlap, constructive wave interference increases the electron density. When regions of opposite phase overlap, the destructive wave interference decreases electron density and creates nodes. When p orbitals overlap end to end, they create  $\sigma$  and  $\sigma^*$  orbitals. If two atoms are located along the x-axis in a Cartesian coordinate system, the two  $p_x$  orbitals overlap end to end and form  $\sigma_{p_x}$  (bonding) and  $\sigma^*_{p_x}$  (antibonding) (read as "sigma-p-x" and "sigma-p-x star," respectively). Just as with s-orbital overlap, the asterisk indicates the orbital with a node between the nuclei, which is a higher-energy, antibonding orbital.



The advantage of MO theory becomes more apparent when we think about pi bonds, especially in those situations where two or more pi bonds are able to interact with one another. Let's first consider the pi bond in ethene from an MO theory standpoint (in this example we will be disregarding the various sigma bonds, and thinking *only* about the pi bond). According to MO theory, the two atomic  $2p_z$  orbitals combine to form two **pi ( $\pi$ ) molecular orbitals**, one a low-energy  $\pi$  bonding orbital and one a high-energy **pi-star ( $\pi^*$ ) anti-bonding molecular orbital**. These are sometimes denoted, in MO diagrams like the one below, with the Greek letter psi ( $\Psi$ ) instead of  $\pi$ .



In the bonding  $\Psi_1$  orbital, the two shaded lobes of the  $2p_z$  orbitals interact constructively with each other, as do the two unshaded lobes (remember, the shading choice represents mathematical (+) and (-) signs for the wavefunction). Therefore, there is increased electron density between the nuclei in the molecular orbital – this is why it is a bonding orbital.

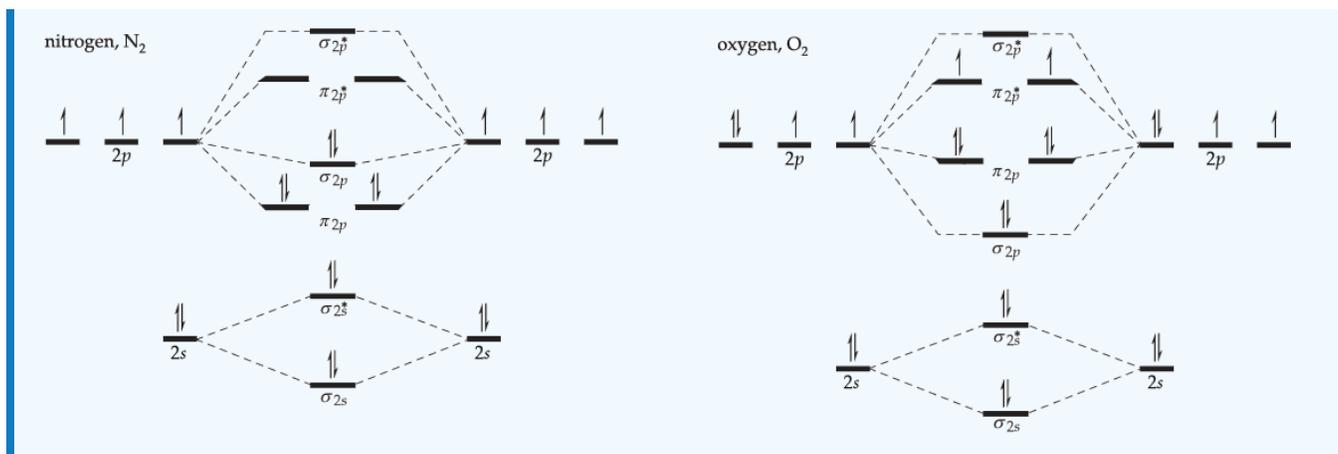
In the higher-energy anti-bonding  $\Psi_2^*$  orbital, the shaded lobe of one  $2p_z$  orbital interacts destructively with the unshaded lobe of the second  $2p_z$  orbital, leading to a node between the two nuclei and overall repulsion. By the *aufbau* principle, the two electrons from the two atomic orbitals will be paired in the lower-energy  $\Psi_1$  orbital when the molecule is in the ground state.

### ✓ EXAMPLE 1.11.1

Draw a simple molecular orbital diagram for each of the following molecules

- nitrogen,  $N_2$ .
- oxygen,  $O_2$ .

### Solution



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## 1.12: DRAWING CHEMICAL STRUCTURES

### OBJECTIVES

After completing this section, you should be able to

1. propose one or more acceptable Kekulé structures (structural formulas) for any given molecular formula
2. write the molecular formula of a compound, given its Kekulé structure.
3. draw the shorthand structure of a compound, given its Kekulé structure.
4. interpret shorthand structures and convert them to Kekulé structures.
5. write the molecular formula of a compound, given its shorthand structure.

### STUDY NOTES

When drawing the structure of a neutral organic compound, you will find it helpful to remember that

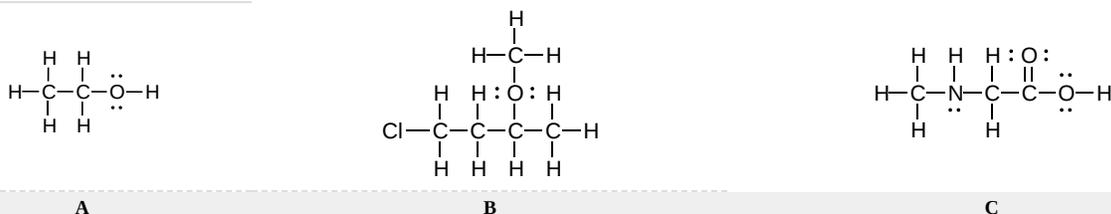
- each carbon atom has four bonds.
- each nitrogen atom has three bonds.
- each oxygen atom has two bonds.
- each hydrogen atom has one bond.

Through general chemistry, you may have already experienced looking at molecular structures using Lewis structures. Because organic chemistry can involve large molecules it would be beneficial if Lewis structures could be abbreviated. The three different ways to draw organic molecules include **Kekulé Formulas**, **Condensed Formulas**, and **Skeletal structures** (also called line-bond structures or line formulas). During this course, you will view molecules written in all three forms. It will be more helpful if you become comfortable going from one style of drawing to another, and look at drawings and understanding what they represent. Developing the ability to convert between different types of formulas requires practice, and in most cases the aid of molecular models. Many kinds of model kits are available to students and professional chemists, and the beginning student is encouraged to obtain one.

Simplification of structural formulas may be achieved without any loss of the information they convey. Kekule formulas is just organic chemistry's term for Lewis structures you have previously encountered. In **condensed structural formulas**, the bonds to each carbon are omitted, but each distinct structural unit (group) is written with subscript numbers designating multiple substituents, including the hydrogens. **Line formulas** omit the symbols for carbon and hydrogen entirely (unless the hydrogen is bonded to an atom other than carbon). Each straight line segment represents a bond, the ends and intersections of the lines are carbon atoms, and the correct number of hydrogens is calculated from the tetravalency of carbon. Non-bonding valence shell electrons are omitted in these formulas.

### KEKULÉ (A.K.A. LEWIS STRUCTURES)

A Kekulé Formula or structural formula displays the atoms of the molecule in the order they are bonded. It also depicts how the atoms are bonded to one another, for example single, double, and triple covalent bond. Covalent bonds are shown using lines. The number of dashes indicate whether the bond is a single, double, or triple covalent bond. All atom labels are shown and all lone pairs are shown.



### CONDENSED FORMULA

A condensed formula is made up of the elemental symbols. Condensed structural formulas show the order of atoms like a structural formula but are written in a single line to save space and make it more convenient and faster to write out. The order of the atoms suggests the connectivity in the molecule. Condensed structural formulas are also helpful when showing that a group of atoms is connected to a single atom in a compound. When this happens, parenthesis are used around the group of atoms to show they are together. Also, if more than one of the same substituent is attached to a given atom, it is shown with a subscript number. An example is  $\text{CH}_4$ , which represents four hydrogens attached to the same carbon. Condensed formulas can be read from either direction and  $\text{H}_3\text{C}$  is the same as  $\text{CH}_3$ , although the latter is more common.

Look at the examples below and match them with their identical molecule under the Kekulé structures and the line formulas.



A



B



C

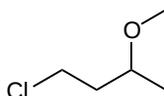
Let's look closely at example B. As you go through a condensed formula, you want to focus on the carbons and other elements that aren't hydrogen. The hydrogens are important, but are usually there to complete octets. Also, notice the  $-\text{OCH}_3$  is written in parentheses which tell you that it is not part of the main chain of carbons. As you read through a condensed formula, if you reach an atom that doesn't have a complete octet by the time you reach the next hydrogen, then it's possible that there are double or triple bonds. In example C, the carbon is double bonded to oxygen and single bonded to another oxygen. Notice how  $\text{COOH}$  means  $\text{C}(\text{=O})\text{-O-H}$  instead of  $\text{CH}_3\text{-C-O-O-H}$  because carbon does not have a complete octet and oxygens.

### LINE FORMULA

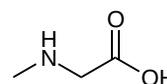
Because organic compounds can be complex at times, line-angle formulas are used to write carbon and hydrogen atoms more efficiently by replacing the letter "C" with lines. A carbon atom is present wherever a line intersects another line. Hydrogen atoms are omitted but are assumed to be present to complete each of carbon's four bonds. Hydrogens that are attached to elements other than carbon are shown. Atom labels for all other elements are shown. Lone pair electrons are usually omitted. They are assumed to be present to complete the octet of non-carbon atoms. Line formulas help show the structure and order of the atoms in a compound.



A



B



C

These molecules correspond to the exact same molecules depicted for Kekulé structures and condensed formulas. Notice how the carbons are no longer drawn in and are replaced by the ends and bends of a line. In addition, the hydrogens have been omitted, but could be easily drawn in (see practice problems). Although we do not usually draw in the H's that are bonded to carbon, we do draw them in if they are connected to other atoms besides carbon (example is the OH group above in example A). This is done because it is not always clear if the non-carbon atom is surrounded by lone pairs or hydrogens. Also in example A, notice how the OH is drawn with a bond to the second carbon, but it does not mean that there is a third carbon at the end of that bond/line.

Table 1.12.1: Structural Formulas for  $\text{C}_4\text{H}_{10}\text{O}$  isomers

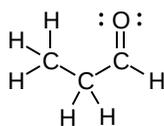
Kekulé Formula	Condensed Formula	Line Formula
	$\text{CH}_3(\text{CH}_2)_3\text{OH}$	
	$\text{CH}_3\text{CH}_2\text{CH}(\text{OH})\text{CH}_3$	
	$(\text{CH}_3)_2\text{CHCH}_2\text{OH}$	
	$(\text{CH}_3)_3\text{COH}$	
	$\text{CH}_3\text{CH}_2\text{OCH}_2\text{CH}_3$	

### EXAMPLE: CONVERTING BETWEEN STRUCTURAL FORMULAS

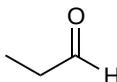
It is helpful to convert compounds into different structural formulas (Kekule, Line, and Condensed) depending on the type of question that is asked. Standardized exams frequently include a high percentage of condensed formulas because it is easier and cheaper to type letters and numbers than to import figures. Initially, it can be difficult writing a Line structure directly from a condensed formula. First, write the Kekule structure from the condensed formula and then draw the Line structure from the Kekule.

a) The condensed formula for propanal is  $\text{CH}_3\text{CH}_2\text{CHO}$ . Draw the Kekule structure.

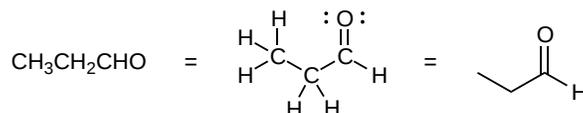
The Kekule structure for propanal is shown below. Remember that every carbon will have four bonds and oxygen's octet is filled with lone pairs.



The bond-line structure for propanal is shown below. First, remove hydrogens. The hydrogen attached to the aldehyde group remains because it is part of a functional group. Then remove the "C" labels from the structure and keep the lines in place. Lastly, remove any lone pairs.



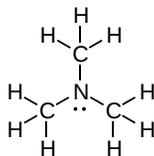
All three structures represent the same compound, propanal.



b) The following is the line structure of the molecule trimethyl amine.



To convert it to a Kekule structure first identify the carbons in the molecule. They will be at the corners and ends of line without an atom label. Trimethyl amine has three carbons. Next, add hydrogens to the carbons until four bonds are present. Each carbon in trimethyl amine is singly bonded to nitrogen. This means each carbon will need three additional C-H bonds to create its octet. Lastly, add lone pairs to other elements to fill their octets. The nitrogen in trimethyl amine is bonded to three carbons. This means it will require one lone pair electron to complete its octet.



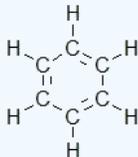
### ? EXERCISE 1.12.1

How many carbons are in the following drawing? How many hydrogens?



#### Answer

Remember the octet rule and how many times carbons and hydrogens are able to bond to other atoms.

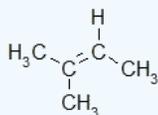


### ? EXERCISE 1.12.2

How many carbons are in the following drawing? How many hydrogens?

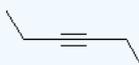


Answer

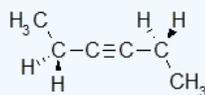


### ? EXERCISE 1.12.3

How many carbons are in the following drawing? How many hydrogens?

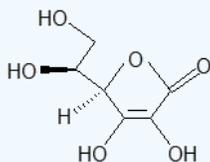


Answer



### ? EXERCISE 1.12.4

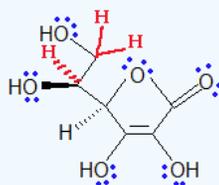
Look at the following molecule of vitamin A and draw in the hidden hydrogens and electron pairs.



Hint: Do all of the carbons have 4 bonds? Do all the oxygens have a full octet?

Answer

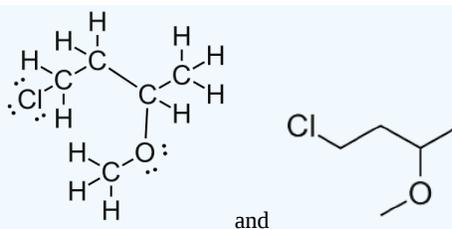
Electron pairs drawn in blue and hydrogens draw in red.



### ? EXERCISE 1.12.5

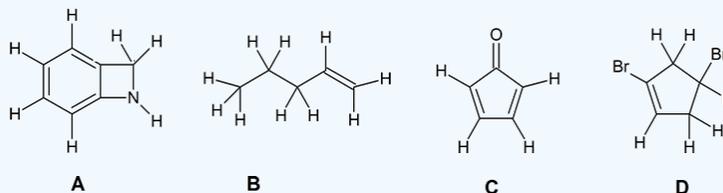
Draw  $\text{ClCH}_2\text{CH}_2\text{CH}(\text{OCH}_3)\text{CH}_3$  in Kekulé and line form.

Answer



### ? EXERCISE 1.12.6

Write down the molecular formula for each of the compounds shown here.

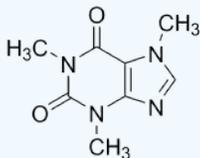


**Answer**

- $C_7H_7N$
- $C_{10}H_{22}$
- $C_5H_4O$
- $C_5H_6Br_2$

### ? EXERCISE 1.12.7

Below is the molecule for caffeine. Give the molecular formula for it.



**Answer**



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## 1.S: STRUCTURE AND BONDING (SUMMARY)

### CONCEPTS & VOCABULARY

#### 1.0: Prelude to Structure and Bonding

- Organic compounds contain carbon atoms bonded hydrogen and other carbon atoms.
- Organic chemistry studies the properties and reactions of organic compounds.

#### 1.1: Atomic Structure: The Nucleus

- Atoms are comprised of **protons**, **neutrons** and **electrons**. Protons and neutrons are found in the nucleus of the atom, while electrons are found in the electron cloud around the nucleus. The relative electrical charge of a proton is +1, a neutron has no charge, and an electron's relative charge is -1.
- The number of protons in an atom's nucleus is called the **atomic number, Z**.
- The **mass number, A**, is the sum of the number of protons and the number of neutrons in a nucleus.
- The type of element an atom represents is defined by the atomic number, Z in the atom. All atoms of one specific element have the same number of protons (Z).
- Atoms that have the same atomic number (Z), but different mass numbers (A) are called **isotopes**.

#### 1.2: Atomic Structure: Orbitals

- An **atomic orbital** is the probability description of where an electron can be found. The four basic types of orbitals are designated as **s**, **p**, **d**, and **f**.

#### 1.3: Atomic Structure: Electron Configurations

- The order in which electrons are placed in atomic orbitals is called the **electron configuration** and is governed by the **aufbau principle**.
- Electrons in the outermost shell of an atom are called **valence electrons**. The number of valence electrons in any atom is related to its position in the periodic table. Elements in the same periodic group have the same number of valence electrons.

#### 1.4: Development of Chemical Bonding Theory

- **Lewis Dot Symbols** are a way of indicating the number of valence electrons in an atom. They are useful for predicting the number and types of covalent bonds within organic molecules.
- The **molecular shape** of molecules is predicted by Valence Shell Electron Pair Repulsion (VSEPR) theory. The shapes of common organic molecules are based on **tetrahedral**, **trigonal planar** or **linear** arrangements of electron groups.

#### 1.5: The Nature of Chemical Bonds: Valence Bond Theory

- **Covalent bonds** form as valence electrons are shared between two atoms.
- **Lewis Structures** and **structural formulas** are common ways of showing the covalent bonding in organic molecules.
- **Formal charge** describes the changes in the number of valence electrons as an atom becomes bonded into a molecule. If the atom has a net loss of valence electrons it will have a positive formal charge. If the atom has a net gain of valence electrons it will have a negative formal charge.
- Atomic orbitals often change as they overlap to form molecular orbitals. This process is known as **orbital hybridization**. The common types of hybrid orbitals in organic molecules are  **$sp^3$** ,  **$sp^2$** , and  **$sp$** .

#### 1.6: $sp^3$ Hybrid Orbitals and the Structure of Methane

- The four identical C-H single bonds in  $CH_4$  form as the result of sigma bond overlap between the  $sp^3$  hybrid orbitals of carbon and the s orbital of each hydrogen.

#### 1.7: $sp^3$ Hybrid Orbitals and the Structure of Ethane

- The C-C bond in  $C_2H_6$  forms as the result of sigma bond overlap between a  $sp^3$  hybrid orbital on each carbon, and the s orbital of each hydrogen. The six identical C-H single bonds in form as the result of sigma bond overlap between the  $sp^3$  hybrid orbitals of carbon and the s orbital of each hydrogen.

#### 1.8: $sp^2$ Hybrid Orbitals and the Structure of Ethylene

- The C=C bond in  $C_2H_4$  forms as the result of both a sigma bond overlap between a  $sp^2$  hybrid orbital on each carbon and a pi bond overlap of a p orbital on each carbon

#### 1.9 $sp$ Hybrid Orbitals and the Structure of Acetylene

- The carbon-carbon triple bond in  $C_2H_2$  forms as the result of one sigma bond overlap between a  $sp$  hybrid orbital on each carbon and two pi bond overlaps of p orbitals on each carbon.

#### 1.10: Hybridization of Nitrogen, Oxygen, Phosphorus and Sulfur

- The atomic orbitals of nitrogen, oxygen, phosphorus and sulfur can hybridize in the same way as those of carbon.

### 1.11: The Nature of Chemical Bonds: Molecular Orbital Theory

- Molecular Orbital theory (MO)** is a more advanced bonding model than Valence Bond Theory, in which two atomic orbitals overlap to form two molecular orbitals – a bonding MO and an anti-bonding MO.

### 1.12: Drawing Chemical Structures

- Kekulé Formulas** or **structural formulas** display the atoms of the molecule in the order they are bonded.
- Condensed structural formulas** show the order of atoms like a structural formula but are written in a single line to save space.
- Skeleton formulas** or **Shorthand formulas** or **line-angle formulas** are used to write carbon and hydrogen atoms more efficiently by replacing the letters with lines.
- Isomers** have the same molecular formula, but different structural formulas

## SUMMARY PROBLEMS

### ? EXERCISE 1.S.1

The following molecule is highly reactive and contains a functional group called a ketene. For each numbered atom, list the geometry, bond angle, hybridization, orbitals present, and orbital function. Notes: Ignore the geometry and bond angle for atom #1. For orbitals present, list all of the orbitals at that atom. For orbital function, describe what each orbital is doing (e.g., participating in a sigma bond, containing lone pair electrons, etc.).

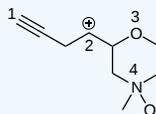


#### Answer

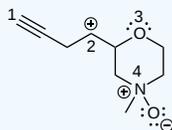
- #1 -  $sp^2$  hybridization; 3  $sp^2$  orbitals and 1 p orbital present; p orbital participates in a pi bond, 1  $sp^2$  orbital participates in a sigma bond, 2  $sp^2$  orbitals contain lone pair electrons
- #2 - linear; 180 degrees; sp hybridization; 2 sp orbitals and 2 p orbitals present; p orbitals participate in two pi bonds, s  $sp^2$  orbitals participate in two sigma bonds
- #3 - trigonal planar; 120 degrees;  $sp^2$  hybridization; 3  $sp^2$  orbitals and 1 p orbital present; p orbital participates in a pi bond, 3  $sp^2$  orbitals participate in three sigma bonds
- #4 - tetrahedral; 109.5 degrees;  $sp^3$  hybridization; 4  $sp^3$  orbitals present; 4  $sp^3$  orbitals participate in four sigma bonds

### ? EXERCISE 1.S.2

First, add lone pair electrons and formal charges to the molecule shown below; its net charge is plus one. (The only formal charge on a carbon atom has already been added for you.) Second, for each numbered atom, list the geometry, bond angle, hybridization, orbitals present, and orbital function. Notes: For orbitals present, list all of the orbitals at that atom. For orbital function, describe what each orbital is doing (e.g., participating in a sigma bond, containing lone pair electrons, etc.).



#### Answer

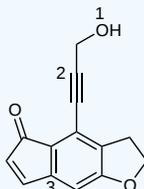


- #1 - linear; 180 degrees; sp hybridization; 2 sp orbitals and 2 p orbitals present; p orbitals participate in two pi bonds, s  $sp^2$  orbitals participate in two sigma bonds
- #2 - trigonal planar; 120 degrees;  $sp^2$  hybridization; 3  $sp^2$  orbitals and 1 p orbital present; p orbital is empty, 3  $sp^2$  orbitals participate in three sigma bonds
- #3 - bent; <109.5 degrees;  $sp^3$  hybridization; 4  $sp^3$  orbitals present; 2  $sp^3$  orbitals participate in two sigma bonds, 2  $sp^3$  orbitals contain two lone pairs

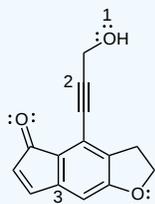
#4 - tetrahedral; 109.5 degrees;  $sp^3$  hybridization; 4  $sp^3$  orbitals present; 4  $sp^3$  orbitals participate in four sigma bonds

### ? EXERCISE 1.S.3

First, add lone pair electrons and formal charges to the molecule shown below. Second, for each numbered atom, list the geometry, bond angle, hybridization, orbitals present, and orbital function. Third, clearly identify on the structure below sigma bonds formed by overlap of the following orbitals:  $sp-sp^2$  (label as "a"),  $sp-sp^3$  (label as "b"),  $sp^2-sp^2$  (label as "c"),  $sp^2-sp^3$  (label as "d"), and  $sp^3-sp^3$  (label as "e"). Notes: For orbitals present, list all of the orbitals at that atom. For orbital function, describe what each orbital is doing (e.g., participating in a sigma bond, containing lone pair electrons, etc.). When identifying particular types of sigma bonds, there will be more than one correct answer for some options.



#### Answer

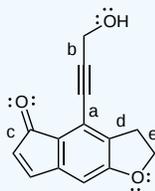


All atoms are neutral in this molecule.

#1 - bent;  $<109.5$  degrees;  $sp^3$  hybridization; 4  $sp^3$  orbitals present; 2  $sp^3$  orbitals participate in two sigma bonds, 2  $sp^3$  orbitals contain two lone pairs

#2 - linear; 180 degrees;  $sp$  hybridization; 2  $sp$  orbitals and 2  $p$  orbitals present;  $p$  orbitals participate in two pi bonds,  $s$   $sp^2$  orbitals participate in two sigma bonds

#3 - trigonal planar; 120 degrees;  $sp^2$  hybridization; 3  $sp^2$  orbitals and 1  $p$  orbital present;  $p$  orbital participates in a pi bond, 3  $sp^2$  orbitals participate in three sigma bonds



For a and b, these are the only bonds that are correct. For c, there are several correct options. For d, there is one other option (from the benzene ring to the O in the five-membered ring. For e, there are several correct options.

## SKILLS TO MASTER

Skill 1.1 Determine the number of protons, neutrons, and electrons in a nuclide.

Skill 1.2 Write the electron configuration and orbital diagram for an atom.

Skill 1.3 Determine the number of valence electrons in an atom.

Skill 1.4 Draw the molecular formula, Lewis Dot Structure, structural formula, condensed structural formula, shorthand formula and wedge-dash structure of simple organic molecules.

Skill 1.5 Use Lewis Dot structures to predict molecular shape, bond angle, hybridization.

Skill 1.6 Calculate formal charge on an atom in a molecule.

Skill 1.7 Determine the number of sigma and pi bonds in organic molecules.

Skill 1.8 Determine relative bond energy and bond length based on atoms involved in the bond and bond type.

Skill 1.9 Describe and draw the orbital overlap and types of bonding in simple organic molecules like methane, ethane, ethylene and acetylene.

Skill 1.10 Describe the bonding in organic molecules using both the Valence Bond Theory and Molecular Orbital Theory.

### MEMORIZATION TASKS (MT)

MT 1.1 Memorize the number of valence electrons in the atoms - C, H, N, O, and the halides.

MT 1.2 Memorize the number of bonds and lone pairs to atoms of carbon, hydrogen, oxygen and nitrogen that result in formal charges of zero.

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## CHAPTER OVERVIEW

### 2: POLAR COVALENT BONDS; ACIDS AND BASES

#### CHAPTER OBJECTIVES

This chapter provides a review of the more advanced material covered in a standard introductory chemistry course through a discussion of the following topics:

- the use of electronegativity to determine bond polarity, and the application of this knowledge to determine whether a given molecule possesses a dipole moment.
- the drawing and interpretation of organic chemical structures.
- the concept and determination of formal charge.
- resonance and drawing of resonance forms
- the Brønsted-Lowry and Lewis definitions of acids and bases, acidity constants and acid-base reactions.
- intermolecular forces

[2.0: Polar Covalent Bonds - Electronegativity](#)

[2.1: Polar Covalent Bonds - Dipole Moments](#)

[2.2: Formal Charges](#)

[2.3: Resonance](#)

[2.4: Rules for Resonance Forms](#)

[2.5: Drawing Resonance Forms](#)

[2.6: Acids and Bases - The Brønsted-Lowry Definition](#)

[2.7: Acid and Base Strength](#)

[2.8: Predicting Acid-Base Reactions from pKa Values](#)

[2.9: Organic Acids and Organic Bases](#)

[2.10: Acids and Bases - The Lewis Definition](#)

[2.11: Noncovalent Interactions Between Molecules](#)

[2.MM: Molecular Models](#)

[2.S: Polar Covalent Bonds; Acids and Bases \(Summary\)](#)

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## 2.0: POLAR COVALENT BONDS - ELECTRONEGATIVITY

### OBJECTIVES

After completing this section, you should be able to

- describe how differences in electronegativity give rise to bond polarity.
- arrange a given series of the elements most often encountered in organic chemistry (C, H, O, N, S, P and the halogens) in order of increasing or decreasing electronegativity, without referring to a table of electronegativities.
- predict the partial positive and partial negative ends of a given bond formed between any two of the elements listed in Objective 2, above, without the use of a table of electronegativities or a periodic table.
- predict the partial positive and partial negative ends of a given bond formed between any two elements not listed in Objective 2, above, using a periodic table.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- electronegativity inductive effect
- polar covalent bond

### STUDY NOTES

Students often wonder why it is important to be able to tell whether a given bond is polar or not, and why they need to know which atoms carry a partial positive charge and which a partial negative charge. Consider the chloromethane ( $\text{CH}_3\text{Cl}$ ) molecule. The carbon atom is shown as carrying a partial positive charge. Now, recall that opposite charges attract. Thus, it seems reasonable that the slightly positive carbon atom in chloromethane should be susceptible to attack by a negatively charged species, such as the hydroxide ion,  $\text{OH}^-$ . This theory is borne out in practice: hydroxide ions react with chloromethane by attacking the slightly positive carbon atom in the latter. It is often possible to rationalize chemical reactions in this manner, and you will find the knowledge of bond polarity indispensable when you start to write reaction mechanisms.

**Note:** Because of the small difference in electronegativity between carbon and hydrogen, the **C-H** bond is normally assumed to be nonpolar.

### ELECTRONEGATIVITY

Because the tendency of an element to gain or lose electrons is so important in determining its chemistry, various methods have been developed to quantitatively describe this tendency. The most important method uses a measurement called **electronegativity** (represented by the Greek letter *chi*,  $\chi$ , pronounced “ky” as in “sky”), which is defined as the *relative* ability of an atom to attract electrons to itself *in a chemical compound*. Elements with high electronegativities tend to acquire electrons in chemical reactions and are found in the upper right corner of the periodic table. Elements with low electronegativities tend to lose electrons in chemical reactions and are found in the lower left corner of the periodic table.

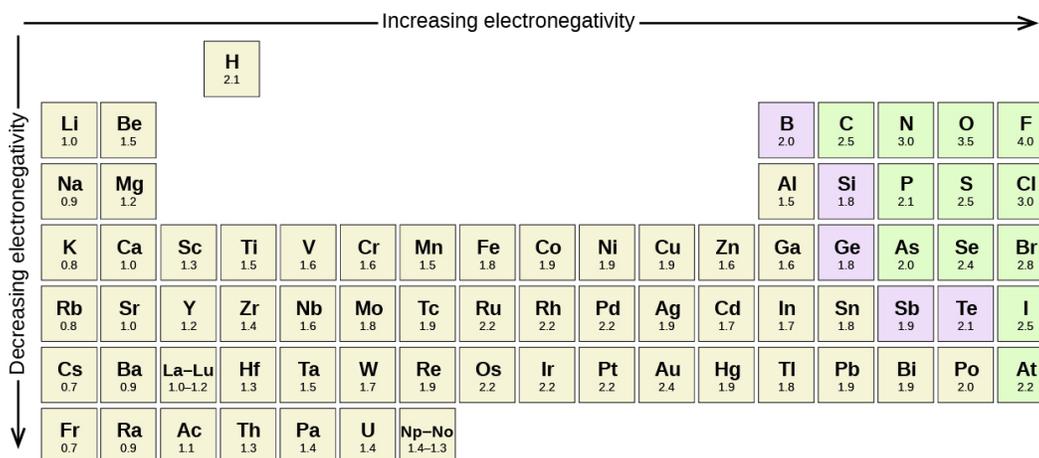


Figure 2.0.1: Pauling scale electronegativities of elements

Electronegativity of an atom is not a simple, fixed property that can be directly measured in a single experiment. In fact, an atom's electronegativity should depend to some extent on its chemical environment because the properties of an atom are influenced by the neighboring atoms in a chemical compound. Nevertheless, when different methods for measuring the electronegativity of an atom are compared, they all tend to assign similar relative values to a given element. **Figure 2.0.1** shows the electronegativity values of the elements as proposed by one of the most famous chemists of the twentieth century: Linus Pauling. In this scale a value of 4.0 is arbitrarily given to the most electronegative element, fluorine, and the other electronegativities are scaled relative to this value. In general, electronegativity increases from left to right across a period in the periodic table and decreases down a group. Thus, the nonmetals, which lie in the upper right, tend to have the highest electronegativities, with fluorine the most electronegative element of all (EN = 4.0 as previously noted). It is important to notice that the elements most important to organic chemistry, carbon, nitrogen, and oxygen have some of the highest electronegativities in the periodic table (EN = 2.5, 3.0, 3.5 respectively). Metals, on the left, tend to be less electronegative elements, with cesium having the lowest (EN = 0.7). Note that noble gases are excluded from this figure because these atoms usually do not share electrons with others atoms since they have a full valence shell.

Electronegativity is defined as the ability of an atom in a particular molecule to attract electrons to itself. The **larger** the electronegativity value, the **greater** the attraction.

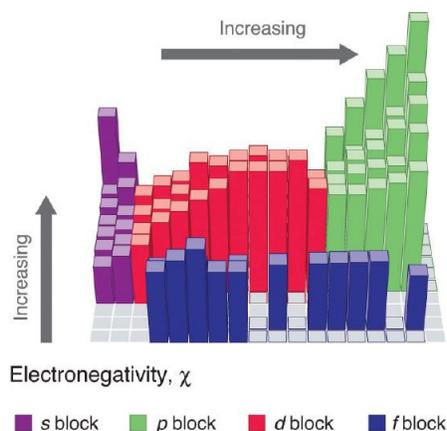


Figure 2.0.2: Visual representation of electronegativities. (CC BY-SA-NC; Anonymous via LibreTexts)

## ELECTRONEGATIVITY AND BOND TYPE

The two idealized extremes of chemical bonding: (1) **ionic bonding**—in which one or more electrons are transferred completely from one atom to another, and the resulting ions are held together by purely electrostatic forces—and (2) **covalent bonding**, in which electrons are shared equally between two atoms. Most compounds, however, have **polar covalent bonds**, which means that electrons are shared unequally between the bonded atoms. Electronegativity determines how the shared electrons are distributed between the two atoms in a polar covalent bond. The more strongly an atom attracts the electrons in its bonds, the larger its electronegativity. Electrons in a polar covalent bond are shifted toward the more electronegative atom; thus, the more electronegative atom is the one with the partial negative charge. The greater the difference in electronegativity, the more polarized the electron distribution and the larger the partial charges of the atoms. Recall that a lowercase Greek delta ( $\delta$ ) is used to indicate that a bonded atom possesses a partial positive charge, indicated by  $\delta^+$ , or a partial negative charge, indicated by  $\delta^-$ , and a bond between two atoms that possess partial charges is a polar bond.

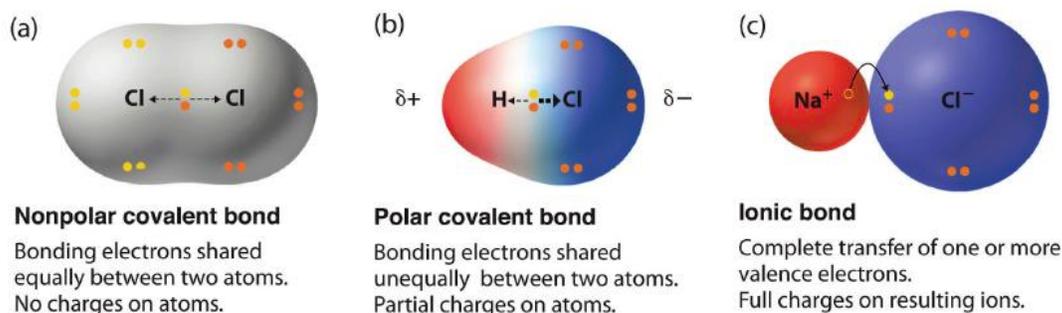


Figure 2.0.3: The Electron Distribution in a Nonpolar Covalent Bond, a Polar Covalent Bond, and an Ionic Bond Using Lewis Electron Structures. Electron-rich (negatively charged) regions are shown in blue; electron-poor (positively charged) regions are shown in red. (CC BY-SA-NC; Anonymous via LibreTexts)

Whether a bond is **ionic**, **nonpolar covalent**, or **polar covalent** can be estimated by calculating the absolute value of the difference in electronegativity ( $\Delta EN$ ) of two bonded atoms. When the difference is very small or zero, the bond is covalent and nonpolar. When it is large, the bond is polar covalent or ionic. The absolute values of the electronegativity differences between the atoms in the bonds H–H, H–Cl, and Na–Cl are 0 (nonpolar), 0.9 (polar covalent), and 2.1 (ionic), respectively. The degree to which electrons are shared between atoms varies from completely equal (pure covalent bonding) to not at all (ionic bonding). Figure 7.2.4 shows the relationship between electronegativity difference and bond type. This table is just a general guide, however, with many exceptions. The best guide to the covalent or ionic character of a bond is to consider the types of atoms involved and their relative positions in the periodic table. Bonds between two nonmetals are generally covalent; bonding between a metal and a nonmetal is often ionic.

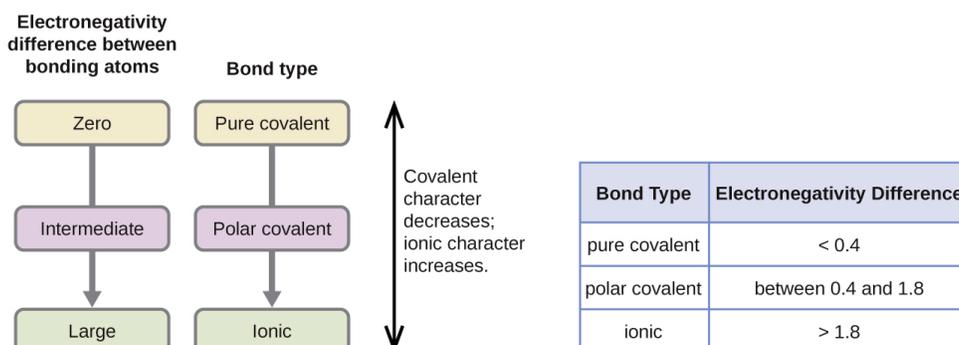


Figure 2.0.4: As the electronegativity difference increases between two atoms, the bond becomes more ionic. (CC BY 4.0; OpenStax)

Some compounds contain both covalent and ionic bonds. The atoms in polyatomic ions, such as  $\text{OH}^-$ ,  $\text{NO}_3^-$ , and  $\text{NH}_4^+$ , are held together by polar covalent bonds. However, these polyatomic ions form ionic compounds by combining with ions of opposite charge. For example, potassium nitrate,  $\text{KNO}_3$ , contains the  $\text{K}^+$  cation and the polyatomic  $\text{NO}_3^-$  anion. Thus, bonding in potassium nitrate is ionic, resulting from the electrostatic attraction between the ions  $\text{K}^+$  and  $\text{NO}_3^-$ , as well as covalent between the nitrogen and oxygen atoms in  $\text{NO}_3^-$ .

### ✓ EXAMPLE 2.0.1

Bond polarities play an important role in determining the structure of proteins. Using the electronegativity values in [Table A2](#), arrange the following covalent bonds—all commonly found in amino acids—in order of increasing polarity. Then designate the positive and negative atoms using the symbols  $\delta^+$  and  $\delta^-$ :



#### Solution

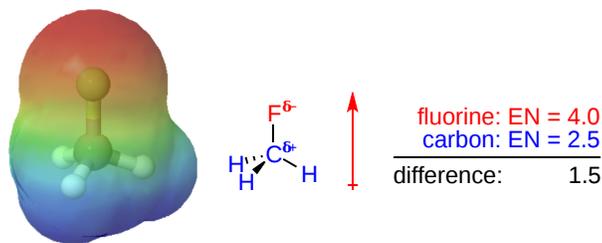
The polarity of these bonds increases as the absolute value of the electronegativity difference increases. The atom with the  $\delta^-$  designation is the more electronegative of the two. Table 2.0.1 shows these bonds in order of increasing polarity.

Table 2.0.1: Bond Polarity and Electronegativity Difference

Bond	$\Delta EN$	Polarity
C–H	0.4	$\overset{\delta^-}{\text{C}} - \overset{\delta^+}{\text{H}}$
S–H	0.4	$\overset{\delta^-}{\text{S}} - \overset{\delta^+}{\text{H}}$
C–N	0.5	$\overset{\delta^+}{\text{C}} - \overset{\delta^-}{\text{N}}$
N–H	0.9	$\overset{\delta^-}{\text{N}} - \overset{\delta^+}{\text{H}}$
C–O	1.0	$\overset{\delta^+}{\text{C}} - \overset{\delta^-}{\text{O}}$
O–H	1.4	$\overset{\delta^-}{\text{O}} - \overset{\delta^+}{\text{H}}$

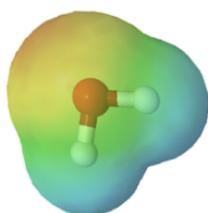
### VISUALIZING BONDING

Calculated charge distributions in molecules can easily be visualized by using electrostatic potential maps. The color red is used to indicate electron-rich regions of a molecule while the color blue is used to indicate electron-poor regions. An easier method for visually representing electron displacement in a molecule uses a crossed arrow. By convention the arrow points in the direction of the electron-rich region of a molecule and away from the electron-poor. An example is shown in the molecule fluoromethane. The C–F bond is polarized drawing the bonding electrons toward the more electronegative fluorine giving it a partial negative charge. Consequently, the bonding electrons are drawn away from the less electronegative carbon giving it a partial positive charge. The electron-rich fluorine is shown as red in the electrostatic potential map and while the electron-poor carbon is shown as blue. The crossed arrow points in the direction of the electron-rich fluorine.

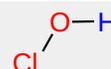
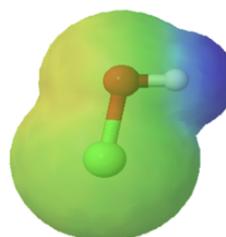


#### Electrostatic Potential Map and Dipole Moment of Fluoromethane

Chemists often use the term, **inductive effect**, to describe the shifting of electrons in a sigma by the electronegativity of atoms. Relatively electronegative atoms, such as fluorine, tend to inductively draw electrons towards themselves and away from nearby atoms. The inductive effect will be used to explain chemical reactivity in many situations in organic chemistry. An excellent example of the inductive effect is seen when comparing the O-H bond polarities of water ( $\text{H}_2\text{O}$ ) and hypochlorous acid ( $\text{ClOH}$ ). Replacing the less electronegative hydrogen (EN = 2.1) in water with the more electronegative chlorine (EN = 3.0) in hypochlorous acid creates a greater bond polarity. The chlorine draws electrons away giving the hydrogen a greater partial positive charge. This is shown in the electrostatic potential map as an increase in the blue color around hydrogen.



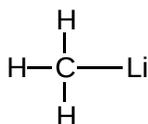
water



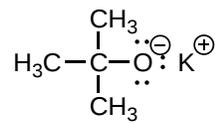
hypochlorous acid

#### A "SPECTRUM" OF BONDS

There is no clear-cut division between covalent and ionic bonds. In a pure non-polar covalent bond, the electrons are held on average exactly half way between the atoms. In a polar bond, the electrons have been dragged slightly towards one end. How far does this dragging have to go before the bond counts as ionic? There is no real answer to that. Sodium chloride is typically considered an ionic solid, but even here the sodium has not completely lost control of its electron. Because of the properties of sodium chloride, however, we tend to count it as if it were purely ionic. Lithium iodide, on the other hand, would be described as being "ionic with some covalent character". In this case, the pair of electrons has not moved entirely over to the iodine end of the bond. Lithium iodide, for example, dissolves in organic solvents like ethanol - not something which ionic substances normally do. Many bonds between metals and non-metal atoms, are considered ionic, however some of these bonds cannot be simply identified as one type of bond. Examples of this are the lithium - carbon bond in methyl lithium which is usually considered as polar covalent (somewhat between covalent and ionic) and the potassium - oxygen bond in potassium *tert*-butoxide which is considered more ionic than covalent.



methyl lithium



potassium *tert*-butoxide

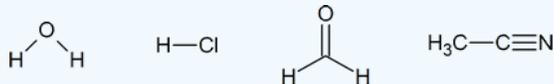
#### SUMMARY

Covalent bonds form when electrons are shared between atoms and are attracted by the nuclei of both atoms. In pure covalent bonds, the electrons are shared equally. In polar covalent bonds, the electrons are shared unequally, as one atom exerts a stronger force of attraction on the electrons than the other. The ability of an atom to attract a pair of electrons in a chemical bond is called its electronegativity. The difference in electronegativity between two atoms determines how polar a bond will be. In a diatomic molecule with two identical atoms, there is no difference in electronegativity, so the bond is nonpolar or pure covalent. When the electronegativity difference is very large, as is the case between metals and nonmetals, the bonding is characterized as ionic.

- No electronegativity difference between two atoms leads to a non-polar covalent bond.
- A small electronegativity difference leads to a polar covalent bond.
- A large electronegativity difference leads to an ionic bond.

### ? EXERCISE 2.0.1

Identify the positive and negative ends of each of the bonds shown below.



### ? EXERCISE 2.0.2

Which of the following elements is the more electronegative?

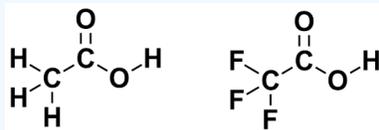
- Br or C
- C or H
- Cl or I
- C or Li

**Answer**

- Br
- C
- Cl
- C

### ? EXERCISE 2.0.3

Which of the following molecules would you expect to have the more polarized O-H bond?

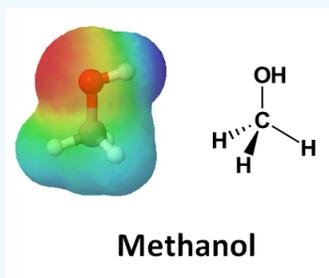


**Answer**

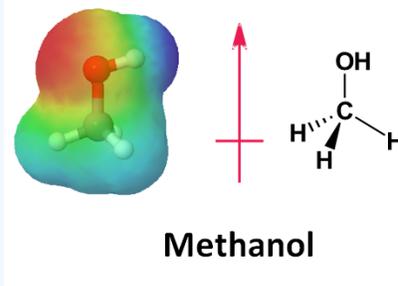
The molecule on the right would have the more polarized O-H bond. The presence of the highly electronegative fluorines would draw electrons away by the inductive effect.

### ? EXERCISE 2.0.4

Predict the direction of polarizing C-O bond in methanol by looking at its electrostatic potential map.



**Answer**



**? EXERCISE 2.0.5**

Rank the following from least polar to most polar using knowledge of electronegativity



**Answer**

(least polar)  $\text{OH} < \text{F} < \text{Li} < \text{K}$  (most polar)

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## 2.1: POLAR COVALENT BONDS - DIPOLE MOMENTS

### LEARNING OBJECTIVES

After completing this section, you should be able to

- explain how dipole moments depend on both molecular shape and bond polarity.
- predict whether a molecule will possess a dipole moment from the molecular formula or structure.
- use the presence or absence of a dipole moment as an aid to deducing the structure of a given compound.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- dipole moment

### STUDY NOTES

You must be able to combine your knowledge of molecular shapes and bond polarities to determine whether or not a given compound will have a dipole moment. Conversely, the presence or absence of a dipole moment may also give an important clue to a compound's structure.  $\text{BCl}_3$ , for example, has no dipole moment, while  $\text{NH}_3$  does. This suggests that in  $\text{BCl}_3$  the chlorines around boron are in a trigonal planar arrangement, while the hydrogens around nitrogen in  $\text{NH}_3$  have a less symmetrical arrangement - trigonal pyramidal.

Remember that the C-H bond is assumed to be non-polar.

### MOLECULAR DIPOLE MOMENTS

In molecules containing more than one polar bond, the molecular dipole moment is just the vector combination of what can be regarded as individual "**bond dipole moments**". Mathematically, dipole moments are *vectors*; they possess both a *magnitude* and a *direction*. The dipole moment of a molecule is therefore the *vector sum* of the dipole moments of the individual bonds in the molecule. If the individual bond dipole moments cancel one another, there is no net dipole moment. Such is the case for  $\text{CO}_2$ , a linear molecule (Figure 2.1.1a). Each C–O bond in  $\text{CO}_2$  is polar, yet experiments show that the  $\text{CO}_2$  molecule has no dipole moment. Because the two C–O bond dipoles in  $\text{CO}_2$  are equal in magnitude and oriented at  $180^\circ$  to each other, they cancel. As a result, the  $\text{CO}_2$  molecule has no *net* dipole moment even though it has a substantial separation of charge. In contrast, the  $\text{H}_2\text{O}$  molecule is not linear (Figure 2.1.1b); it is bent in three-dimensional space, so the dipole moments do not cancel each other. Thus a molecule such as  $\text{H}_2\text{O}$  has a net dipole moment. We expect the concentration of negative charge to be on the oxygen, the more electronegative atom, and positive charge on the two hydrogens. This charge polarization allows  $\text{H}_2\text{O}$  to hydrogen-bond to other polarized or charged species, including other water molecules.

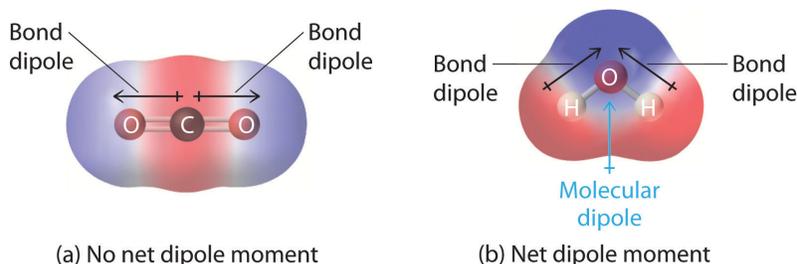


Figure 2.1.1: How Individual Bond Dipole Moments Are Added Together to Give an Overall Molecular Dipole Moment for Two Triatomic Molecules with Different Structures. (a) In  $\text{CO}_2$ , the C–O bond dipoles are equal in magnitude but oriented in opposite directions (at  $180^\circ$ ). Their vector sum is zero, so  $\text{CO}_2$  therefore has no net dipole. (b) In  $\text{H}_2\text{O}$ , the O–H bond dipoles are also equal in magnitude, but they are oriented at  $104.5^\circ$  to each other. Hence the vector sum is not zero, and  $\text{H}_2\text{O}$  has a net dipole moment. (CC BY-SA-NC 3.0; anonymous)

The following is a simplified equation for a simple separated two-charge system that is present in diatomic molecules or when considering a bond dipole within a molecule.

$$\mu_{\text{diatomic}} = Q \times r \quad (2.1.1)$$

This bond dipole,  $\mu$  (Greek mu) is interpreted as the dipole from a charge separation over a distance  $r$  between the partial charges  $Q^+$  and  $Q^-$  (or the more commonly used terms  $\delta^+$  -  $\delta^-$ ); the orientation of the dipole is along the axis of the bond. The units on dipole moments are typically debyes (D) where one debye is equal to  $3.336 \times 10^{30}$  coulomb meters ( $\text{C} \cdot \text{m}$ ) in SI units. Consider a simple system of a single electron and proton separated by a fix distance. The unit charge on an electron is  $1.60 \times 10^{19}$  C and the proton & electron are 100 pm apart (about the length of a typical covalent bond), the dipole moment is calculated as:

$$\begin{aligned}\mu &= Qr \\ &= (1.60 \times 10^{-19} \text{ C})(1.00 \times 10^{-10} \text{ m}) \\ &= 1.60 \times 10^{-29} \text{ C} \cdot \text{m}\end{aligned}\tag{2.1.2}$$

$$\begin{aligned}\mu &= (1.60 \times 10^{-29} \text{ C} \cdot \text{m}) \left( \frac{1 \text{ D}}{3.336 \times 10^{-30} \text{ C} \cdot \text{m}} \right) \\ &= 4.80 \text{ D}\end{aligned}\tag{2.1.3}$$

4.80 D is a key reference value and represents a pure charge of +1 and -1 separated by 100 pm. However, if the charge separation were increased then the dipole moment increases (linearly):

- If the proton and electron were separated by 120 pm:

$$\mu = \frac{120}{100}(4.80 \text{ D}) = 5.76 \text{ D}$$

- If the proton and electron were separated by 150 pm:

$$\mu = \frac{150}{100}(4.80 \text{ D}) = 7.20 \text{ D}$$

- If the proton and electron were separated by 200 pm:

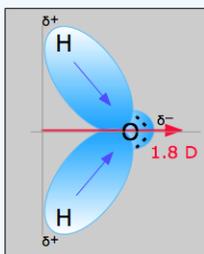
$$\mu = \frac{200}{100}(4.80 \text{ D}) = 9.60 \text{ D}$$

### ✓ EXAMPLE 2.1.1: WATER

The water molecule in Figure 2.1.1 can be used to determine the direction and magnitude of the dipole moment. From the electronegativities of oxygen and hydrogen, the difference is 1.2e for each of the hydrogen-oxygen bonds. Next, because the oxygen is the more electronegative atom, it exerts a greater pull on the shared electrons; it also has two lone pairs of electrons. From this, it can be concluded that the dipole moment points from between the two hydrogen atoms toward the oxygen atom. Using the equation above, the dipole moment is calculated to be 1.85 D by multiplying the distance between the oxygen and hydrogen atoms by the charge difference between them and then finding the components of each that point in the direction of the net dipole moment (the angle of the molecule is 104.5°).

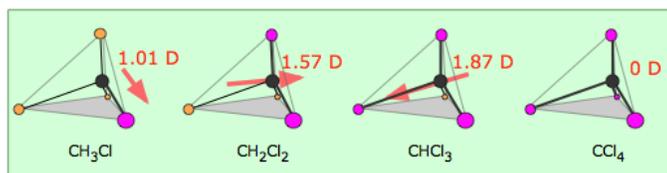
The bond moment of O-H bond = 1.5 D, so the net dipole moment is

$$\mu = 2(1.5) \cos\left(\frac{104.5^\circ}{2}\right) = 1.84 \text{ D}$$



### DIPOLLES WITH DIFFERING ATOMS

In more complex molecules with more than one polar covalent bonds, the three-dimensional geometry and the compound's symmetry determine whether the molecule has a net dipole moment. The manner in which the individual bonds contribute to the dipole moment of the molecule is nicely illustrated by the series of chloromethanes shown below. The electronegative chlorine draws electrons towards itself.



Consider  $CCl_4$ , (left panel in figure below), which as a molecule is not polar - in the sense that it doesn't have an end (or a side) which is slightly negative and one which is slightly positive. The whole of the outside of the molecule is somewhat negative, but there is no overall separation of charge from top to bottom, or from left to right. In contrast,  $CHCl_3$  is a polar molecule (right panel in figure above). However, although a molecule like  $CHCl_3$  has a tetrahedral geometry, the atoms bonded to carbon are not identical. Consequently, the bond dipole moments do not cancel one another, and the result is a molecule which has a dipole moment. The hydrogen at the top of the molecule is less electronegative than carbon and so is slightly positive. This means that the molecule now has a slightly positive "top" and a slightly negative "bottom", and so is overall a polar molecule.

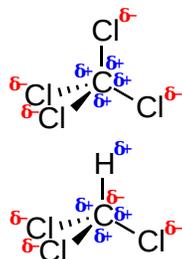


Figure 2.1.3: Bond polarities for  $CCl_4$  (left) and  $CHCl_3$  (right)

Electron densities in a molecule (and the dipole moments that unbalanced electron distributions can produce) are easily visualized with electrostatic potential maps. In this example,  $CHCl_3$ , the blue area centered on carbon represents an electron-deficient positive area, of the molecule, the red area centered on the chlorine, represents an electron-abundant negative area. This charge separation creates a net dipole moment of 1.87 D which points in the direction of the chlorine. In,  $CCl_4$  the evenly spaced red areas represent that there is no separation of charge in the molecule.  $CCl_4$  has a net dipole moment of zero which makes it a nonpolar molecule.

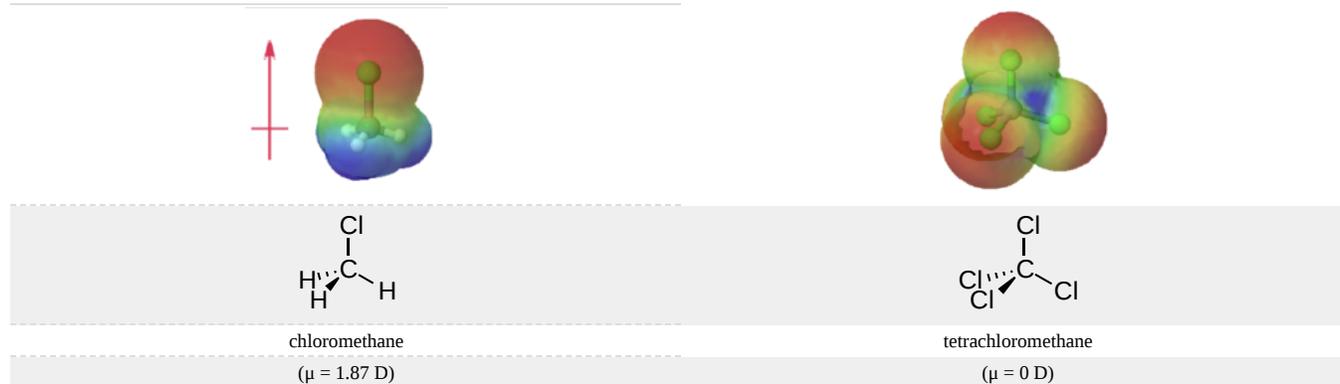


Figure 2.1.4: Electrostatic potential maps and dipole moments for chloromethane and tetrachloromethane (carbon tetrachloride).

#### Molecules with asymmetrical charge distributions have a net dipole moment

Other examples of molecules with polar bonds are shown in Figure 2.1.2. In molecules like  $BCl_3$  and  $CCl_4$ , that have only one type of bond and a molecular geometries that are highly symmetrical (trigonal planar and tetrahedral), the individual bond dipole moments completely cancel, and there is no net dipole moment. However, although a molecule like  $CHCl_3$  has a tetrahedral geometry, the atoms bonded to carbon are not identical. Consequently, the bond dipole moments do not cancel one another, and the result is a molecule which has a dipole moment.

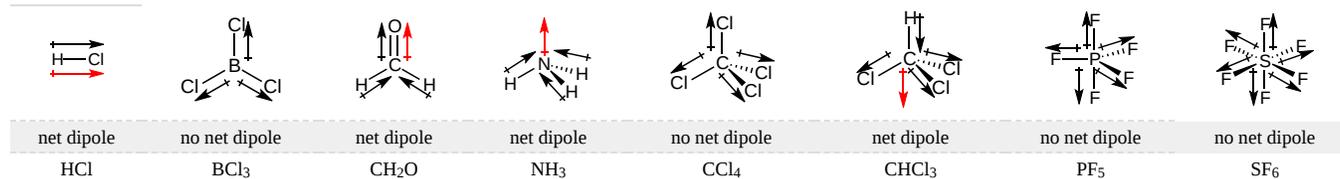
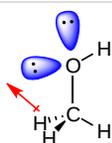


Figure 2.1.5: Molecules with Polar Bonds. Individual bond dipole moments are indicated in black. Due to their different three-dimensional structures, some molecules with polar bonds have a net dipole moment ( $HCl$ ,  $CH_2O$ ,  $NH_3$ , and  $CHCl_3$ ), indicated in red, whereas others do not because the bond dipole moments cancel ( $BCl_3$ ,  $CCl_4$ ,  $PF_5$ , and  $SF_6$ ).

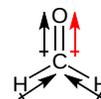
Table 2.1.1: Dipole Moments of Some Compounds

Compound	Dipole Moment (Debyes)
NaCl	9.0 (measured in the gas phase)
CH <sub>2</sub> O	2.33
CH <sub>3</sub> Cl	1.87
H <sub>2</sub> O	1.85
CH <sub>3</sub> OH	1.70
NH <sub>3</sub>	1.47
CH <sub>3</sub> NH <sub>2</sub>	1.31
CO <sub>2</sub>	0
CCl <sub>4</sub>	0
CH <sub>4</sub>	0
CH <sub>3</sub> CH <sub>3</sub>	0

The table above give the dipole moment of some common substances. Sodium chloride has the largest dipole listed (9.00 D) because it is an ionic compounds. Even small organic compounds such as formaldehyde (CH<sub>2</sub>O, 2.33 D) and methanol (CH<sub>3</sub>OH, 1.70 D) have significant dipole moments. Both of these molecules contain the strongly electronegative oxygen atom lone pair electrons which gives rise to considerable dipole moments.

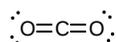


methanol  
( $\mu = 1.70$  D)

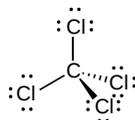


formaldehyde  
( $\mu = 2.33$  D)

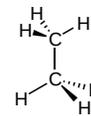
In contrast many organic molecule have a zero dipole moment despite the fact that they are made up of polar covalent bonds. In, structures with highly symmetrical molecular geometries, the polar bonds and the lone pair electrons can can exactly cancel leaving no overall charge separation.



carbon dioxide  
( $\mu = 0$  D)



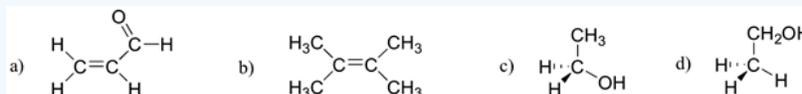
carbon tetrachloride  
( $\mu = 0$  D)



ethane  
( $\mu = 0$  D)

### ? EXERCISE 2.1.1

Which of the molecules below have molecular dipole moments?



#### Answer

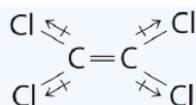
Only molecule (b) does *not* have a molecular dipole, due to its symmetry (bond dipoles are equal and in opposite directions). Add texts here.

### ? EXERCISE 2.1.2

Draw out the line structure of the molecule with a molecular formula of C<sub>2</sub>Cl<sub>4</sub>. Indicate all of the individual bond polarities and predict if the molecule is polar or nonpolar.

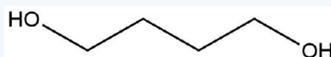
#### Answer

Although the C–Cl bonds are rather polar, the individual bond dipoles cancel one another in this symmetrical structure, and \ (ce{Cl2C=CCl2}) moment.



### ? EXERCISE 2.1.3

The following molecule has no net dipole moment, explain.

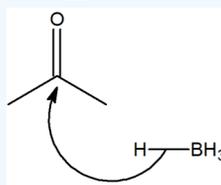


#### Answer

The hydroxyl groups are oriented opposite of one another and therefore the dipole moments would “cancel” one another out. Therefore having a zero net-dipole.

### ? EXERCISE 2.1.4

Within reactions with carbonyls, such as a hydride reduction reaction, the carbonyl is attacked from the carbon side and not the oxygen side. Using knowledge of electronegativity explain why this happens.



#### Answer

The oxygen is more electronegative than the carbon and therefore creates a dipole along the bond. This leads to having a partial positive charge on the carbon and the reduction can take place.



### ? EXERCISE 2.1.1

Which molecule(s) has a net dipole moment?

- H<sub>2</sub>S
- NHF<sub>2</sub>
- BF<sub>3</sub>

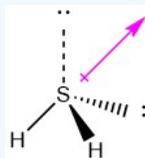
#### Answer

##### Strategy:

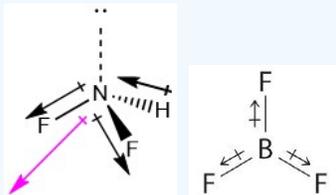
For each three-dimensional molecular geometry, predict whether the bond dipoles cancel. If they do not, then the molecule has a net dipole moment.

##### Solution:

The total number of electrons around the central atom, S, is eight, which gives four electron pairs. Two of these electron pairs are bonding pairs and two are lone pairs, so the molecular geometry of H<sub>2</sub>S is bent. The bond dipoles cannot cancel one another, so the molecule has a net dipole moment.



Difluoroamine has a trigonal pyramidal molecular geometry. Because there is one hydrogen and two fluorines, and because of the lone pair of electrons on nitrogen, the molecule is not symmetrical, and the bond dipoles of  $\text{NHF}_2$  cannot cancel one another. This means that  $\text{NHF}_2$  has a net dipole moment. We expect polarization from the two fluorine atoms, the most electronegative atoms in the periodic table, to have a greater affect on the net dipole moment than polarization from the lone pair of electrons on nitrogen.



The molecular geometry of  $\text{BF}_3$  is trigonal planar. Because all the  $\text{B-F}$  bonds are equal and the molecule is highly symmetrical, the dipoles cancel one another in three-dimensional space. Thus  $\text{BF}_3$  has a net dipole moment of zero:

### ADDITIONAL EXERCISES

- Determine whether each of the compounds listed below possesses a dipole moment. For the polar compounds, indicate the direction of the dipole moment.
  - $\text{O}=\text{C}=\text{O}$
  - $\text{ICl}$
  - $\text{SO}_2$
  - $\text{CH}_3-\text{O}-\text{CH}_3$
  - $\text{CH}_3\text{C}(=\text{O})\text{CH}_3$

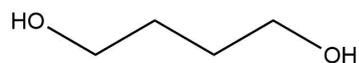
Answers:

- $\text{CO}_2$  nonpolar
  - $\text{I}-\text{Cl}$   
  
 Net dipole
  - $\text{O}=\text{S}=\text{O}$   
  
 Net dipole
  - $\text{H}_3\text{C}-\text{O}-\text{CH}_3$   
  
 Net dipole
  - $\text{H}_3\text{C}-\text{C}(=\text{O})-\text{CH}_3$   
  
 Net dipole

### QUESTIONS

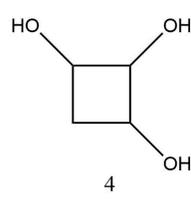
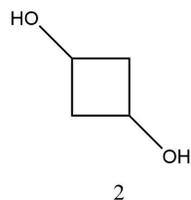
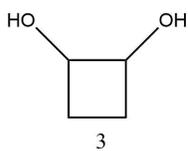
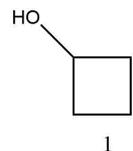
#### Q2.2.1

The following molecule has no dipole moment in the molecule itself, explain.



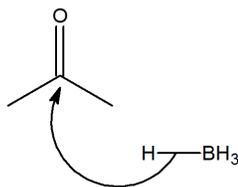
#### Q2.2.2

Which of the following molecules has a net dipole?



### Q2.2.3

Within reactions with carbonyls, such as a reduction reaction, the carbonyl is attacked from the carbon side and not the oxygen side. Using knowledge of electronegativity explain why this happens.



### SOLUTIONS

#### S2.2.1

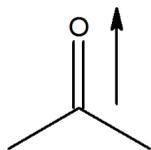
The hydroxyl groups are oriented opposite of one another and therefore the dipole moments would “cancel” one another out. Therefore having a zero net-dipole.

#### S2.2.2

1, 3, and 4 have a net dipoles.

#### S2.2.3

The oxygen is more electronegative than the carbon and therefore creates a dipole along the bond. This leads to having a partial positive charge on the carbon and the reduction can take place.




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## 2.2: FORMAL CHARGES

### OBJECTIVES

After completing this section, you should be able to

- calculate the formal charge of an atom in an organic molecule or ion.
- identify and recognize the bonding patterns for atoms of carbon, hydrogen, oxygen, nitrogen and the halogens that have a formal charge of zero.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- valence electrons
- bonding and non-bonding electrons
- formal charge
- carbocations

### STUDY NOTES

It is more important that students learn to easily identify atoms that have formal charges of zero, than it is to actually calculate the formal charge of every atom in an organic compound. Students will benefit by memorizing the "normal" number of bonds and non-bonding electrons around atoms whose formal charge is equal to zero.

### DETERMINING THE FORMAL CHARGE ON AN ATOM

A **formal charge** ( $FC$ ) compares the number of electrons around a "neutral atom" (an atom not in a molecule) versus the number of electrons around an atom in a molecule. Formal charge is assigned to an atom in a molecule by assuming that electrons in all chemical bonds are shared equally between atoms, regardless of relative electronegativity. To calculate formal charges, we assign electrons in the molecule to individual atoms according to these rules:

- Non-bonding electrons are assigned to the atom on which they are located.
- Bonding electrons are divided equally between the two bonded atoms, so one electron from each bond goes to each atom.

The formal charge of each atom in a molecule can be calculated using the following equation:

$$FC = (\# \text{ of valence electrons in free atom}) - (\# \text{ of lone-pair electrons}) - \frac{1}{2}(\# \text{ of bonding electrons}) \quad (2.2.1)$$

To illustrate this method, let's calculate the formal charge on the atoms in ammonia ( $\text{NH}_3$ ) whose Lewis structure is as follows:



A neutral nitrogen atom has five valence electrons (it is in group 15). From the Lewis structure, the nitrogen atom in ammonia has one lone pair and three bonds with hydrogen atoms. Substituting into Equation 2.2.1, we obtain

$$\begin{aligned} FC(N) &= (5 \text{ valence electrons}) - (2 \text{ lone pair electrons}) - \frac{1}{2}(6 \text{ bonding electrons}) \\ &= 0 \end{aligned}$$

A neutral hydrogen atom has one valence electron. Each hydrogen atom in the molecule has no non-bonding electrons and one bond. Using Equation 2.2.1 to calculate the formal charge on hydrogen, we obtain

$$\begin{aligned} FC(H) &= (1 \text{ valence electrons}) - (0 \text{ lone pair electrons}) - \frac{1}{2}(2 \text{ bonding electrons}) \\ &= 0 \end{aligned}$$

The sum of the formal charges of each atom must be equal to the overall charge of the molecule or ion. In this example, the nitrogen and each hydrogen has a formal charge of zero. When summed the overall charge is zero, which is consistent with the overall neutral charge of the  $\text{NH}_3$  molecule.

Typically, the structure with the most formal charges of zero on atoms is the more stable Lewis structure. In cases where there MUST be positive or negative formal charges on various atoms, the most stable structures generally have negative formal charges on the more electronegative atoms and positive formal charges on the less electronegative atoms. The next example further demonstrates how to calculate formal charges for polyatomic ions.

### ✓ EXAMPLE 2.2.1

Calculate the formal charges on each atom in the  $\text{NH}_4^+$  ion.

**Given:** chemical species

**Asked for:** formal charges

**Strategy:**

Identify the number of valence electrons in each atom in the  $\text{NH}_4^+$  ion. Use the Lewis electron structure of  $\text{NH}_4^+$  to identify the number of bonding and non-bonding electrons associated with each atom and then use Equation 2.2.1 to calculate the formal charge on each atom.

**Solution:**

The Lewis electron structure for the  $\text{NH}_4^+$  ion is as follows:



The nitrogen atom in ammonium has zero non-bonding electrons and 4 bonds. Using Equation 2.2.1, the formal charge on the nitrogen atom is therefore

$$\begin{aligned} FC(N) &= (5 \text{ valence electrons}) - (0 \text{ lone pair electrons}) - \frac{1}{2}(8 \text{ bonding electrons}) \\ &= +1 \end{aligned}$$

Each hydrogen atom in has one bond and zero non-bonding electrons. The formal charge on each hydrogen atom is therefore

$$\begin{aligned} FC(H) &= (1 \text{ valence electrons}) - (0 \text{ lone pair electrons}) - \frac{1}{2}(2 \text{ bonding electrons}) \\ &= 0 \end{aligned}$$

The formal charges on the atoms in the  $\text{NH}_4^+$  ion are thus

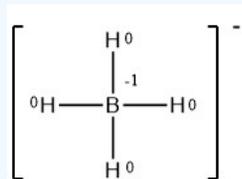


Adding together the formal charges on the atoms should give us the total charge on the molecule or ion. In this case, the sum of the formal charges is  $0 + 1 + 0 + 0 + 0 = 1+$ , which is the same as the total charge of the ammonium polyatomic ion.

### ? EXERCISE 2.2.1

Write the formal charges on all atoms in  $\text{BH}_4^-$ .

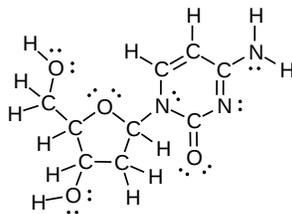
**Answer**



## DETERMINING THE CHARGE OF ATOMS IN ORGANIC STRUCTURES

The calculation method reviewed above for determining formal charges on atoms is an essential starting point for a novice organic chemist, and works well when dealing with small structures. But this method becomes unreasonably time-consuming when dealing with larger structures. It would be exceptionally tedious to determine the formal charges on each atom in 2'-deoxycytidine (one of the four nucleoside

building blocks that make up DNA) using Equation 2.2.1. As you get more experience with organic structures, you will be able to quickly look at this type of complicated structure and determine charges on each atom.



2'-deoxycytidine

You need to develop the ability to quickly and efficiently draw large structures and determine formal charges. Fortunately, this only requires some practice with recognizing common bonding patterns.

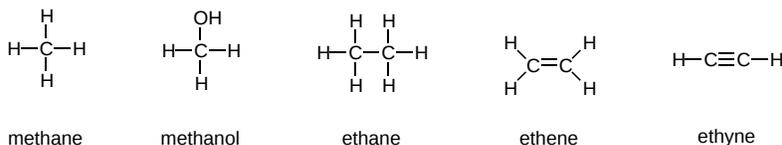
Organic chemistry only deals with a small part of the periodic table, so much so that it becomes convenient to be able to recognize the bonding forms of these atoms. The figure below contains the most important bonding forms. These will be discussed in detail below. An important idea to note is most atoms in a molecule are neutral. Pay close attention to the neutral forms of the elements below because that is how they will appear most of the time.

Figure 2.2.1: Structures of common organic atoms and ions.

Atom	Positive	Neutral	Negative
C	$\text{C}^{\oplus}$	$\text{C}$	$\text{C}^{\ominus}$
N	$\text{N}^{\oplus}$	$\text{N}$	$\text{N}^{\ominus}$
O	$\text{O}^{\oplus}$	$\text{O}$	$\text{O}^{\ominus}$
Cl (halogens)	$\text{Cl}^{\oplus}$	$\text{Cl}$	$\text{Cl}^{\ominus}$

## CARBON

Carbon, the most important element for organic chemists. In the structures of methane, methanol, ethane, ethene, and ethyne, there are four bonds to the carbon atom. And each carbon atom has a formal charge of zero. In other words, carbon is **tetravalent**, meaning that it commonly forms four bonds.



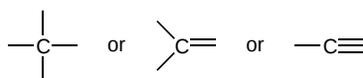
methane

methanol

ethane

ethene

ethyne



Carbon usually makes four bonds

Carbon is tetravalent in most organic molecules, but there are exceptions. Later in this chapter and throughout this book are examples of organic ions called 'carbocations' and carbanions', in which a carbon atom has a positive or negative formal charge, respectively. **Carbocations** occur when a carbon has only three bonds and no lone pairs of electrons. Carbocations have only 3 valence electrons and a formal charge of 1+. **Carbanions** occur when the carbon atom has three bonds plus one lone pair of electrons. Carbanions have 5 valence electrons and a formal charge of 1-.



carbocation: 3 bonds & no lone pair



carbanion: 3 bonds & one lone pair



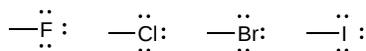
carbon radical: 3 bonds & one unpaired electron

Two other possibilities are carbon radicals and carbenes, both of which have a formal charge of zero. A **carbon radical** has three bonds and a single, unpaired electron. Carbon radicals have 4 valence electrons and a formal charge of zero. **Carbenes** are a highly reactive species, in which a carbon atom has two bonds and one lone pair of electrons, giving it a formal charge of zero. Though carbenes are rare, you will encounter them in section 8.10 Addition of Carbenes to Alkenes.



## HALOGENS

The halogens (fluorine, chlorine, bromine, and iodine) are very important in laboratory and medicinal organic chemistry, but less common in naturally occurring organic molecules. Halogens in organic compounds usually are seen with one bond, three lone pairs, and a formal charge of zero. Sometimes, especially in the case of bromine, we will encounter reactive species in which the halogen has two bonds (usually in a three-membered ring), two lone pairs, and a formal charge of 1+.



*Common Neutral Bonding Patterns for Halogens*



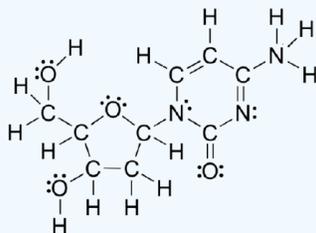
*Common Positive Bonding Pattern for Halogens*

These rules, if learned and internalized so that you don't even need to think about them, will allow you to draw large organic structures, complete with formal charges, quite quickly.

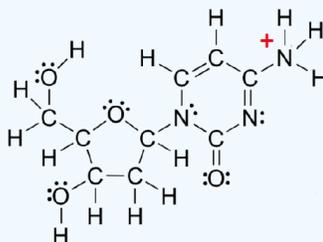
Once you have gotten the hang of drawing Lewis structures, it is not always necessary to draw lone pairs on heteroatoms, as you can assume that the proper number of electrons are present around each atom to match the indicated formal charge (or lack thereof). Occasionally, though, lone pairs are drawn if doing so helps to make an explanation more clear.

### ? EXERCISE

Please identify an atom with a non-neutral charge in the following atom:

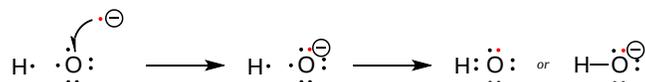


**Answer**

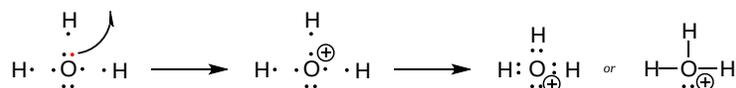


## DRAWING THE LEWIS STRUCTURE OF IONIC MOLECULAR COMPOUNDS

The hydroxide ion,  $\text{OH}^-$ , is drawn simply by showing the oxygen atom with its six valence electrons, then adding one more electron to account for the negative charge. By changing the number of valence electrons the bonding characteristic of oxygen are now changed. Now the oxygen has three non-bonding lone pairs, and can only form one bond to a hydrogen.



To draw a Lewis structure of the hydronium ion,  $\text{H}_3\text{O}^+$ , you again start with the oxygen atom with its six valence electrons, then take one away to account for the positive charge to give oxygen five valence electrons. The oxygen has one non-bonding lone pair and three unpaired electrons which can be used to form bonds to three hydrogen atoms.



## USING FORMAL CHARGES TO DISTINGUISH BETWEEN LEWIS STRUCTURES

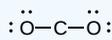
As an example of how formal charges can be used to determine the most stable Lewis structure for a substance, we can compare two possible structures for  $\text{CO}_2$ . Both structures conform to the rules for Lewis electron structures.

### ✓ EXAMPLE 2.2.1: CARBON DIOXIDE

What are the Lewis structures of  $\text{CO}_2$  and which is the most stable?

#### Solution

1. C is less electronegative than O, so it is the central atom.
2. C has 4 valence electrons and each O has 6 valence electrons, for a total of 16 valence electrons.
3. Placing one electron pair between the C and each O gives  $\text{O}-\text{C}-\text{O}$ , with 12 electrons left over.
4. Dividing the remaining electrons between the O atoms gives three lone pairs on each atom:

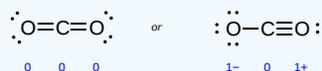


This structure has an octet of electrons around each O atom but only 4 electrons around the C atom.

5. No electrons are left for the central atom.
6. To give the carbon atom an octet of electrons, we can convert two of the lone pairs on the oxygen atoms to bonding electron pairs. There are, however, two ways to do this. We can either take one electron pair from each oxygen to form a symmetrical structure or take both electron pairs from a single oxygen atom to give an asymmetrical structure:



Both Lewis electron structures give all three atoms an octet. How do we decide between these two possibilities? The formal charges for the two Lewis electron structures of  $\text{CO}_2$  are as follows:



Both Lewis structures have a net formal charge of zero, but the structure on the right has a 1+ charge on the more electronegative atom (O). Thus the symmetrical Lewis structure on the left is predicted to be more stable, and it is, in fact, the structure observed experimentally. Remember, though, that formal charges do *not* represent the actual charges on atoms in a molecule or ion. They are used simply as a bookkeeping method for predicting the most stable Lewis structure for a compound.

### 📌 NOTE

The Lewis structure with the set of formal charges closest to zero is usually the most stable.

### ✓ EXAMPLE 2.2.2: THIOCYANATE ION

The thiocyanate ion ( $\text{SCN}^-$ ), which is used in printing and as a corrosion inhibitor against acidic gases, has at least two possible Lewis electron structures. Draw two possible structures, assign formal charges on all atoms in both, and decide which is the preferred arrangement of electrons.

**Given:** chemical species

**Asked for:** Lewis electron structures, formal charges, and preferred arrangement

**Strategy:**

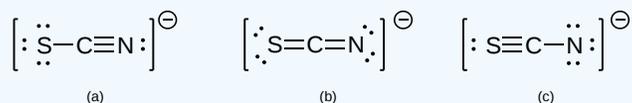
**A** Use the step-by-step procedure to write two plausible Lewis electron structures for  $\text{SCN}^-$ .

**B** Calculate the formal charge on each atom using Equation 2.2.1.

**C** Predict which structure is preferred based on the formal charge on each atom and its electronegativity relative to the other atoms present.

**Solution:**

**A** Possible Lewis structures for the  $\text{SCN}^-$  ion are as follows:



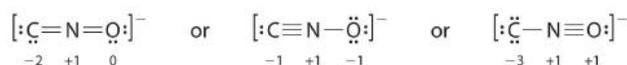
**B** We must calculate the formal charges on each atom to identify the more stable structure. If we begin with carbon, we notice that the carbon atom in each of these structures shares four bonding pairs, the number of bonds typical for carbon, so it has a formal charge of zero. Continuing with sulfur, we observe that in (a) the sulfur atom shares one bonding pair and has three lone pairs and has a total of six valence electrons. The formal charge on the sulfur atom is therefore  $6 - (6 + 2/2) = 1-$ . In (b), the sulfur atom has a formal charge of 0. In (c), the sulfur atom has a formal charge of  $1+$ . Continuing with the nitrogen, we observe that in (a) the nitrogen atom shares three bonding pairs and has one lone pair and has a total of 5 valence electrons. The formal charge on the nitrogen atom is therefore  $5 - (2 + 6/2) = 0$ . In (b), the nitrogen atom has a formal charge of  $1-$ . In (c), the nitrogen atom has a formal charge of  $2-$ .

**C** Which structure is preferred? Structure (b) is preferred because the negative charge is on the more electronegative atom (N), and it has lower formal charges on each atom as compared to structure (c): 0,  $1-$  versus  $1+$ ,  $2-$ .

### ? EXERCISE 2.2.2: FULMINATE ION

Salts containing the fulminate ion ( $\text{CNO}^-$ ) are used in explosive detonators. Draw three Lewis electron structures for  $\text{CNO}^-$  and use formal charges to predict which is more stable. (Note: N is the central atom.)

**Answer**



The second structure is predicted to be the most stable.

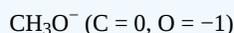
### ? EXERCISE 2.2.3

Draw the Lewis structure of each of these molecules:  $\text{CH}_3^+$ ,  $\text{NH}_2^-$ ,  $\text{CH}_3^-$ ,  $\text{NH}_4^+$ ,  $\text{BF}_4^-$ . In each case, use the method of calculating formal charge described to satisfy yourself that the structures you have drawn do in fact carry the charges shown

### ? EXERCISE 2.2.4

Give the formal charges for all non-hydrogen atoms in the following molecules:  $\text{BH}_4^-$ ,  $\text{H}_2\text{O}$ ,  $\text{CH}_3\text{O}^-$

**Answer**



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## 2.3: RESONANCE

### OBJECTIVE

After completing this section, you should be able to

- draw resonance forms for molecules and ions.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- resonance form
- delocalization

### RESONANCE DELOCALIZATION

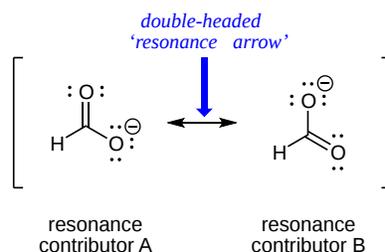
Sometimes, even when **formal charges** are considered, the bonding in some molecules or ions cannot be described by a single Lewis structure. **Resonance** is a way of describing **delocalized** electrons within certain molecules or polyatomic ions where the bonding cannot be expressed by a single Lewis formula. A molecule or ion with such delocalized electrons is represented by several contributing structures (also called resonance contributors or canonical forms). Resonance contributors involve the ‘imaginary movement’ of pi-bonded electrons or of lone-pair electrons that are adjacent to pi bonds. Note, sigma bonds cannot be broken during resonance – if you show a sigma bond forming or breaking, you are showing a chemical reaction taking place. Likewise, the positions of atoms in the molecule **cannot change** between resonance contributors.

When looking at the structure of the molecule, formate, we see that there are two equivalent structures possible. Which one is correct? There are two simple answers to this question: ‘both’ and ‘neither one’. Both ways of drawing the molecule are equally acceptable approximations of the bonding picture for the molecule, but neither one, by itself, is an accurate picture of the delocalized pi bonds. The two alternative drawings, however, when considered together, give a much more accurate picture than either one on its own. This is because they imply, together, that the carbon-oxygen bonds are not double bonds, not single bonds, but about halfway in between.



*Formate Ion Structures are Equivalent in Energy*

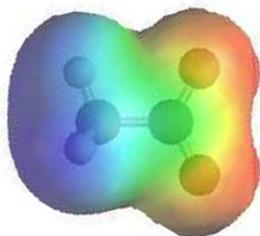
When it is possible to draw more than one valid structure for a compound or ion, we have identified **resonance contributors**: two or more different Lewis structures depicting the same molecule or ion that, when considered together, do a better job of approximating delocalized pi-bonding than any single structure. By convention, resonance contributors are linked by a double-headed arrow, and are sometimes enclosed by brackets:



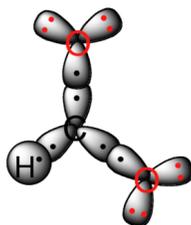
The depiction of formate using the two resonance contributors A and B in the figure above does *not* imply that the molecule at one moment looks like structure A, then at the next moment shifts to look like structure B. Rather, at all moments, the molecule is a combination, or **resonance hybrid** of both A and B. Each individual resonance contributor of the formate ion is drawn with one carbon-oxygen double bond (120 pm) and one carbon-oxygen single bond (135 pm), with a negative formal charge located on the single-bonded oxygen. However, the two carbon-oxygen bonds in formate are actually the same length (127 pm) which implies that neither resonance contributor is correct. Although there is an overall negative formal charge on the formate ion, it is shared equally between the two oxygens. Therefore, the formate ion can be more accurately depicted by a *pair* of resonance contributors. Alternatively, a single structure can be used, with a dashed line depicting the resonance-delocalized pi bond and the negative charge located in between the two oxygens.



The electrostatic potential map of formate shows that there is an equal amount of electron density (shown in red) around each oxygen.

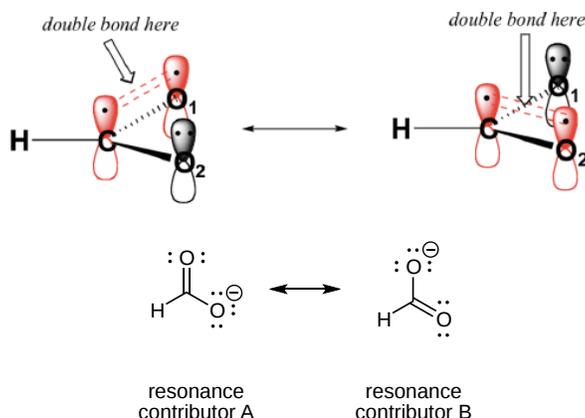


Valence bond theory can be used to develop a picture of the bonding in a carboxylate group. We know that the carbon must be  $sp^2$ -hybridized, (the bond angles are close to  $120^\circ$ , and the molecule is planar), and we will treat both oxygens as being  $sp^2$ -hybridized as well. Both carbon-oxygen sigma bonds, then, are formed from the overlap of carbon  $sp^2$  orbitals and oxygen  $sp^2$  orbitals.



the  $\sigma$ -bonding framework of formate

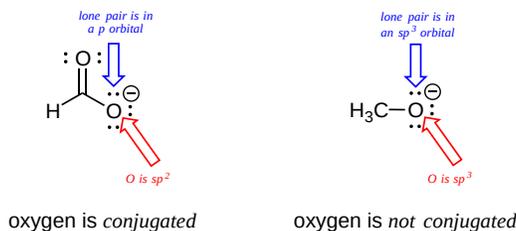
In addition, the carbon and both oxygens each have an un-hybridized  $2p_z$  orbital situated perpendicular to the plane of the sigma bonds. These three  $2p_z$  orbitals are parallel to each other, and can overlap in a side-by-side fashion to form a delocalized pi bond.



Overall, the situation is one of *three parallel, overlapping  $2p_z$  orbitals sharing four delocalized pi electrons*. Because there is one more electron than there are  $2p_z$  orbitals, the system has an overall charge of  $1-$ . Resonance contributors are used to approximate overlapping  $2p_z$  orbitals and delocalized pi electrons. Molecules with resonance are usually drawn showing only one resonance contributor for the sake of simplicity. However, identifying molecules with resonance is an important skill in organic chemistry.

This example shows an important exception to the general rules for determining the hybridization of an atom. The oxygen with the negative charge appears to be  $sp^3$  hybridized because it is surrounded by four electron groups. However, this representation of the oxygen atom is not correct because it is actually part of a resonance hybrid. A pair of lone pair of electrons on the negatively charged oxygen are not localized in an  $sp^3$  orbital, rather, they are delocalized as part of a conjugated pi system. The stability gained though resonance is enough to cause the

expected  $sp^3$  to become  $sp^2$ . The  $sp^2$  hybridization gives the oxygen a p orbital allowing it to participate in conjugation. As a general rule  $sp^3$  hybridized atoms with lone pair electrons tend to become  $sp^2$  hybridized when adjacent to a conjugated system.



### EXAMPLE: CARBONATE ( $\text{CO}_3^{2-}$ )

Like formate, the electronic structure of the carbonate ion cannot be described by a single Lewis electron structure. Unlike  $\text{O}_3$ , though, the Lewis structures describing  $\text{CO}_3^{2-}$  has *three* equivalent representations.

1. Because carbon is the least electronegative element, we place it in the central position:

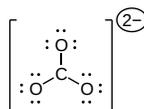


2. Carbon has 4 valence electrons, each oxygen has 6 valence electrons, and there are 2 more for the  $2-$  charge. This gives  $4 + (3 \times 6) + 2 = 24$  valence electrons.

3. Six electrons are used to form three bonding pairs between the oxygen atoms and the carbon:

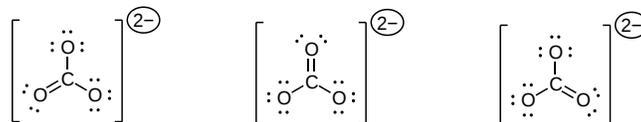


4. We divide the remaining 18 electrons equally among the three oxygen atoms by placing three lone pairs on each and indicating the  $2-$  charge:

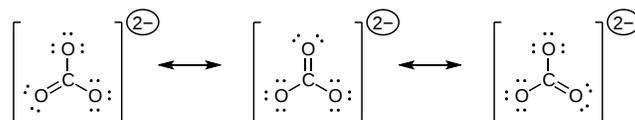


5. There are no electrons left for the central atom.

6. At this point, the carbon atom has only 6 valence electrons, so we must take one lone pair from an oxygen and use it to form a carbon–oxygen double bond. In this case, however, there are *three* possible choices:



As with formate, none of these structures describes the bonding exactly. Each predicts one carbon–oxygen double bond and two carbon–oxygen single bonds, but experimentally all C–O bond lengths are identical. We can write resonance structures (in this case, three of them) for the carbonate ion:



As the case for formate, the actual structure involves the formation of a molecular orbital from  $p_z$  orbitals centered on each atom and sitting above and below the plane of the  $\text{CO}_3^{2-}$  ion.

#### ✓ EXAMPLE 2.4.1

Benzene is a common organic solvent that was previously used in gasoline; it is no longer used for this purpose, however, because it is now known to be a carcinogen. The benzene molecule ( $\text{C}_6\text{H}_6$ ) consists of a regular hexagon of carbon atoms, each of which is also bonded to a hydrogen atom. Use resonance structures to describe the bonding in benzene.

**Given:** molecular formula and molecular geometry

**Asked for:** resonance structures

**Strategy:**

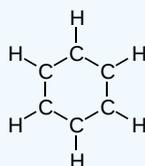
**A** Draw a structure for benzene illustrating the bonded atoms. Then calculate the number of valence electrons used in this drawing.

**B** Subtract this number from the total number of valence electrons in benzene and then locate the remaining electrons such that each atom in the structure reaches an octet.

**C** Draw the resonance structures for benzene.

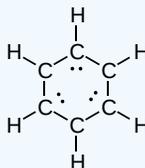
**Solution:**

**A** Each hydrogen atom contributes 1 valence electron, and each carbon atom contributes 4 valence electrons, for a total of  $(6 \times 1) + (6 \times 4) = 30$  valence electrons. If we place a single bonding electron pair between each pair of carbon atoms and between each carbon and a hydrogen atom, we obtain the following:



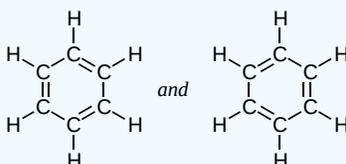
Each carbon atom in this structure has only 6 electrons and has a formal charge of  $1+$ , but we have used only 24 of the 30 valence electrons.

**B** If the 6 remaining electrons are uniformly distributed pair-wise on alternate carbon atoms, we obtain the following:

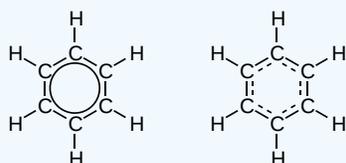


Three carbon atoms now have an octet configuration and a formal charge of  $1-$ , while three carbon atoms have only 6 electrons and a formal charge of  $1+$ . We can convert each lone pair to a bonding electron pair, which gives each atom an octet of electrons and a formal charge of 0, by making three C=C double bonds.

**C** There are, however, two ways to do this:

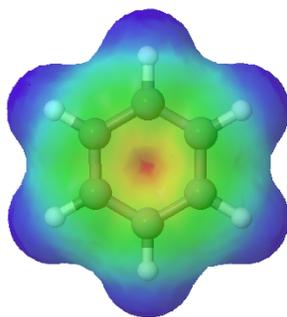


Each structure has alternating double and single bonds, but experimentation shows that each carbon-carbon bond in benzene is identical, with bond lengths (139.9 pm) intermediate between those typically found for a C-C single bond (154 pm) and a C=C double bond (134 pm). We can describe the bonding in benzene using the two resonance structures, but the actual electronic structure is an average of the two. The existence of multiple resonance structures for aromatic hydrocarbons like benzene is often indicated by drawing either a circle or dashed lines inside the hexagon:



benzene

This combination of  $p$  orbitals for benzene can be visualized as a ring with a node in the plane of the carbon atoms. As can be seen in an electrostatic potential map of benzene, the electrons are distributed symmetrically around the ring.



### ? EXERCISE 2.3.1

The sodium salt of nitrite is used to relieve muscle spasms. Draw two resonance structures for the nitrite ion ( $\text{NO}_2^-$ ).

Answer

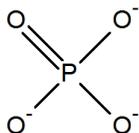


## EXERCISES

### QUESTIONS

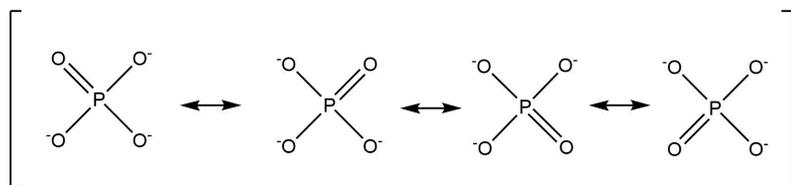
#### Q2.4.1

Draw the resonance structures for the following molecule:



### SOLUTIONS

#### S2.4.1



### EXTRA EXAMPLE - $\text{O}_3$

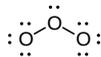
A molecule or ion with such delocalized electrons is represented by several contributing structures (also called resonance structures or canonical forms). Such is the case for ozone ( $\text{O}_3$ ), an allotrope of oxygen with a V-shaped structure and an O–O–O angle of  $117.5^\circ$ .

1. We know that ozone has a V-shaped structure, so one O atom is central:



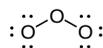
2. Each O atom has 6 valence electrons, for a total of 18 valence electrons.

3. Assigning one bonding pair of electrons to each oxygen–oxygen bond gives



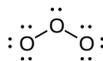
with 14 electrons left over.

4. If we place three lone pairs of electrons on each terminal oxygen, we obtain

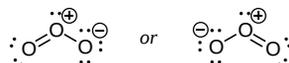


and have 2 electrons left over.

5. At this point, both terminal oxygen atoms have octets of electrons. We therefore place the last 2 electrons on the central atom:



6. The central oxygen has only 6 electrons. We must convert one lone pair on a terminal oxygen atom to a bonding pair of electrons—but which one? Depending on which one we choose, we obtain either



Which is correct? In fact, neither is correct. Both predict one O–O single bond and one O=O double bond. If the bonds were of different types (one single and one double, for example), they would have different lengths. It turns out, however, that both O–O bond distances are identical, 127.2 pm, which is shorter than a typical O–O single bond (148 pm) and longer than the O=O double bond in O<sub>2</sub> (120.7 pm).

Equivalent Lewis dot structures, such as those of ozone, are called resonance structures. The position of the *atoms* is the same in the various resonance structures of a compound, but the position of the *electrons* is different. Double-headed arrows link the different resonance structures of a compound:

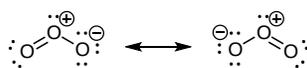
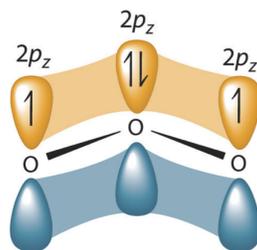


Figure 2.4.1).



*The resonance structure of ozone involves a molecular orbital extending all three oxygen atoms. In ozone, a molecular orbital extending over all three oxygen atoms is formed from three atom centered  $p_z$  orbitals. Similar molecular orbitals are found in every resonance structure.*

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## 2.4: RULES FOR RESONANCE FORMS

### OBJECTIVES

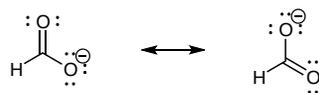
After completing this section, you should be able to

- use the concept of resonance to explain structural features of molecules and ions.
- understand the relationship between resonance and relative stability of molecules and ions.

### RULES FOR DRAWING AND WORKING WITH RESONANCE CONTRIBUTORS

Recognizing, drawing, and evaluating the relative stability of resonance contributors is essential to understanding organic reaction mechanisms. When learning to draw and interpret resonance structures, there are a few basic guidelines to help. .

1. There is **ONLY ONE REAL STRUCTURE** for each molecule or ion. This real structure (the **resonance hybrid**) takes its character from the average of all the individual **resonance contributors**. When looking at a resonance contributors, we are seeing the exact same molecule or ion depicted in different ways. Resonance hybrids are really a single, unchanging structure.

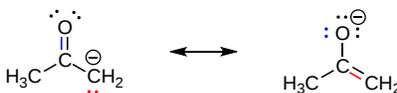


Major resonance contributors of the formate ion

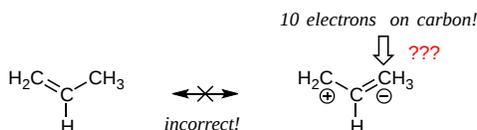


Representations of the formate resonance hybrid

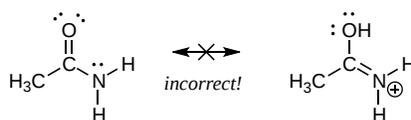
2. The resonance hybrid is more stable than any individual resonance structures. Often, resonance structures represent the movement of a charge between two or more atoms. The charge is spread out amongst these atoms and therefore more stabilized. When looking at the picture above the resonance contributors represent the negative charge as being on one oxygen or the other. The resonance hybrid shows the negative charge being shared equally between two oxygens. In the resonance hybrid, the negative charge is spread out over a larger part of the molecule and is therefore more stable.
3. Resonance contributors do not have to be equivalent. Because of this, resonance structures do not necessarily contribute equally to the resonance hybrid. The two resonance structures shown below are not equivalent because one shows the negative charge on an oxygen while the other shows it on a carbon. Later, we will show that the contributor with the negative charge on the oxygen is the more stable of the two. Also, this means that the resonance hybrid will not be an exact mixture of the two structures.



4. All resonance contributors must be correct Lewis structures. Each atom should have a complete valence shell and be shown with correct formal charges. A carbocation (carbon with only 6 valence electrons) is the only allowed exception to the valence shell rules. The structure below is an invalid resonance structure even though it only shows the movement of a pi bond. The resulting structure contains a carbon with ten electrons, which violates the octet rule, making it invalid.

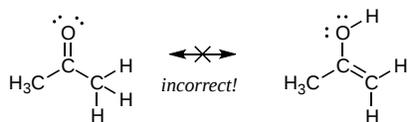


5. All resonance contributors must have the same molecular formula, the same number of electrons, and same net charge. The molecules in the figure below are not resonance structures of the same molecule because they have different molecular formulas ( $C_2H_5NO$  Vs.  $C_2H_6NO$ ). Also, the two structures have different net charges (neutral Vs. positive).



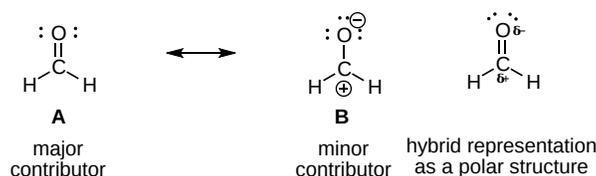
6. Resonance contributors only differ by the positions of pi bond and lone pair electrons. Sigma bonds are never broken or made, because of this atoms must maintain their same position. The molecules in the figure below are not resonance structures of the same molecule

even though they have the same molecular formula ( $C_3H_6O$ ). These molecules are considered structural isomers because their difference involves the breaking of a sigma bond and moving a hydrogen atom.



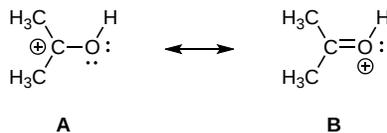
### MAJOR AND MINOR RESONANCE CONTRIBUTORS

As previously stated the true structure of a resonance hybrid is the combination of all the possible resonance structures. If the resonance structures are equal in stability they contribute equally to the structure of the hybrid. However, if the resonance structures have different stabilities they contribute to the hybrid's structure in proportions related to their relative stabilities. It can be said the resonance hybrid's structure resembles the most stable resonance structure. Because of this it is important to be able to compare the stabilities of resonance structures. In the example below, structure **B** is much less important in terms of its contribution to the hybrid because it contains the violated octet of a carbocation. The relative stabilities of the two structures are so vastly different that molecules which contain a  $C=O$  bond are almost exclusively written in a form like structure **A**. However, as will learn in [chapter 19](#), the positively charged carbon created by structure **B** will explain how the  $C=O$  bond will react with electron rich species.

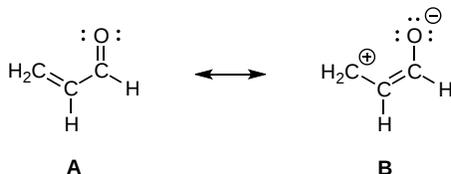


### RULES FOR ESTIMATING STABILITY OF RESONANCE STRUCTURES

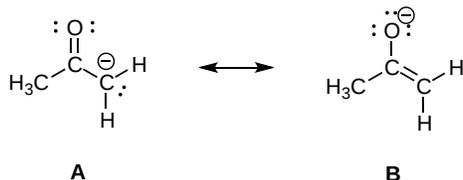
1. The resonance structures in which all atoms have complete valence shells is more stable. This means most atoms have a full octet. In the example below structure **A** has a carbon atom with a positive charge and therefore an incomplete octet. Based on this criterion, structure **A** is less stable and is a more minor contributor to the resonance hybrid than structure **B**.



2. The structures with the **least number of formal charges** is more stable. Based on this, structure **B** is less stable because it has two atoms with formal charges while structure **A** has none. Structure **A** would be the major resonance contributor.

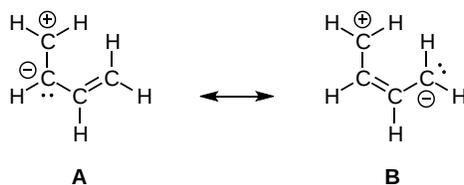


3. The structures with a **negative charge on the more electronegative atom** will be more stable. The difference between the two resonance structures is the placement of a negative charge. Structure **B** is the more stable and the major resonance contributor, because it places the negative charge on the more electronegative oxygen.

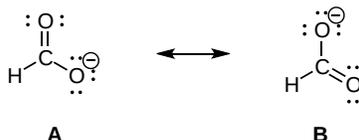


4. The structures with a **positive charges on the least electronegative atom** (most electropositive) is more stable.

5. The structures with the **least separation of formal charges** is more stable. The only difference between the two structures below are the relative positions of the positive and negative charges. In structure **A** the charges are closer together making it more stable.

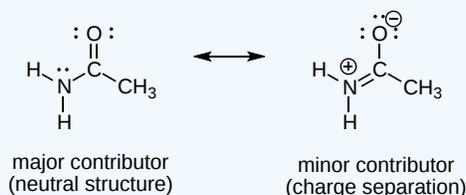


**6. Resonance forms that are equivalent have no difference in stability.** When looking at the two structures below no difference can be made using the rules listed above. This means the two structures are equivalent in stability and would make equal structural contributions to the resonance hybrid.

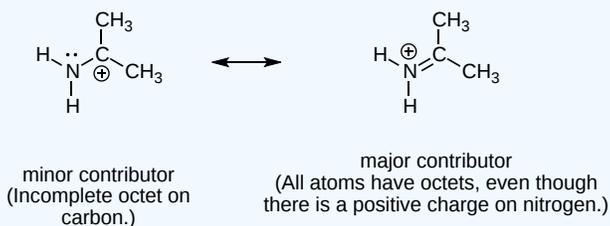


### ✓ EXAMPLE 2.4.1

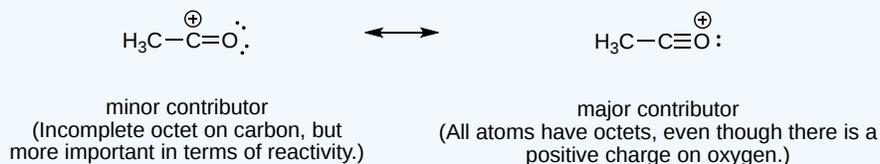
Example 1



Example 2

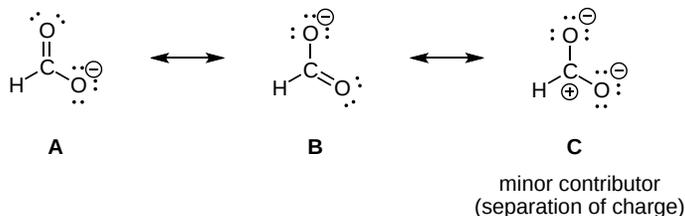


Example 3



### CARBOXYLATE EXAMPLE

In the case of carboxylates, contributors **A** and **B** below are equivalent in terms of their relative contribution to the hybrid structure. However, there is also a third resonance contributor **C**, in which the carbon bears a positive formal charge (a carbocation) and both oxygens are single-bonded and bear negative charges.

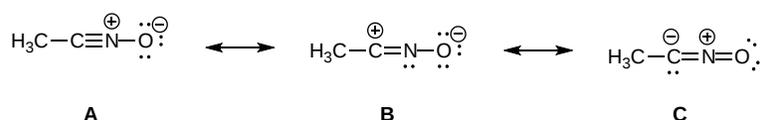


Structure **C** makes a less important contribution to the overall bonding picture of the group relative to **A** and **B**. How do we know that structure **C** is the 'minor' contributor? Apply the rules below:

- The carbon in contributor **C** does not have an octet. In general, resonance contributors in which a carbon does not fulfill the octet rule are relatively less important. (rule #1)
- In structure **C**, there are only three bonds, compared to four in **A** and **B**. In general, a resonance structure with a lower number of total bonds is relatively less important. (rule #2)
- Structure **C** also has more formal charges than are present in **A** or **B**. In general, resonance contributors in which there is more/greater separation of charge are relatively less important. (rule #3)
- Structures **A** and **B** are equivalent and will be equal contributors to the resonance hybrid. (rule #5).
- The resonance contributor in which a negative formal charge is located on a more electronegative atom, usually oxygen or nitrogen, is more stable than one in which the negative charge is located on a less electronegative atom such as carbon. An example is in the upper left expression in the next figure. (rule #4)

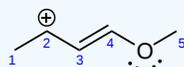
## MOLECULES WITH THREE RESONANCE STRUCTURES

Molecules with more than 2 resonance structures can also be considered using the rules listed above. Of the resonance structures listed below, structure **A** would be the most stable because all the non-hydrogen atoms have a full octet and the negative charge is on the more electronegative atom (oxygen). Structure **C** would be next in stability because all of the non-hydrogen atoms have full octets, though now the negative charge is on carbon rather than oxygen. Structure **B** would be the least stable of the three because it has the carbocation does not have an octet. Of the three, structure **A** would be the major resonance structure and would most resemble the structure of the true resonance hybrid. Structure **B** is considered a minor resonance contributor and would have very little effect on the structure of the resonance hybrid.



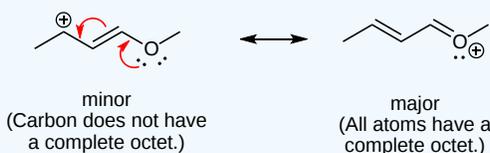
### ✓ EXAMPLE 2.4.1

Draw the major resonance contributor of the structure below. Include in your figure the appropriate curved arrows showing how you got from the given structure to your structure. Explain why your contributor is the major one. In what kind of orbitals are the two lone pairs on the oxygen?



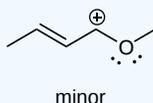
### Solution

In the structure above, the carbon with the positive formal charge does not have a complete octet of valence electrons. Using the curved arrow convention, a lone pair on the oxygen can be moved to the adjacent bond to the left, and the electrons in the double bond shifted over to the left (see the rules for drawing resonance contributors to convince yourself that these are 'legal' moves).



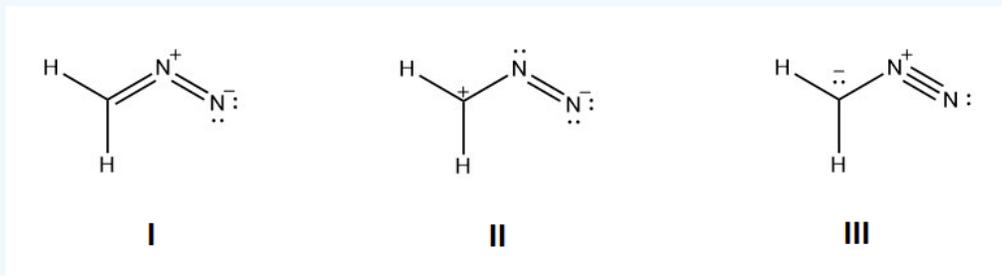
The resulting resonance contributor, in which the oxygen bears the formal charge, is the major one because all atoms have a complete octet, and there is one additional bond drawn (resonance rules #1 and #2 both apply). This system can be thought of as four parallel  $2p$  orbitals (one each on  $\text{C}_2$ ,  $\text{C}_3$ , and  $\text{C}_4$ , plus one on oxygen) sharing four pi electrons. One lone pair on the oxygen is in an unhybridized  $2p$  orbital and is part of the conjugated pi system, and the other is located in an  $sp^2$  orbital.

Also note that one additional contributor can be drawn, but it is also minor because it has a carbon with an incomplete octet:



### ? EXERCISE 2.4.2

For the following resonance structures please rank them in order of stability. Indicate which would be the major contributor to the resonance hybrid.

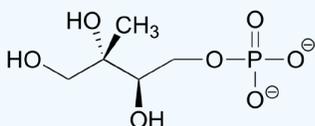


#### Answer

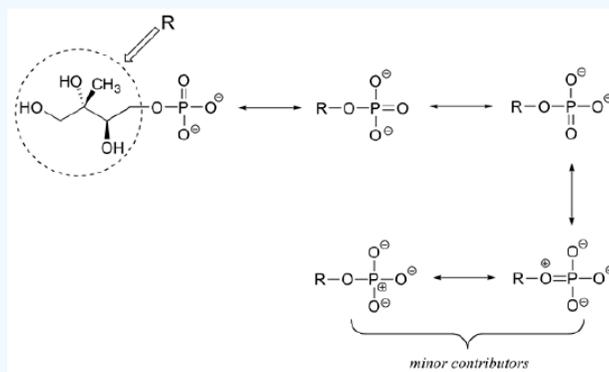
Structure I would be the most stable because all the non-hydrogen atoms have a full octet and the negative charge is on the more electronegative nitrogen. Structure III would be the next in stability because all of the non-hydrogen atoms have full octets. Structure II would be the least stable because it has the violated octet of a carbocation.

### ? EXERCISE 2.4.3

Draw four additional resonance contributors for the molecule below. Label each one as major or minor (the structure below is of a major contributor).



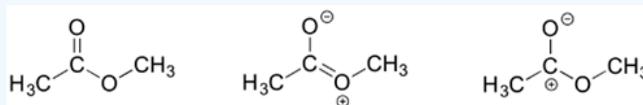
#### Answer



### ? EXERCISE 2.4.4

Draw three resonance contributors of methyl acetate (an ester with the structure  $\text{CH}_3\text{COOCH}_3$ ), and order them according to their relative importance to the bonding picture of the molecule. Explain your reasoning.

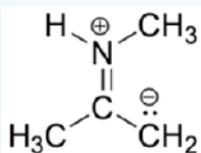
#### Answer



- The contributor on the left is the most stable: there are no formal charges.
- The contributor on the right is least stable: there are formal charges, and a carbon has an incomplete octet.
- The contributor in the middle is intermediate stability: there are formal charges, but all atoms have a complete octet.

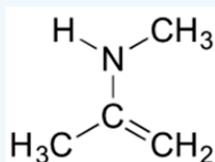
### ? EXERCISE 2.4.5

Below is a minor resonance contributor of a species known as an 'enamine', which we will study more in [Section 19.8](#) (formation of enamines) [Section 23.12](#) (reactions of enamines). Draw the major resonance contributor for the enamine, and explain why your contributor is the major one.



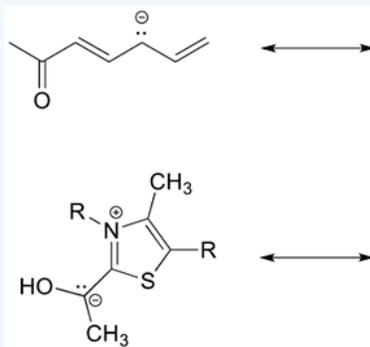
#### Answer

This contributor is major because there are no formal charges.

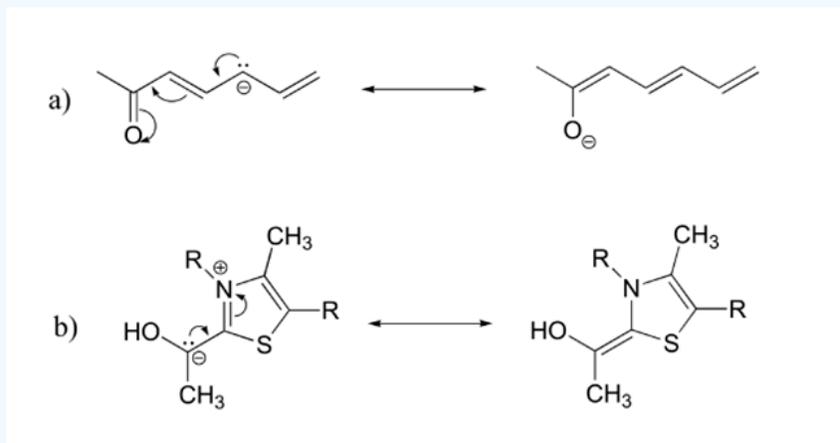


### ? EXERCISE 2.4.6

Draw the major resonance contributor for each of the anions below:

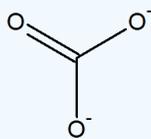


#### Answer



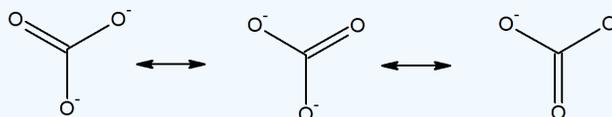
## ? EXERCISE 2.4.7

Are all the bond lengths the same in the carbonate ion,  $\text{CO}_3^{2-}$ ?



### Answer

Yes, the bond lengths in carbonate ion are all the same. Carbonate ion exists as the resonance hybrid of the three resonance forms below.



## ADDITIONAL RESONANCE TOPICS

### RECOGNIZING RESONANCE

Resonance contributors involve the 'imaginary movement' of pi-bonded electrons or of lone-pair electrons that are adjacent to (i.e. conjugated to) pi bonds. You can never shift the location of electrons in sigma bonds – if you show a sigma bond forming or breaking, you are showing a chemical reaction taking place. Likewise, the positions of atoms in the molecule cannot change between two resonance contributors.

Because benzene will appear throughout this course, it is important to recognize the stability gained through the resonance delocalization of the six pi electrons throughout the six carbon atoms. Benzene also illustrates one way to recognize resonance - when it is possible to draw two or more equivalent Lewis structures. If we were to draw the structure of an aromatic molecule such as 1,2-dimethylbenzene, there are two ways that we could draw the double bonds:

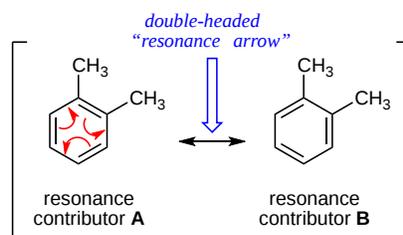


Is this correct...

...or is this?

Which way is correct? There are two simple answers to this question: 'both' and 'neither one'. Both ways of drawing the molecule are equally acceptable approximations of the bonding picture for the molecule, but neither one, by itself, is an accurate picture of the delocalized pi bonds. The two alternative drawings, however, when considered together, give a much more accurate picture than either one on its own. This is because they imply, together, that the carbon-carbon bonds are not double bonds, not single bonds, but about halfway in between.

When it is possible to draw more than one valid structure for a compound or ion, we have identified **resonance contributors**: two or more different Lewis structures depicting the same molecule or ion that, when considered together, do a better job of approximating delocalized pi-bonding than any single structure. By convention, resonance contributors are linked by a double-headed arrow, and are sometimes enclosed by brackets:



In order to make it easier to visualize the difference between two resonance contributors, small, curved arrows are often used. Each of these arrows depicts the 'movement' of two pi electrons. In the drawing of resonance contributors, however, this electron 'movement' occurs only

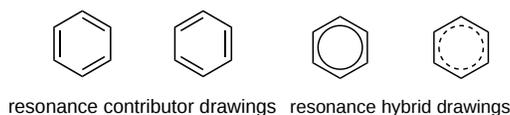
in our minds, as we try to visualize delocalized pi bonds. Nevertheless, use of the curved arrow notation is an essential skill that you will need to develop in drawing resonance contributors.

The depiction of benzene using the two resonance contributors A and B in the figure above does *not* imply that the molecule at one moment looks like structure A, then at the next moment shifts to look like structure B. Rather, at all moments, the molecule is a combination, or **resonance hybrid** of both A and B.

### CAUTION

It is very important to be clear that in drawing two (or more) resonance contributors, we are not drawing two different molecules: they are simply *different depictions of the exact same molecule*. Furthermore, the double-headed resonance arrow does NOT mean that a chemical reaction has taken place.

Benzene is often drawn as only one of the two possible resonance contributors (it is assumed that the reader understands that resonance hybridization is implied). However, sometimes benzene will be drawn with a circle inside the hexagon, either solid or dashed, as a way of drawing a resonance hybrid.



### EXAMPLE 2.4.2: EXAMPLES OF RESONANCE

Molecules with a Single Resonance Configuration

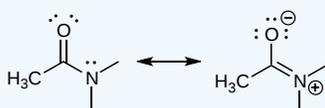
#### Example 1



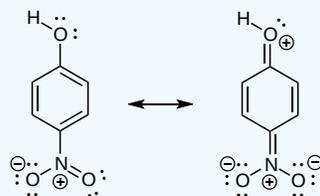
#### Example 2



#### Example 3



#### Example 4

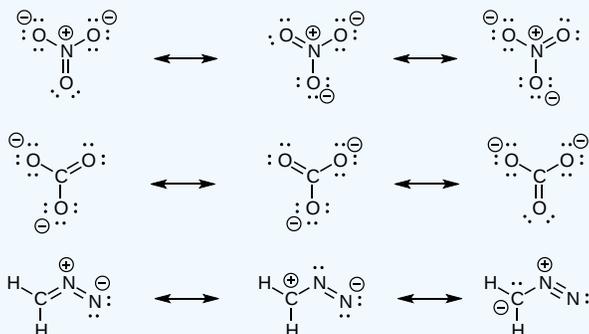


The above resonance structures show that the electrons are delocalized within the molecule and through this process the molecule gains extra stability. Ozone with both of its opposite formal charges creates a neutral molecule and through resonance it is a stable molecule. The extra electron that created the negative charge one terminal oxygen can be delocalized by resonance through the other terminal oxygen.

Benzene is an extremely stable molecule due to its geometry and molecular orbital interactions, but most importantly, due to its resonance structures. The delocalized electrons in the benzene ring make the molecule very stable and with its characteristics of a nucleophile, it will react with a strong electrophile only and after the first reactivity, the substituted benzene will depend on its resonance to direct the next position for the reaction to add a second substituent.

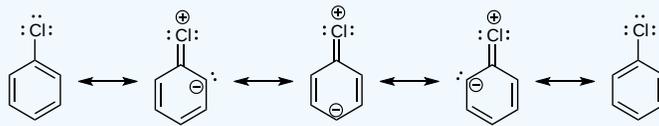
### ✓ EXAMPLE 2.4.8: MULTIPLE RESONANCE OF OTHER MOLECULES

Molecules and ions with more than one resonance form:



Some structural resonance conformations are the major contributor or the dominant forms that the molecule exists. For example, if we look at the above rules for estimating the stability of a molecule, we see that for the third molecule the first and second forms are the major contributors for the overall stability of the molecule. The nitrogen is more electronegative than carbon so, it can handle the negative charge more than carbon. A carbon with a negative charge is the least favorable conformation for the molecule to exist, so the last resonance form contributes very little for the stability of the ion.

#### Hybrid Resonance



The different resonance forms of the molecule help predict the reactivity of the molecule at specific sites.

The Hybrid Resonance forms show the different Lewis structures with the electron been delocalized. This is very important for the reactivity of chloro-benzene because in the presence of an electrophile it will react and the formation of another bond will be directed and determine by resonance. The lone pair of electrons delocalized in the aromatic substituted ring is where it can potentially form a new bond with an electrophile, as it is shown there are three possible places that reactivity can take place, the first to react will take place at the *para* position with respect to the chloro- substituent and then to either *ortho*- position.

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## 2.5: DRAWING RESONANCE FORMS

### OBJECTIVES

After completing this section, you should be able to

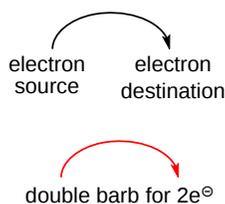
- draw the resonance structures of molecules or ions that exhibit delocalization.
- determine the relative stability of resonance structures using a set of rules.
- use the concept of resonance to explain structural features of molecules and ions.

### KEY WORDS

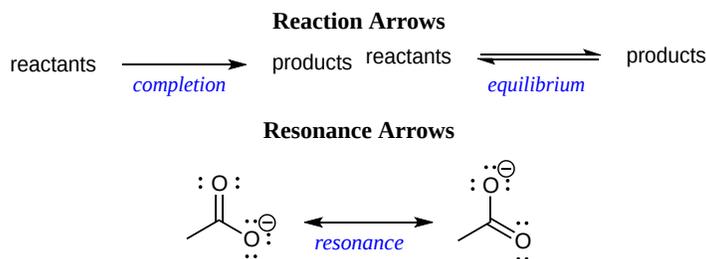
- resonance structure
- resonance hybrid

### CURVED ARROWS COMMUNICATE ELECTRON FLOW (MOVEMENT)

Organic chemistry has developed a system to show how electrons move between resonance structures. This system will also be used to help describe how electrons from in reactions. Curved double barbed arrows indicates the flow of two electrons. The base of the curved arrow is placed at the source of the electrons that are moving. The head of the arrow is placed at the destination of the electrons.



It is also important to consciously use the correct type of arrow. There are four primary types of arrows used by chemists to communicate one of the following: completion reaction, equilibrium reaction, electron movement, and resonance forms. The three other types of arrows are shown below to build discernment between them. Note, the electron movement arrows are the only ones that are curved.

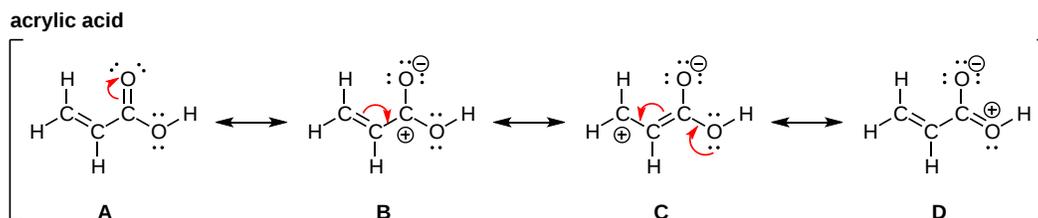


### USING CURVED ARROWS TO SHOW ELECTRON MOVEMENT

Because the double barbed arrow represents the movement of two electrons, they usually involve lone pair electrons or pi bonds. There are only three types of electron "motion" in resonance. They are:

1. **A lone pair forms a pi bond to an adjacent atom**
2. **A pi bond forms a new pi bond to an adjacent atom**
3. **A pi bond forms a lone pair on adjacent atom**

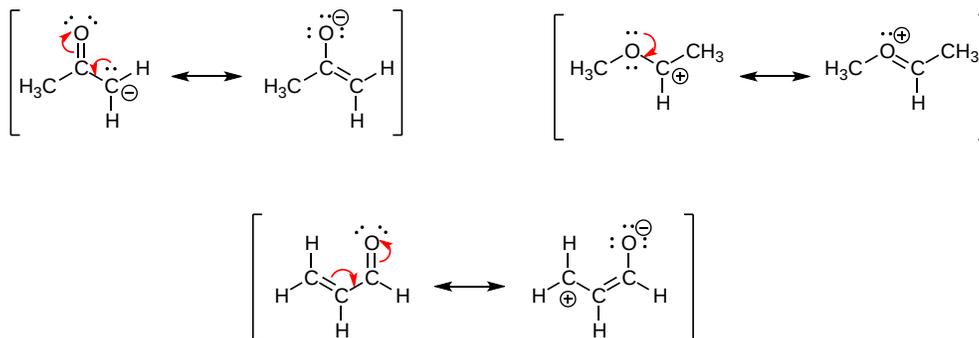
Let's look at the resonance within acrylic acid to demonstrate these three types of resonance.



The curved arrow in structure A represents the type 3 resonance "motion" - the pi bond between the carbon and oxygen breaks to form another lone pair on the oxygen. The curved arrow in structure B represents type 2 resonance "motion" - the pi bond breaks to form a new pi bond to the carbocation carbon. In structure C, there are two curved arrows. The curved arrow from the oxygen lone pair is type 1 resonance motion - the lone pair forms a new pi bond between the oxygen and carbon. The other arrow in structure C moves the pi bond to the end of the chain and represents resonance type 2. By combining these three basic types of electron movement we can describe virtually any type of resonance.

### EXAMPLE:

Below are a few more examples of 'legal' resonance expressions. Confirm for yourself that the octet rule is not exceeded for any atoms, that formal charges are correct, and identify which type of electron movement is being represented by each arrow.

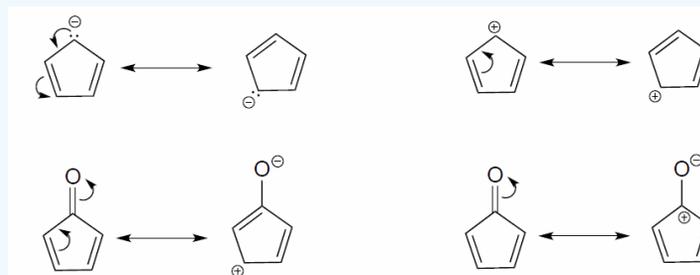


### ? EXERCISE 2.5.1

Draw the resonance contributors that correspond to the curved, two-electron movement arrows in the resonance expressions below. Then identify the type of resonance motion in each structure below.

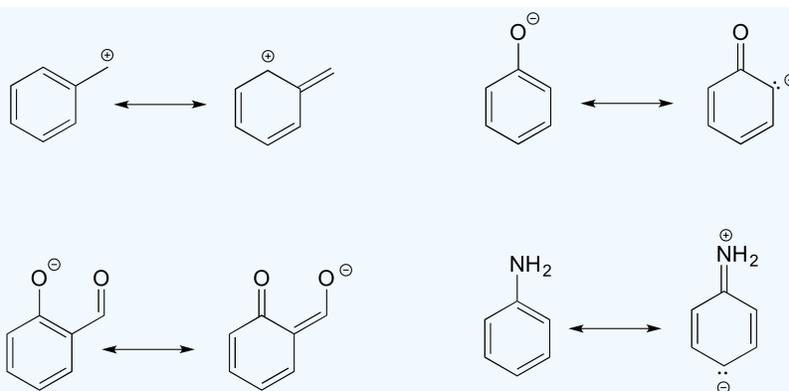


Answer

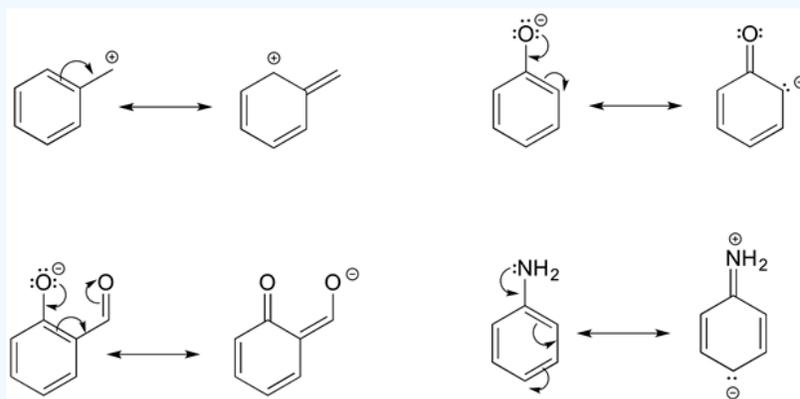


### ? EXERCISE 2.5.2

In each resonance expression, identify the type of resonance motion. Then draw curved arrows on the left-side contributor that shows how we get to the right-side contributor.



Answer

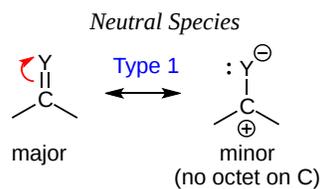


### RECOGNIZING COMMON PATTERNS OF RESONANCE

If you examine a large number of resonance examples, you will begin to notice that they nearly always match common patterns, of which there are only three. It is important to be able to identify atoms that participate in resonance. In complex resonance cases, multiple types of resonance may occur simultaneously.

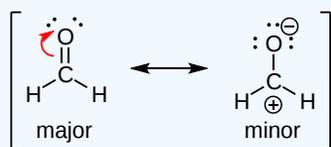
#### TYPE I - NEUTRAL SPECIES

The electrons of a pi bond move to become a set of lone pair electrons on an electronegative atom. The resonance structure made has a carbon with a violated octet which make it a minor contributor. This type of resonance is commonly used to the polarity in certain double bonds.



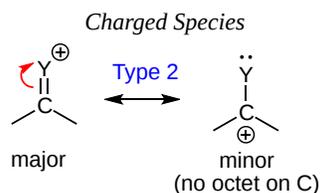
Note: Y is an electronegative atom, usually N, O, or S.

#### ✓ TYPE I EXAMPLE



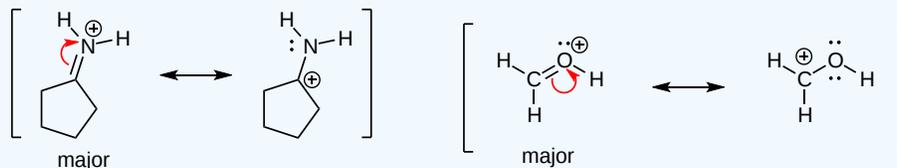
### TYPE II - CHARGED SPECIES

Type II resonance is only seen with a + charge, and usually involves a positive charge on oxygen or nitrogen being shared onto a carbon; the carbocation form has only six valence electrons on the carbon, so it is a less stable form than the major form (which has complete octets).



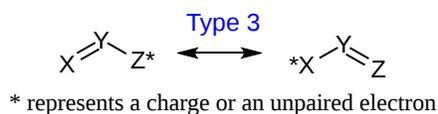
Note: Y is an electronegative atom, usually N, O, S, sometimes halogen

#### ✓ TYPE II EXAMPLE



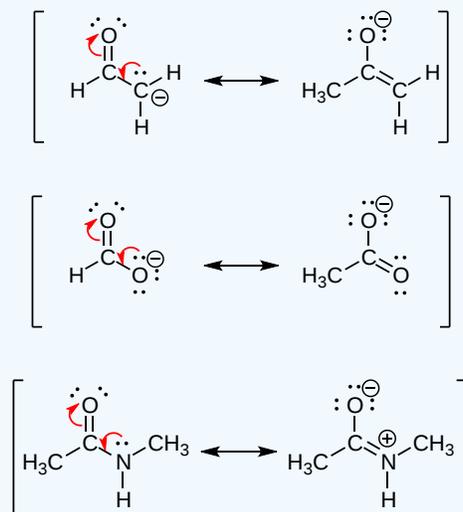
### TYPE III - ALLYLIC RESONANCE

Type III resonance is very common and important because it serves to stabilize positive charges, negative charges, or lone pairs. It is sometimes referred to as “allylic” resonance, especially in cases with all carbon. This type of resonance can be identified by a three-atom group of atoms each with  $sp^2$  hybridization and a  $p$  orbital.



#### ✓ TYPE III EXAMPLE

Atoms with lone pair electrons next to a pi bond can be  $sp^2$  hybridized and have the lone pair of electrons in a  $p$  orbital despite the fact that they are surrounded by four electron groups. The lone pair electrons contained in the  $p$  orbital cause the ion to be stabilized due to resonance.



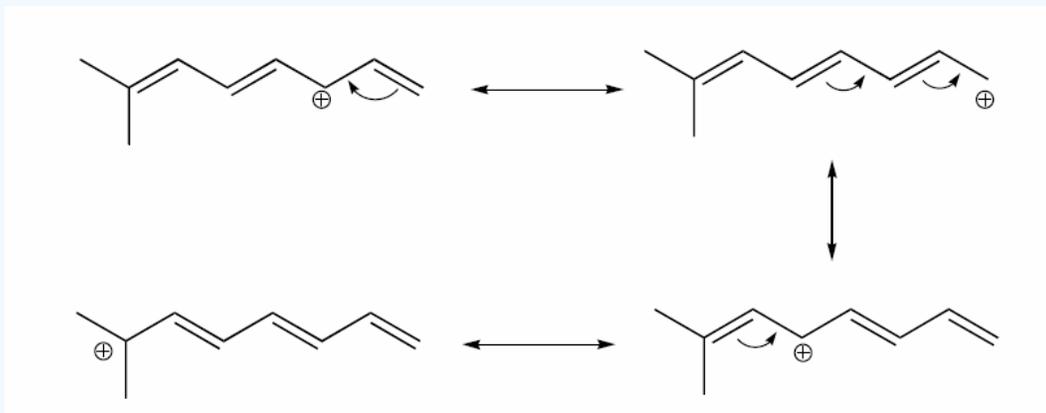
Similarly, carbocations are  $sp^2$ -hybridized, with an empty  $2p$  orbital oriented perpendicular to the plane formed by three sigma bonds. If a carbocation is adjacent to a double bond, then three  $2p$  orbitals can overlap and share the two pi electrons - another kind of conjugated pi system in which the positive charge is shared over two carbons.



b) Fill in the blanks: the conjugated pi system in this carbocation is composed of \_\_\_\_\_  $2p$  orbitals sharing \_\_\_\_\_ delocalized pi electrons.

**Answer**

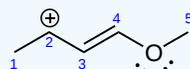
a)



b) The conjugated pi system in this carbocation is composed of seven  $p$  orbitals containing six delocalized pi electrons.

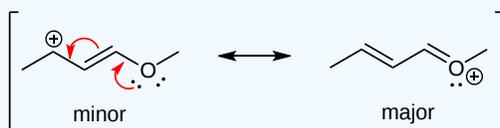
### ✓ EXAMPLE 2.5.1

Draw the major resonance contributor of the structure below. Include in your figure the appropriate curved arrows showing how you got from the given structure to your structure. Explain why your contributor is the major one. In what kind of orbitals are the two lone pairs on the oxygen?



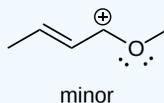
### Solution

In the structure above, the carbon with the positive formal charge does not have a complete octet of valence electrons. Using the curved arrow convention, a lone pair on the oxygen can be moved to the adjacent bond to the left, and the electrons in the double bond shifted over to the left (see the rules for drawing resonance contributors to convince yourself that these are 'legal' moves).



The resulting resonance contributor, in which the oxygen bears the formal charge, is the major one because all atoms have a complete octet, and there is one additional bond drawn (resonance rules #1 and #2 both apply). This system can be thought of as four parallel  $2p$  orbitals (one each on  $C_2$ ,  $C_3$ , and  $C_4$ , plus one on oxygen) sharing four pi electrons. One lone pair on the oxygen is in an unhybridized  $2p$  orbital and is part of the conjugated pi system, and the other is located in an  $sp^2$  orbital.

Also note that one additional contributor can be drawn, but it is also minor because it has a carbon with an incomplete octet:

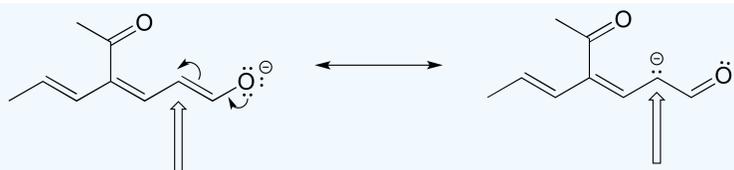


### ? EXERCISE 2.5.5

The figure below shows how the negative formal charge on an oxygen (of an enol) can be delocalized to the carbon indicated by an arrow. More resonance contributors can be drawn in which negative charge is delocalized to three other atoms on the molecule.

a) Circle these atoms that can also have a resonance structure with a negative charge.

b) Draw the two most important resonance contributors for the enolate ion.



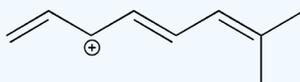
**Answer**

The two major contributors are those in which the negative formal charge is located on an oxygen rather than on a carbon.



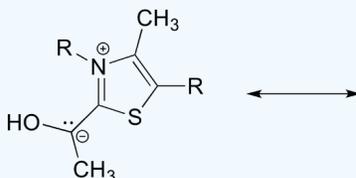
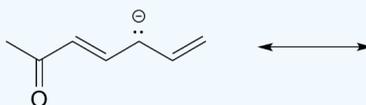
**Exercise 2.6.5:**

a) Draw three additional resonance contributors for the carbocation below. Include in your figure the appropriate curved arrows showing how one contributor is converted to the next.



b) Fill in the blanks: the conjugated pi system in this carbocation is composed of \_\_\_\_\_ 2p orbitals sharing \_\_\_\_\_ delocalized pi electrons.

**Exercise 2.6.6:** Draw the major resonance contributor for each of the anions below.

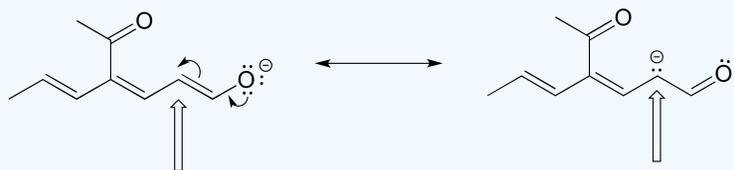


c) Fill in the blanks: the conjugated pi system in part (a) is composed of \_\_\_\_\_ 2p orbitals containing \_\_\_\_\_ delocalized pi electrons.

**Exercise 2.6.7:** The figure below shows how the negative formal charge on the oxygen can be delocalized to the carbon indicated by an arrow. More resonance contributors can be drawn in which negative charge is delocalized to three other atoms on the molecule.

a) Circle these atoms.

b) Draw the two most important resonance contributors for the molecule.



**A word of advice**

Becoming adept at drawing resonance contributors, using the curved arrow notation to show how one contributor can be converted to another, and understanding the concepts of conjugation and resonance delocalization are some of the most challenging but also most important jobs that you will have as a beginning student of organic chemistry. If you work hard now to gain a firm grasp of these ideas, you will have come a long way toward understanding much of what follows in your organic chemistry course. Conversely, if you fail

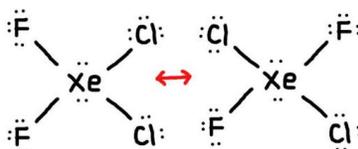
to come to grips with these concepts now, a lot of what you see later in the course will seem like a bunch of mysterious and incomprehensible lines, dots, and arrows, and it will be difficult to be successful in organic chemistry.

## REFERENCES

1. Petrucci, Ralph H., et al. *General Chemistry: Principles and Modern Applications*. New Jersey: Pearson Prentice Hall, 2007. Print.
2. Ahmad, Wan-Yaacob and Zakaria, Mat B. "Drawing Lewis Structures from Lewis Symbols: A Direct Electron Pairing Approach." *Journal of Chemical Education*: Journal 77.3: n. pag. Web. March 2000. Link to this journal: [pkukmweb.ukm.my/~mbz/c\\_penerb...83%29/p329.pdf](http://pkukmweb.ukm.my/~mbz/c_penerb...83%29/p329.pdf)

## PROBLEMS

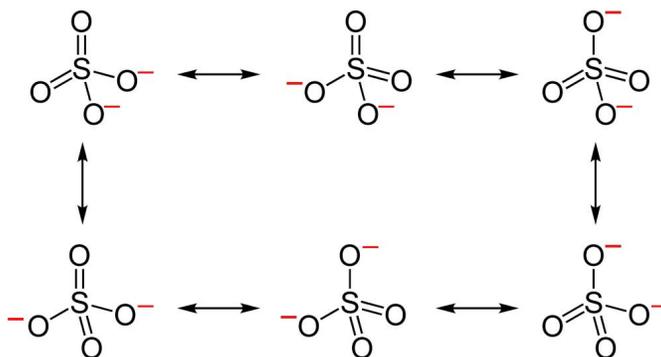
1. True or False, The picture below is a resonance structure?



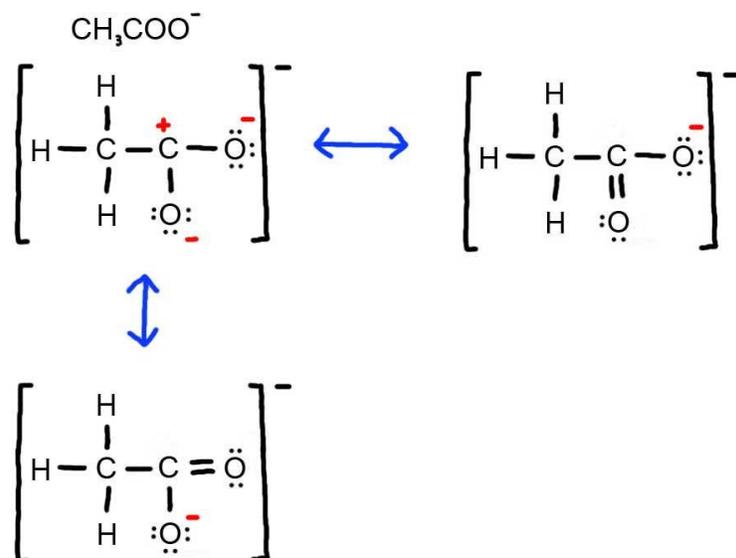
2. Draw the Lewis Dot Structure for  $\text{SO}_4^{2-}$  and all possible resonance structures. Which of the following resonance structure is not favored among the Lewis Structures? Explain why. Assign Formal Charges.
3. Draw the Lewis Dot Structure for  $\text{CH}_3\text{COO}^-$  and all possible resonance structures. Assign Formal Charges. Choose the most favorable Lewis Structure.
4. Draw the Lewis Dot Structure for  $\text{HPO}_3^{2-}$  and all possible resonance structures. Assign Formal Charges.
5. Draw the Lewis Dot Structure for  $\text{CHO}_2^{1-}$  and all possible resonance structures. Assign Formal Charges.
6. Draw the Resonance Hybrid Structure for  $\text{PO}_4^{3-}$ .
7. Draw the Resonance Hybrid Structure for  $\text{NO}_3^-$ .

## ANSWERS

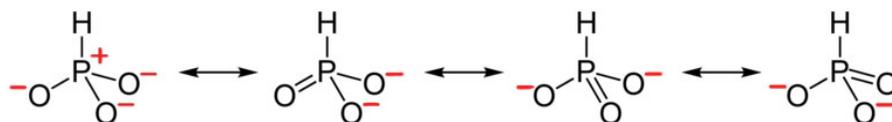
1. False, because the electrons were not moved around, only the atoms (this violates the Resonance Structure Rules).
2. Below are the all Lewis dot structure with formal charges (in red) for Sulfate ( $\text{SO}_4^{2-}$ ). There isn't a most favorable resonance of the Sulfate ion because they are all identical in charge and there is no change in Electronegativity between the Oxygen atoms.



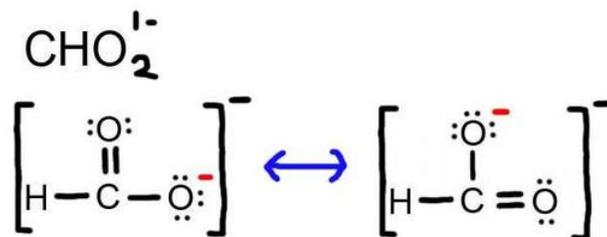
3. Below is the resonance for  $\text{CH}_3\text{COO}^-$ , formal charges are displayed in red. The Lewis Structure with the most formal charges is not desirable, because we want the Lewis Structure with the least formal charge.



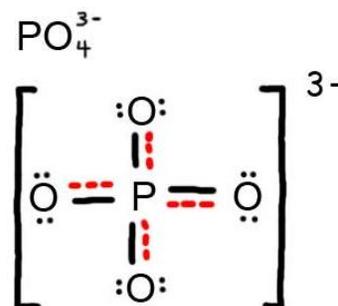
4. The resonance for  $\text{HPO}_3^{2-}$ , and the formal charges (in red).



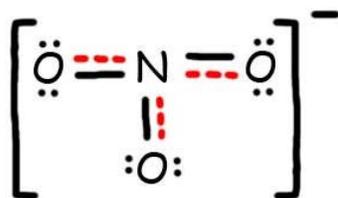
5. The resonance for  $\text{CHO}_2^{1-}$ , and the formal charges (in red).



6. The resonance hybrid for  $\text{PO}_4^{3-}$ , hybrid bonds are in red.



7. The resonance hybrid for  $\text{NO}_3^-$ , hybrid bonds are in red.



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## 2.6: ACIDS AND BASES - THE BRØNSTED-LOWRY DEFINITION

### OBJECTIVES

After completing this section, you should be able to

1. state the Brønsted-Lowry definition of an acid and a base.
2. identify the Brønsted-Lowry acid and base in a given acid-base reaction.
3. identify the conjugate base of an acid and identify the conjugate acid of a base.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- Brønsted-Lowry acid
- Brønsted-Lowry base
- conjugate acid
- conjugate base

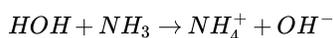
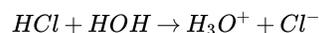
### STUDY NOTES

You should already be familiar with the Brønsted-Lowry concept of acidity and the differences between strong and weak acids. You may wish to review this topic before proceeding.

In 1923, chemists Johannes Brønsted and Martin Lowry independently developed definitions of acids and bases based on compounds abilities to either donate or accept protons ( $H^+$  ions). Here, acids are defined as being able to donate protons in the form of hydrogen ions; whereas bases are defined as being able to accept protons. This took the Arrhenius definition one step further as water is no longer required to be present in the solution for acid and base reactions to occur.

### BRØNSTED-LOWRY DEFINITION

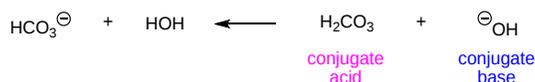
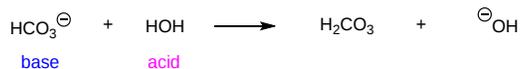
J.N. Brønsted and T.M. Lowry independently developed the theory of proton donors and proton acceptors in acid-base reactions, coincidentally in the same region and during the same year. The Arrhenius theory where acids and bases are defined by whether the molecule produces hydrogen ion or hydroxide ion when dissolved in water was too limiting, because not all chemical reactions, especially organic reactions, occur in water. The Brønsted-Lowry Theory defines an acid a proton donor, while a base is a proton acceptor. This is illustrated in the following reactions:



Acid	Base	
Donates hydrogen ions	Accepts hydrogen ions.	
HCl	HOH →	$H_3O^+ + Cl^-$
HOH	$NH_3 \rightarrow$	$NH_4^+ + ^-OH$

The determination of a substance as a Brønsted-Lowry acid or base can only be done by examining the reaction, since many chemicals can be either an acid or a base. For example, HOH is a base in the first reaction and an acid in the second reaction.

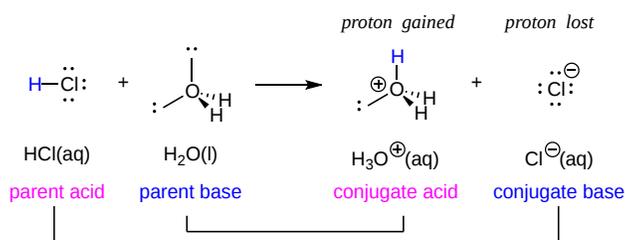
#### Brønsted-Lowry Acids and Bases



To determine whether a substance is an acid or a base, count the hydrogens on each substance before and after the reaction. If the number of hydrogens has decreased, then that substance is the acid (donates hydrogen ions). If the number of hydrogens has increased, then that substance is the base (accepts hydrogen ions). These definitions are normally applied to the reactants on the left. If the reaction is viewed in reverse a new acid and base can be identified. The substances on the right side of the equation are called the conjugate acid and conjugate base compared to those on the left. Also note that an acid turns into a conjugate base, and the base turns into the conjugate acid after the reaction is over.

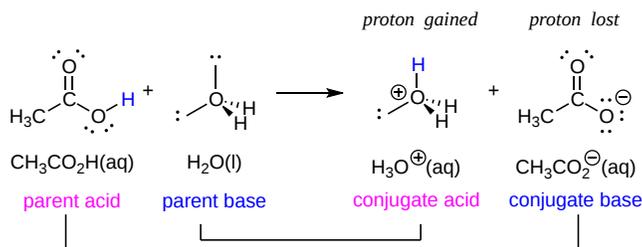
## CONJUGATE ACID–BASE PAIRS

In aqueous solutions, acids and bases can be defined in terms of the transfer of a proton from an acid to a base. Thus for every acidic species in an aqueous solution, there exists a species derived from the acid by the loss of a proton. These two species that differ by only a proton constitute a conjugate acid–base pair. For example, in the reaction of HCl with water shown below, HCl, the parent acid, donates a proton to a water molecule, the parent base, thereby forming  $\text{Cl}^-$ . Thus HCl and  $\text{Cl}^-$  constitute a conjugate acid–base pair. By convention, we always write a conjugate acid–base pair as the acid followed by its conjugate base. In the reverse reaction, the  $\text{Cl}^-$  ion in solution acts as a base to accept a proton from  $\text{H}_3\text{O}^+$ , forming  $\text{H}_2\text{O}$  and HCl. Thus  $\text{H}_3\text{O}^+$  and  $\text{H}_2\text{O}$  constitute a second conjugate acid–base pair. In general, any acid–base reaction must contain two conjugate acid–base pairs, which in this case are  $\text{HCl}/\text{Cl}^-$  and  $\text{H}_3\text{O}^+/\text{H}_2\text{O}$ .

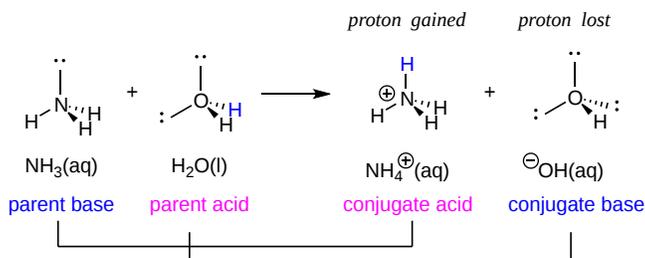


*All acid–base reactions contain two conjugate acid–base pairs.*

Similarly, in the reaction of acetic acid with water, acetic acid donates a proton to water, which acts as the base. In the reverse reaction,  $\text{H}_3\text{O}^+$  is the acid that donates a proton to the acetate ion, which acts as the base. Once again, we have two conjugate acid–base pairs: the parent acid and its conjugate base ( $\text{CH}_3\text{CO}_2\text{H}/\text{CH}_3\text{CO}_2^-$ ) and the parent base and its conjugate acid ( $\text{H}_3\text{O}^+/\text{H}_2\text{O}$ ).



In the reaction of ammonia with water to give ammonium ions and hydroxide ions, ammonia acts as a base by accepting a proton from a water molecule, which in this case means that water is acting as an acid. In the reverse reaction, an ammonium ion acts as an acid by donating a proton to a hydroxide ion, and the hydroxide ion acts as a base. The conjugate acid–base pairs for this reaction are  $\text{NH}_4^+/\text{NH}_3$  and  $\text{H}_2\text{O}/\text{OH}^-$ .

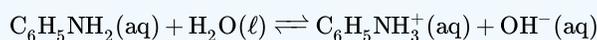


### ✓ EXAMPLE 2.6.1

Aniline ( $C_6H_5NH_2$ ) is slightly soluble in water. It has a nitrogen atom that can accept a hydrogen ion from a water molecule just like the nitrogen atom in ammonia does. Write the chemical equation for this reaction and identify the Brønsted-Lowry acid and base.

#### Solution

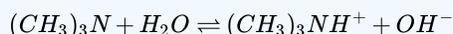
$C_6H_5NH_2$  and  $H_2O$  are the reactants. When  $C_6H_5NH_2$  accepts a proton from  $H_2O$ , it gains an extra H and a positive charge and leaves an  $OH^-$  ion behind. The reaction is as follows:



Because  $C_6H_5NH_2$  accepts a proton, it is the Brønsted-Lowry base. The  $H_2O$  molecule, because it donates a proton, is the Brønsted-Lowry acid.

### ✓ EXAMPLE 2.6.1

Identify the conjugate acid-base pairs in this equilibrium.



#### Solution

One pair is  $H_2O$  and  $OH^-$ , where  $H_2O$  has one more  $H^+$  and is the conjugate acid, while  $OH^-$  has one less  $H^+$  and is the conjugate base.

The other pair consists of  $(CH_3)_3N$  and  $(CH_3)_3NH^+$ , where  $(CH_3)_3NH^+$  is the conjugate acid (it has an additional proton) and  $(CH_3)_3N$  is the conjugate base.

Some common conjugate acid–base pairs are shown in Figure 2.7.1. The strongest acids are at the bottom left, and the strongest bases are at the top right. The conjugate base of a strong acid is a very weak base, and, conversely, the conjugate acid of a strong base is a very weak acid.

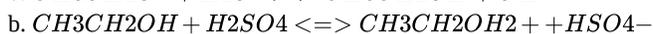
**Figure 2.7.1** The Relative Strengths of Some Common Conjugate Acid–Base Pairs

ACID		BASE	
negligible	$OH^-$	$O^{2-}$	strong
	$HS^-$	$S^{2-}$	
weak	$H_2O$	$OH^-$	weak
	$HPO_4^{2-}$	$PO_4^{3-}$	
	$HCO_3^-$	$CO_3^{2-}$	
	$NH_4^+$	$NH_3$	
	$HCN$	$CN^-$	
	$H_2PO_4^-$	$HPO_4^{2-}$	
	$HSO_3^-$	$SO_3^{2-}$	
	$H_2S$	$HS^-$	
	$H_2CO_3$	$HCO_3^-$	
	$C_5H_5NH^+$	$C_5H_5N$	
	$CH_3CO_2H$	$CH_3CO_2^-$	
	$HF$	$F^-$	
	$H_3PO_4$	$H_2PO_4^-$	
	$H_2SO_3$	$HSO_3^-$	
$HSO_4^-$	$SO_4^{2-}$		
strong	$H_3O^+$	$H_2O$	negligible
	$HNO_3$	$NO_3^-$	
	$H_2SO_4$	$HSO_4^-$	
	$HCl$	$Cl^-$	
	$HBr$	$Br^-$	

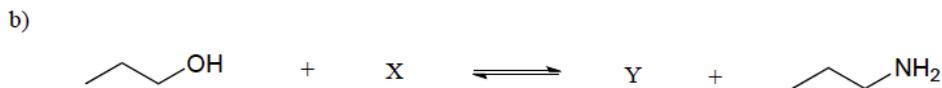
← Relative acid strength increasing      Relative base strength increasing →

## EXERCISES

1. Identify the Brønsted-Lowry acids and bases in the reactions given below.



2. Show the structures of species X and Y in the following acid-base reactions:



Answer:



b)



## QUESTIONS

### Q2.7.1

Is the following molecule a Brønsted acid or base?



## SOLUTIONS

### S2.7.1

It can be both, consider the following schemes:



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## 2.7: ACID AND BASE STRENGTH

### OBJECTIVES

After completing this section, you should be able to

- write the expression for the  $K_a$  of a weak acid.
- convert a given  $K_a$  value into a  $pK_a$  value, and *vice versa*.
- arrange a series of acids in order of increasing or decreasing strength, given their  $K_a$  or  $pK_a$  values.
- arrange a series of bases in order of increasing or decreasing strength, given the  $K_a$  or  $pK_a$  values of their conjugate acids.

### KEY TERMS

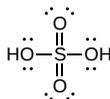
Make certain that you can define, and use in context, the key terms below.

- acidity constant,  $K_a$
- equilibrium constant,  $K_{eq}$

### STUDY NOTES

Calculations and expressions involving  $K_a$  and  $pK_a$  were covered in detail in your first-year general chemistry course. Note that **acidity constant** is also known as the acid dissociation constant.

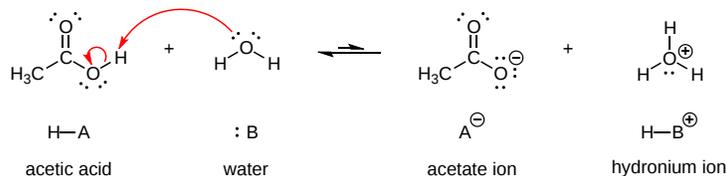
You are no doubt aware that some acids are stronger than others. Sulfuric acid is strong enough to be used as a drain cleaner, as it will rapidly dissolve clogs of hair and other organic material.



Not surprisingly, concentrated sulfuric acid will also cause painful burns if it touches your skin, and permanent damage if it gets in your eyes (there's a good reason for those safety goggles you wear in chemistry lab!). Acetic acid (vinegar), will also burn your skin and eyes, but is not nearly strong enough to make an effective drain cleaner. Water, which we know can act as a proton donor, is obviously not a very strong acid. Even hydroxide ion could *theoretically* act as an acid – it has, after all, a proton to donate – but this is not a reaction that we would normally consider to be relevant in anything but the most extreme conditions.

The relative acidity of different compounds or functional groups – in other words, their relative capacity to donate a proton to a common base under identical conditions – is quantified by a number called the **acid dissociation constant**, abbreviated  $K_a$ . The common base chosen for comparison is water.

We will consider acetic acid as our first example. When a small amount of acetic acid is added to water, a proton-transfer event (acid-base reaction) occurs to some extent.



Notice the phrase 'to some extent' – this reaction does *not* run to completion, with all of the acetic acid converted to acetate, its conjugate base. Rather, a *dynamic equilibrium* is reached, with proton transfer going in both directions (thus the two-way arrows) and finite concentrations of all four species in play. The nature of this equilibrium situation, as you recall from General Chemistry, is expressed by an **equilibrium constant**,  $K$ .

The equilibrium constant is actually a ratio of activities (represented by the symbol  $a$ ), but activities are rarely used in courses other than analytical or physical chemistry. To simplify the discussion for general chemistry and organic chemistry courses, the activities of all of the solutes are replaced with molarities, and the activity of the solvent (usually water) is defined as having the value of 1.

In our example, we added a small amount of acetic acid to a large amount of water: water is the *solvent* for this reaction. Therefore, in the course of the reaction, the concentration of water changes very little, and the water can be treated as a pure solvent, which is always

assigned an activity of 1. The acetic acid, acetate ion and hydronium ion are all *solutes*, and so their activities are approximated with molarities. The acid dissociation constant, or  $K_a$ , for acetic acid is therefore defined as:

$$K_{eq} = \frac{a_{CH_3COO^-} \cdot a_{H_3O^+}}{a_{CH_3COOH} \cdot a_{H_2O}} \approx \frac{[CH_3COO^-][H_3O^+]}{[CH_3COOH][1]}$$

Because dividing by 1 does not change the value of the constant, the "1" is usually not written, and  $K_a$  is written as:

$$K_{eq} = K_a = \frac{[CH_3COO^-][H_3O^+]}{[CH_3COOH]} = 1.75 \times 10^{-5}$$

In more general terms, the dissociation constant for a given acid is expressed as:

$$K_a = \frac{[A^-][H_3O^+]}{[HA]} \quad (2.7.1)$$

or

$$K_a = \frac{[A][H_3O^+]}{[HA^+]} \quad (2.7.2)$$

Equation 2.7.1 applies to a neutral acid such as like HCl or acetic acid, while Equation 2.7.2 applies to a cationic acid like ammonium ( $NH_4^+$ ).

The value of  $K_a = 1.75 \times 10^{-5}$  for acetic acid is very small - this means that very little dissociation actually takes place, and there is much more acetic acid in solution at equilibrium than there is acetate ion. Acetic acid is a relatively weak acid, at least when compared to sulfuric acid ( $K_a = 10^9$ ) or hydrochloric acid ( $K_a = 10^7$ ), both of which undergo essentially complete dissociation in water.

A number like  $1.75 \times 10^{-5}$  is not very easy either to say or to remember. Chemists often use  $pK_a$  values as a more convenient term to express relative acidity.  $pK_a$  is related to  $K_a$  by the following equation

$$pK_a = -\log K_a$$

Doing the math, we find that the  $pK_a$  of acetic acid is 4.8. The use of  $pK_a$  values allows us to express the acidity of common compounds and functional groups on a numerical scale of about -10 (very strong acid) to 50 (not acidic at all). Table 2.7.1 at the end of the text lists exact or approximate  $pK_a$  values for different types of protons that you are likely to encounter in your study of organic and biological chemistry. Looking at Table 2.7.1, you see that the  $pK_a$  of carboxylic acids are in the 4-5 range, the  $pK_a$  of sulfuric acid is -10, and the  $pK_a$  of water is 14. Alkenes and alkanes, which are not acidic at all, have  $pK_a$  values above 30. *The lower the  $pK_a$  value, the stronger the acid.*

**Table 2.7.1:** Representative acid constants

	$H-Cl$			
sulfuric acid $pK_a \sim -10$	hydrochloric acid $pK_a \sim -7$	hydronium $pK_a \sim 0.00$	protonated ketone $pK_a \sim -7$	protonated alcohol $pK_a \sim -3$
phosphate monoester $pK_a \sim 1$	phosphate diester $pK_a \sim 1.5$	phosphoric acid $pK_a \sim 2.2$	protonated aniline $pK_a \sim 4.6$	carboxylic acid $pK_a \sim 4-5$
		$:N \equiv C-H$		
pyridinium $pK_a \sim 5.3$	carbonic acid $pK_a \sim 6.4$	hydrogen cyanide $pK_a \sim 9.2$	ammonium $pK_a \sim 9.2$	phenol $pK_a \sim 9.9$
	$H-O-H$			
thiol $pK_a \sim 10-11$	water $pK_a \sim 14.00$	amide $pK_a \sim 17$	alcohol $pK_a \sim 16-18$	alpha-proton $pK_a \sim 18-20$
	$R-C \equiv C-H$			
	terminal alkyne $pK_a \sim 25$	terminal alkene $pK_a \sim 35$	ammonia $pK_a \sim 35$	

It is important to realize that  $pK_a$  is *not* the same thing as pH:  $pK_a$  is an inherent property of a compound or functional group, while pH is the measure of the hydronium ion concentration in a particular aqueous solution:

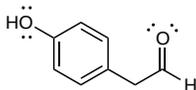
$$pH = -\log[H_3O^+]$$

Any particular acid will always have the same  $pK_a$  (assuming that we are talking about an aqueous solution at room temperature) but different aqueous solutions of the acid could have different pH values, depending on how much acid is added to how much water.

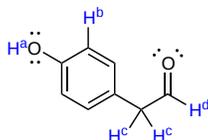
Our table of  $pK_a$  values will also allow us to compare the strengths of different bases by comparing the  $pK_a$  values of their conjugate acids. The key idea to remember is this: *the stronger the conjugate acid, the weaker the conjugate base*. Sulfuric acid is the strongest acid on our list with a  $pK_a$  value of  $-10$ , so  $HSO_4^-$  is the weakest conjugate base. You can see that hydroxide ion is a stronger base than ammonia ( $NH_3$ ), because ammonium ( $NH_4^+$ ,  $pK_a = 9.2$ ) is a stronger acid than water ( $pK_a = 14.00$ ).

*The stronger the conjugate acid, the weaker the conjugate base.*

While Table 2.7.1 provides the  $pK_a$  values of only a limited number of compounds, it can be very useful as a starting point for estimating the acidity or basicity of just about any organic molecule. Here is where your familiarity with organic functional groups will come in very handy. What, for example, is the  $pK_a$  of cyclohexanol? It is not on the table, but as it is an alcohol it is probably somewhere near that of ethanol ( $pK_a = 16$ ). Likewise, we can use Table 2.7.1 to predict that para-hydroxyphenyl acetaldehyde, an intermediate compound in the biosynthesis of morphine, has a  $pK_a$  in the neighborhood of 10, close to that of our reference compound, phenol.



Notice in this example that we need to evaluate the potential acidity at *four* different locations on the molecule.



$$pK_a H^a \sim 10$$

$$pK_a H^b = \text{not on table (not acidic)}$$

$$pK_a H^c \sim 19$$

$$pK_a H^d = \text{not on table (not acidic)}$$

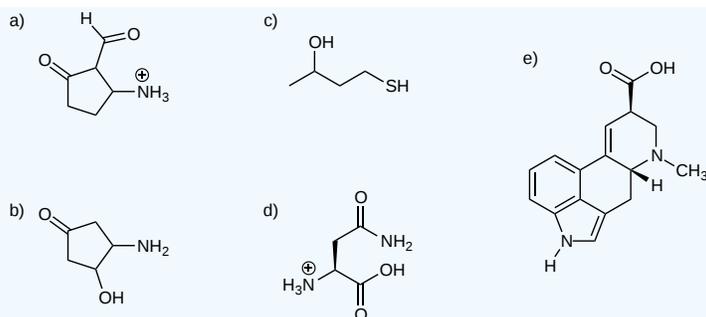
Aldehyde and aromatic protons are not at all acidic ( $pK_a$  values are above 40 – not on our table). The two protons on the carbon next to the carbonyl are slightly acidic, with  $pK_a$  values around 19-20 according to the table. The most acidic proton is on the phenol group, so if the compound were to be reacted with a single molar equivalent of strong base, this is the proton that would be donated first.

As you continue your study of organic chemistry, it will be a very good idea to commit to memory the approximate  $pK_a$  ranges of some important functional groups, including water, alcohols, phenols, ammonium, thiols, phosphates, carboxylic acids and carbons next to carbonyl groups (so-called  $\alpha$ -carbons). These are the groups that you are most likely to see acting as acids or bases in biological organic reactions.

A word of caution: when using the [pK<sub>a</sub> table](#), be absolutely sure that you are considering the correct conjugate acid/base pair. If you are asked to say something about the basicity of ammonia ( $NH_3$ ) compared to that of ethoxide ion ( $CH_3CH_2O^-$ ), for example, the relevant  $pK_a$  values to consider are 9.2 (the  $pK_a$  of ammonium ion) and 16 (the  $pK_a$  of ethanol). From these numbers, you know that ethoxide is the stronger base. Do not make the mistake of using the  $pK_a$  value of 38: this is the  $pK_a$  of ammonia *acting as an acid*, and tells you how basic the  $NH_2^-$  ion is (very basic!)

### ✓ EXAMPLE 2.7.1: ACIDIC GROUPS

Using the  $pK_a$  table, estimate  $pK_a$  values for the most acidic group on the compounds below, and draw the structure of the conjugate base that results when this group donates a proton. Use the  $pK_a$  table above and/or from the [Reference Tables](#).



### Answer

- The most acidic group is the protonated amine,  $pK_a \sim 5-9$
- Alpha proton by the C=O group,  $pK_a \sim 18-20$
- Thiol,  $pK_a \sim 10$
- Carboxylic acid,  $pK_a \sim 5$
- Carboxylic acid,  $pK_a \sim 5$

### ✓ EXAMPLE 2.7.2

Acetic acid ( $CH_3COOH$ ) is known to have a  $pK_a$  of 4.76. Please determine the  $K_a$  for acetic acid.

#### Solution

Solving for  $K_a$  algebraically you get the following:

$$pK_a = -\text{Log}(K_a)$$

$$-pK_a = \text{Log}(K_a)$$

$$10^{-pK_a} = K_a$$

Using a calculator first enter in the value for the  $pK_a$  (4.76). Then make the number negative (-4.76). Next, use the inverse log function. All calculators are slightly different so this function may appear as: ANTILOG, INV LOG, or  $10^X$ . Often it is the second function of the LOG button.

$$K_a \text{ for acetic acid} = 10^{-pK_a} = 1.74 \times 10^{-5}$$

### EXERCISES

- Write down an expression for the acidity constant of acetic acid,  $CH_3COOH$ .
- The  $pK_a$  of acetic acid is 4.72; calculate its  $K_a$ .
- The  $K_a$  of benzoic acid is  $6.5 \times 10^{-5}$ ; determine its  $pK_a$ .
- From your answers to the questions above, determine whether acetic acid or benzoic acid is stronger

### ANSWERS

- $K_a = \frac{[CH_3CO_2^-][H^+]}{[CH_3CO_2H]}$  or  $K_a = \frac{[CH_3CO_2^-][H_3O^+]}{[CH_3CO_2H]}$
- $pK_a = -\log_{10} K_a = 4.74$  Thus,  $\log_{10} K_a = -4.72$  and  $K_a = \text{anti-log}(-4.72) = 1.9 \times 10^{-5}$
- $pK_a = -\log_{10} K_a = -\log_{10} 6.5 \times 10^{-5} = -(-4.19) = 4.19$
- Benzoic acid is stronger than acetic acid. [Benzoic acid has a higher  $K_a$  and a lower  $pK_a$ .]

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## 2.8: PREDICTING ACID-BASE REACTIONS FROM PKA VALUES

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### OBJECTIVE

After completing this section, you should be able to

- use  $pK_a$  values to calculate  $K_{eq}$
- use  $pK_a$  values to predict the equilibrium direction of an acid-base reaction.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- $pK_a$

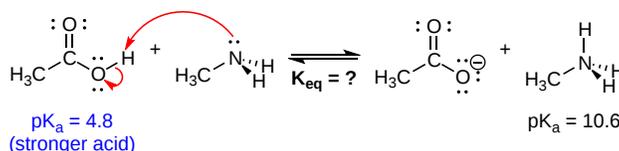
### USING $pK_a$ VALUES TO PREDICT REACTION EQUILIBRIA

By definition, the  $pK_a$  value tells us the extent to which an acid will react with water as the base, but by extension, we can also calculate the equilibrium constant for a reaction between any acid-base pair. Mathematically, it can be shown that:

$$K_{eq} \text{ (for the acid base reaction in question)} = 10^{\Delta pK_a}$$

where  $\Delta pK_a$  is the  $pK_a$  of product acid minus  $pK_a$  of reactant acid

Consider a reaction between methylamine and acetic acid:



First, we need to identify the acid species on either side of the equation. On the left side, the acid is of course acetic acid, while on the right side the acid is methyl ammonium. The specific  $pK_a$  values for these acids are not on our very generalized  $pK_a$  table, but are given in the figure above. Without performing any calculations, you should be able to see that this equilibrium lies far to the right-hand side: acetic acid has a lower  $pK_a$ , is a stronger acid, and thus it wants to give up its proton more than methyl ammonium does. Doing the math, we see that

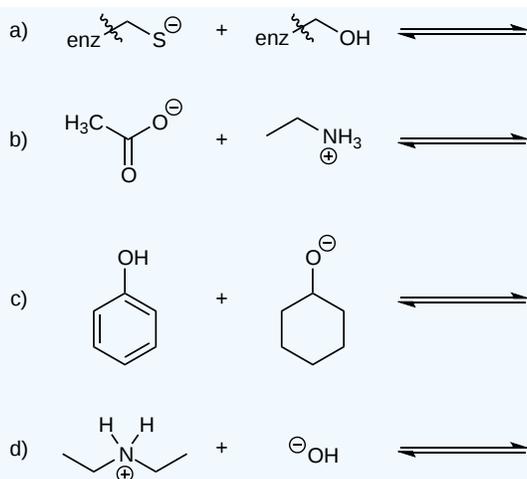
$$K_{eq} = 10^{\Delta pK_a} = 10^{10.6-4.8} = 10^{5.8} = 6.3 \times 10^5$$

So  $K_{eq}$  is a very large number (much greater than 1) and the equilibrium lies far to the right-hand side of the equation, just as we had predicted.

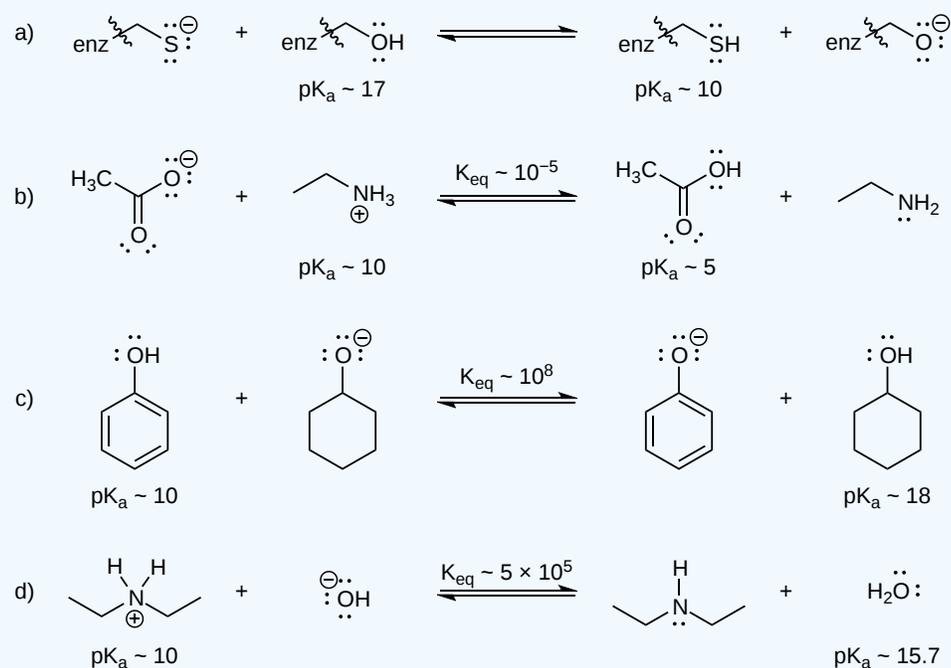
If you had just wanted to approximate an answer without bothering to look for a calculator, you could have noted that the difference in  $pK_a$  values is approximately 6, so the equilibrium constant should be somewhere in the order of  $10^6$ , or one million. Using the  $pK_a$  table in this way, and making functional group-based  $pK_a$  approximations for molecules for which we don't have exact values, we can easily estimate the extent to which a given acid-base reaction will proceed.

### EXAMPLE 2.8.1

Show the products of the following acid-base reactions, and estimate the value of  $K_{eq}$ . Use the  $pK_a$  table from [Section 2.8](#) and/or from the [Reference Tables](#).



Answer

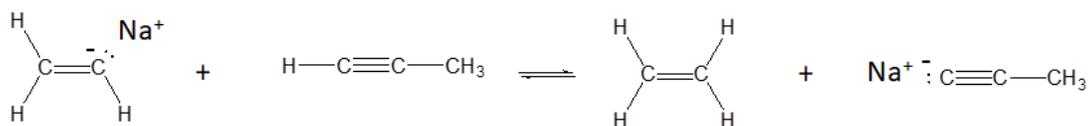


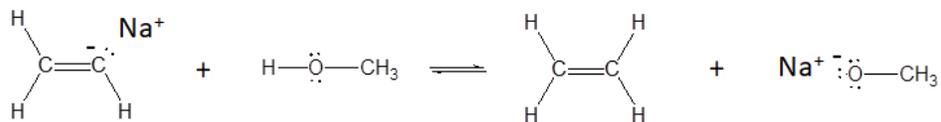
The  $pK_a$  of water is 14.0. Thus the  $K_{eq}$  for reaction d) is  $\sim 10^4$ .

EXERCISES

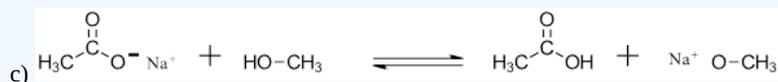
? EXERCISE 2.8.1

Use the  $pK_a$  table from [Section 2.8](#) and/or from the [Reference Tables](#) to determine if the following reactions would be expected to occur:





b)



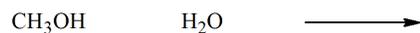
### Answer

- a) Yes - alkenes have  $\text{pK}_a$  values of  $\sim 35$  while alkynes have  $\text{pK}_a$  values of  $\sim 25$ . This means that alkynes are more acidic and more likely to donate a proton.
- b) Yes - alkenes have  $\text{pK}_a$  values of  $\sim 35$  while alcohols have  $\text{pK}_a$  values of  $\sim 16-18$ . This means that alcohols are more acidic and more likely to donate a proton.
- c) No - carboxylic acids have  $\text{pK}_a$  values of  $\sim 4-5$  while alcohols have  $\text{pK}_a$  values of  $\sim 16-18$ . This means that carboxylic acids are more acidic and more likely to donate a proton (so the reverse reaction would be expected to occur).

### QUESTIONS

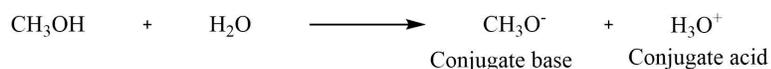
#### Q2.9.1

In the following reactions give the resulting products and label the conjugate acid and bases.



### SOLUTIONS

#### S2.9.1



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## 2.9: ORGANIC ACIDS AND ORGANIC BASES

### OBJECTIVE

After completing this section, you should be able to

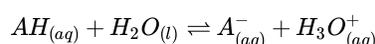
- predict the relative acidity of two organic molecules from their structures.
- predict the relative basicity of two organic molecules from their structures.

This page explains the acidity of simple organic acids and looks at the factors which affect their relative strengths.

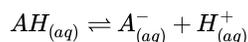
### ORGANIC ACIDS AS WEAK ACIDS

For the purposes of this topic, we are going to take the definition of an acid as "a substance which donates hydrogen ions (protons) to other things". We are going to get a measure of this by looking at how easily the acids release hydrogen ions to water molecules when they are in solution in water.

An acid in solution sets up this equilibrium:



A **hydronium ion** is formed together with the anion (negative ion) from the acid. This equilibrium is sometimes simplified by leaving out the water to emphasize the ionization of the acid.

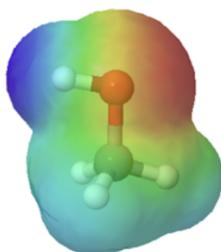


If you write it like this, you must include the state symbols - "(aq)". Writing  $H^+_{(aq)}$  implies that the hydrogen ion is attached to a water molecule as  $H_3O^+$ . Hydrogen ions are always attached to something during chemical reactions.

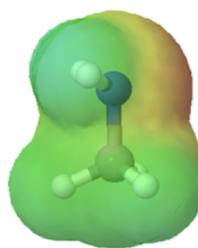
The organic acids are weak in the sense that this ionization is very incomplete. At any one time, most of the acid will be present in the solution as un-ionized molecules. For example, in the case of dilute ethanoic acid, the solution contains about 99% of ethanoic acid molecules - at any instant, only about 1% have actually ionized. The position of equilibrium therefore lies well to the left.

### WEAK ACID POLARIZATION

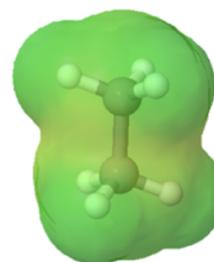
Organic acids can usually be characterized in electrostatic potential maps by the presence of a positively polarized hydrogen atom shown in blue. When looking at the maps below, methanol has a slightly polarized O-H bond and is considered very weakly acidic. The O-H bond in methyl amine is less polarized, as shown by the lighter blue color around the hydrogen, making it less acidic than methanol. However, the C-H bond in ethane lack virtually any polarity, as shown by the lack of a blue color, making it non-acidic. The following discussion will explain the difference in acidity of these and other organic molecules.



methanol



methylamine



ethane

### COMPARING THE STRENGTHS OF WEAK ACIDS

Acid strength is strongly correlated to stability of the conjugate base that will form by removing a proton. In order to analyze how acidic a molecule is likely to be, then you need to estimate the stability of its conjugate base.

#### STABILIZATION OF THE CONJUGATE BASE - FOUR MAIN CONSIDERATIONS:

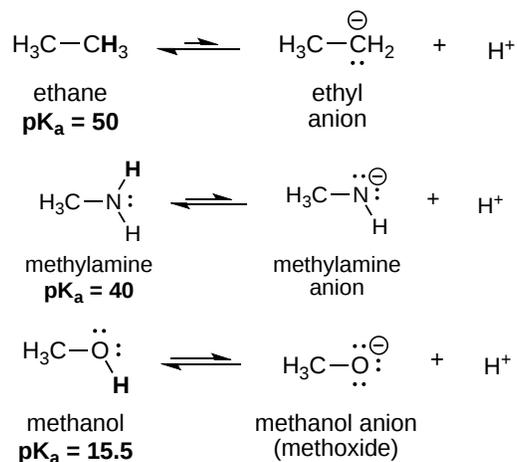
1. Size and electronegativity of the atom holding the charge
2. Can the charge be delocalized by resonance?
3. Are there any inductive effects?

#### 4. Hybridization of orbital holding the charge

These considerations are listed in order of importance and are explained individually, but must be looked at collectively.

##### 1. SIZE AND ELECTRONEGATIVITY EFFECTS IN ACIDITY

When comparing elements, it depends on the positional relationship of the elements on the periodic table. When moving a period (aka across a row) of the main group elements, the valence electrons all occupy orbitals in the same shell. These electrons have comparable energy, so this factor does not help us discern differences relative stability. Differences in electronegativity are now the dominant factor. This trend is shown when comparing the pK<sub>a</sub> values of ethane, methyl amine, and methanol which reflects the relative electronegativities of the C < N < O. The key to understanding this trend is to consider the hypothetical conjugate base in each case: *the more stable the conjugate base, the stronger the acid*. In general, the more electronegative an atom, the better it is able to bear a negative charge. In the ethyl anion, the negative charge is borne by carbon, in the methylamine anion by nitrogen, and in the methoxide anion by an oxygen. Remember the periodic trend in electronegativity: it also increases as we move from left to right along a row, meaning that oxygen is the most electronegative of three elements being considered. This makes the negative charge on the methoxide anion the most stable of the three conjugate bases and methanol the strongest of the three acids. Likewise, carbon is the least electronegative making ethane the weakest of the three acids.



Within a Group (aka down a column) As we move down the periodic table, the electrons are occupying higher energy subshells creating a larger atomic size and volume. As the volume of an element increases, any negative charge present tends to become more spread out which decreases electron density and increases stability. The figure below shows spheres representing the atoms of the s and p blocks from the periodic table to scale, showing the two trends for the atomic radius.

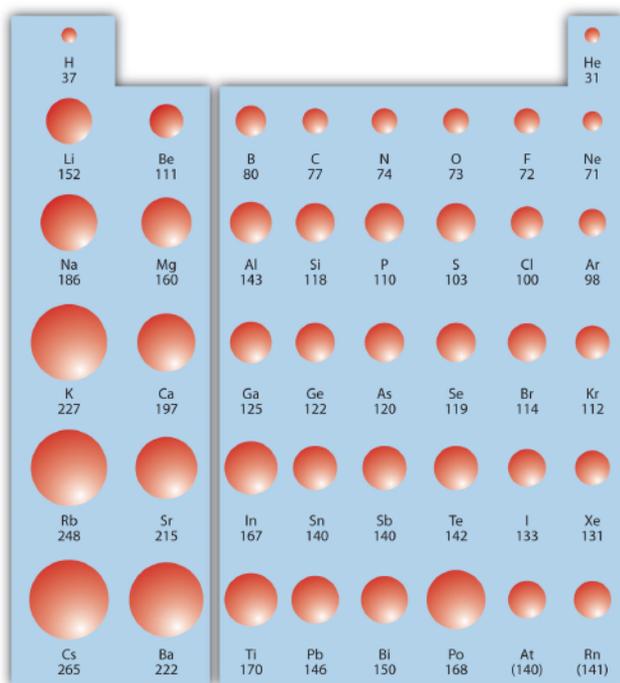
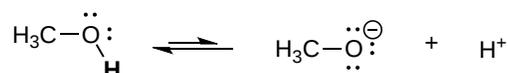
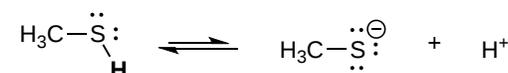


Figure 2.9.1: Atomic Radii Trends on the Periodic Table. Although there are some reversals in the trend (e.g., see Po in the bottom row), atoms generally get smaller as you go across the periodic table and larger as you go down any one column. Numbers are the radii in pm.

This relationship of atomic size and electron density is illustrated when we compare the relative acidities of methanol,  $\text{CH}_3\text{OH}$ , with methanethiol,  $\text{CH}_3\text{SH}$ . The lower  $\text{pK}_a$  value of 10.4 for methanethiol indicates that it is a stronger acid than methanol with a  $\text{pK}_a$  value of 15.5. It is important to remember that neither compound is considered an acid. These relationships become useful when trying to deprotonate compounds to increase their chemical reactivity in non-aqueous reaction conditions.

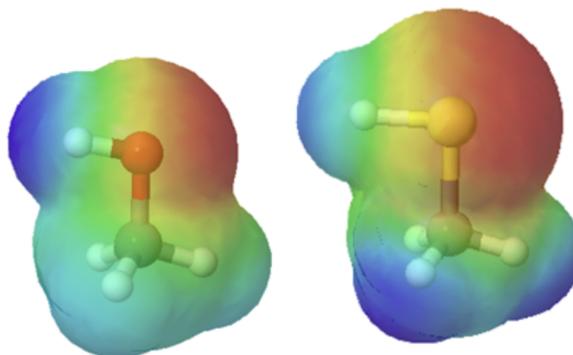


methanol  
 $\text{pK}_a = 15.5$



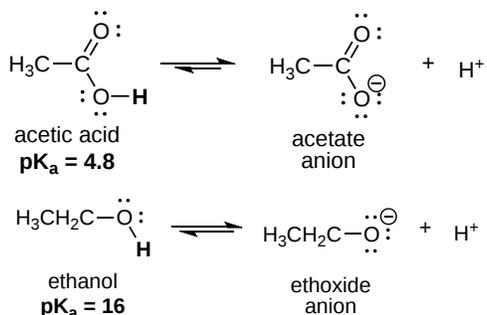
methanethiol  
 $\text{pK}_a = 10.4$

The difference in size can easily be seen when looking at the electrostatic potential maps for methanol (Left) and methanethiol (Right). The sulfur atom in methanethiol is larger than the oxygen atom in methanol. The larger size of sulfur will be better able to delocalize and stabilize the negative charge in its conjugate base methanethiolate.



## RESONANCE EFFECTS IN ACIDITY

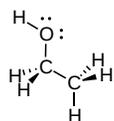
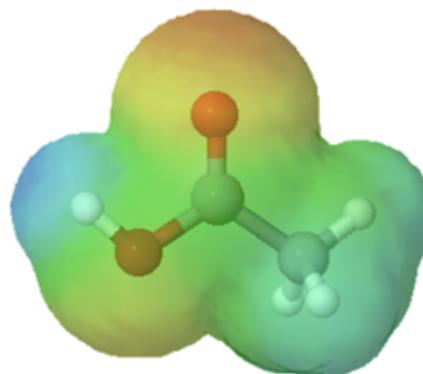
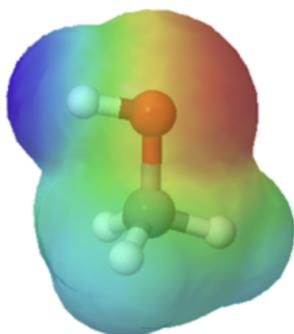
This section will focus on how the resonance structures of different organic groups contributes to their relative acidity even though the same element acts as the proton donor. When evaluating conjugate bases for the presence of resonance contributors, remember to look for movable electrons (lone pairs and pi bonding electrons). Delocalizing electrons over two or more atoms spreads out the electron density, increasing the stability of the conjugate base, and increasing the acidity of the corresponding acid. A classic example compares the relative acidity of ethanol and acetic acid, but the conclusions we reach will be equally valid for all alcohol and carboxylic acid groups. Despite the fact that they are both oxygen acids, the  $pK_a$  values of ethanol and acetic acid are very different.



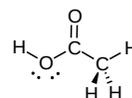
In both species, the negative charge on the conjugate base is held by an oxygen, so periodic trends cannot be invoked. For acetic acid, however, there is a key difference: a resonance contributor can be drawn in which the negative charge is drawn on the second oxygen of the group. The two resonance forms for the conjugate base are equal in energy, according to our 'rules of resonance' (Section 2.5). What this means is that the negative charge on the acetate ion is not located on one oxygen or the other: rather it is shared between the two. Chemists use the term 'delocalization of charge' to describe this situation. In the ethoxide ion, by contrast, the negative charge is 'locked' on the single oxygen. This stabilization leads to a markedly increased acidity.

The delocalization of charge by resonance has a very powerful effect on the reactivity of organic molecules, enough to account for the difference of nearly 12  $pK_a$  units between ethanol and acetic acid (and remember,  $pK_a$  is a log expression, so we are talking about a difference of over  $10^{12}$  between the acidity constants for the two molecules). The acetate ion is much more stable than the ethoxide ion, due to the effects of resonance delocalization.

The effects of conjugation can be seen when comparing the electrostatic potential maps of ethanol and acetic acid. Conjugation creates a greater polarization in the O-H bond in acetic acid as shown by its darker blue color.



ethanol

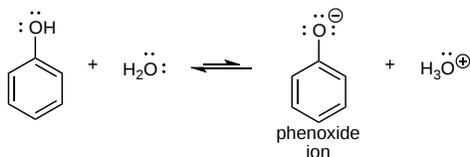


acetic acid

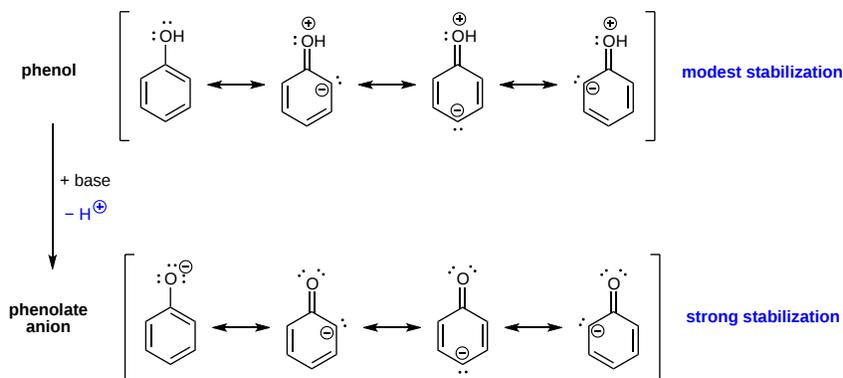
### WHY IS PHENOL ACIDIC?

Compounds like alcohols and phenol which contain an -OH group attached to a hydrocarbon are very weak acids. Alcohols are so weakly acidic that, for normal lab purposes, their acidity can be virtually ignored. However, phenol is sufficiently acidic for it to have recognizably

acidic properties - even if it is still a very weak acid. A hydrogen ion can break away from the -OH group and transfer to a base. For example, in aqueous solution:

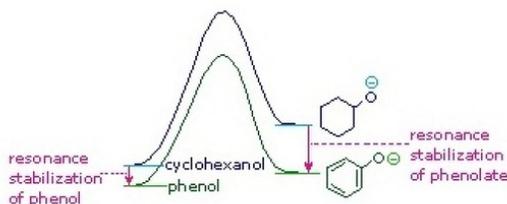


Since phenol is a very weak acid, the position of equilibrium lies well to the left. However, phenol can lose a hydrogen ion because the phenoxide ion (or phenolate ion - the two terms can be used interchangeably) formed is stabilized due to resonance. The negative charge on the oxygen atom is delocalized around the ring since one of the lone pairs on the oxygen atom can be in a p orbital and overlap with the pi electrons on the benzene ring.



This overlap leads to a delocalization which extends from the ring out over the oxygen atom. As a result, the negative charge is no longer entirely localized on the oxygen, but is spread out around the whole ion. Spreading the charge around makes the ion more stable than it would be if all the charge remained on the oxygen. However, oxygen is the most electronegative element in the ion and the delocalized electrons will be drawn towards it. That means that there will still be a lot of charge around the oxygen which will tend to attract the hydrogen ion back again. That is why phenol is only a very weak acid.

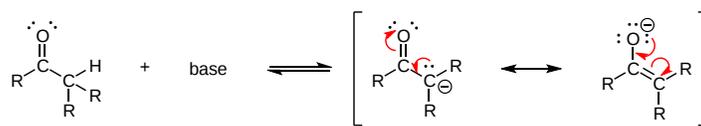
This explains why phenol is a much stronger acid than cyclohexanol. As can be seen in the following energy diagram, resonance stabilization is increased for the conjugate base of phenol vs. cyclohexanol after removal of a proton.



The resonance stabilization in these two cases is very different. An important principle of resonance is that charge separation diminishes the importance of contributors to the resonance hybrid. The contributing structures to the phenol hybrid all suffer charge separation, resulting in very modest stabilization of this compound. On the other hand, the phenolate anion is already charged, and the canonical contributors act to disperse the charge, resulting in a substantial stabilization of this species. The conjugate bases of simple alcohols are not stabilized by charge delocalization, so the acidity of these compounds is similar to that of water. An energy diagram showing the effect of resonance on cyclohexanol and phenol acidities is shown on the right. Since the resonance stabilization of the phenolate conjugate base is much greater than the stabilization of phenol itself, the acidity of phenol relative to cyclohexanol is increased. Supporting evidence that the phenolate negative charge is delocalized on the ortho and para carbons of the benzene ring comes from the influence of electron-withdrawing substituents at those sites.

#### ACIDITY OF HYDROGEN A (ALPHA) TO CARBONYL

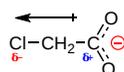
Alkyl hydrogen atoms bonded to a carbon atom in a  $\alpha$  (alpha) position (directly adjacent) relative to a C=O group display unusual acidity. While the pKa values for alkyl C-H bonds in is typically on the order of 40-50, pKa values for these alpha hydrogens is more on the order of 19-20. This is almost exclusively due to the resonance stabilization of the product carbanion, called an enolate, as illustrated in the diagram below. The effect of the the stabilizing C=O is seen when comparing the pKa for the  $\alpha$  hydrogens of aldehydes (~16-18), ketones (~19-21), and esters (~23-25).



enolate ion

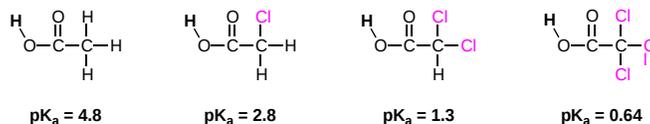
### 3. INDUCTIVE EFFECTS

The inductive effect is an experimentally observed effect of the transmission of charge through a chain of atoms in a molecule, resulting in a permanent dipole in a bond. For example, in a carboxylic acid group the presence of chlorine on adjacent carbons increases the acidity of the carboxylic acid group. A chlorine atom is more electronegative than hydrogen, and thus is able to ‘induce’, or ‘pull’ electron density towards itself, away from the carboxylate group. This further spreads out the electron density of the conjugate base, which has a stabilizing effect. In this context, the chlorine substituent is called an **electron-withdrawing group**. Notice that the  $pK_a$ -lowering effect of each chlorine atom, while significant, is not as dramatic as the delocalizing resonance effect illustrated by the difference in  $pK_a$  values between an alcohol and a carboxylic acid. In general, *resonance effects are more powerful than inductive effects*.

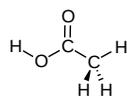
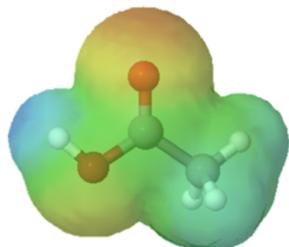


negative charge is delocalized by being pulled out onto chlorine atom

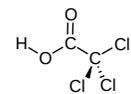
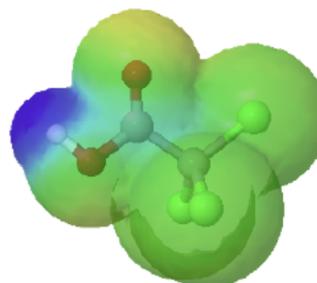
Compare the  $pK_a$  values of acetic acid and its mono-, di-, and tri-chlorinated derivatives:



The inductive effects of chlorine can be clearly seen when looking at the electrostatic potential maps of acetic acid (Left) and trichloroacetic acid (Right). The O-H bond in trichloroacetic acid is highly polarized as shown by the dark blue color. This illustrates that trichloroacetic acid is a much stronger acid than acetic acid.



acetic acid

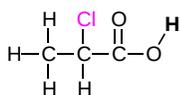


trichloroacetic acid

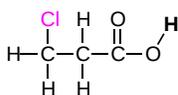
Because the inductive effect depends on electronegativity, fluorine substituents have a more pronounced  $pK_a$ -lowering effect than chlorine substituents.



In addition, the inductive takes place through covalent bonds, and its influence decreases markedly with distance – thus a chlorine two carbons away from a carboxylic acid group has a decreased effect compared to a chlorine just one carbon away. 2-chloropropanoic acid has a  $pK_a$  of 2.8 while for 3-chloropropanoic acid, the  $pK_a$  is 4.0.

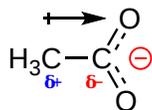


2-chloropropanoic acid  
 $pK_a = 2.8$   
*stronger acid*



3-chloropropanoic acid  
 $pK_a = 4.0$

Alkyl groups (hydrocarbons) are weak inductive electron donors. In this case the inductive effect pushes electron density onto the conjugate base, causing the electron density to become more concentrated and producing a destabilizing effect.



*more negative charge pushed towards already negative end*

The inductive effects of alkyl groups causes a significant variation in the acidities of different carboxylic acids. Notice that the inductive effect drops off after the alkyl chain is about three carbons long.

	$pK_a$
HCOOH (Methanoic Acid or Formic Acid)	3.75
CH <sub>3</sub> COOH (Ethanoic Acid or Acetic Acid)	4.76
CH <sub>3</sub> CH <sub>2</sub> COOH (Propanoic Acid)	4.87
CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> COOH (Butanoic Acid)	4.82

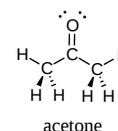
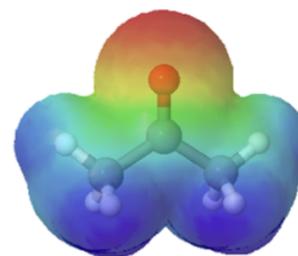
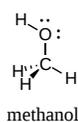
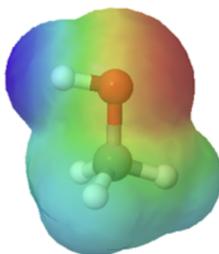
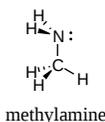
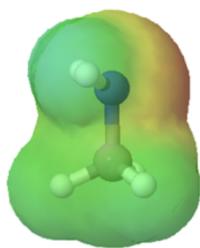
#### 4. ORBITAL HYBRIDIZATION

The hybridization of an orbital affects its electronegativity. Within a shell, the s orbitals occupy the region closer to the nucleus than the p orbitals. Therefore, the spherical s orbitals are more electronegative than the lobed p orbitals. The relative electronegativity of hybridized orbitals is  $sp > sp^2 > sp^3$  since the percentage of s-character is decreasing as more p-orbitals are added to the hybrids. This trend indicates the sp hybridized orbitals are more stable with a negative charge than  $sp^3$  hybridized orbitals. The table below shows how orbital hybridization influences relative acidity.

compound	conjugate base	hybridization	s character	$pK_a$	
		$sp^3$	25%	50	weakest acid
		$sp^2$	33%	44	↓
NH <sub>3</sub>				36	↓
H-C≡C-H		sp	50%	25	↓
ROH				16	strongest acid

#### COMPARING THE STRENGTHS OF WEAK BASES

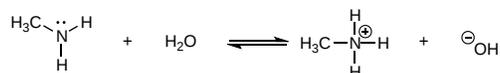
Technically, organic bases are characterized by the presence of an atom with lone pair electrons. These lone pairs contain a high electron density, which is shown red in the electrostatic potential maps, and can bond to  $H^+$ . Below are the maps of methanol, methyl amine, and acetone. All three compounds can be protonated with a sufficiently strong acid. Note, that all three of these compounds also have the ability to donate a proton when reacted with a strong enough base. Whether these compounds act as an acid or base depends on the conditions.



It is common to compare basicity's quantitatively by using the  $pK_a$ 's of their conjugate acids rather than their  $pK_b$ 's. Since  $pK_a + pK_b = 14$ , **the higher the  $pK_a$  the stronger the base**, in contrast to the usual inverse relationship of  $pK_a$  with acidity. Recall that ammonia ( $\text{NH}_3$ ) acts as a base because the nitrogen atom has a lone pair of electrons that can accept a proton. The conjugate acid of most simple alkyl amines have  $pK_a$ 's in the range 9.5 to 11.0, and their water solutions are basic (have a pH of 11 to 12, depending on concentration). This can be illustrated by the reaction below where an amine removes a proton from water to form substituted ammonium (e.g.  $\text{NH}_4^+$ ) ions and hydroxide ( $\text{OH}^-$ ) ions:



Amines are one of the only neutral functional groups which are considered basic. This is a direct consequence of the presence of the unshared electron pair on the nitrogen. The unshared electron pair is less tightly held by the nitrogen of an amine than the corresponding oxygen of an alcohol, which makes it more available to act as a base. As a specific example, methylamine reacts with water to form the methylammonium ion and the  $\text{OH}^-$  ion.



### ✓ EXAMPLE: AMMONIA

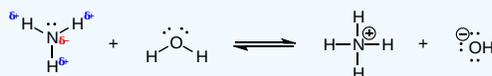
All of the have similarities to ammonia and so we'll start by looking at the reason for its basic properties. For the purposes of this topic, we are going to take the definition of a base as "a substance which combines with hydrogen ions (protons)". We are going to get a measure of this by looking at how easily the bases take hydrogen ions from water molecules when they are in solution in water.

Ammonia in solution sets up this equilibrium:



An ammonium ion is formed together with hydroxide ions. Because the ammonia is only a weak base, it doesn't hang on to the extra hydrogen ion very effectively and so the reaction is reversible. At any one time, about 99% of the ammonia is present as unreacted molecules. The position of equilibrium lies well to the left.

The ammonia reacts as a base because of the active lone pair on the nitrogen. Nitrogen is more electronegative than hydrogen and so attracts the bonding electrons in the ammonia molecule towards itself. That means that in addition to the lone pair, there is a build-up of negative charge around the nitrogen atom. That combination of extra negativity and active lone pair attracts the new hydrogen from the water.



When looking at the table below, it is clear that the basicity of nitrogen containing compounds is greatly influenced by their structures. The variance in the basicity of these compounds can mostly be explained by the effects of electron delocalization discussed above.

Table 2.9.1:  $pK_a$  of conjugate acids of a series of amines.

Compound										
pK <sub>a</sub>	11.0	10.7	10.7	9.3	5.2	4.6	1.0	0.0	-1.0	-10.0

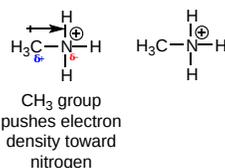
## INDUCTIVE EFFECTS IN NITROGEN BASICITY

Alkylamines are more basic than ammonia since alkyl groups donate electrons to the more electronegative nitrogen. This inductive effect makes the electron density on the alkylamine nitrogen greater than the nitrogen of ammonium. That means that there will be a small amount of extra negative charge built up on the nitrogen atom. That extra negativity around the nitrogen makes the lone pair even more attractive towards hydrogen ions. Correspondingly, primary, secondary, and tertiary alkyl amines are more basic than ammonia.



*methyl group pushes electron density toward the nitrogen, making it more basic*

Making the nitrogen more negative helps the lone pair to pick up a hydrogen ion. What about the effect on the positive methylammonium ion formed? Is this more stable than a simple ammonium ion? Compare the methylammonium ion with an ammonium ion:



In the methylammonium ion, the positive charge is spread around the ion by the "electron-pushing" effect of the methyl group. The more you can spread charge around, the more stable an ion becomes. In the ammonium ion there is not any way of spreading the charge.

To summarize:

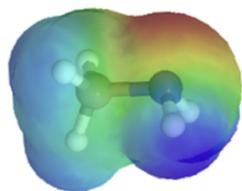
- The nitrogen is more negative in methylamine than in ammonia, and so it picks up a hydrogen ion more readily.
- The ion formed from methylamine is more stable than the one formed from ammonia, and so is less likely to shed the hydrogen ion again.

Taken together, these mean that methylamine is a stronger base than ammonia.

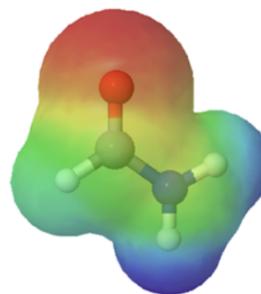
Compound	pK <sub>a</sub>
NH <sub>3</sub>	9.3
CH <sub>3</sub> NH <sub>2</sub>	10.66
(CH <sub>3</sub> ) <sub>2</sub> NH	10.74
(CH <sub>3</sub> ) <sub>3</sub> N	9.81

## RESONANCE EFFECTS IN NITROGEN BASICITY

The resonance effect also explains why a nitrogen atom is basic when it is in an amine, but *not* significantly basic when it is part of an amide group. While the lone pair of electrons in an amine nitrogen is localized in one place, the lone pair on an amide nitrogen is delocalized by resonance. The lone pair is stabilized by resonance delocalization. Here's another way to think about it: the lone pair on an amide nitrogen is not available for bonding with a proton – these two electrons are too stable being part of the delocalized pi-bonding system. The electrostatic potential map show the effect of resonance on the basicity of an amide. The map shows that the electron density, shown in red, is almost completely shifted towards the oxygen. This greatly decreases the basicity of the lone pair electrons on the nitrogen in an amide.

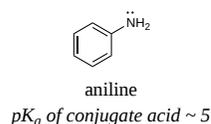
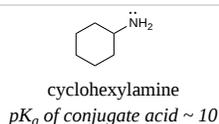


$\text{R}-\ddot{\text{N}}\text{H}_2$   
amine  
 $pK_a$  of conjugate acid  $\sim 11$

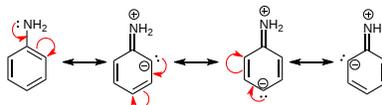


$\text{R}-\overset{\cdot\cdot}{\text{O}}=\ddot{\text{N}}\text{H}_2$   
amide  
 $pK_a$  of conjugate acid  $\sim -1$

Aniline, the amine analog of phenol, is substantially less basic than an amine (as evidenced by the  $pK_a$  of the conjugate acids).



We can use the same reasoning that we used when comparing the acidity of a phenol to that of an alcohol. In aniline, the lone pair on the nitrogen atom is stabilized by resonance with the aromatic pi system, making it less available for bonding and thus less basic.



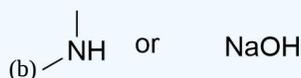
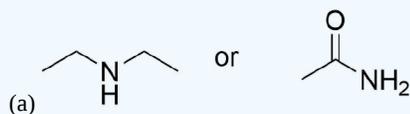
*lone pair is stabilized through resonance*

In these cases, you seem to be breaking the same oxygen-hydrogen bond each time, and so you might expect the strengths to be similar. The most important factor in determining the relative acid strengths of these molecules is the nature of the ions formed. You always get a hydronium ion - so that's constant - but the nature of the anion (the negative ion) varies markedly from case to case.

## EXERCISES

### ? EXERCISE 2.9.1

Select the more basic from each of the following pairs of compounds.



#### Answer

- a) The lone pair of electrons on the amide nitrogen are less available to react with a proton.  
 (b) NaOH -- The hydroxide has a negative charge with three lone pairs of electrons that can react with a proton.

### ? EXERCISE 2.9.2

The 4-methylbenzylammonium ion has a  $pK_a$  of 9.51, and the butylammonium ion has a  $pK_a$  of 10.59. Which is more basic? What's the  $pK_b$  for each compound?

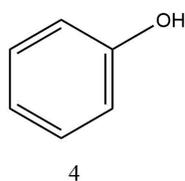
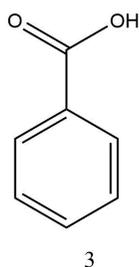
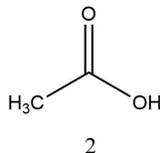
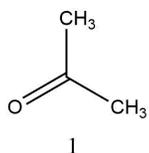
#### Answer

The butylammonium is more basic. Remember that  $pK_a + pK_b = 14$ . The  $pK_b$  for butylammonium is 3.41, the  $pK_b$  for 4-methylbenzylammonium is 4.49.

### QUESTIONS

#### Q2.10.1

Determine which of the one of the molecules is an acid or a base.



### SOLUTIONS

#### S2.10.1

1 = Base

2 = Acid

3 = Acid

4 = Acid

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## 2.10: ACIDS AND BASES - THE LEWIS DEFINITION

### OBJECTIVES

After completing this section, you should be able to

1. state the Lewis definition of an acid and a base.
2. identify a given compound as being a Lewis acid or Lewis base, given its Lewis structure or its Kekulé structure.
3. identify an organic molecule or ion in a reaction as either an electrophile or nucleophile.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- Lewis acid
- Lewis base
- Electrophile
- Nucleophile

### STUDY NOTES

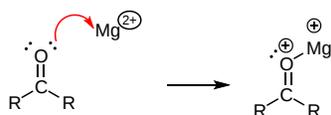
The Lewis concept of acidity and basicity will be of great use to you when you study reaction mechanisms. The realization that an ion such as



is electron deficient, and is therefore a Lewis acid, should help you understand why this ion reacts with substances which are Lewis bases (e.g.,  $\text{H}_2\text{O}$ ).

### INTRODUCTION TO LEWIS ACID-BASE THEORY

The Brønsted acid-base theory has been used throughout the history of acid and base chemistry. However, this theory is very restrictive and focuses primarily on acids and bases acting as proton donors and acceptors. Sometimes conditions arise where the theory doesn't necessarily fit, such as in solids and gases. In 1923, G.N. Lewis from UC Berkeley proposed an alternate theory to describe acids and bases. His theory gave a generalized explanation of acids and bases based on structure and bonding. Through the use of the Lewis definition of acids and bases, chemists are now able to predict a wider variety of acid-base reactions. Lewis' theory used electrons instead of proton transfer and specifically stated that an acid is a species that accepts an electron pair while a base donates an electron pair.



Example of Lewis base (oxygen atom from carbonyl) reacting with Lewis Acid ( $\text{Mg}^{2+}$  ion).

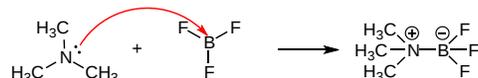
The reaction of a Lewis acid and a Lewis base will produce a coordinate covalent bond. A coordinate covalent bond is just a type of covalent bond in which one reactant donates both electrons to form the bond. In this case the Lewis base donates its electrons to form a bond to the Lewis acid. The resulting product is called an addition compound, or more commonly a complex. The electron-pair flow from Lewis base to Lewis acid is shown using curved arrows much like those used for resonance structures in [Section 2.5](#). Curved arrows always mean that an electron pair moves from the atom at the tail of the arrow to the atom at the head of the arrow. In this case the lone pair on the Lewis base attacks the Lewis acid forming a bond. This new type of electron pair movement, along with those described in Section 2.5 will be used throughout this text to describe electron flow during reactions.

- **Lewis Acid:** a species that accepts an electron pair and will typically either have vacant orbitals or a polar bond involving hydrogen such that it can donate  $\text{H}^+$  (which has an empty 1s orbital)
- **Lewis Base:** a species that donates an electron pair and will have lone-pair electrons or pi bonding electrons.

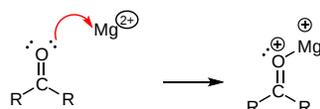
### LEWIS ACIDS

Neutral compounds of boron, aluminum, and the other [Group 13 elements](#), ( $\text{BF}_3$ ,  $\text{AlCl}_3$ ), which possess only six valence electrons, have a very strong tendency to gain an additional electron pair. Because these compounds are only surrounded by three electron groups, they are

$sp^2$  hybridized, contained a vacant p orbital, and are potent Lewis acids. Trimethylamine's lone pair electrons are contained in an  $sp^3$  hybrid orbital making it a Lewis base. These two orbitals overlap, creating a covalent bond in a boron trifluoride-trimethylamine complex. The movement of electrons during this interaction is show by by an arrow.



Positive ions are often Lewis acids because they have an electrostatic attraction for electron donors. Examples include alkali and alkaline earth metals in the group IA and IIA columns.  $K^+$ ,  $Mg^{2+}$  and  $Ca^{2+}$  are sometimes seen as Lewis acidic sites in biology, for example. These ions are very stable forms of these elements because of their low electron ionization potentials. However, their positive charges do attract electron donors. The interaction between a magnesium cation ( $Mg^{2+}$ ) and a carbonyl oxygen is a common example of a Lewis acid-base reaction. The carbonyl oxygen (the Lewis base) donates a pair of electrons to the magnesium cation (the Lewis acid). As we will see in [Chapter 19](#) when we begin the study of reactions involving carbonyl groups, this interaction has the very important effect of increasing the polarity of the carbon-oxygen double bond.



The eight-electron rule does not hold throughout the periodic table. In order to obtain noble gas configurations, some atoms may need eighteen electrons in their valence shell. Transition metals such as titanium, iron and nickel may have up to eighteen electrons and can frequently accept electron pairs from Lewis bases. Transition metals are often Lewis acids. For example, titanium has four valence electrons and can form four bonds in compounds such as titanium tetrakis (isopropoxide), below, or titanium tetrachloride,  $TiCl_4$ . However, the titanium atom in that compound has only eight valence electrons, not eighteen. It can easily accept electrons from donors.

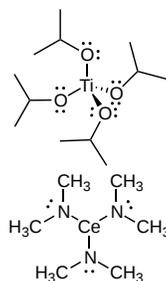


Figure 2.10.1: Although titanium has eight electrons in this molecule, titanium tetrakis(isopropoxide), it can accommodate up to eighteen. It is a Lewis acid. The cerium atom in cerium tris(dimethylamide) comes from a similar part of the periodic table and is also a Lewis acid.

For example, when THF and  $TiCl_4$  are combined, a Lewis acid-base complex is formed,  $TiCl_4(THF)_2$ .  $TiCl_4(THF)_2$  is a yellow solid at room temperature.

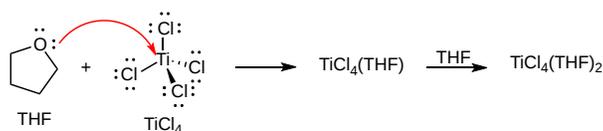
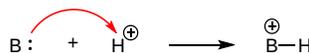


Figure 2.10.2: A Lewis acid-base complex between tetrahydrofuran (THF) and titanium tetrachloride.

## THE PROTON AS A COMMON LEWIS ACID

Perhaps the most common example of a Lewis acid is also the simplest. It is the hydrogen cation ( $H^+$ ) or proton. It is called a proton because, in most hydrogen atoms, the only particle in the nucleus is a proton. If an electron is removed to make a cation, a proton is all that is left. A proton is a Lewis acid for a number of reasons. It has a positive charge, and so it will attract electrons, which are negative. Also, it lacks the electron configuration of its noble gas neighbor, helium. Helium has two electrons. If a Lewis base or nucleophile donates a pair of electrons to a proton, the proton will obtain a helium noble gas configuration.



B = base

Figure 2.10.3: Proton reacting as a Lewis acid.

There is something about hydrogen cations that is not so simple, however. They are actually not so common. Instead, protons are generally always bound to a Lewis base. Hydrogen is almost always covalently (or coordinately) bonded to another atom. Many of the other elements

commonly found in compounds with hydrogen are more electronegative than hydrogen. As a result, hydrogen often has a partial positive charge making it still act as a Lewis Acid.

A acid-base reaction involving protons might better be expressed as:

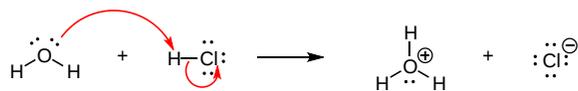


Figure 2.10.4: Proton transfer from one site to another.

The Lewis acid-base interactions we have looked at so far are slightly different here. Instead of two compounds coming together and forming a bond, we have one Lewis base replacing another at a proton. Two specific movements of electrons are shown in the reaction both of which are shown by arrows. Lone pair electrons on oxygen attack the hydrogen to form an O-H bond in the product. Also, the electrons of the H-Cl bond move to become a lone pair on chlorine as the H-Cl bond breaks. These two arrows together are said to represent the mechanism of this acid-base reaction.

## LEWIS BASES

What makes a molecule (or an atom or ion) a Lewis base? It must have a pair of electrons available to share with another atom to form a bond. The most readily available electrons are those that are not already in bonds. Bonding electrons are low in energy. Non-bonding electrons are higher in energy and may be stabilized when they are delocalized in a new bond. Lewis bases usually have non-bonding electrons or lone pairs this makes oxygen and nitrogen compounds common Lewis bases. Lewis bases may be anionic or neutral. The basic requirement is that they have a pair of electrons to donate.

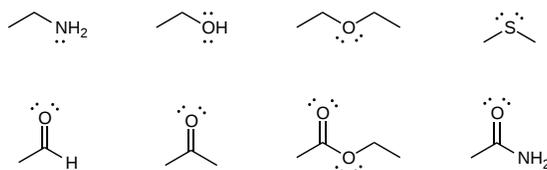


Figure 2.10.5: Some common organic examples of Lewis bases. Most oxygen, nitrogen and sulfur containing compounds can act as Lewis Bases.

### NOTE 1: AMMONIA

Ammonia,  $\text{NH}_3$ , has a lone pair and is a Lewis base. It can donate to compounds that will accept electrons.



Ammonia donating to an electron acceptor or Lewis acid.

Not all compounds can act as a Lewis base. For example, methane,  $\text{CH}_4$ , has all of its valence electrons in bonding pairs. These bonding pairs are too stable to donate under normal conditions therefore methane is not a Lewis base. Neutral boron compounds also have all electrons in bonding pairs. For example, borane,  $\text{BH}_3$  has no lone pairs; all its valence electrons are in bonds. Boron compounds are not typically Lewis bases.

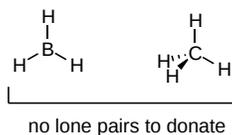


Figure 2.10.6: Carbon and boron compounds with all sigma bonds do not have lone pairs, and do not act as Lewis bases.

### ? EXERCISE 2.10.1

Which of the following compounds would you expect to be Lewis bases?

- a)  $\text{SiH}_4$  b)  $\text{AlH}_3$  c)  $\text{PH}_3$  d)  $\text{SH}_2$  e)  $\text{SH}^-$

#### Answer

- No, silicon has 4 valence electrons (like carbon) and all 4 are involved in sigma bonds
- No, aluminum has 3 valence electrons and all 3 are involved in sigma bonds
- Yes, phosphorus has 5 valence electrons, so there is one lone pair available
- Yes, sulfur has 6 valence electrons, so there are two lone pairs available

e) Yes, this ion has 3 lone pairs available

## LEWIS ACID-BASE COMPLEXES

What happens when a Lewis base donates a pair of electrons to a Lewis acid? The electron pushing formalism (arrows) we have been using to illustrate the behavior of Lewis acids and Lewis bases is meant to show the direction of electron movement from the donor to the acceptor. However, given that a bond can be thought of as a pair of electrons that are shared between two atoms (in this case, between the donor and the acceptor), these arrows also show where bonds are forming.

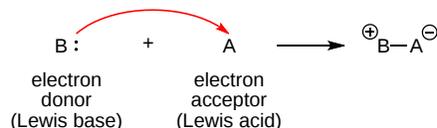


Figure 2.10.7: Donation of electrons from a Lewis base to a Lewis acid.

The electrons donated from a Lewis base to a Lewis acid form a new bond. A new, larger compound is formed from the smaller Lewis acid and Lewis base. This compound is called a Lewis acid-base complex. A simple example of Lewis acid-base complexation involves ammonia and boron trifluoride. The nitrogen atom has a lone pair and is an electron donor. The boron has no octet and is an electron acceptor. The two compounds can form a Lewis acid-base complex or a coordination complex together.

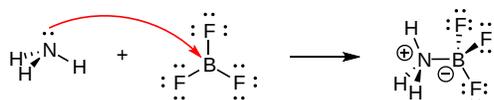


Figure 2.10.8: Formation of a Lewis acid-base complex from ammonia and boron trifluoride.

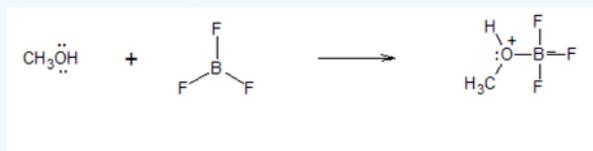
When the nitrogen donates a pair of electrons to share with the boron, the bond that forms is sometimes called a coordinate bond. A coordinate bond is any covalent bond that arose because one atom brought a pair of its electrons and donated them to another.

In organic chemistry terminology, the electron donor is called a **nucleophile** and the electron acceptor is called an **electrophile**. Ammonia is a nucleophile and boron trifluoride is an electrophile.

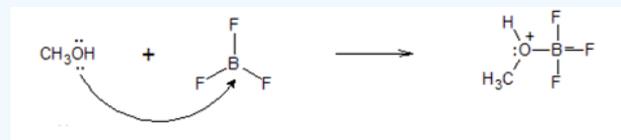
- Because Lewis bases are attracted to electron-deficient atoms, and because positive charge is generally associated with the nucleus of an atom, Lewis bases are sometimes referred to as "nucleophiles". Nucleophile means nucleus-loving.
- Because Lewis acids attract electron pairs, Lewis acids are sometimes called "electrophiles". Electrophile means electron-loving.

### ? EXERCISE 2.10.2

For the following reaction, add curved arrows (electron pushing formalism) to indicate the electron flow.



Answer



### ? EXERCISE 2.10.3

A Lewis acid-base complex is formed between THF (tetrahydrofuran) and borane,  $\text{BH}_3$ .

- Which compound is the Lewis acid? Which one is the Lewis base?
- Which atom in the Lewis acid is the acidic site? Why?
- Which atom in the Lewis base is the basic site? Why?
- How many donors would be needed to satisfy the acidic site?
- Show, using arrow notation, the reaction to form a Lewis acid-base complex.

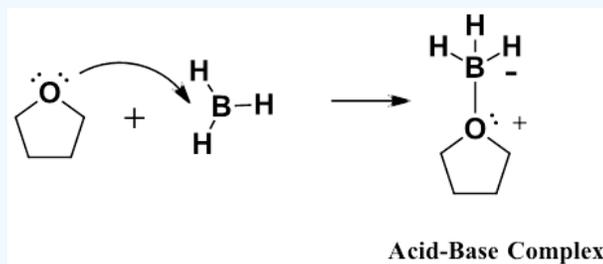
f) Borane is highly pyrophoric; it reacts violently with air, bursting into flames. Show, using arrow notation, what might be happening when borane contacts the air.

g) Borane-THF complex is much less pyrophoric than borane. Why do you suppose that is so?

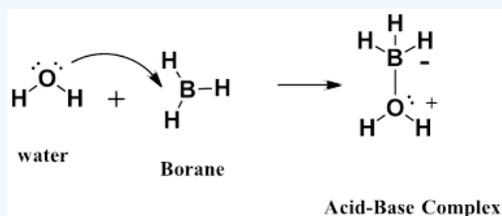
Add exercises text here.

### Answer

- Borane is the Lewis acid. THF has lone pair electrons so it is the Lewis base.
- The Boron atom has an unfilled octet so it has an empty p orbital that can accept electrons.
- The oxygen atom in THF has lone pair electrons contained in a  $sp^3$  hybridized orbital.
- The boron in borane has six electrons around it so it would only need one lone pair donor to reach an octet.
- Show, using arrow notation, the reaction to form a Lewis acid-base complex.



f) The Borane initially reacts with water in air.



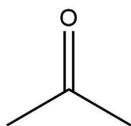
g) After the Borane-THF complex is formed, the boron atom has a complete octet making it less reactive.

## EXERCISES

### QUESTIONS

#### Q2.11.1

For the following molecules state whether they are Lewis acid or base and whether or not they are a Brønsted acid or base.



### SOLUTIONS

#### S2.11.1

Acetone is a Lewis base and a Brønsted base. Ammonium cation is both a Lewis acid and a weak Brønsted acid.

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## 2.11: NONCOVALENT INTERACTIONS BETWEEN MOLECULES

### OBJECTIVES

After completing this section, you should be able to

1. identify the various intermolecular forces that may be at play in a given organic compound.
2. describe how intermolecular forces influence the physical properties, 3-dimensional shape and structure of compounds.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- dipole-dipole forces
- London dispersion forces
- hydrogen bond
- intermolecular forces
- noncovalent interaction
- van der Waals forces

### STUDY NOTES

Much of the material in this section should be familiar to you from your pre-requisite general chemistry course. Nonetheless, this section is important, as it covers some of the fundamental factors that influence many physical and chemical properties.

### INTRODUCTION

The properties of liquids are intermediate between those of gases and solids, but are more similar to solids. In contrast to *intramolecular* forces, such as the covalent bonds that hold atoms together in molecules and polyatomic ions, *intermolecular* forces hold molecules together in a liquid or solid. Intermolecular forces are generally much weaker than covalent bonds. For example, it requires 927 kJ to overcome the intramolecular forces and break both O–H bonds in 1 mol of water, but it takes only about 41 kJ to overcome the intermolecular attractions and convert 1 mol of liquid water to water vapor at 100°C. (Despite this seemingly low value, the intermolecular forces in liquid water are among the strongest such forces known!) Given the large difference in the strengths of intra- and intermolecular forces, changes between the solid, liquid, and gaseous states almost invariably occur for molecular substances *without breaking covalent bonds*.

### NOTE

The properties of liquids are intermediate between those of gases and solids but are more similar to solids.

Intermolecular forces determine bulk properties such as the melting points of solids and the boiling points of liquids. Liquids boil when the molecules have enough thermal energy to overcome the intermolecular attractive forces that hold them together, thereby forming bubbles of vapor within the liquid. Similarly, solids melt when the molecules acquire enough thermal energy to overcome the intermolecular forces that lock them into place in the solid.

Intermolecular forces are electrostatic in nature; that is, they arise from the interaction between positively and negatively charged species. Like covalent and ionic bonds, intermolecular interactions are the sum of both attractive and repulsive components. Because electrostatic interactions fall off rapidly with increasing distance between molecules, intermolecular interactions are most important for solids and liquids, where the molecules are close together. These interactions become important for gases only at very high pressures, where they are responsible for the observed deviations from the ideal gas law.

In this section, we explicitly consider three kinds of intermolecular interactions: dipole-dipole forces, dispersion forces, and hydrogen bonds. These intermolecular interactions are also called van der Waals forces or noncovalent interactions. There are two additional types of electrostatic interaction that you are already familiar with: the ion–ion interactions that are responsible for ionic bonding and the ion–dipole interactions that occur when ionic substances dissolve in a polar substance such as water. These are less common with organic molecules, so will not be described further in this section.

### DIPOLE–DIPOLE INTERACTIONS

Polar covalent bonds behave as if the bonded atoms have localized fractional charges that are equal but opposite (i.e., the two bonded atoms generate a *dipole*). If the structure of a molecule is such that the individual bond dipoles do not cancel one another, then the molecule has a

net dipole moment. Molecules with net dipole moments tend to align themselves so that the positive end of one dipole is near the negative end of another and vice versa, as shown in Figure 2.12.1 parts (a and b). Arrangements in which two positive or two negative ends are adjacent (parts (c and d) in Figure 2.12.1) are higher energy as the similar charges would repel one another. Hence dipole–dipole interactions, such as those in parts (a and b) in Figure 2.12.1, are *attractive intermolecular interactions*, whereas those in part (c and d) are *repulsive intermolecular interactions*.

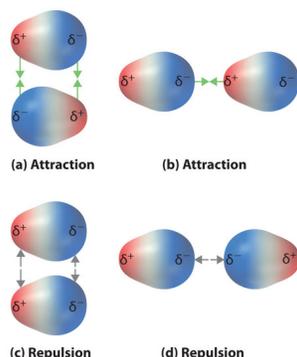


Figure 2.12.1 Attractive and Repulsive Dipole–Dipole Interactions. (a and b) Molecular orientations in which the positive end of one dipole ( $\delta^+$ ) is near the negative end of another ( $\delta^-$ ) (and vice versa) produce attractive interactions. (c and d) Molecular orientations that juxtapose the positive or negative ends of the dipoles on adjacent molecules produce repulsive interactions.

Because molecules in a liquid move freely and continuously, molecules always experience both attractive and repulsive dipole–dipole interactions simultaneously, as shown in Figure 2.12.2. On average, however, the attractive interactions dominate.

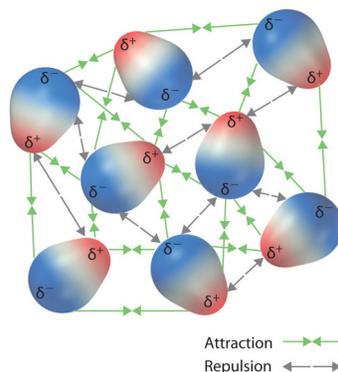
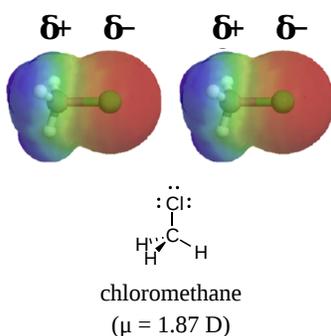


Figure 2.12.2 Both Attractive and Repulsive Dipole–Dipole Interactions Occur in a Liquid Sample with Many Molecules

Chloromethane is an example of a polar molecule. An electrostatic potential map shows a high electron density (seen in red) around the electronegative chlorine giving it a partial negative charge. The other end of the molecule has electron density pulled away from it giving it a partial positive charge seen in blue. The positive and negative ends of different chloromethane molecules are attracted to one another through this electrostatic interaction.



Because each end of a dipole possesses only a fraction of the charge of an electron, dipole–dipole interactions are substantially weaker than the interactions between two ions, each of which has a charge of at least  $\pm 1$ , or between a dipole and an ion, in which one of the species has at least a full positive or negative charge. In addition, the attractive interaction between dipoles falls off much more rapidly with increasing distance than do ion–ion interactions. Recall that the attractive energy between two ions is proportional to  $1/r$ , where  $r$  is the distance between the ions. Doubling the distance ( $r \rightarrow 2r$ ) decreases the attractive energy by one-half. In contrast, the energy of the interaction of two dipoles is proportional to  $1/r^6$ , so doubling the distance between the dipoles decreases the strength of the interaction by  $2^6$ , or 64-fold.

Thus a substance such as HCl, which is partially held together by dipole–dipole interactions, is a gas at room temperature and 1 atm pressure, whereas NaCl, which is held together by ionic interactions, is a high-melting-point solid. Within a series of compounds of similar molar mass, the strength of the intermolecular interactions increases as the dipole moment of the molecules increases, as shown in Table 2.12.1. Using what we learned about predicting relative bond polarities from the electronegativities of the bonded atoms, we can make educated guesses about the relative boiling points of similar molecules.

Table 2.12.1: Relationships between the Dipole Moment and the Boiling Point for Organic Compounds of Similar Molar Mass

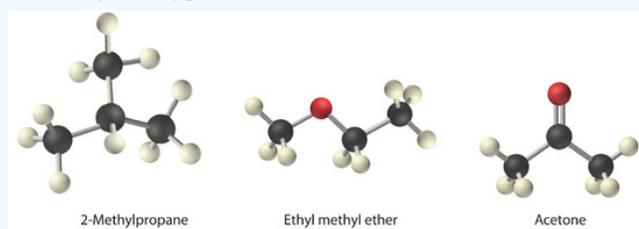
Compound	Molar Mass (g/mol)	Dipole Moment (D)	Boiling Point (K)
C <sub>3</sub> H <sub>6</sub> (cyclopropane)	42	0	240
CH <sub>3</sub> OCH <sub>3</sub> (dimethyl ether)	46	1.30	248
CH <sub>3</sub> CN (acetonitrile)	41	3.9	355

#### NOTE

The attractive energy between two ions is proportional to  $1/r$ , whereas the attractive energy between two dipoles is proportional to  $1/r^6$ .

#### EXAMPLE 2.12.1

Arrange ethyl methyl ether (CH<sub>3</sub>OCH<sub>2</sub>CH<sub>3</sub>), 2-methylpropane [isobutane, (CH<sub>3</sub>)<sub>2</sub>CHCH<sub>3</sub>], and acetone (CH<sub>3</sub>COCH<sub>3</sub>) in order of increasing boiling points. Their structures are as follows:



#### Strategy:

Compare the molar masses and the polarities of the compounds. Compounds with higher molar masses and that are polar will have the highest boiling points.

#### Solution:

The three compounds have essentially the same molar mass (58–60 g/mol), so we must look at differences in polarity to predict the strength of the intermolecular dipole–dipole interactions and thus the boiling points of the compounds. The first compound, 2-methylpropane, contains only C–H bonds, which are not very polar because C and H have similar electronegativity values. It should therefore have a very small (but nonzero) dipole moment and a very low boiling point. Ethyl methyl ether has a structure similar to H<sub>2</sub>O; it contains two polar C–O single bonds oriented at about a 109° angle to each other, in addition to relatively nonpolar C–H bonds. As a result, the C–O bond dipoles partially reinforce one another and generate a significant dipole moment that should give a moderately high boiling point. Acetone contains a polar C=O double bond oriented at about 120° to two methyl groups with nonpolar C–H bonds. The C–O bond dipole therefore corresponds to the molecular dipole, which should result in both a rather large dipole moment and a high boiling point. Thus we predict the following order of boiling points: 2-methylpropane < ethyl methyl ether < acetone. This result is in good agreement with the actual data: 2-methylpropane, boiling point = –11.7°C, and the dipole moment ( $\mu$ ) = 0.13 D; methyl ethyl ether, boiling point = 7.4°C and  $\mu$  = 1.17 D; acetone, boiling point = 56.1°C and  $\mu$  = 2.88 D.

#### ? EXERCISE 2.11.1

Arrange carbon tetrafluoride (CF<sub>4</sub>), ethyl methyl sulfide (CH<sub>3</sub>SC<sub>2</sub>H<sub>5</sub>), dimethyl sulfoxide [(CH<sub>3</sub>)<sub>2</sub>S=O], and 2-methylbutane [isopentane, (CH<sub>3</sub>)<sub>2</sub>CHCH<sub>2</sub>CH<sub>3</sub>] in order of decreasing boiling points.

#### Answer

dimethyl sulfoxide (boiling point = 189.9°C) > ethyl methyl sulfide (boiling point = 67°C) > 2-methylbutane (boiling point = 27.8°C) > carbon tetrafluoride (boiling point = –128°C)

## LONDON DISPERSION FORCES

Thus far we have considered only interactions between polar molecules, but other factors must be considered to explain why many nonpolar molecules, such as bromine, benzene, and hexane, are liquids at room temperature, and others, such as iodine and naphthalene, are solids. Even the noble gases can be liquefied or solidified at low temperatures, high pressures, or both.

What kind of attractive forces can exist between nonpolar molecules or atoms? This question was answered by Fritz London (1900–1954), a German physicist who later worked in the United States. In 1930, London proposed that temporary fluctuations in the electron distributions within atoms and nonpolar molecules could result in the formation of short-lived instantaneous dipole moments, which produce attractive forces called London dispersion forces between otherwise nonpolar substances.

Table 2.12.2: Normal Melting and Boiling Points of Some Elements and Nonpolar Compounds

Substance	Molar Mass (g/mol)	Melting Point (°C)	Boiling Point (°C)
Ar	40	-189.4	-185.9
Xe	131	-111.8	-108.1
N <sub>2</sub>	28	-210	-195.8
O <sub>2</sub>	32	-218.8	-183.0
F <sub>2</sub>	38	-219.7	-188.1
I <sub>2</sub>	254	113.7	184.4
CH <sub>4</sub>	16	-182.5	-161.5

Consider a pair of adjacent He atoms, for example. On average, the two electrons in each He atom are uniformly distributed around the nucleus. Because the electrons are in constant motion, however, their distribution in one atom is likely to be asymmetrical at any given instant, resulting in an instantaneous dipole moment. As shown in part (a) in Figure 2.12.3, the instantaneous dipole moment on one atom can interact with the electrons in an adjacent atom, pulling them toward the positive end of the instantaneous dipole or repelling them from the negative end. The net effect is that the first atom causes the temporary formation of a dipole, called an induced dipole, in the second. Interactions between these temporary dipoles cause atoms to be attracted to one another. These attractive interactions are weak and fall off rapidly with increasing distance. London was able to show with quantum mechanics that the attractive energy between molecules due to temporary dipole–induced dipole interactions falls off as  $1/r^6$ . Doubling the distance therefore decreases the attractive energy by  $2^6$ , or 64-fold.

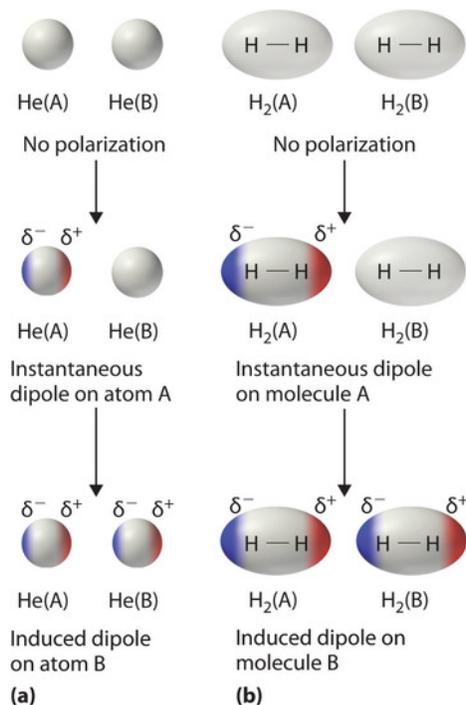


Figure 2.12.3 Instantaneous Dipole Moments. The formation of an instantaneous dipole moment on one He atom (a) or an H<sub>2</sub> molecule (b) results in the formation of an induced dipole on an adjacent atom or molecule.

Instantaneous dipole–induced dipole interactions between nonpolar molecules can produce intermolecular attractions just as they produce interatomic attractions in monatomic substances like Xe. This effect, illustrated for two H<sub>2</sub> molecules in part (b) in Figure 2.12.3, tends to become more pronounced as atomic and molecular masses increase (Table 2.12.3). For example, Xe boils at  $-108.1^{\circ}\text{C}$ , whereas He boils at  $-269^{\circ}\text{C}$ . The reason for this trend is that the strength of London dispersion forces is related to the ease with which the electron distribution

in a given atom can be perturbed. In small atoms such as He, the two 1s electrons are held close to the nucleus in a very small volume, and electron–electron repulsions are strong enough to prevent significant asymmetry in their distribution. In larger atoms such as Xe, however, the outer electrons are much less strongly attracted to the nucleus because of filled intervening shells. As a result, it is relatively easy to temporarily deform the electron distribution to generate an instantaneous or induced dipole. The ease of deformation of the electron distribution in an atom or molecule is called its polarizability. Because the electron distribution is more easily perturbed in large, heavy species than in small, light species, we say that heavier substances tend to be much more *polarizable* than lighter ones.

#### NOTE

For similar substances, London dispersion forces get stronger with increasing molecular size.

The polarizability of a substance also determines how it interacts with ions and species that possess permanent dipoles. Thus London dispersion forces are responsible for the general trend toward higher boiling points with increased molecular mass and greater surface area in a homologous series of compounds, such as the alkanes (part (a) in Figure 2.12.4). The strengths of London dispersion forces also depend significantly on molecular shape because shape determines how much of one molecule can interact with its neighboring molecules at any given time. For example, part (b) in Figure 2.12.4 shows 2,2-dimethylpropane (neopentane) and *n*-pentane, both of which have the empirical formula  $C_5H_{12}$ . Neopentane is almost spherical, with a small surface area for intermolecular interactions, whereas *n*-pentane has an extended conformation that enables it to come into close contact with other *n*-pentane molecules. As a result, the boiling point of neopentane (9.5°C) is more than 25°C lower than the boiling point of *n*-pentane (36.1°C).

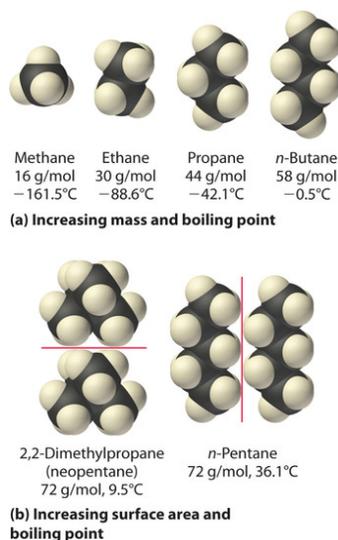


Figure 2.12.4 Mass and Surface Area Affect the Strength of London Dispersion Forces. (a) In this series of four simple alkanes, larger molecules have stronger London forces between them than smaller molecules and consequently higher boiling points. (b) Linear *n*-pentane molecules have a larger surface area and stronger intermolecular forces than spherical neopentane molecules. As a result, neopentane is a gas at room temperature, whereas *n*-pentane is a volatile liquid.

All molecules, whether polar or nonpolar, are attracted to one another by London dispersion forces in addition to any other attractive forces that may be present. In general, however, dipole–dipole interactions in small polar molecules are significantly stronger than London dispersion forces, so the former predominate.

#### EXAMPLE 2.12.2

Arrange *n*-butane, propane, 2-methylpropane [isobutane], and *n*-pentane in order of increasing boiling points.

##### Strategy:

Determine the intermolecular forces in the compounds and then arrange the compounds according to the strength of those forces. The substance with the weakest forces will have the lowest boiling point.

##### Solution:

The four compounds are alkanes and nonpolar, so London dispersion forces are the only important intermolecular forces. These forces are generally stronger with increasing molecular mass, so propane should have the lowest boiling point and *n*-pentane should have the highest, with the two butane isomers falling in between. Of the two butane isomers, 2-methylpropane is more compact, and *n*-butane has the more extended shape. Consequently, we expect intermolecular interactions for *n*-butane to be stronger due to its larger surface

area, resulting in a higher boiling point. The overall order is thus as follows, with actual boiling points in parentheses: propane ( $-42.1^{\circ}\text{C}$ ) < 2-methylpropane ( $-11.7^{\circ}\text{C}$ ) < *n*-butane ( $-0.5^{\circ}\text{C}$ ) < *n*-pentane ( $36.1^{\circ}\text{C}$ ).

### ? EXERCISE 2.11.2

Arrange  $\text{GeH}_4$ ,  $\text{SiCl}_4$ ,  $\text{SiH}_4$ ,  $\text{CH}_4$ , and  $\text{GeCl}_4$  in order of decreasing boiling points.

#### Answer

$\text{GeCl}_4$  ( $87^{\circ}\text{C}$ ) >  $\text{SiCl}_4$  ( $57.6^{\circ}\text{C}$ ) >  $\text{GeH}_4$  ( $-88.5^{\circ}\text{C}$ ) >  $\text{SiH}_4$  ( $-111.8^{\circ}\text{C}$ ) >  $\text{CH}_4$  ( $-161^{\circ}\text{C}$ )

## HYDROGEN BONDS

Molecules with hydrogen atoms bonded to electronegative atoms such as O, N, and F (and to a much lesser extent Cl and S) tend to exhibit unusually strong intermolecular interactions. These result in much higher boiling points than are observed for substances in which London dispersion forces dominate, as illustrated for the covalent hydrides of elements of groups 14–17 in Figure 2.12.5. Methane and its heavier congeners in group 14 form a series whose boiling points increase smoothly with increasing molar mass. This is the expected trend in nonpolar molecules, for which London dispersion forces are the exclusive intermolecular forces. In contrast, the hydrides of the lightest members of groups 15–17 have boiling points that are more than  $100^{\circ}\text{C}$  greater than predicted on the basis of their molar masses. The effect is most dramatic for water: if we extend the straight line connecting the points for  $\text{H}_2\text{Te}$  and  $\text{H}_2\text{Se}$  to the line for period 2, we obtain an estimated boiling point of  $-130^{\circ}\text{C}$  for water! Imagine the implications for life on Earth if water boiled at  $-130^{\circ}\text{C}$  rather than  $100^{\circ}\text{C}$ .

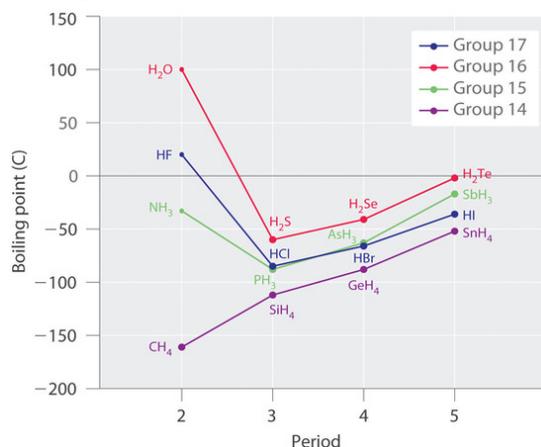


Figure 2.12.5: The Effects of Hydrogen Bonding on Boiling Points. These plots of the boiling points of the covalent hydrides of the elements of groups 14–17 show that the boiling points of the lightest members of each series for which hydrogen bonding is possible ( $\text{HF}$ ,  $\text{NH}_3$ , and  $\text{H}_2\text{O}$ ) are anomalously high for compounds with such low molecular masses.

Why do strong intermolecular forces produce such anomalously high boiling points and other unusual properties, such as high enthalpies of vaporization and high melting points? The answer lies in the highly polar nature of the bonds between hydrogen and very electronegative elements such as O, N, and F. The large difference in electronegativity results in a large partial positive charge on hydrogen and a correspondingly large partial negative charge on the O, N, or F atom. Consequently, H–O, H–N, and H–F bonds have very large bond dipoles that can interact strongly with one another. Because a hydrogen atom is so small, these dipoles can also approach one another more closely than most other dipoles. The combination of large bond dipoles and short dipole–dipole distances results in very strong dipole–dipole interactions called hydrogen bonds, as shown for ice in Figure 2.12.6.

A hydrogen bond is usually indicated by a dotted line between the hydrogen atom attached to O, N, or F (the *hydrogen bond donor*) and the atom that has the lone pair of electrons (the *hydrogen bond acceptor*). Because each water molecule contains two hydrogen atoms and two lone pairs, a tetrahedral arrangement maximizes the number of hydrogen bonds that can be formed. In the structure of ice, each oxygen atom is surrounded by a distorted tetrahedron of hydrogen atoms that form bridges to the oxygen atoms of adjacent water molecules. The bridging hydrogen atoms are *not* equidistant from the two oxygen atoms they connect, however. Instead, each hydrogen atom is 101 pm from one oxygen and 174 pm from the other. In contrast, each oxygen atom is bonded to two H atoms at the shorter distance and two at the longer distance, corresponding to two O–H covalent bonds and two  $\text{O}\cdots\text{H}$  hydrogen bonds from adjacent water molecules, respectively. The resulting open, cagelike structure of ice means that the solid is actually slightly less dense than the liquid, which explains why ice floats on water rather than sinks.

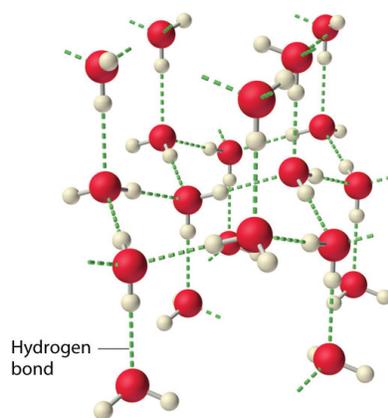


Figure 2.12.6: The Hydrogen-Bonded Structure of Ice

Each water molecule accepts two hydrogen bonds from two other water molecules and donates two hydrogen atoms to form hydrogen bonds with two more water molecules, producing an open, cage-like structure. The structure of liquid water is very similar, but in the liquid, the hydrogen bonds are continually broken and formed because of rapid molecular motion.

#### NOTE

Hydrogen bond formation requires *both* a hydrogen bond donor *and* a hydrogen bond acceptor.

Because ice is less dense than liquid water, rivers, lakes, and oceans freeze from the top down. In fact, the ice forms a protective surface layer that insulates the rest of the water, allowing fish and other organisms to survive in the lower levels of a frozen lake or sea. If ice were denser than the liquid, the ice formed at the surface in cold weather would sink as fast as it formed. Bodies of water would freeze from the bottom up, which would be lethal for most aquatic creatures. The expansion of water when freezing also explains why automobile or boat engines must be protected by “antifreeze” and why unprotected pipes in houses break if they are allowed to freeze.

Although hydrogen bonds are significantly weaker than covalent bonds, with typical dissociation energies of only 15–25 kJ/mol, they have a significant influence on the physical properties of a compound. Compounds such as HF can form only two hydrogen bonds at a time as can, on average, pure liquid  $\text{NH}_3$ . Consequently, even though their molecular masses are similar to that of water, their boiling points are significantly lower than the boiling point of water, which forms *four* hydrogen bonds at a time.

#### EXAMPLE 2.12.3

Considering  $\text{CH}_3\text{OH}$ ,  $\text{C}_2\text{H}_6$ , Xe, and  $(\text{CH}_3)_3\text{N}$ , which can form hydrogen bonds with themselves? Draw the hydrogen-bonded structures.

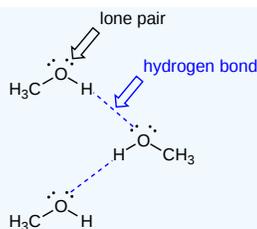
##### Strategy:

- Identify the compounds with a hydrogen atom attached to O, N, or F. These are likely to be able to act as hydrogen bond donors.
- Of the compounds that can act as hydrogen bond donors, identify those that also contain lone pairs of electrons, which allow them to be hydrogen bond acceptors. If a substance is both a hydrogen donor and a hydrogen bond acceptor, draw a structure showing the hydrogen bonding.

##### Solution:

**A** Of the species listed, xenon (Xe), ethane ( $\text{C}_2\text{H}_6$ ), and trimethylamine [ $(\text{CH}_3)_3\text{N}$ ] do not contain a hydrogen atom attached to O, N, or F; hence they cannot act as hydrogen bond donors.

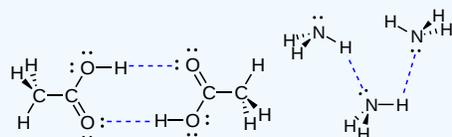
**B** The one compound that can act as a hydrogen bond donor, methanol ( $\text{CH}_3\text{OH}$ ), contains both a hydrogen atom attached to O (making it a hydrogen bond donor) and two lone pairs of electrons on O (making it a hydrogen bond acceptor); methanol can thus form hydrogen bonds by acting as either a hydrogen bond donor or a hydrogen bond acceptor. The hydrogen-bonded structure of methanol is as follows:



### ? EXERCISE 2.12.3

Considering  $\text{CH}_3\text{CO}_2\text{H}$ ,  $(\text{CH}_3)_3\text{N}$ ,  $\text{NH}_3$ , and  $\text{CH}_3\text{F}$ , which can form hydrogen bonds with themselves? Draw the hydrogen-bonded structures.

**Answer:**  $\text{CH}_3\text{CO}_2\text{H}$  and  $\text{NH}_3$ ;



### ✓ EXAMPLE 2.12.4

Arrange  $\text{C}_{60}$  (buckminsterfullerene, which has a cage structure),  $\text{NaCl}$ ,  $\text{He}$ ,  $\text{Ar}$ , and  $\text{N}_2\text{O}$  in order of increasing boiling points.

**Given:** compounds

**Asked for:** order of increasing boiling points

**Strategy:**

Identify the intermolecular forces in each compound and then arrange the compounds according to the strength of those forces. The substance with the weakest forces will have the lowest boiling point.

**Solution:**

Electrostatic interactions are strongest for an ionic compound, so we expect  $\text{NaCl}$  to have the highest boiling point. To predict the relative boiling points of the other compounds, we must consider their polarity (for dipole–dipole interactions), their ability to form hydrogen bonds, and their molar mass (for London dispersion forces). Helium is nonpolar and by far the lightest, so it should have the lowest boiling point. Argon and  $\text{N}_2\text{O}$  have very similar molar masses (40 and 44 g/mol, respectively), but  $\text{N}_2\text{O}$  is polar while  $\text{Ar}$  is not. Consequently,  $\text{N}_2\text{O}$  should have a higher boiling point. A  $\text{C}_{60}$  molecule is nonpolar, but its molar mass is 720 g/mol, much greater than that of  $\text{Ar}$  or  $\text{N}_2\text{O}$ . Because the boiling points of nonpolar substances increase rapidly with molecular mass,  $\text{C}_{60}$  should boil at a higher temperature than the other nonionic substances. The predicted order is thus as follows, with actual boiling points in parentheses:  $\text{He}$  ( $-269^\circ\text{C}$ ) <  $\text{Ar}$  ( $-185.7^\circ\text{C}$ ) <  $\text{N}_2\text{O}$  ( $-88.5^\circ\text{C}$ ) <  $\text{C}_{60}$  ( $>280^\circ\text{C}$ ) <  $\text{NaCl}$  ( $1465^\circ\text{C}$ ).

### ? EXERCISE 2.12.4

Arrange 2,4-dimethylheptane,  $\text{Ne}$ ,  $\text{CS}_2$ ,  $\text{Cl}_2$ , and  $\text{KBr}$  in order of decreasing boiling points.

**Answer:**  $\text{KBr}$  ( $1435^\circ\text{C}$ ) > 2,4-dimethylheptane ( $132.9^\circ\text{C}$ ) >  $\text{CS}_2$  ( $46.6^\circ\text{C}$ ) >  $\text{Cl}_2$  ( $-34.6^\circ\text{C}$ ) >  $\text{Ne}$  ( $-246^\circ\text{C}$ )

## SUMMARY

Molecules in liquids are held to other molecules by intermolecular interactions, which are weaker than the intramolecular interactions that hold the atoms together within molecules and polyatomic ions. Transitions between the solid and liquid or the liquid and gas phases are due to changes in intermolecular interactions but do not affect intramolecular interactions. The three major types of intermolecular interactions are dipole–dipole interactions, London dispersion forces (these two are often referred to collectively as **van der Waals forces**), and hydrogen bonds. **Dipole–dipole interactions** arise from the electrostatic interactions of the positive and negative ends of molecules with permanent dipole moments; their strength is proportional to the magnitude of the dipole moment and to  $1/r^6$ , where  $r$  is the distance between dipoles. **London dispersion forces** are due to the formation of **instantaneous dipole moments** in polar or nonpolar molecules as a result of short-lived fluctuations of electron charge distribution, which in turn cause the temporary formation of an **induced dipole** in adjacent molecules. Like dipole–dipole interactions, their energy falls off as  $1/r^6$ . Larger atoms tend to be more **polarizable** than smaller ones because their outer electrons are less tightly bound and are therefore more easily perturbed. **Hydrogen bonds** are especially strong dipole–dipole interactions between molecules that have hydrogen bonded to a highly electronegative atom, such as O, N, or F. The resulting

partially positively charged H atom on one molecule (the *hydrogen bond donor*) can interact strongly with a lone pair of electrons of a partially negatively charged O, N, or F atom on adjacent molecules (the *hydrogen bond acceptor*). Because of strong  $O \cdots H$  hydrogen bonding between water molecules, water has an unusually high boiling point, and ice has an open, cagelike structure that is less dense than liquid water.

## KEY TAKEAWAY

- Intermolecular forces are electrostatic in nature and include van der Waals forces and hydrogen bonds.

## PROBLEMS

1. Which are stronger—dipole–dipole interactions or London dispersion forces? Which are likely to be more important in a molecule with heavy atoms? Explain your answers.
2. Liquid water is essential for life as we know it, but based on its molecular mass, water should be a gas under standard conditions. Why is water a liquid rather than a gas under standard conditions?
3. Why are intermolecular interactions more important for liquids and solids than for gases? Under what conditions must these interactions be considered for gases?
4. In group 17, elemental fluorine and chlorine are gases, whereas bromine is a liquid and iodine is a solid. Why?
5. Identify the most important intermolecular interaction in each of the following.
  - a)  $SO_2$
  - b) HF
  - c)  $CO_2$
  - d)  $CCl_4$
  - e)  $CH_2Cl_2$
6. Both water and methanol have anomalously high boiling points due to hydrogen bonding, but the boiling point of water is greater than that of methanol despite its lower molecular mass. Why? Draw the structures of these two compounds, including any lone pairs, and indicate potential hydrogen bonds.
7. Do you expect the boiling point of  $H_2S$  to be higher or lower than that of  $H_2O$ ? Justify your answer.
8. Some recipes call for vigorous boiling, while others call for gentle simmering. What is the difference in the temperature of the cooking liquid between boiling and simmering? What is the difference in energy input?

## SOLUTIONS

1. Dipole–Dipole interactions are stronger because molecules polarity is permanent. Molecules involved in London dispersion forces are only temporarily polar
2. Water is a liquid under standard conditions because of its unique ability to form four strong hydrogen bonds per molecule.
3. In solids and liquids the molecules are in direct contact. In gases the molecules only contact during collisions. Intermolecular forces become important when gases are cooled to the point where they form a liquid. 4. As the atomic mass of the halogens increases, so does the number of electrons and the average distance of those electrons from the nucleus. Larger atoms with more electrons are more easily polarized than smaller atoms, and the increase in polarizability with atomic number increases the strength of London dispersion forces. These intermolecular interactions are strong enough to favor the condensed states for bromine and iodine under normal conditions of temperature and pressure. 5. a) The V-shaped  $SO_2$  molecule has a large dipole moment due to the polar  $S=O$  bonds, so dipole–dipole interactions will be most important.
  - b) The H–F bond is highly polar, and the fluorine atom has three lone pairs of electrons to act as hydrogen bond acceptors; hydrogen bonding will be most important.
  - c) Although the  $C=O$  bonds are polar, this linear molecule has no net dipole moment; hence, London dispersion forces are most important.
  - d) This is a symmetrical molecule that has no net dipole moment, and the Cl atoms are relatively polarizable; thus, London dispersion forces will dominate.
  - e) This molecule has a small dipole moment, as well as polarizable Cl atoms. In such a case, dipole–dipole interactions and London dispersion forces are often comparable in magnitude.
- 6) Water has two polar O–H bonds with H atoms that can act as hydrogen bond donors, plus two lone pairs of electrons that can act as hydrogen bond acceptors, giving a net of four hydrogen bonds per  $H_2O$  molecule. Although methanol also has two lone pairs of electrons on oxygen that can act as hydrogen bond acceptors, it only has one O–H bond with an H atom that can act as a hydrogen bond donor. Consequently, methanol can only form two hydrogen bonds per molecule on average, versus four for water. Hydrogen bonding therefore has a much greater effect on the boiling point of water.

7) H<sub>2</sub>O would have the higher boiling point because it can form the stronger hydrogen bonding intermolecular force. 8) Vigorous boiling causes more water molecule to escape into the vapor phase, but does not affect the temperature of the liquid. Vigorous boiling requires a higher energy input than does gentle simmering.

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## 2.MM: MOLECULAR MODELS

### OBJECTIVE

After completing this section, you should be able to

- use ball-and-stick molecular models to make models of simple organic compounds (e.g., ethane, ethylene, acetylene, ethanol, formaldehyde, acetone, acetic acid), given their Kekulé structures or molecular formulas.

### STUDY NOTES

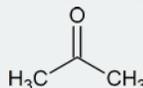
You will have noticed that we have given two names for most of the compounds discussed up to this point. In general we shall be using systematic (i.e., IUPAC—International Union of Pure and Applied Chemistry) names throughout the course. However, simple compounds are often known by their common names, which may be more familiar than their IUPAC counterparts. We shall address the subject of nomenclature (naming) in Chapter 3.

ethanol 

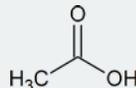
formaldehyde (methanal),



acetone (propanone),



acetic (ethanoic) acid,



If your instructor is having you work with molecular models in class, they may use this section for you to practice creating specific structures.

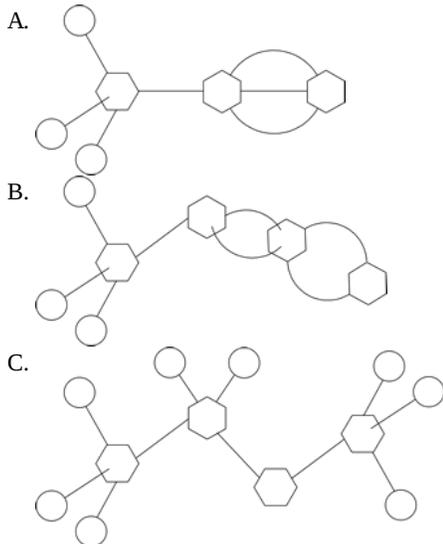
### EXERCISES

1. Construct a molecular model of each of the compounds listed below.

- $\text{CH}_3\text{-CN}$
- $\text{CH}_3\text{-N=C=O}$
- $\text{CH}_3\text{-CH}_2\text{-O-CH}_3$

**Hint:** Use the curved sticks to form the multiple bonds and the straight sticks for single bonds.

### ANSWERS:



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## 2.S: POLAR COVALENT BONDS; ACIDS AND BASES (SUMMARY)

### CONCEPTS & VOCABULARY

#### 2.1 Polar Covalent Bonds: Electronegativity

- The difference in electronegativity values of two atoms determines whether the bond between those atoms is classified as either **ionic**, **polar covalent**, or **non-polar covalent**.
- Ionic bonds** result from large differences in electronegativity values, such as that between a metal and non-metal atom (Na and Cl).
- Covalent bonding generally results when both atoms are non-metals, like C, H, O, N and the halides.
- When both atoms the same and/or have the same electronegativity value, then the bonding electrons are shared equally and the bond is classified as **non-polar covalent**.
- Polar covalent** bonds occur when the difference in electronegativity values is small, and the bonding electrons are not shared equally.

#### 2.2 Polar Covalent Bonds: Dipole Moments

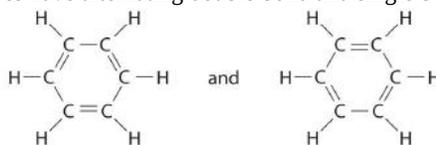
- The **molecular dipole moment** is the sum of all the bond dipoles within a molecule and depends on both the molecular geometry and the bond polarity.
- Molecules that contain no polar bonds, like CH<sub>4</sub>, and/or completely symmetrical molecules, like CO<sub>2</sub>, generally have no net dipole moment.
- Asymmetrical molecules that contain bonds of different polarities or non-bonding lone pairs typically have a molecular dipole moment.

#### 2.3 Formal Charges

- Formal Charge** compares how many valence electrons surround a free atom versus how many surround that same type of atom bonded with a molecule or ion.
- Formal Charge = (# of valence electrons in free atom) - (# of lone-pair electrons) - (1/2 # of bond pair electrons)** Eqn. 2.3.1
- Formal charges of zero generally represent the most stable structures.
- These bonding patterns for the atoms commonly found in organic molecules result in a formal charge of zero
  - Carbon - 4 bonds, no lone pairs
  - Hydrogen - 1 bond, no lone pairs
  - Nitrogen - 3 bonds, 1 lone pair
  - Oxygen - 2 bonds, 2 lone pairs
  - Halogens - 1 bond, 3 lone pairs.

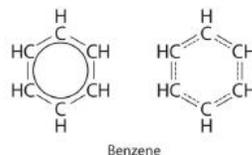
#### 2.4 Resonance

- Resonance Theory** is often used when the observed chemical and physical properties of a molecule or ion cannot be adequately described by a single Lewis Structure. A classic example is the benzene molecule, C<sub>6</sub>H<sub>6</sub>. The Lewis Structure of benzene could be drawn in two different ways. Both structures have alternating double bond and single bonds between the carbons. The only difference is



the location of the pi bonds.

If these structures are correct, then the benzene molecule should have two different C-C bond lengths and bond energies, corresponding to a C-C single bond and to a C=C double bond. However, analysis shows that benzene contains only one type of carbon-carbon bond and its bond length and energy are half between those of a single bond and double bond. Resonance theory states that benzene exists as the "average" of the two structures called a **resonance hybrid**, in which the six pi electrons **delocalized** over all six carbon atoms. Each C-C bond in benzene would be the average of a single bond and double bond or a "bond and a half". Dashed lines are often used to show type of

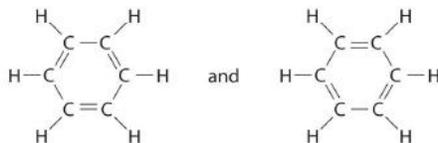


"partial" bonding in a resonance hybrid of benzene

#### 2.5 Rules for Resonance Forms

- The rules for estimating stability of resonance structures are
  - The resonance form in which all atoms have complete valence shells is more stable.
  - The **greater the number of covalent bonds**, the greater the stability since more atoms will have complete octets
  - The structure with the **least number of formal charges** is more stable

- The structure with the **least separation of formal charges** is more stable
- A structure with a **negative charge on the more electronegative atom** will be more stable
- **Positive charges on the least electronegative atom** (most electropositive) is more stable
- **Resonance forms that are equivalent have no difference in stability and contribute equally.** (eg. benzene)
- If these rules are applied to the two Lewis Structures of benzene, the result would be that both structures will have the same relative stability and will both contribute equally to the character of the resonance hybrid.



## 2.6 Drawing Resonance Forms

- In resonance structures, the electrons are able to move to help stabilize the molecule. This movement of the electrons is called delocalization.
- The rules for drawing resonance structures are:
  - Resonance structures should have the same number of electrons, do not add or subtract any electrons. (You can check the number of electrons by counting them)
  - All resonance structures must follow the rules of writing [Lewis Structures](#).
  - The hybridization of the structure must stay the same.
  - The skeleton of the structure can not be changed (only the electrons move).
  - Resonance structures must also have the same amount of lone pairs.

## 2.7 Acids and Bases - The Brønsted-Lowry Definition

- A Brønsted-Lowry acid is a proton ( $H^+$ ) donor and a Brønsted-Lowry base is a proton acceptor.

## 2.8 Acid and Base Strength

- The strength of Brønsted-Lowry acids is measured indicated by its pKa value. The lower the pKa - the stronger the acid.
- A strong acid will have a weak conjugate base. A strong base will have a weak conjugate acid.

## 2.9 Predicting Acid-Base Reactions from pKa Values

- The equilibrium of an acid-base reaction favors the formation of weaker acids from stronger acids. To predict the direction of the equilibrium, identify Brønsted-Lowry acid on each side of the reaction. Assign/look up pKa values for each acid. The equilibrium will favor the side that has the weakest acid (the highest pKa).

## 2.10 Organic Acids and Organic Bases

- Organic acids are stronger when the conjugate base that is formed upon loss of a proton is more stable.
- Some factors that effect the stability of the conjugate base (often an anion) are the anionic atom's size and electronegativity, resonance effects, inductive effects, and solvation.

## 2.11: Acids and Bases - The Lewis Definition

- A Lewis acid is a lone pair acceptor and a Lewis base is a lone pair donor.

## 2.12: Non-covalent Interactions between Molecules

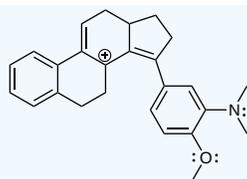
- Non-covalent Interactions, also known as Intermolecular Forces, significantly effect the physical properties of organic molecules. Hydrogen bonding is the most important of these interactions, but others include ion-dipole, dipole-dipole, and London Dispersion Forces.

## 2.MM: Molecular Models

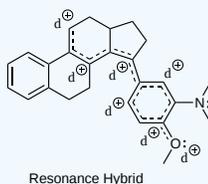
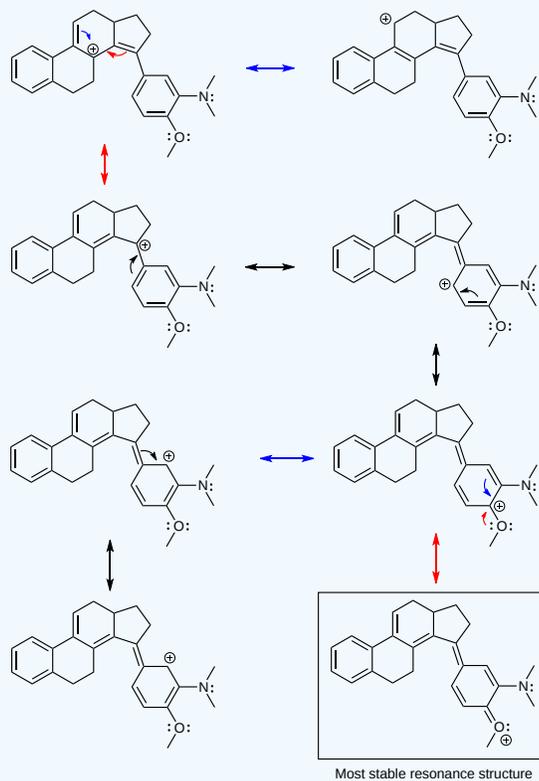
## SUMMARY PROBLEMS

### ? EXERCISE 2.S. 1

Draw all possible resonance structures to demonstrate delocalization of the positive charge in the following molecule. Circle the most stable resonance structure and explain your answer. Also, draw the resonance hybrid.



Answer

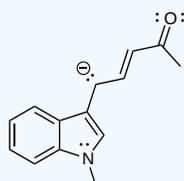


The circled structure is the most stable because it is the only structure with a full octet on all atoms. (Remember that carbocations must have an incomplete octet, and an oxygen with a positive charge and a full octet is more stable than a carbocation.)

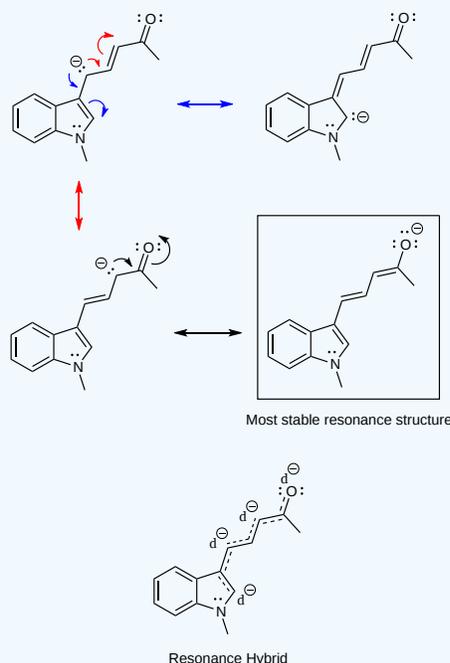
As a reminder, the resonance hybrid structure is a combination of all of your resonance structure showing partial pi bonds and partial formal charges.

### ? EXERCISE 2.S.2

Draw all possible resonance structures to demonstrate delocalization of the negative charge in the following molecule. Also, draw the resonance hybrid and circle the most stable resonance contributor among all of your resonance structures.



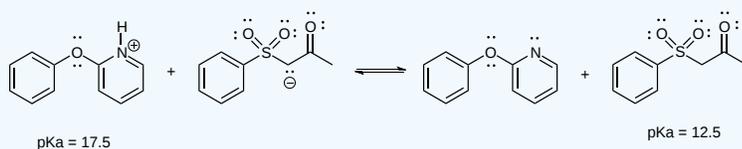
Answer



The circled structure is the most stable because the negative charge is on oxygen, the most electronegative element sharing the negative charge. As a reminder, in resonance structures with negative charges, all of the atoms have octets, so you only need to focus on electronegativity differences to find the most stable structure.

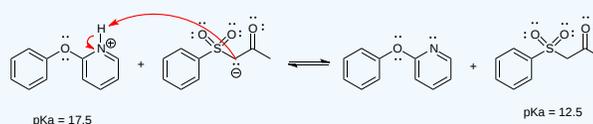
? EXERCISE 2.S. 3

For the equilibrium shown below, answer the following questions: a) Draw curved arrows to illustrate bond breakage and formation in the reaction. b) At equilibrium, are the products or reactants favored? Explain. c) What percent reactants and percent products are present at equilibrium? d) Use resonance structures to explain why N is the most basic atom in the conjugate base. e) Use resonance structures to explain why the hydrogen that is removed from the conjugate acid is the most acidic proton.



Answer

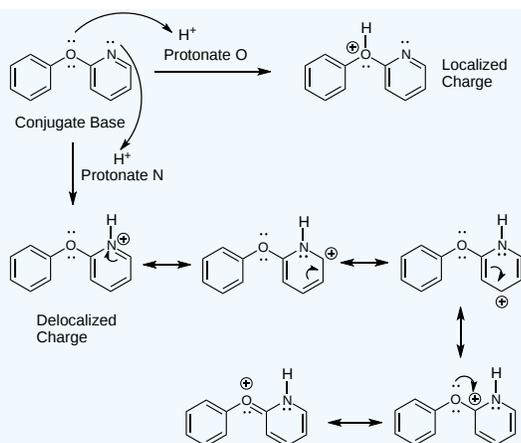
a)



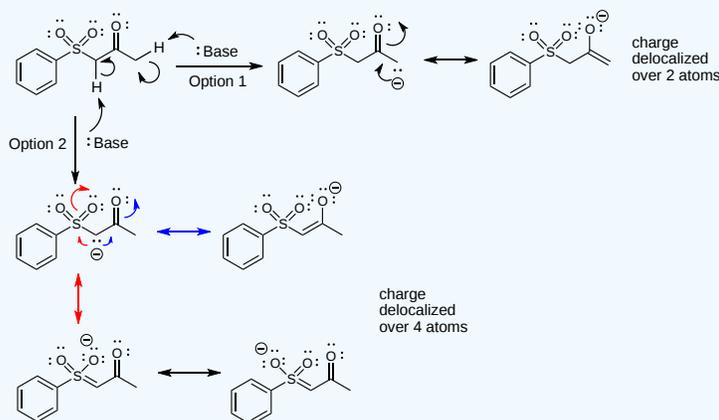
b) The lower pKa is the stronger acid, and the equilibrium will always favor the weak acid (higher pKa), so this reaction favors the reactants. Note: It's normal to assume that the neutral products would be favored, but this is a good example that shows our chemical intuition isn't always right. To make sure we understand the equilibrium, we need to have pKa values to compare.

c)  $K_{eq} = 10^{12.5-17.5} = 10^{-5} = 0.00001$ . So, the ratio of reactants to products is 1:0.00001. The percent reactants is 99.999% and the percent products is 0.001%.

d) There are two atoms with lone pairs in the conjugate base, O and N, so we should evaluate both to show that N is the most basic atom. Protonating O yields a positive charge localized on O. Protonating N yields a charge delocalized over 3 Cs, 1 N, and 1 O. The N is most basic because the charge in the acid is delocalized and there are two structures with full octets (positive charge on N and O).

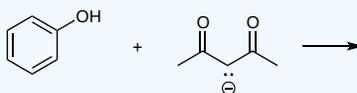


e) To find the most acidic proton, we should focus on Hs on atoms next to (not on) double bonds. This will yield a delocalized negative charge when the H is removed. In the conjugate acid, we have two options. Option 1 yields a compound with a negative charge delocalized over 2 atoms, O and C. Option 2 yields the base from the original reaction because the charge is delocalized over 4 atoms, C and 3 Os. This is very stable because it is spread over 3 electronegative oxygen atoms.



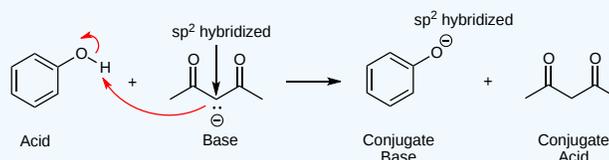
### ? EXERCISE 2.S. 4

This question focuses on the reaction of the two molecules shown below. a) Draw an acid-base reaction of these molecules. Clearly label the acid, the base, the conjugate acid, and the conjugate base. Draw curved arrows to clearly indicate electron movement involved in bond formation and bond cleavage. For the base and the conjugate base, label the hybridization of the charged atoms. b) If you drew the reaction correctly, the pKa of the conjugate acid is 9. Use the table in Section 2.8 to determine the pKa of the acid. Are the reactants or products favored at equilibrium? What is the approximate ratio of reactants to products? What is the approximate percentage of reactants and products? c) Draw the structures of the two charged molecules in the reaction. Draw resonance structures to illustrate charge delocalization in these molecules. Based on your analysis in part b, which charged molecule is more stable? Briefly explain this result.



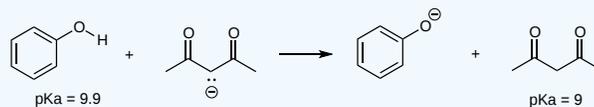
#### Answer

a)



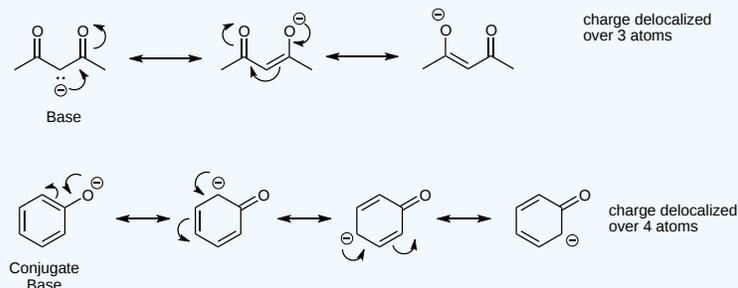
Don't forget that the charged atoms in the base and conjugate base are  $sp^2$  hybridized so that the lone pair can be in a p orbital and then delocalized by resonance. For negative charges to be delocalized, the lone pair must be in a p orbital so that electrons can flow to adjacent pi bonds by resonance.

b)



The acid has the larger pKa, so it is the weaker acid and the reactants are favored. The approximate difference in pKa values is 1. So,  $K_{eq}$  is  $10^{-1}$  and for every 1 product molecule there are 10 reactant molecules. (Remember, since the reactants are favored, there are more reactants and the  $K_{eq}$  must be less than 1.) The approximate percentage of reactants is 90% and products is 10%. ( $10/(10+1)$  is approximately 90% and  $1/(10+1)$  is approximately 10%)

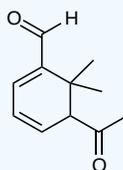
c)



We know that the equilibrium favors the reactants. We also know that in acid base reactions, the stronger acid reacts with the stronger base to yield the weaker base and the weaker acid. In this reaction, the products are the stronger acid-base pair. We also know that "stronger" means more reactive and less stable, while "weaker" means less reactive and more stable. So, since the reactants are favored, that means the base (as labeled above) is more stable than the conjugate base. This seems strange since the charge is more delocalized in the conjugate base. This comparison highlights the importance of quality of resonance structures versus quantity of resonance structures. The charge is more stable in the base since in two of the resonance structures, the negative charge is on O. In the conjugate base, only one of the structures has the negative charge on O.

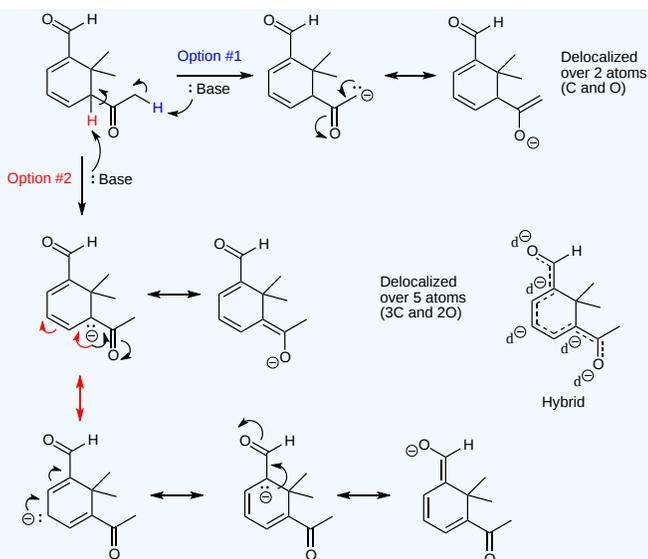
### ? EXERCISE 2.S. 5

Determine the position of the most acidic proton in the following molecule. You should draw resonance structures and a resonance hybrid to justify your answer.



#### Answer

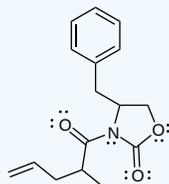
Donation of the most acidic proton ( $H^+$ ) yields the most stable conjugate base; the one that has the charge on the most electronegative element and/or the most delocalized charge. You should focus on protons attached to electronegative elements (e.g., O or N) and protons on atoms next to pi bonds, but not attached to atoms with pi bonds. In this molecule, all Hs are attached to carbon. So, we should focus on the Hs next to pi bonds. These are Hs on  $sp^3$  hybridized carbons next to  $sp^2$  hybridized carbons. Loss of these protons will yield a negative charge that can be delocalized by resonance.



Option #1 yields a conjugate base with the charge delocalized over 1 carbon and 1 oxygen. Option #2 yields a conjugate base with a charge delocalized over 3 carbons and 2 oxygens. This is the most stable conjugate base, so the most acidic proton is the red proton (removed in option #2).

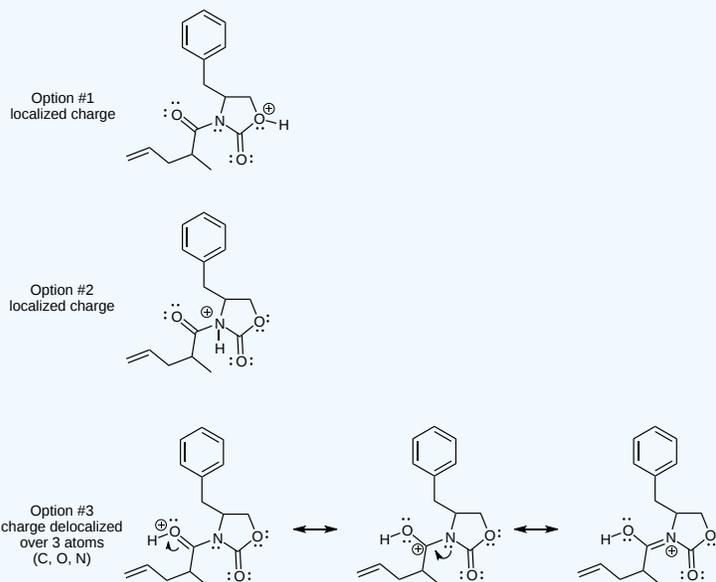
### ? EXERCISE 2.S. 6

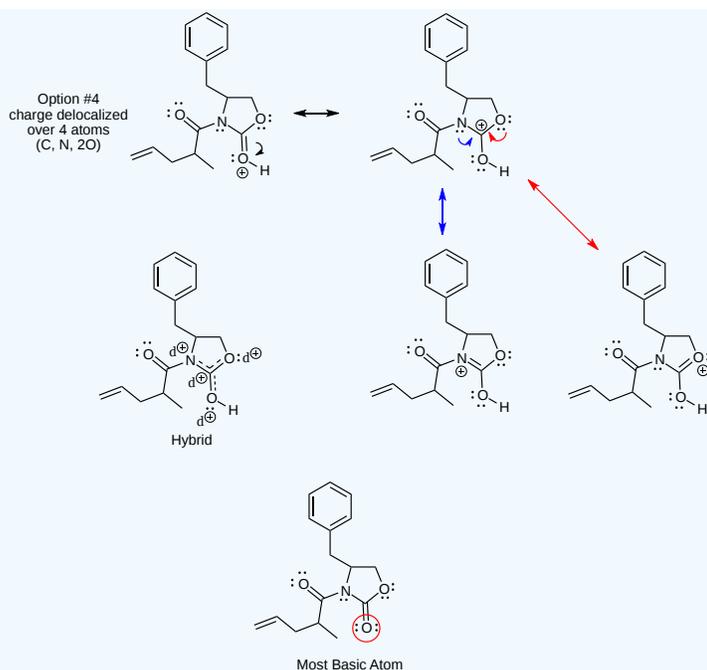
Determine the position of the most basic atom in the following molecule. You should draw resonance structures and a resonance hybrid to justify your answer.



### Answer

There are four basic atoms (atoms with lone pair electrons) in this molecule. The strongest base will yield the most stable conjugate acid (the one with the most delocalized positive charge and/or the charge on the least electronegative atom).





Options #1 and #2 yield conjugate acids with localized charges. Options #3 and #4 yield conjugate acids with delocalized charges. This illustrates a key point: For atoms that can't have an expanded octet, atoms with a lone pair and no pi bond will accept a proton and yield a localized charge in the conjugate acid while atoms with both a lone pair and a pi bond will accept a proton and yield a delocalized charge in the conjugate acid. So, we should focus our energy on the latter atoms. In this problem, that means Options #3 and #4. In option #3, the charge is delocalized over 3 atoms, 2 that have full octets on all atoms (positive charge on N and O). In option #4, the charge is delocalized over 4 atoms, 3 that have full octets on all atoms (positive charge on N and 2 Os). So, Option #4 has more total resonance structures and more structures that have a full octet. This is the most stable conjugate acid which means the circled O is the most basic atom.

## SKILLS TO MASTER

- Skill 2.1 Predict whether a bond is ionic, polar covalent, or non-polar covalent based on the position of the atoms in the periodic table.
- Skill 2.2 Identify the partial positive and partial negative atoms of a polar covalent bond based on relative electronegativity.
- Skill 2.3 Determine the dipole moment of a molecule based on molecular geometry and bond polarity.
- Skill 2.4 Identify the chemicals in a reaction as Brønsted-Lowry acids or bases, and conjugate acids and bases.
- Skill 2.5 Predict the products of an acid-base reaction.
- Skill 2.6 Use pKa values to predict the equilibrium direction of an acid-base reaction.
- Skill 2.7 Predict the relative strength of an organic acid by examining the stability of the conjugate base.
- Skill 2.8 Use molecular structure and analysis of intermolecular forces to rank a series of organic molecules with respect to physical properties like melting point and boiling point.
- Skill 2.9 Identify the chemicals in a reaction as Lewis acids or bases.

## MEMORIZATION TASKS (MT)

- MT 2.1 Memorize that the C-H bond is considered to be non-polar.
- MT 2.2 Memorize the common bonding patterns for C, H, N, O and the halogens that have a zero formal charge.
- MT 2.3 Memorize the factors that affect the relative stability of conjugate bases.

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## CHAPTER OVERVIEW

### 3: ORGANIC COMPOUNDS - ALKANES AND THEIR STEREOCHEMISTRY

#### LEARNING OBJECTIVES

After you have completed Chapter 3, you should be able to

1. fulfill the detailed objectives listed under each section.
2. identify some of the commonest functional groups.
3. write the structures and names of the first ten straight-chain alkanes.
4. recognize and name the simple alkyl substituents, and give the systematic names for branched-chain alkanes.
5. briefly describe some of the processes used during the refining of petroleum.
6. briefly describe the physical properties of alkanes.
7. draw a number of possible conformations of some simple alkanes and alkane-like compounds, and represent the energies of such conformations on energy versus rotation diagrams.
8. define, and use in context, the key terms introduced in this chapter.

This chapter begins with an introduction to the concept of the functional group, a concept that facilitates the systematic study of organic chemistry. Next, we introduce the fundamentals of organic nomenclature (i.e., the naming of organic chemicals) through examination of the alkane family of compounds. We then discuss, briefly, the occurrence and properties of alkanes, and end with a description of *cis-trans* isomerism in cycloalkanes.

[3.0: Chapter Objectives](#)

[3.1: Functional Groups](#)

[3.2: Alkanes and Alkane Isomers](#)

[3.3: Alkyl Groups](#)

[3.4: Naming Alkanes](#)

[3.5: Properties of Alkanes](#)

[3.6: Conformations of Ethane](#)

[3.7: Conformations of Other Alkanes](#)

[3.8: Gasoline - A Deeper Look](#)

[3.S: Organic Compounds- Alkanes and Their Stereochemistry \(Summary\)](#)

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## 3.0: CHAPTER OBJECTIVES

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## 3.1: FUNCTIONAL GROUPS

### OBJECTIVES

After completing this section, you should be able to

1. explain why the properties of a given organic compound are largely dependent on the functional group or groups present in the compound.
2. identify the functional groups present in each of the following compound types: alkenes, alkynes, arenes, (alkyl and aryl) halides, alcohols, ethers, aldehydes, ketones, esters, carboxylic acids, (carboxylic) acid chlorides, amides, amines, nitriles, nitro compounds, sulfides and sulfoxides.
3. identify the functional groups present in an organic compound, given its structure.
4. Given the structure of an organic compound containing a single functional group, identify which of the compound types listed under Objective 2, above, it belongs to.
5. draw the structure of a simple example of each of the compound types listed in Objective 2.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- functional group

### STUDY NOTES

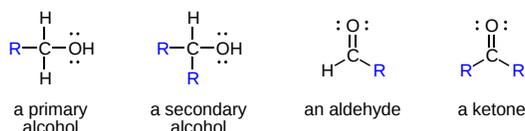
The concept of functional groups is a very important one. We expect that you will need to refer back to tables at the end of Section 3.1 quite frequently at first, as it is not really feasible to learn the names and structures of all the functional groups and compound types at one sitting. Gradually they will become familiar, and eventually you will recognize them automatically.

**Functional groups** are small groups of atoms that exhibit a characteristic reactivity. A particular functional group will almost always display its distinctive chemical behavior when it is present in a compound. Because of their importance in understanding organic chemistry, functional groups have specific names that often carry over in the naming of individual compounds incorporating the groups.

As we progress in our study of organic chemistry, it will become extremely important to be able to quickly recognize the most common functional groups, because they are the key structural elements that define how organic molecules react. For now, we will only worry about drawing and recognizing each functional group, as depicted by Lewis and line structures. Much of the remainder of your study of organic chemistry will be taken up with learning about how the different functional groups tend to behave in organic reactions.

### DRAWING ABBREVIATED ORGANIC STRUCTURES

Often when drawing organic structures, chemists find it convenient to use the letter 'R' to designate part of a molecule outside of the region of interest. If we just want to refer in general to a functional group without drawing a specific molecule, for example, we can use 'R groups' to focus attention on the group of interest:



The 'R' group is a convenient way to abbreviate the structures of large biological molecules, especially when we are interested in something that is occurring specifically at one location on the molecule.

### COMMON FUNCTIONAL GROUPS

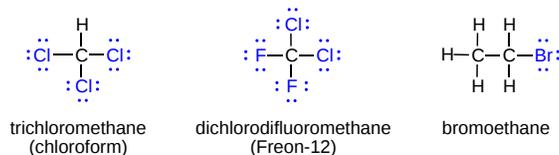
In the following sections, many of the common functional groups found in organic chemistry will be described. Tables of these functional groups can be found at the bottom of the page.

#### HYDROCARBONS

The simplest functional group in organic chemistry (which is often ignored when listing functional groups) is called an **alkane**, characterized by single bonds between two carbons and between carbon and hydrogen. Some examples of alkanes include methane, CH<sub>4</sub>, is the natural gas you may burn in your furnace or on a stove. Octane, C<sub>8</sub>H<sub>18</sub>, is a component of gasoline.

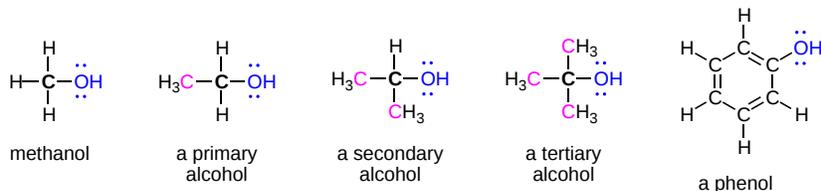


sprays until the late twentieth century, but its use was discontinued after it was found to have harmful effects on the ozone layer. Bromoethane is a simple alkyl halide often used in organic synthesis. Alkyl halides groups are quite rare in biomolecules.

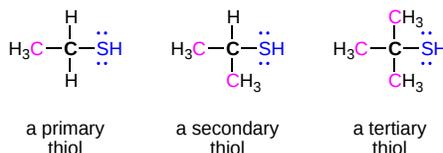


### ALCOHOLS AND THIOLS

In the **alcohol** functional group, a carbon is single-bonded to an OH group (the OH group, by itself, is referred to as a **hydroxyl**). Except for methanol, all alcohols can be classified as primary, secondary, or tertiary. In a **primary alcohol**, the carbon bonded to the OH group is also bonded to only one other carbon. In a **secondary alcohol** and **tertiary alcohol**, the carbon is bonded to two or three other carbons, respectively. When the hydroxyl group is *directly* attached to an aromatic ring, the resulting group is called a **phenol**.

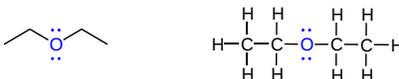


The sulfur analog of an alcohol is called a **thiol** (the prefix *thio*, derived from the Greek, refers to sulfur).

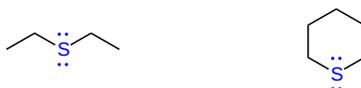


### ETHERS AND SULFIDES

In an **ether** functional group, a central oxygen is bonded to two carbons. Below are the line and Lewis structures of diethyl ether, a common laboratory solvent and also one of the first medical anaesthesia agents.

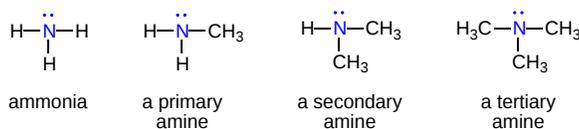


In **sulfides**, the oxygen atom of an ether has been replaced by a sulfur atom.

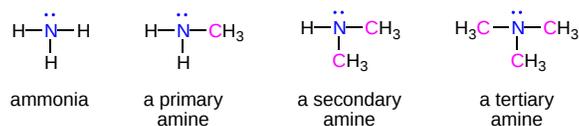


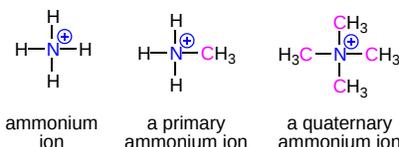
### AMINES

**Amines** are characterized by nitrogen atoms with single bonds to hydrogen and carbon. Just as there are primary, secondary, and tertiary alcohols, there are primary, secondary, and tertiary amines. Ammonia is a special case with no carbon atoms.



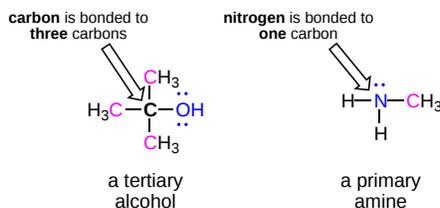
One of the most important properties of amines is that they are basic, and are readily protonated to form **ammonium** cations. In the case where a nitrogen has four bonds to carbon (which is somewhat unusual in biomolecules), it is called a quaternary ammonium ion.





### CAUTION

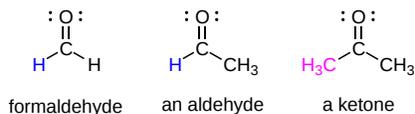
Do not be confused by how the terms 'primary', 'secondary', and 'tertiary' are applied to alcohols and amines - the definitions are different. In alcohols, what matters is how many other carbons the alcohol *carbon* is bonded to, while in amines, what matters is how many carbons the *nitrogen* is bonded to.



## CARBONYL CONTAINING FUNCTIONAL GROUPS

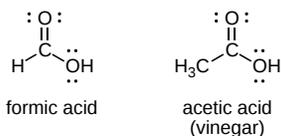
### ALDEHYDES AND KETONES

There are a number of functional groups that contain a carbon-oxygen double bond, which is commonly referred to as a **carbonyl**. **Ketones** and **aldehydes** are two closely related carbonyl-based functional groups that react in very similar ways. In a ketone, the carbon atom of a carbonyl is bonded to two other carbons. In an aldehyde, the carbonyl carbon is bonded on one side to a hydrogen, and on the other side to a carbon. The exception to this definition is formaldehyde, in which the carbonyl carbon has bonds to two hydrogens.

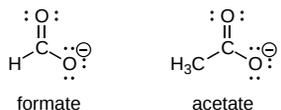


### CARBOXYLIC ACIDS AND ACID DERIVATIVES

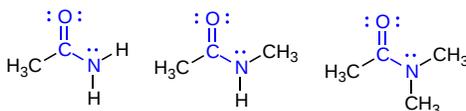
If a carbonyl carbon is bonded on one side to a carbon (or hydrogen) and on the other side to a **heteroatom** (in organic chemistry, this term generally refers to oxygen, nitrogen, sulfur, or one of the halogens), the functional group is considered to be one of the '**carboxylic acid derivatives**', a designation that describes a grouping of several functional groups. The eponymous member of this grouping is the **carboxylic acid** functional group, in which the carbonyl is bonded to a hydroxyl (OH) group.



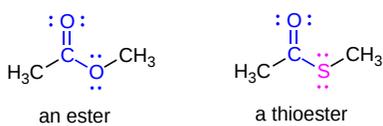
As the name implies, carboxylic acids are acidic, meaning that they are readily deprotonated to form the conjugate base form, called a **carboxylate** (much more about carboxylic acids in [Chapter 20](#)).



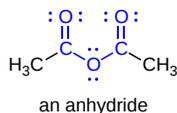
In **amides**, the carbonyl carbon is bonded to a nitrogen. The nitrogen in an amide can be bonded either to hydrogens, to carbons, or to both. Another way of thinking of an amide is that it is a carbonyl bonded to an amine.



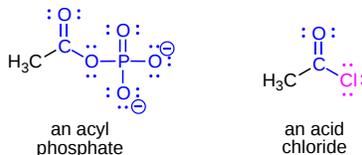
In **esters**, the carbonyl carbon is bonded to an oxygen which is itself bonded to another carbon. Another way of thinking of an ester is that it is a carbonyl bonded to an alcohol. **Thioesters** are similar to esters, except a sulfur is in place of the oxygen.



In an **acid anhydride**, there are two carbonyl carbons with an oxygen in between. An acid anhydride is formed from combination of two carboxylic acids with the loss of water (anhydride).

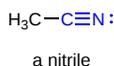


In an **acyl phosphate**, the carbonyl carbon is bonded to the oxygen of a phosphate, and in an **acid chloride**, the carbonyl carbon is bonded to a chlorine.

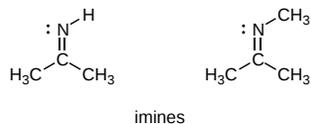


### NITRILES AND IMINES

In a **nitrile** group, a carbon is triple-bonded to a nitrogen. Nitriles are also often referred to as **cyano** groups.

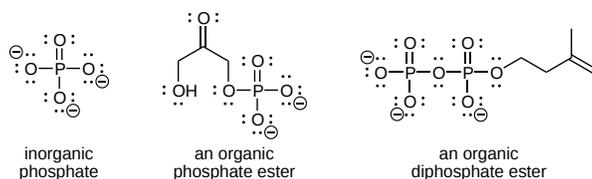


Molecules with carbon-nitrogen double bonds are called **imines**, or **Schiff bases**.

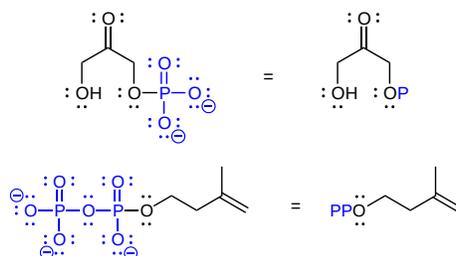


### PHOSPHATES

Phosphorus is a very important element in biological organic chemistry, and is found as the central atom in the **phosphate** group. Many biological organic molecules contain phosphate, diphosphate, and triphosphate groups, which are linked to a carbon atom by the **phosphate ester** functionality.

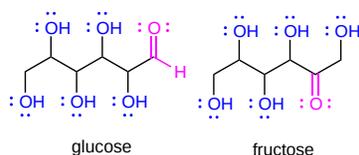


Because phosphates are so abundant in biological organic chemistry, it is convenient to depict them with the abbreviation 'P'. Notice that this 'P' abbreviation includes the oxygen atoms and negative charges associated with the phosphate groups.



### MOLECULES WITH MULTIPLE FUNCTIONAL GROUPS

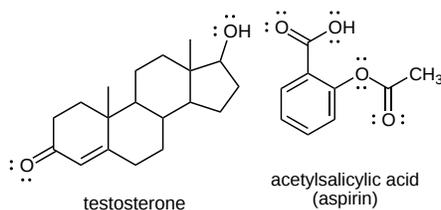
A single compound may contain several different functional groups. The six-carbon sugar molecules glucose and fructose, for example, contain aldehyde and ketone groups, respectively, and both contain five alcohol groups (a compound with several alcohol groups is often referred to as a 'polyol').



Capsaicin, the compound responsible for the heat in hot peppers, contains phenol, ether, amide, and alkene functional groups.



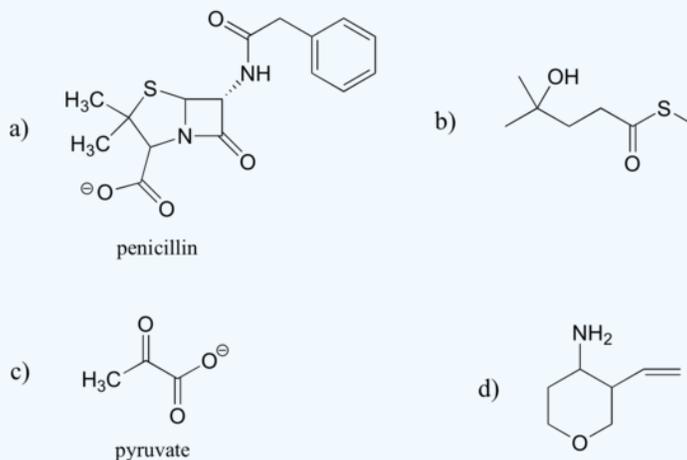
The male sex hormone testosterone contains ketone, alkene, and secondary alcohol groups, while acetylsalicylic acid (aspirin) contains aromatic, carboxylic acid, and ester groups.



While not in any way a complete list, this section has covered most of the important functional groups that we will encounter in biological and laboratory organic chemistry. The table found below provides a summary of all of the groups listed in this section, plus a few more that will be introduced later in the text.

### ? EXERCISE 3.1.1

Identify the functional groups in the following organic compounds. State whether alcohols and amines are primary, secondary, or tertiary.



#### Answer

- carboxylate, sulfide, aromatic, two amide groups (one of which is cyclic)
- tertiary alcohol, thioester
- carboxylate, ketone
- ether, primary amine, alkene

2: Draw one example each (there are many possible correct answers) of compounds fitting the descriptions below, using line structures. Be sure to designate the location of all non-zero formal charges. All atoms should have complete octets (phosphorus may exceed the octet rule).

- a compound with molecular formula  $C_6H_{11}NO$  that includes alkene, secondary amine, and primary alcohol functional groups
- an ion with molecular formula  $C_3H_5O_6P^{2-}$  that includes aldehyde, secondary alcohol, and phosphate functional groups.

c) A compound with molecular formula  $C_6H_9NO$  that has an amide functional group, and does *not* have an alkene group.

## FUNCTIONAL GROUP TABLES

### EXCLUSIVELY CARBON FUNCTIONAL GROUPS

Group Formula	Class Name	Specific Example	IUPAC Name	Common Name
	alkene	$H_2C=CH_2$	ethene	ethylene
	alkyne	$HC≡CH$	ethyne	acetylene
	arene	$C_6H_6$	benzene	benzene

### FUNCTIONAL GROUPS WITH SINGLE BONDS TO HETEROATOMS

Group Formula	Class Name	Specific Example	IUPAC Name	Common Name
	halide	$H_3C-I$	iodomethane	methyl iodide
	alcohol	$CH_3CH_2OH$	ethanol	ethyl alcohol
	ether	$CH_3CH_2OCH_2CH_3$	diethyl ether	ether
	amine	$H_3C-NH_2$	aminomethane	methylamine
	nitro compound	$H_3C-NO_2$	nitromethane	
	thiol	$H_3C-SH$	methanethiol	methyl mercaptan
	sulfide	$H_3C-S-CH_3$	dimethyl sulfide	

## Functional Groups with Multiple Bonds to Heteroatoms

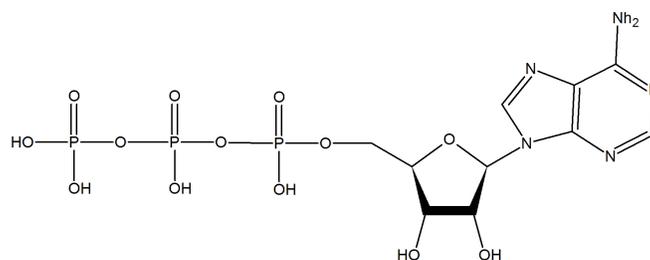
Group Formula	Class Name	Specific Example	IUPAC Name	Common Name
	nitrile	$H_3C-CN$	ethanenitrile	acetonitrile
	aldehyde	$H_3CCHO$	ethanal	acetaldehyde
	ketone	$H_3CCOCH_3$	propanone	acetone
	carboxylic acid	$H_3CCO_2H$	ethanoic Acid	acetic acid
	ester	$H_3CCO_2CH_2CH_3$	ethyl ethanoate	ethyl acetate
	acid halide	$H_3CCOCl$	ethanoyl chloride	acetyl chloride
	amide	$H_3CCON(CH_3)_2$	N,N-dimethylethanamide	N,N-dimethylacetamide
	acid Anhydride	$(H_3CCO)_2O$	ethanoic anhydride	acetic anhydride

## EXERCISES

### QUESTIONS

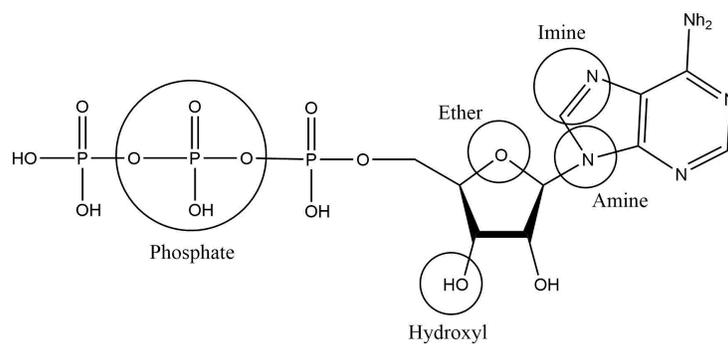
#### Q3.1.1

The following is the molecule for ATP, or the molecule responsible for energy in human cells. Identify the functional groups for ATP.



**SOLUTIONS**

**S3.1.1**



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## 3.2: ALKANES AND ALKANE ISOMERS

### OBJECTIVES

After completing this section, you should be able to

1. draw the Kekulé structure, condensed structure and shorthand structure of each of the first ten straight-chain alkanes.
2. name each of the first ten straight-chain alkanes, given its molecular formula, Kekulé structure, condensed structure or shorthand structure.
3. explain the difference in structure between a straight- and a branched-chain alkane, and illustrate the difference using a suitable example.
4. explain why the number of possible isomers for a given molecular formula increases as the number of carbon atoms increases.
5. draw all the possible isomers that correspond to a given molecular formula of the type  $C_n H_{2n+2}$ , where  $n \leq 7$ .

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- branched-chain alkane
- constitutional or structural isomer
- homologous series
- isomer
- saturated hydrocarbon
- straight-chain alkane (or normal alkane)

### STUDY NOTES

A series of compounds in which successive members differ from one another by a  $CH_2$  unit is called a homologous series. Thus, the series  $CH_4, C_2H_6, C_3H_8 \dots C_nH_{2n+2}$ , is an example of a homologous series.

It is important that you commit to memory the names of the first 10 straight-chain alkanes (i.e., from  $CH_4$  to  $C_{10}H_{22}$ ). You will use these names repeatedly when you begin to learn how to derive the systematic names of a large variety of organic compounds. You need not remember the number of isomers possible for alkanes containing more than seven carbon atoms. Such information is available in reference books when it is needed. When drawing isomers, be careful not to deceive yourself into thinking that you can draw more isomers than you are supposed to be able to. Remember that it is possible to draw each isomer in several different ways and you may inadvertently count the same isomer more than once.

Alkanes are organic compounds that consist entirely of single-bonded carbon and hydrogen atoms and lack any other functional groups. Alkanes are often called saturated hydrocarbons because they have the maximum possible number of hydrogens per carbon. In Section 1.7, the alkane molecule, ethane, was shown to contain a C-C sigma bond. By adding more C-C sigma bond larger and more complexed alkanes can be formed. Methane ( $CH_4$ ), ethane ( $C_2H_6$ ), and propane ( $C_3H_8$ ) are the beginning of a series of compounds in which any two members in a sequence differ by one carbon atom and two hydrogen atoms—namely, a  $CH_2$  unit. Any family of compounds in which adjacent members differ from each other by a definite factor (here a  $CH_2$  group) is called a homologous series. The members of such a series, called *homologs*, have properties that vary in a regular and predictable manner.

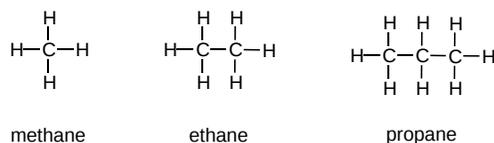
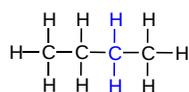
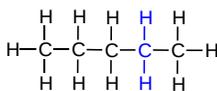


Figure 25.3.1 : The Three Simplest Alkanes

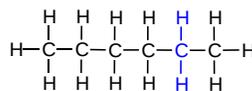
Methane ( $CH_4$ ), ethane ( $C_2H_6$ ), and propane ( $C_3H_8$ ) are the beginning of a series of compounds in which any two members in a sequence differ by one carbon atom and two hydrogen atoms—namely, a  $CH_2$  unit. Consider the series in Figure 25.3.3. The sequence starts with  $C_3H_8$ , and a  $CH_2$  unit is added in each step moving up the series. Any family of compounds in which adjacent members differ from each other by a definite factor (here a  $CH_2$  group) is called a homologous series. The members of such a series, called *homologs*, have properties that vary in a regular and predictable manner.



butane



pentane



hexane

Figure 25.3.2: Members of a Homologous Series. Each succeeding formula incorporates one carbon atom and two hydrogen atoms more than the previous formula.

The homologous series allows us to write a general formula for alkanes:  $C_nH_{2n+2}$ . Using this formula, we can write a molecular formula for any alkane with a given number of carbon atoms. For example, an alkane with eight carbon atoms has the molecular formula  $C_8H_{(2 \times 8) + 2} = C_8H_{18}$ .

## MOLECULAR FORMULAS

Alkanes are the simplest family of hydrocarbons - compounds containing carbon and hydrogen only. Alkanes only contain carbon-hydrogen bonds and carbon-carbon single bonds. The first six alkanes are as follows:

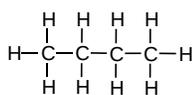
Table 3.2.1 : Molecular formulas for small alkanes

methane	$CH_4$
ethane	$C_2H_6$
propane	$C_3H_8$
butane	$C_4H_{10}$
pentane	$C_5H_{12}$
hexane	$C_6H_{14}$

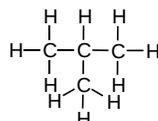
You can work out the formula of any of the alkanes using the general formula  $C_nH_{2n+2}$

## ISOMERISM

All of the alkanes containing 4 or more carbon atoms show structural isomerism, meaning that there are two or more different structural formulas that you can draw for each molecular formula. Isomers (from the Greek *isos* + *meros*, meaning "made of the same parts") are molecules that have the same molecular formula, but have a different arrangement of the atoms in space. Alkanes with 1-3 carbons, methane ( $CH_4$ ), ethane ( $C_2H_6$ ), and propane ( $C_3H_8$ ), do not exist in isomeric forms because there is only one way to arrange the atoms in each formula so that each carbon atom has four bonds. However,  $C_4H_{10}$ , has more than possible structure. The four carbons can be drawn in a row to form butane or the can branch to form isobutane. The two compounds have different properties—for example, butane boils at  $-0.5^\circ\text{C}$ , while isobutane boils at  $-11.7^\circ\text{C}$ .

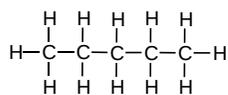


butane

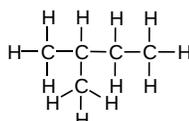


isobutane

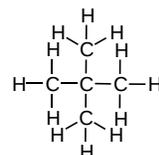
Likewise the molecular formula:  $C_5H_{12}$  has three possible isomer. The compound at the far left is pentane because it has all five carbon atoms in a continuous chain. The compound in the middle is isopentane; like isobutane, it has a one  $CH_3$  branch off the second carbon atom of the continuous chain. The compound at the far right, discovered after the other two, was named neopentane (from the Greek *neos*, meaning "new"). Although all three have the same molecular formula, they have different properties, including boiling points: pentane,  $36.1^\circ\text{C}$ ; isopentane,  $27.7^\circ\text{C}$ ; and neopentane,  $9.5^\circ\text{C}$ .



pentane



isopentane



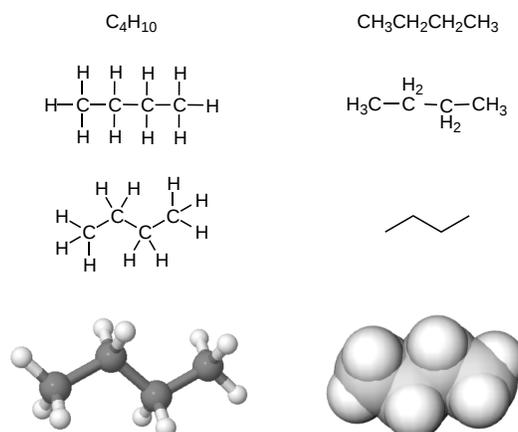
neopentane

Of the structures show above, butane and pentane are called **normal alkanes** or **straight-chain alkanes**, indicating that all contain a single continuous chain of carbon atoms and can be represented by a projection formula whose carbon atoms are in a straight line. The other structures, isobutane, isopentane, and neopentane are called branched-chain alkanes. As the number of carbons in an alkane increases the number of possible isomers also increases as shown in the table below.

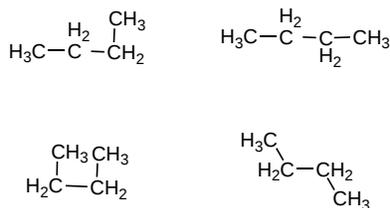
**Table 3.2.2: Number of isomers for hydrocarbons**

Molecular Formula	Number of Structural Isomers
CH <sub>4</sub>	1
C <sub>2</sub> H <sub>6</sub>	1
C <sub>3</sub> H <sub>8</sub>	1
C <sub>4</sub> H <sub>10</sub>	2
C <sub>5</sub> H <sub>12</sub>	3
C <sub>6</sub> H <sub>14</sub>	5
C <sub>7</sub> H <sub>16</sub>	9
C <sub>8</sub> H <sub>18</sub>	18
C <sub>9</sub> H <sub>20</sub>	35
C <sub>10</sub> H <sub>22</sub>	75
C <sub>14</sub> H <sub>30</sub>	1858
C <sub>18</sub> H <sub>38</sub>	60,523
C <sub>30</sub> H <sub>62</sub>	4,111,846,763

Alkanes can be represented in many different ways. The figure below shows some of the different ways straight-chain butane can be represented. Most often chemists refer to butane by the condensed structure CH<sub>3</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub> or *n*-C<sub>4</sub>H<sub>10</sub> where *n* denotes a normal straight alkane.



Note that many of these structures only imply bonding connections and do not indicate any particular geometry. The bottom two structures, referred to as "ball and stick" and "space filling" do show 3D geometry for butane. Because the four-carbon chain in butane may be bent in various ways the groups can rotate freely about the C–C bonds. However, this rotation does not change the identity of the compound. It is important to realize that bending a chain does *not* change the identity of the compound; all of the following represent the same compound, butane:



The nomenclature of straight alkanes is based on the number of carbon atoms they contain. The number of carbons are indicated by a prefix and the suffix -ane is added to indicate the molecules is an alkane. The prefix for three carbons is prop so adding -ane, the IUPAC name for C<sub>3</sub>H<sub>8</sub> is propane. Likewise, the prefix for six is hex so the name for the straight chain isomer of C<sub>6</sub>H<sub>14</sub> is called hexane. The first ten prefixes should be memorized, because these alkane names form the basis for naming many other organic compounds.

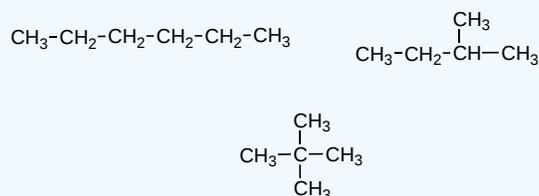
9.2.1" role="presentation" style="position:relative;" tabindex="0">

Table 3.2.3: The First 10 Straight-Chain Alkanes

Molecular Formula	Prefix	Condensed Structural Formula	Name
CH <sub>4</sub>	Meth	CH <sub>4</sub>	methane
C <sub>2</sub> H <sub>6</sub>	Eth	CH <sub>3</sub> CH <sub>3</sub>	ethane
C <sub>3</sub> H <sub>8</sub>	Prop	CH <sub>3</sub> CH <sub>2</sub> CH <sub>3</sub>	propane
C <sub>4</sub> H <sub>10</sub>	But	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	butane
C <sub>5</sub> H <sub>12</sub>	Pent	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	pentane
C <sub>6</sub> H <sub>14</sub>	Hex	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>4</sub> CH <sub>3</sub>	hexane
C <sub>7</sub> H <sub>16</sub>	Hept	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>5</sub> CH <sub>3</sub>	heptane
C <sub>8</sub> H <sub>18</sub>	Oct	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>6</sub> CH <sub>3</sub>	octane
C <sub>9</sub> H <sub>20</sub>	Non	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>7</sub> CH <sub>3</sub>	nonane
C <sub>10</sub> H <sub>22</sub>	Dec	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>8</sub> CH <sub>3</sub>	decane

### ✓ EXAMPLE 3.2.1: CHAIN ISOMERS IN PENTANE

Pentane, C<sub>5</sub>H<sub>12</sub>, has three chain isomers. If you think you can find any others, they are simply twisted versions of the ones below. If in doubt make some models.

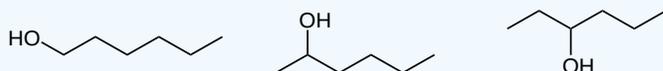


## EXERCISES

### ? EXERCISE 3.2.1

Draw all of the isomers for C<sub>6</sub>H<sub>14</sub>O that contain a 6 carbon chain and an alcohol (-OH) functional group.

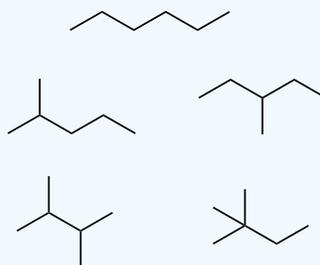
Answer



### ? EXERCISE 3.2.2

Draw all possible isomers for C<sub>6</sub>H<sub>14</sub> (There are five total).

Answer



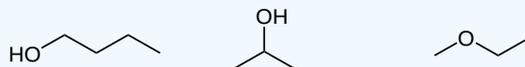
The top structure is when it is a 6 carbon chain. The middle row contains the 5 carbon chained isomers with branching at the 2<sup>nd</sup> and 3<sup>rd</sup> carbon. The bottom row contains the two 4 carbon chain isomers that can be drawn.

### ? EXERCISE 3.2.3

Draw all possible isomers for  $C_3H_8$ .

#### Answer

The first structure is when an alcohol comes off the first carbon. The second structure is when the alcohol is coming off the central carbon. The third structure is the only possible ether form of  $C_3H_8$ .

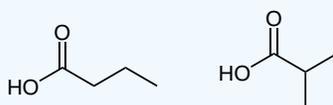


### ? EXERCISE 3.2.4

Draw all possible isomers for  $C_4H_8O_2$  that contain a carboxylic acid.

#### Answer

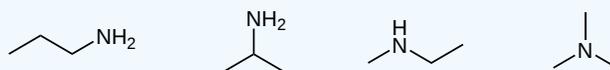
There are only 2 possibilities.



### ? EXERCISE 3.2.5

Draw all possible isomers for  $C_3H_9N$  and indicate whether each amine is primary, secondary, or tertiary.

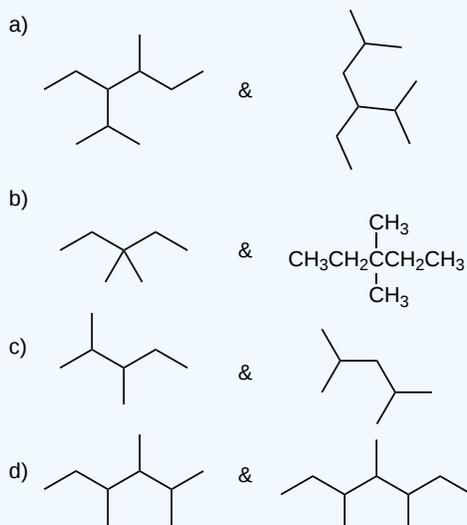
#### Answer



The first and second structures are primary amines. The third structure is a secondary amine. The last structure is a tertiary amine.

### ? EXERCISE 3.2.6

Indicate whether each of the following sets are constitutional isomers, the same compound, or different compounds.



#### Answer

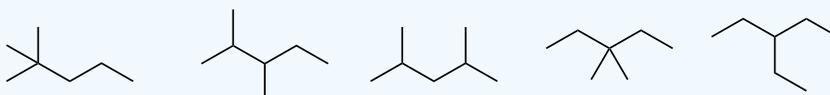
a) Both structures have formulas of  $C_{10}H_{22}$  and have different connectivity which makes these **constitutional isomers**.

- b) Both structures have formulas of  $C_7H_{16}$  and have the same connectivity which makes these the **same compound**.
- c) Both structure have formulas of  $C_7H_{16}$  and have different connectivity which makes these **constitutional isomers**.
- d) The structure on the left has a formula of  $C_9H_{20}$  and the structure on the right has a formula of  $C_{10}H_{22}$  so these are **different compounds**.

### ? EXERCISE 3.2.7

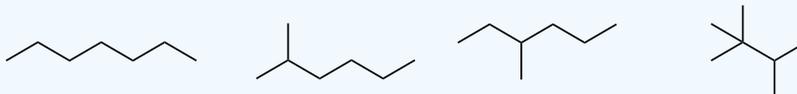
Draw the 5 constitutional isomers of  $C_7H_{16}$  (of the 9 total isomers possible) that have 5 carbons as the longest carbon chain length.

**Answer**



The 5 constitutional isomers with a 5 carbon chain length are shown above. Since there needs to be 7 carbons total, the 2 extra carbons are added as substituents. From left to right, the methyl group substitution pattern is 2,2, 2,3, 2,4, and 3,3, and the last one (on right) has a 3-ethyl substituent.

The other 4 possible constitutional isomers (with different length carbon chains) are shown below.



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### 3.3: ALKYL GROUPS

#### OBJECTIVES

After completing this section, you should be able to

1. recognize and name any alkyl group that can be considered to have been formed by the removal of a terminal hydrogen atom from a straight-chain alkane containing ten or fewer carbon atoms.
2. explain what is meant by a primary, secondary, tertiary or quaternary carbon atom.
3. represent the various types of organic compounds using the symbol "R" to represent any alkyl group.

#### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- alkyl group
- methyl group
- isopropyl group
- *sec*-butyl group
- isobutyl group
- *tert*-butyl group
- primary carbon
- secondary carbon
- tertiary carbon
- quaternary carbon

#### STUDY NOTES

The differences among primary, secondary, tertiary and quaternary carbon atoms are explained in the following discussion. A convenient way of memorizing this classification scheme is to remember that a primary carbon atom is attached directly to only one other carbon atom, a secondary carbon atom is attached directly to two carbon atoms, and so on.

The **IUPAC** system requires first that we have names for simple unbranched chains and second, that we have names for simple alkyl groups that may be attached to the chains. An **alkyl group** is formed by removing one hydrogen from the alkane chain. The removal of this hydrogen results in a stem change from **-ane** to **-yl** to indicate an alkyl group. The removal of a hydrogen from methane,  $\text{CH}_4$ , creates a methyl group  $-\text{CH}_3$ . Likewise, the removal of a hydrogen from ethane,  $\text{CH}_3\text{CH}_3$ , creates an ethyl group  $-\text{CH}_2\text{CH}_3$ . The nomenclature pattern can continue to provide a series of straight-chain alkyl groups from straight chain alkanes with a hydrogen removed from the end. Note, the letter **R** is used to designate a generic (unspecified) alkyl group.

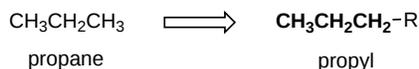
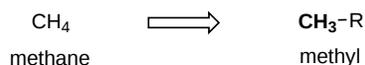


Table 3.3.2: Straight chain alkane and alkyl group names

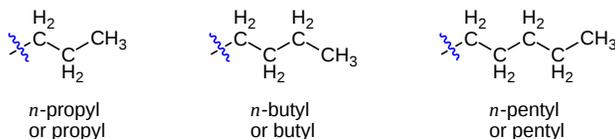
Alkane	Name	Alkyl Group	Name (Abbreviation)
$\text{CH}_4$	Methane	$-\text{CH}_3$	Methyl (Me)
$\text{CH}_3\text{CH}_3$	Ethane	$-\text{CH}_2\text{CH}_3$	Ethyl (Et)
$\text{CH}_3\text{CH}_2\text{CH}_3$	Propane	$-\text{CH}_2\text{CH}_2\text{CH}_3$	Propyl (Pr)
$\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_3$	Butane	$-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$	Butyl (Bu)
$\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$	Pentane	$-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$	Pentyl
$\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$	Hexane	$-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_3$	Hexyl

Prior to the systematic nomenclature developed for organic chemistry, prefixes were used to specify the connection point of straight-chain and branched-chain alkyl groups. Although the modern nomenclature system, discussed in the next section, is preferred these older terms are still often used, especially in solvents and reagents. Thus, an understanding of these prefixes is important to understanding organic

chemistry. Notice that the total number of carbons in the alkyl substituent is still indicated with the **prefix + yl**. For methyl and ethyl alkyl groups there is only one possible connection point so connection prefixes are not necessary. Starting with a three carbon alkyl group (propyl) the possibility of multiple connection points necessitates connection prefixes. These prefixes are often abbreviated with a letter which is italicized.

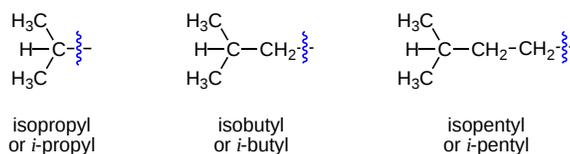
### NORMAL (N)

The prefix "n" is used to indicate a connection at the end of a straight-chain alkane. This prefix is not commonly used to just indicate alkyl substituent as discussed above. However it is sometime used to indicate the connection of a functional group onto a straight alkane.



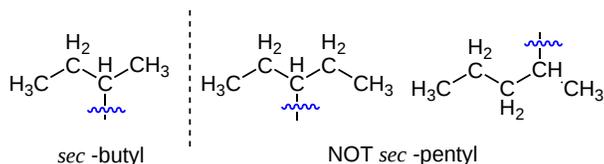
### ISO (I)

Starting with propyl alkyl groups there is the possibility of a connection other than the very end. The prefix "iso" implies that the connection ends with a (CH<sub>3</sub>)<sub>2</sub>CH- group.



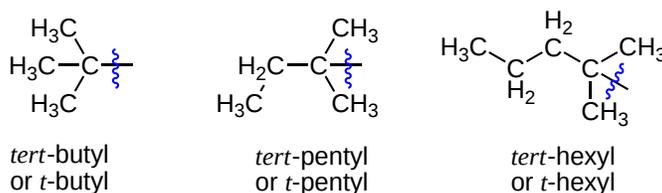
### SECONDARY (SEC)

With butyl straight-chain alkyl groups there is the possibility of a connection on the second carbon from the end of the chain. These alkyl groups are given the prefix "Sec." This is not used for pentyl or hexyl groups because there is more than one structure that are not identical that could be named as sec-pentyl or sec-hexyl.



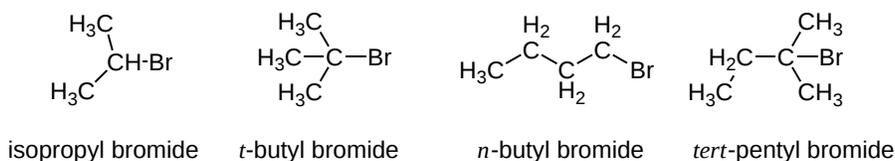
### TERTIARY (TERT OR T)

Starting with four carbon alkyl groups, there is an isomer which can have a connection to a tertiary carbon. These alkyl groups get the prefix "t."



### EXAMPLE:

The naming system described above is often used to describe halogens which contain only a few carbons. The halogen is shown as bonded to the connection point of the alkyl group.

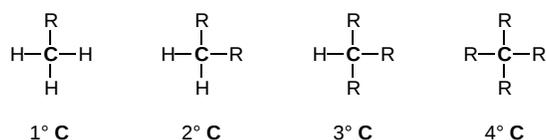


## CLASSIFICATION OF CARBON ATOMS

Carbons have a special terminology to describe how many other carbons they are attached to. This allows for an easy description of branching in alkanes. Also, we will find that the number of carbons attached to a given atom will have subtle effects on its chemistry.

- Primary carbons ( $1^\circ$ ) are attached to one other C atom.
- Secondary carbons ( $2^\circ$ ) are attached to two other C's.
- Tertiary carbons ( $3^\circ$ ) are attached to three other C's.
- Quaternary carbons ( $4^\circ$ ) are attached to four C's.

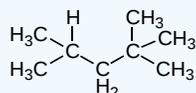
The figure below use the group "R" to represent an alkyl group of unspecified length. R typically used to represent alkyl groups but an also represent a part of a molecule which is either unspecified or not germane to the discussion.



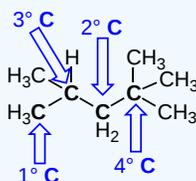
This terminology will be used repeatedly in organic chemistry to describe the number of carbons attached to a specific atom, however, the atom will not always a carbon.

### ✓ EXAMPLE 3.3.1

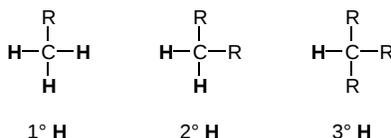
Please indicate the the number of  $1^\circ$ ,  $2^\circ$ ,  $3^\circ$ , and  $4^\circ$  carbons in the following molecule:



- The molecule has five Primary carbons ( $1^\circ$ ).
- The molecule has one Secondary carbon ( $2^\circ$ ).
- The molecule has one Tertiary carbon ( $3^\circ$ ).
- The molecule has one Quaternary carbon ( $4^\circ$ ).



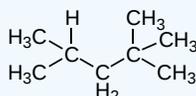
Hydrogen atoms are also classified in this manner. A hydrogen atom attached to a primary carbon atom is called a primary hydrogen ect.



- Primary hydrogens ( $1^\circ$ ) are attached to carbons bonded to one other C atom
- Secondary hydrogens ( $2^\circ$ ) are attached to carbons bonded to two other C's
- Tertiary hydrogens ( $3^\circ$ ) are attached to carbons bonded to three other C's
- It is not possible to have a quaternary hydrogen ( $4^\circ$ ).

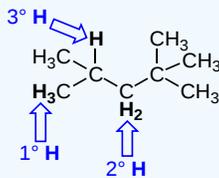
### ✓ EXAMPLE 3.3.2

Please indicate the the number of  $1^\circ$ ,  $2^\circ$ , and  $3^\circ$  hydrogens are in the following molecule:



- The molecule has fifteen Primary ( $1^\circ$ ) hydrogens.

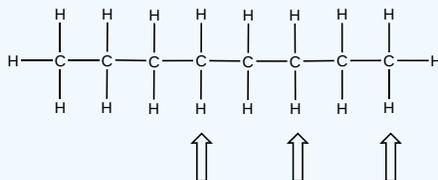
- The molecule has two Secondary ( $2^\circ$ ) hydrogens.
- The molecule has one Tertiary ( $3^\circ$ ) hydrogen.



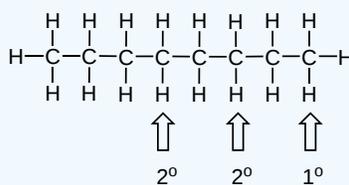
## EXERCISES

### ? EXERCISE 3.3.1

Determine whether the H's indicated in the following structure are  $1^\circ$ ,  $2^\circ$ , or  $3^\circ$ .

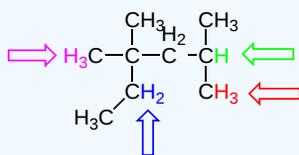


Answer

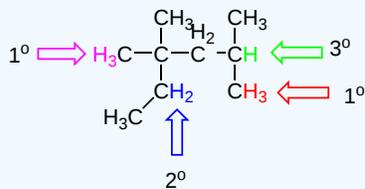


### ? EXERCISE 3.3.2

Determine whether the H's indicated in the following structure is  $1^\circ$ ,  $2^\circ$ , or  $3^\circ$ .

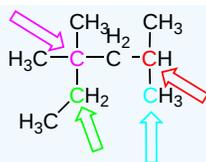


Answer

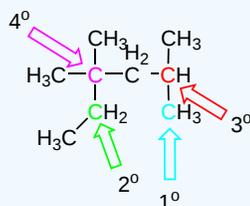


### ? EXERCISE 3.3.3

Determine whether the carbons indicated in the structure below are  $1^\circ$ ,  $2^\circ$ ,  $3^\circ$ , or  $4^\circ$ .

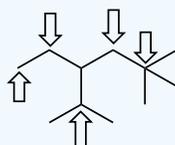


Answer

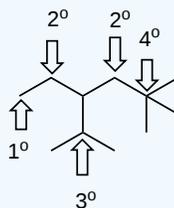


### ? EXERCISE 3.3.4

Determine whether the carbons indicated in the structure below are 1°, 2°, 3°, or 4°.

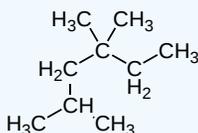


Answer



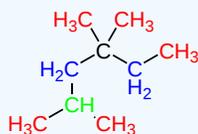
### ? EXERCISE 3.3.5

Please indicate the total number of each type 1°, 2°, 3°, and 4° carbons in the following molecule.



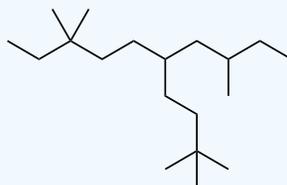
Answer

There are 5 primary (1°) C's, 2 secondary (2°) C's, 1 tertiary (3°) C and 1 quaternary (4°) C in the structure (seen color coded below).



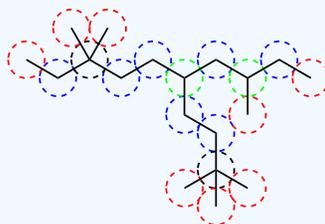
### ? EXERCISE 3.3.6

Please indicate the total number of each type 1°, 2°, 3°, and 4° carbons in the following molecule.



#### Answer

There are 8 primary (1°) C's, 7 secondary (2°) C's, 2 tertiary (3°) C's and 2 quaternary (4°) C's in the structure (seen color coded below).



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## 3.4: NAMING ALKANES

### OBJECTIVES

After completing this section, you should be able to

1. provide the correct IUPAC name for any given alkane structure (Kekulé, condensed or shorthand).
2. draw the Kekulé, condensed or shorthand structure of an alkane, given its IUPAC name.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- IUPAC system

### STUDY NOTES

The IUPAC system of nomenclature aims to ensure

1. that every organic compound has a unique, unambiguous name.
2. that the IUPAC name of any compound conveys the structure of that compound to a person familiar with the system.

One way of checking whether the name you have given to an alkane is reasonable is to count the number of carbon atoms implied by the chosen name. For example, if you named a compound 3-ethyl-4-methylheptane, you have indicated that the compound contains a total of 10 carbon atoms—seven carbon atoms in the main chain, two carbon atoms in an ethyl group, and one carbon atom in a methyl group. If you were to check the given structure and find 11 carbon atoms, you would know that you had made a mistake. Perhaps the name you should have written was 3-ethyl-4,4-dimethylheptane!

When naming alkanes, a common error of beginning students is a failure to pick out the longest carbon chain. For example, the correct name for the compound shown below is 3-methylheptane, not 2-ethylhexane.

Remember that every substituent must have a number, and do not forget the prefixes: di, tri, tetra, etc.

You must use commas to separate numbers, and hyphens to separate numbers and substituents. Notice that 3-methylhexane is one word.

Hydrocarbons having no double or triple bond functional groups are classified as **alkanes** or **cycloalkanes**, depending on whether the carbon atoms of the molecule are arranged only in chains or also in rings. Although these hydrocarbons have no functional groups, they constitute the framework on which functional groups are located in other classes of compounds, and provide an ideal starting point for studying and naming organic compounds. The alkanes and cycloalkanes are also members of a larger class of compounds referred to as **aliphatic**. Simply put, aliphatic compounds are compounds that do not incorporate any aromatic rings in their molecular structure.

The following table lists the IUPAC names assigned to simple continuous-chain alkanes from C-1 to C-10. A common "**ane**" suffix identifies these compounds as alkanes. Longer chain alkanes are well known, and their names may be found in many reference and text books. The names **methane** through **decane** should be memorized, since they constitute the root of many IUPAC names. Fortunately, common numerical prefixes are used in naming chains of five or more carbon atoms.

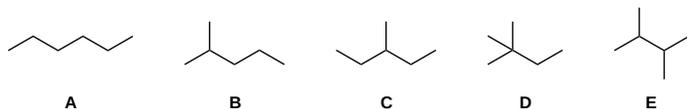
Table 3.4.1 : Simple Unbranched Alkanes

Name	Molecular Formula	Structural Formula	Isomers	Name	Molecular Formula	Structural Formula	Isomers
methane	CH <sub>4</sub>	CH <sub>4</sub>	1	hexane	C <sub>6</sub> H <sub>14</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>4</sub> CH <sub>3</sub>	5
ethane	C <sub>2</sub> H <sub>6</sub>	CH <sub>3</sub> CH <sub>3</sub>	1	heptane	C <sub>7</sub> H <sub>16</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>5</sub> CH <sub>3</sub>	9
propane	C <sub>3</sub> H <sub>8</sub>	CH <sub>3</sub> CH <sub>2</sub> CH <sub>3</sub>	1	octane	C <sub>8</sub> H <sub>18</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>6</sub> CH <sub>3</sub>	18
butane	C <sub>4</sub> H <sub>10</sub>	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	2	nonane	C <sub>9</sub> H <sub>20</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>7</sub> CH <sub>3</sub>	35
pentane	C <sub>5</sub> H <sub>12</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	3	decane	C <sub>10</sub> H <sub>22</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>8</sub> CH <sub>3</sub>	75

### SOME IMPORTANT BEHAVIOR TRENDS AND TERMINOLOGIES

1. The formulas and structures of these alkanes increase uniformly by a  $\text{CH}_2$  increment.
2. A uniform variation of this kind in a series of compounds is called **homologous**.
3. These formulas all fit the  $\text{C}_n\text{H}_{2n+2}$  rule. This is also the highest possible H/C ratio for a stable hydrocarbon.
4. Since the H/C ratio in these compounds is at a maximum, we call them **saturated** (with hydrogen).

Beginning with butane ( $\text{C}_4\text{H}_{10}$ ), and becoming more numerous with larger alkanes, we note the existence of alkane isomers. For example, there are five  $\text{C}_6\text{H}_{14}$  isomers, shown below as abbreviated line formulas (**A** through **E**):



Although these distinct compounds all have the same molecular formula, only one (**A**) can be called hexane. How then are we to name the others?

The **IUPAC** system requires first that we have names for simple unbranched chains, as noted above, and second that we have names for simple alkyl groups that may be attached to the chains. Examples of some common **alkyl groups** are given in the following table. Note that the "ane" suffix is replaced by "yl" in naming groups. The symbol **R** is used to designate a generic (unspecified) alkyl group.

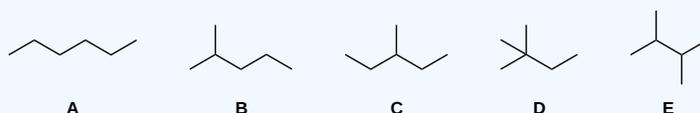
Table 3.4.2 : Alkyl Groups Names

Group	$\text{CH}_3-$	$\text{C}_2\text{H}_5-$	$\text{CH}_3\text{CH}_2\text{CH}_2-$	$(\text{CH}_3)_2\text{CH}-$	$\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2-$	$(\text{CH}_3)_2\text{CHCH}_2-$	$\text{CH}_3\text{CH}_2\text{CH}(\text{CH}_3)-$	$(\text{CH}_3)_3\text{C}-$	R-
Name	Methyl	Ethyl	Propyl	Isopropyl	Butyl	Isobutyl	sec-Butyl	tert-Butyl	Alkyl

## IUPAC RULES FOR ALKANE NOMENCLATURE

1. Find and name the longest continuous carbon chain.
2. Identify and name groups attached to this chain.
3. Number the chain consecutively, starting at the end nearest a substituent group.
4. Designate the location of each substituent group by an appropriate number and name.
5. Assemble the name, listing groups in alphabetical order.
6. The prefixes di, tri, tetra etc., used to designate several groups of the same kind, are not considered when alphabetizing.

### ✓ EXAMPLE 3.4.1 : ALKANES



The IUPAC names of the isomers of hexane are: **A** hexane **B** 2-methylpentane **C** 3-methylpentane **D** 2,2-dimethylbutane **E** 2,3-dimethylbutane

## HALOGEN GROUPS

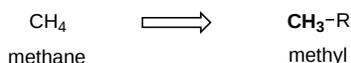
**Halogen substituents** are easily accommodated, using the names: fluoro (F-), chloro (Cl-), bromo (Br-) and iodo (I-).

### ✓ EXAMPLE 3.4.2 : HALOGEN SUBSTITUTION

For example,  $(\text{CH}_3)_2\text{CHCH}_2\text{CH}_2\text{Br}$  would be named 1-bromo-3-methylbutane. If the halogen is bonded to a simple alkyl group an alternative "alkyl halide" name may be used. Thus,  $\text{C}_2\text{H}_5\text{Cl}$  may be named chloroethane (no locator number is needed for a two carbon chain) or ethyl chloride.

## ALKYL GROUPS

**Alkanes** can be described by the general formula  $\text{C}_n\text{H}_{2n+2}$ . An alkyl group is formed by removing one hydrogen from the alkane chain and is described by the formula  $\text{C}_n\text{H}_{2n+1}$ . The removal of this hydrogen results in a stem change from **-ane** to **-yl**. Take a look at the following examples.



The same concept can be applied to any of the straight chain alkane names provided in the table below.

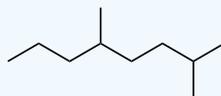
Name	Molecular Formula	Condensed Structural Formula
Methane	CH <sub>4</sub>	CH <sub>4</sub>
Ethane	C <sub>2</sub> H <sub>6</sub>	CH <sub>3</sub> CH <sub>3</sub>
Propane	C <sub>3</sub> H <sub>8</sub>	CH <sub>3</sub> CH <sub>2</sub> CH <sub>3</sub>
Butane	C <sub>4</sub> H <sub>10</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>
Pentane	C <sub>5</sub> H <sub>12</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>
Hexane	C <sub>6</sub> H <sub>14</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>4</sub> CH <sub>3</sub>
Heptane	C <sub>7</sub> H <sub>16</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>5</sub> CH <sub>3</sub>
Octane	C <sub>8</sub> H <sub>18</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>6</sub> CH <sub>3</sub>
Nonane	C <sub>9</sub> H <sub>20</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>7</sub> CH <sub>3</sub>
Decane	C <sub>10</sub> H <sub>22</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>8</sub> CH <sub>3</sub>
Undecane	C <sub>11</sub> H <sub>24</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>9</sub> CH <sub>3</sub>
Dodecane	C <sub>12</sub> H <sub>26</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>10</sub> CH <sub>3</sub>
Tridecane	C <sub>13</sub> H <sub>28</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>11</sub> CH <sub>3</sub>
Tetradecane	C <sub>14</sub> H <sub>30</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>12</sub> CH <sub>3</sub>
Pentadecane	C <sub>15</sub> H <sub>32</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>13</sub> CH <sub>3</sub>
Hexadecane	C <sub>16</sub> H <sub>34</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>14</sub> CH <sub>3</sub>
Heptadecane	C <sub>17</sub> H <sub>36</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>15</sub> CH <sub>3</sub>
Octadecane	C <sub>18</sub> H <sub>38</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>16</sub> CH <sub>3</sub>
Nonadecane	C <sub>19</sub> H <sub>40</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>17</sub> CH <sub>3</sub>
Eicosane	C <sub>20</sub> H <sub>42</sub>	CH <sub>3</sub> (CH <sub>2</sub> ) <sub>18</sub> CH <sub>3</sub>

### THREE RULES FOR NAMING ALKANES

1. Choose the longest, most substituted carbon chain containing a functional group.
2. A carbon bonded to a functional group must have the lowest possible carbon number. If there are no functional groups, then any substituent present must have the lowest possible number.
3. Take the alphabetical order into consideration; that is, after applying the first two rules given above, make sure that your substituents and/or functional groups are written in alphabetical order.

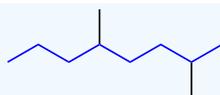
#### ✓ EXAMPLE 3.4.3

What is the name of the follow molecule?

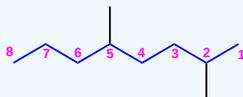


#### Solution

**Rule #1:** Choose the longest, most substituted carbon chain containing a functional group. This example does not contain any functional groups, so we only need to be concerned with choosing the longest, most substituted carbon chain. The longest carbon chain has been highlighted in blue and consists of eight carbons.



**Rule #2:** Carbons bonded to a functional group must have the lowest possible carbon number. If there are no functional groups, then any substitute present must have the lowest possible number. Because this example does not contain any functional groups, we only need to be concerned with the two substitutes present, that is, the two methyl groups. If we begin numbering the chain from the left, the methyls would be assigned the numbers 4 and 7, respectively. If we begin numbering the chain from the right, the methyls would be assigned the numbers 2 and 5. Therefore, to satisfy the second rule, numbering begins on the right side of the carbon chain as shown below. This gives the methyl groups the lowest possible numbering.

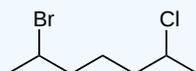


**Rule 3:** In this example, there is no need to utilize the third rule. Because the two substitutes are identical, neither takes alphabetical precedence with respect to numbering the carbons. This concept will become clearer in the following examples.

The name of this molecule is **2,5-dimethyloctane**

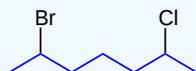
### ✓ EXAMPLE 3.4.4

What is the name of the follow molecule?



#### Solution

**Rule #1:** Choose the longest, most substituted carbon chain containing a functional group. This example contains two functional groups, bromine and chlorine. The longest carbon chain has been highlighted in blue and consists of seven carbons.



**Rule #2:** Carbons bonded to a functional group must have the lowest possible carbon number. If there are no functional groups, then any substituent present must have the lowest possible number. In this example, numbering the chain from either the left or the right would satisfy this rule. If we number the chain from the left, bromine and chlorine would be assigned the second and sixth carbon positions, respectively. If we number the chain from the right, chlorine would be assigned the second position and bromine would be assigned the sixth position. In other words, whether we choose to number from the left or right, the functional groups occupy the second and sixth positions in the chain. To select the correct numbering scheme, we need to utilize the third rule.



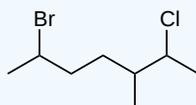
**Rule #3:** After applying the first two rules, take the alphabetical order into consideration. Alphabetically, bromine comes before chlorine. Therefore, bromine is assigned the second carbon position, and chlorine is assigned the sixth carbon position.



The name of this molecule is: **2-bromo-6-chloroheptane**

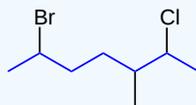
### ✓ EXAMPLE 3.4.5

What is the name of the follow molecule?

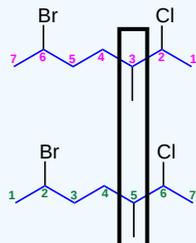


#### Solution

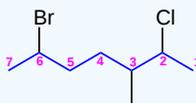
**Rule #1:** Choose the longest, most substituted carbon chain containing a functional group. This example contains two functional groups, bromine and chlorine, and one substitute, the methyl group. The longest carbon chain has been highlighted in blue and consists of seven carbons.



**Rule #2:** Carbons bonded to a functional group must have the lowest possible carbon number. After taking functional groups into consideration, any substitutes present must have the lowest possible carbon number. This particular example illustrates the **point of difference principle**. If we number the chain from the left, bromine, the methyl group and chlorine would occupy the second, fifth and sixth positions, respectively. This concept is illustrated in the second drawing below. If we number the chain from the right, chlorine, the methyl group and bromine would occupy the second, third and sixth positions, respectively, which is illustrated in the first drawing below. The position of the methyl, therefore, becomes a **point of difference**. In the first drawing, the methyl occupies the third position. In the second drawing, the methyl occupies the fifth position. To satisfy the second rule, we want to choose the numbering scheme that provides the lowest possible numbering of this substitute. Therefore, the first of the two carbon chains shown below is correct.



Therefore, the first numbering scheme is the appropriate one to use.



Once you have determined the correct numbering of the carbons, it is often useful to make a list, including the functional groups, substitutes, and the name of the parent chain.

**Rule #3:** After applying the first two rules, take the alphabetical order into consideration. Alphabetically, bromine comes before chlorine. Therefore, bromine is assigned the second carbon position, and chlorine is assigned the sixth carbon position.

Parent chain: heptane Substituents: 2-chloro 3-methyl 6-bromo

The name of this molecule is: **6-bromo-2-chloro-3-methylheptane**

## EXERCISES

### ? EXERCISE 3.4.1

Give the proper IUPAC names of the following compounds.

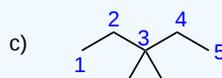
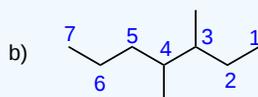
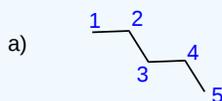
- a)
- b)
- c)

**Answer**

a) Since this structure is an unbranched alkane (all single bonds) with a 5 carbon chain length, its name would be **pentane**.

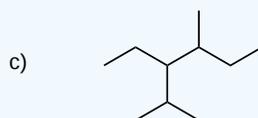
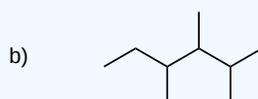
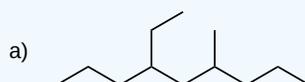
b) This alkane has a 7 carbon longest continuous chain length that we number from right to left to get the first methyl substituent we encounter to have the lowest possible number (3 versus being 4 if numbering from left to right). This causes it to have 2 methyl substituents at positions 3 & 4 so we would name it indicating those numbers and the prefix dimethyl which gives a proper IUPAC name of **3,4-dimethylheptane**.

c) This alkane has a 5 carbon longest continuous chain length (which could be numbered from left to right or right to left due to the symmetry at C-3). It has two methyl substituents off of C-3 so the proper IUPAC name is **3,3-dimethylpentane**.



### ? EXERCISE 3.4.2

Give the proper IUPAC names of the following compounds.

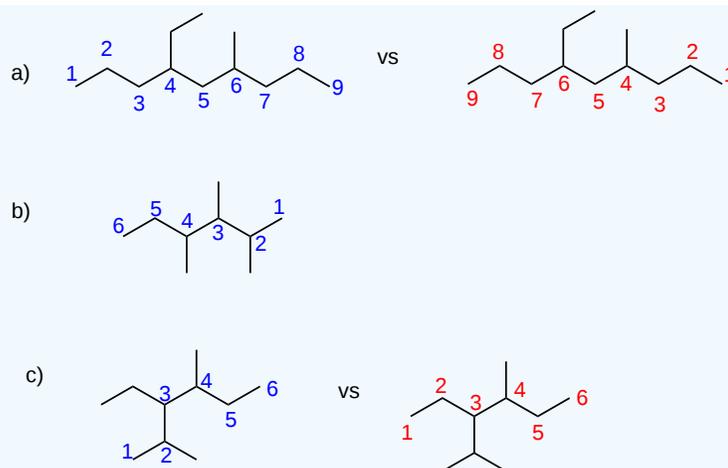


### Answer

a) This alkane has a 9 carbon longest continuous chain length that we number from left to right (structure on left numbered in blue) to make the ethyl substituent be number 4. For the structure on the right (numbered in red) going from right to left, the methyl substituent is number 4. Since ethyl is higher in the alphabetical order, you want to make it have the lower number so the structure on the left (blue numbering) takes priority and the name is **4-ethyl-6-methylnonane**.

b) This alkane has a 6 carbon longest continuous chain length that we number from right to left to make the first methyl be C-2 (versus the opposite direction which would make the first methyl C-3). Since there are 3 methyl substituents at positions 2,3, & 4, this compound would have the name **2,3,4-trimethylhexane**.

c) This 6 carbon alkane can be numbered along different chains (see below) as well as in the opposite directions. This shows the two different chains that can be drawn (making the first substituent in that chain the lowest number). The structure on the left (numbered in blue) is the correct choice since it causes more substituents to be on the longest continuous chain (3 vs 2 in the structure on the right). This would make the IUPAC name of the structure **3-ethyl-2,4-dimethylhexane**. (Notice how ethyl takes priority over methyl and the di- is not considered for alphabetizing.)



### ? EXERCISE 3.4.3

All of the following names represent a compound that has been named improperly. Draw out the structure from the name and give the proper IUPAC name for the compounds.

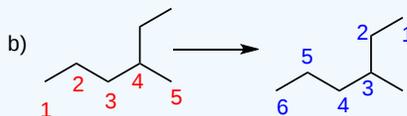
- 1,3-dimethylbutane
- 4-ethylpentane
- 2-ethyl-3-methylpentane

#### Answer

a) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right), the correct name should be **2-methylpentane**.



b) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right), notice that the longest chain is 6 C's and we start the numbering on the end to the right to make the methyl substituent come off at C-3 (instead of being at C-4 if we numbered it the opposite direction) the correct name should be **3-methyl hexane**.



c) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right), notice that the longest chain is 6 C's and since this molecule is symmetrical (between carbon 3 & 4), you can start the numbers from either end. In this case, we have methyl substituents coming off of carbons 3 & 4 so the proper name is **3,4-dimethyl hexane**.



### ? EXERCISE 3.4.4

All of the following names represent a compound that has been named improperly. Draw out the structure from the name and give the proper IUPAC name for the compounds.

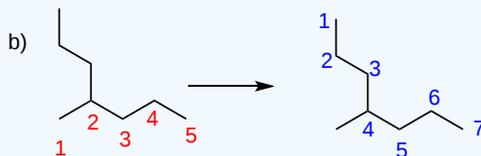
- 2,2-diethylheptane
- 2-propylpentane

c. 4,4-diethylbutane

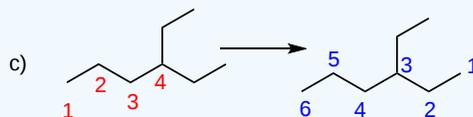
**Answer**

a) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right), notice that the longest chain is now 8 C's and you have an ethyl substituent at C-3 and a methyl substituent also at C-3 so the proper name is **3-ethyl-3-methyloctane**.

b) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right), notice that the longest chain is now 7 C's and since this molecule is symmetrical (at carbon 4), you can start the numbers from either end. There is a methyl substituent at C-4 so the proper name is **4-methylheptane**.



c) The structure that can be drawn for the improper name is shown below on the left. When you renumber it properly (structure on the right) going from right to left (to make the ethyl substituent have the lowest number possible), the correct name is **3-ethylhexane**



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## 3.5: PROPERTIES OF ALKANES

### OBJECTIVES

After completing this section, you should be able to

1. arrange a number of given straight-chain alkanes in order of increasing or decreasing boiling point or melting point.
2. arrange a series of isomeric alkanes in order of increasing or decreasing boiling point.
3. explain the difference in boiling points between a given number of alkanes.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- van der Waals force (also known as London Dispersion force)

Alkanes are not very reactive and have little biological activity; all alkanes are colorless and odorless non-polar compounds. The relative weak London dispersion forces of alkanes result in gaseous substances for short carbon chains, volatile liquids with densities around 0.7 g/mL for moderate carbon chains, and solids for long carbon chains. For molecules with the same functional groups, there is a direct relationship between the size and shape of molecules and the strength of the intermolecular forces (IMFs) causing the differences in the physical states.

### BOILING POINTS

Table 3.5.1 describes some of the properties of some straight-chain alkanes. There is not a significant [electronegativity](#) difference between carbon and hydrogen, thus, there is not any significant bond polarity. The molecules themselves also have very little polarity. A totally symmetrical molecule like methane is completely non-polar, meaning that the only attractions between one molecule and its neighbors will be [Van der Waals](#) dispersion forces. These forces will be very small for a molecule like methane but will increase as the molecules get bigger. Therefore, the boiling points of the alkanes increase with molecular size.

For isomers, the more branched the chain, the lower the boiling point tends to be. Van der Waals dispersion forces are smaller for shorter molecules and only operate over very short distances between one molecule and its neighbors. It is more difficult for short, fat molecules (with lots of branching) to lie as close together as long, thin molecules.

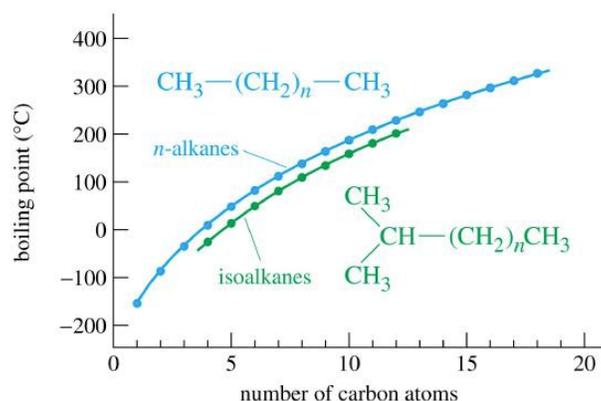
The boiling points shown are for the "straight chain" isomers of which there is more than one. The first four [alkanes](#) are gases at room temperature, and solids do not begin to appear until about  $C_{17}H_{36}$ , but this is imprecise because different isomers typically have different melting and boiling points.

Table 3.5.1: Physical Properties of Some Alkanes

Molecular Name	Formula	Melting Point (°C)	Boiling Point (°C)	Density (20°C)*	Physical State (at 20°C)
methane	CH <sub>4</sub>	-182	-164	0.668 g/L	gas
ethane	C <sub>2</sub> H <sub>6</sub>	-183	-89	1.265 g/L	gas
propane	C <sub>3</sub> H <sub>8</sub>	-190	-42	1.867 g/L	gas
butane	C <sub>4</sub> H <sub>10</sub>	-138	-1	2.493 g/L	gas
pentane	C <sub>5</sub> H <sub>12</sub>	-130	36	0.626 g/mL	liquid
hexane	C <sub>6</sub> H <sub>14</sub>	-95	69	0.659 g/mL	liquid
octane	C <sub>8</sub> H <sub>18</sub>	-57	125	0.703 g/mL	liquid
decane	C <sub>10</sub> H <sub>22</sub>	-30	174	0.730 g mL	liquid

\*Note the change in units going from gases (grams per liter) to liquids (grams per milliliter). Gas densities are at 1 atm pressure.

The boiling points for the "straight chain" isomers and isoalkanes isomers are shown to demonstrate that branching decreases the surfaces area, weakens the IMFs, and lowers the boiling point.



### ✓ EXAMPLE 3.5.1: BOILING POINTS OF ALKANES

For example, the boiling points of the three isomers of  $C_5H_{12}$  are:

- pentane: 309.2 K
- 2-methylbutane: 301.0 K
- 2,2-dimethylpropane: 282.6 K

The slightly higher boiling points for the cycloalkanes are presumably because the molecules can get closer together because the ring structure makes them better able!

### ? EXERCISE 3.5.1

For each of the following pairs of compounds, select the substance which you expect to have the higher boiling point:

- octane and nonane.
- octane and 2,2,3,3-tetramethylbutane.

#### Solution

- nonane, since it has more atoms it will have greater IMF
- octane, since it is not branched, the molecules can pack closer together increasing IMF

## SOLUBILITY

Alkanes are virtually insoluble in water, but dissolve in organic solvents. However, liquid alkanes are good solvents for many other non-ionic organic compounds.

### SOLUBILITY IN WATER

When a molecular substance dissolves in water, the following must occur:

- break the intermolecular forces within the substance. In the case of the alkanes, these are the Van der Waals dispersion forces.
- break the intermolecular forces in the water so that the substance can fit between the water molecules. In water, the primary intermolecular attractions are hydrogen bonds.

Breaking either of these attractions requires energy, although the amount of energy to break the Van der Waals dispersion forces in something like methane is relatively negligible; this is not true of the hydrogen bonds in water.

As something of a simplification, a substance will dissolve if there is enough energy released when new bonds are made between the substance and the water to compensate for what is used in breaking the original attractions. The only new attractions between the alkane and the water molecules are Van der Waals forces. These forces do not release a sufficient amount of energy to compensate for the energy required to break the hydrogen bonds in water. The alkane does not dissolve.

This is a simplification because entropic effects are important when things dissolve.

### SOLUBILITY IN ORGANIC SOLVENTS

In most organic solvents, the primary forces of attraction between the solvent molecules are [Van der Waals](#). Therefore, when an alkane dissolves in an organic solvent, the Van der Waals forces are broken and are replaced by new Van der Waals forces. The two processes more or less cancel each other out energetically; thus, there is no barrier to solubility.

### ? EXERCISE 3.5.1

For each of the following pairs of compounds, select the substance you expect to have the higher boiling point.

- octane and nonane.
- octane and 2,2,3,3-tetramethylbutane.

#### Answer

Nonane will have a higher boiling point than octane, because it has a longer carbon chain than octane. Octane will have a higher boiling point than 2,2,3,3-tetramethylbutane, because it branches less than 2,2,3,3-tetramethylbutane, and therefore has a larger “surface area” and more van der Waals forces.

**Note:** The actual boiling points are:

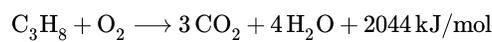
- nonane, 150.8°C
- octane, 125.7°C
- 2,2,3,3-tetramethylbutane, 106.5°C

## REACTIONS OF ALKANES

Alkanes undergo very few reactions. There are two important reactions that are still possible, combustion and halogenation. The halogenation reaction is very important in organic chemistry because it opens a gateway to further chemical reactions.

### COMBUSTION

Complete combustion (given sufficient oxygen) of any hydrocarbon produces carbon dioxide, water, and a significant amount of heat. Due to the exothermic nature of these combustion reactions, alkanes are commonly used as a fuel source (for example: propane for outdoor grills, butane for lighters). The hydrocarbons become harder to ignite as the molecules get bigger. This is because the larger molecules don't vaporize as easily. If the liquid is not very volatile, only those molecules on the surface can react with the oxygen. Larger molecules have greater Van der Waals attractions which makes it more difficult for them to break away from their neighbors and become a gas. An example combustion reaction is shown for propane:



### HALOGENATION

Halogenation is the replacement of one or more hydrogen atoms in an organic compound by a halogen (fluorine, chlorine, bromine or iodine). Unlike the complex transformations of combustion, the halogenation of an alkane appears to be a simple **substitution reaction** in which a C-H bond is broken and a new C-X bond is formed.

Since only two covalent bonds are broken (C-H & Cl-Cl) and two covalent bonds are formed (C-Cl & H-Cl), this reaction seems to be an ideal case for mechanistic investigation and speculation. However, one complication is that all the hydrogen atoms of an alkane may undergo substitution, resulting in a mixture of products, as shown in the following unbalanced equation. The relative amounts of the various products depend on the proportion of the two reactants used. In the case of methane, a large excess of the hydrocarbon favors formation of methyl chloride as the chief product; whereas, an excess of chlorine favors formation of chloroform and carbon tetrachloride.



### 📌 LOOKING CLOSER: AN ALKANE BASIS FOR PROPERTIES OF OTHER COMPOUNDS

An understanding of the physical properties of alkanes is important since petroleum and natural gas and the many products derived from them—gasoline, bottled gas, solvents, plastics, and more—are composed primarily of alkanes. This understanding is also vital because it is the basis for describing the properties of other organic and biological compound families. For example, large portions of the structures of lipids consist of nonpolar alkyl groups. Lipids include the dietary fats and fat like compounds called **phospholipids** and **sphingolipids** that serve as structural components of living tissues. These compounds have both polar and nonpolar groups, enabling them to bridge the gap between water-soluble and water-insoluble phases. This characteristic is essential for the selective permeability of cell membranes.



## 3.6: CONFORMATIONS OF ETHANE

### OBJECTIVES

After completing this section, you should be able to

1. explain the concept of free rotation about a carbon-carbon single bond.
2. explain the difference between conformational isomerism and structural isomerism.
3. draw the conformers of ethane using both sawhorse representation and Newman projection.
4. sketch a graph of energy versus bond rotation for ethane, and discuss the graph in terms of torsional strain.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- conformation (conformer, conformational isomer)
- dihedral angle
- eclipsed conformation
- Newman projection
- staggered conformation
- strain energy
- torsional strain (eclipsing strain)

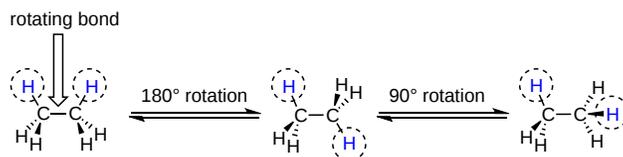
### STUDY NOTES

You should be prepared to sketch various conformers using both sawhorse representations and Newman projections. Each method has its own advantages, depending upon the circumstances. Notice that when drawing the Newman projection of the eclipsed conformation of ethane, you cannot clearly draw the rear hydrogens exactly behind the front ones. This is an inherent limitation associated with representing a 3-D structure in two dimensions.

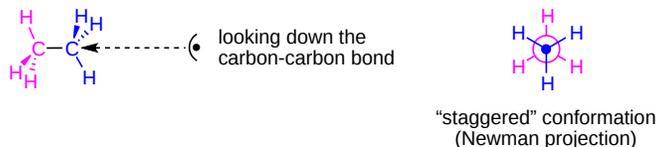
**Conformational isomerism** involves rotation about sigma bonds, and does not involve any differences in the connectivity of the atoms or geometry of bonding. Two or more structures that are categorized as conformational isomers, or **conformers**, are really just two of the exact same molecule that differ only in rotation of one or more sigma bonds.

### ETHANE CONFORMATIONS

Although there are seven sigma bonds in the ethane molecule, rotation about the six carbon-hydrogen bonds does not result in any change in the shape of the molecule because the hydrogen atoms are essentially spherical. Rotation about the carbon-carbon bond, however, results in many different possible molecular conformations.



In order to better visualize these different conformations, it is convenient to use a drawing convention called the **Newman projection**. In a Newman projection, we look lengthwise down a specific bond of interest – in this case, the carbon-carbon bond in ethane. We depict the ‘front’ atom as a dot, and the ‘back’ atom as a larger circle.



The six carbon-hydrogen bonds are shown as solid lines protruding from the two carbons at 120° angles, which is what the actual tetrahedral geometry looks like when viewed from this perspective and flattened into two dimensions.

**Figure 3.6.1:** A 3D Model of Staggered Ethane.

The lowest energy conformation of ethane, shown in the figure above, is called the ‘staggered’ conformation. In the staggered conformation, all of the C-H bonds on the front carbon are positioned at an angle of  $60^\circ$  relative to the C-H bonds on the back carbon. This angle between a sigma bond on the front carbon compared to a sigma bond on the back carbon is called the **dihedral angle**. In this conformation, the distance between the bonds (and the electrons in them) is maximized. Maximizing the distance between the electrons decreases the electrostatic repulsion between the electrons and results in a more stable structure.

If we now rotate the front  $\text{CH}_3$  group  $60^\circ$  clockwise, the molecule is in the highest energy ‘eclipsed’ conformation, and the hydrogens on the front carbon are as close as possible to the hydrogens on the back carbon.



This is the highest energy conformation because of unfavorable electrostatic repulsion between the electrons in the front and back C-H bonds. The energy of the eclipsed conformation is approximately 3 kcal/mol (12 kJ/mol) higher than that of the staggered conformation. **Torsional strain** (or eclipsing strain) is the name given to the energy difference caused by the increased electrostatic repulsion of eclipsing bonds.

Another  $60^\circ$  rotation returns the molecule to a second eclipsed conformation. This process can be continued all around the  $360^\circ$  circle, with three possible eclipsed conformations and three staggered conformations, in addition to an infinite number of variations in between. We will focus on the staggered and eclipsed conformers since they are, respectively, the lowest and highest energy conformers.

### UNHINDERED (FREE) ROTATIONS DO NOT EXIST IN ETHANE

The carbon-carbon bond is not *completely* free to rotate – the 3 kcal/mol torsional strain in ethane creates a barrier to rotation that must be overcome for the bond to rotate from one staggered conformation to another. This rotational barrier is not large enough to prevent rotation except at extremely cold temperatures. So at normal temperatures, the carbon-carbon bond is constantly rotating. However, at any given moment the molecule is more likely to be in a staggered conformation - one of the rotational ‘energy valleys’ - than in any other conformer. The potential energy associated with the various conformations of ethane varies with the dihedral angle of the bonds, as shown in Figure 3.6.2.

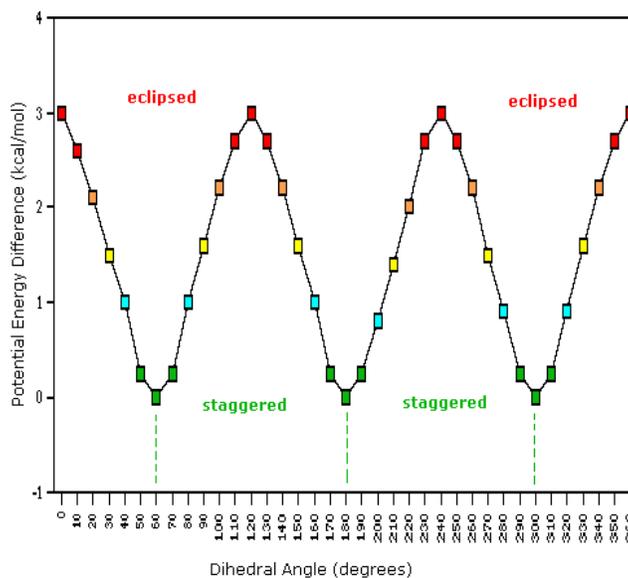


Figure 3.6.2: The potential energy associated with the various conformations of ethane varies with the dihedral angle of the bonds. Valleys in the graph represent the low energy staggered conformers, while peaks represent the higher energy eclipsed conformers.

Although the conformers of ethane are in rapid equilibrium with each other, the 3 kcal/mol energy difference leads to a substantial preponderance of staggered conformers (> 99.9%) at any given time. The animation below illustrates the relationship between ethane's potential energy and its dihedral angle

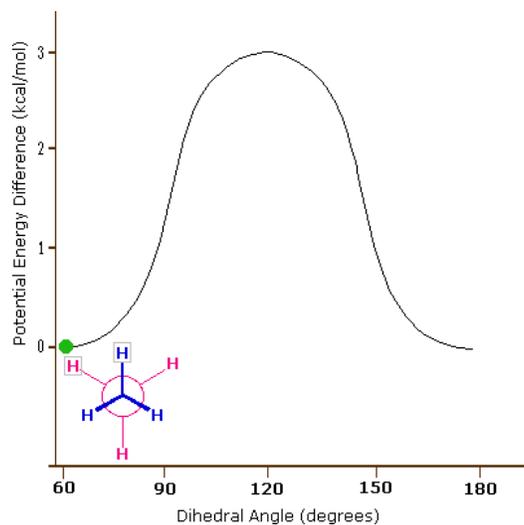


Figure 3.6.2: Animation of potential energy vs. dihedral angle in ethane

## EXERCISES

1) What is the most stable rotational conformation of ethane and explain why it is preferred over the other conformation?

## SOLUTIONS

1) Staggered, as there is less repulsion between the hydrogen atoms.

### QUESTIONS

#### Q3.6.1

What is the most stable rotational conformation of ethane and explain why it is preferred over the other conformation?

### SOLUTIONS

#### S3.6.1

Staggered, as there is less repulsion between the hydrogen atoms.

---

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## 3.7: CONFORMATIONS OF OTHER ALKANES

### OBJECTIVES

After completing this section, you should be able to

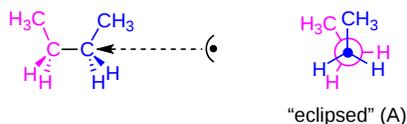
1. depict the staggered and eclipsed conformers of propane (or a similar compound) using sawhorse representations and Newman projections.
2. sketch a graph of energy versus bond rotation for propane (or a similar compound) and discuss the graph in terms of torsional strain.
3. depict the anti, gauche, eclipsed and fully eclipsed conformers of butane (or a similar compound), using sawhorse representations and Newman projections.
4. sketch a graph of energy versus C<sub>2</sub>-C<sub>3</sub> bond rotation for butane (or a similar compound), and discuss it in terms of torsional and steric repulsion.
5. assess which of two (or more) conformers of a given compound is likely to predominate at room temperature from a semi-quantitative knowledge of the energy costs of the interactions involved.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- anti conformation
- gauche conformation
- eclipsed conformation
- steric repulsion (strain)

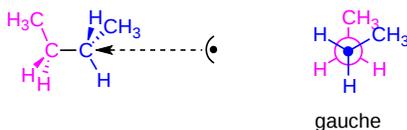
In butane, there are three rotating carbon-carbon sigma bonds to consider, but we will focus on the middle bond between C<sub>2</sub> and C<sub>3</sub>. Below are two representations of butane in a conformation which puts the two CH<sub>3</sub> groups (C<sub>1</sub> and C<sub>4</sub>) in the eclipsed position.



Eclipsed interaction	Energy (kcal/mol)	Energy (kJ/mol)
H-H	1.0	4.0
H-CH <sub>3</sub>	1.4	6.0
CH <sub>3</sub> -CH <sub>3</sub>	2.6	11.0

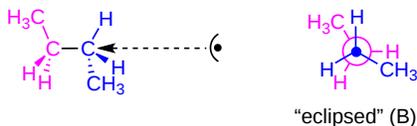
The CH<sub>3</sub>-CH<sub>3</sub> groups create the significantly larger eclipsed interaction of 11.0 kJ/mol. There are also two H-H eclipsed interactions at 4.0 kJ/mol each to create a total of 2(4.0 kJ/mol) + 11.0 kJ/mol = 19.0 kJ/mol of strain. This is the highest energy conformation for butane, due to torsional strain caused by the electrostatic repulsion of electrons in the eclipsed bonds, but also because of another type of strain called '**steric repulsion**', between the two rather bulky methyl groups. Steric strain comes about when two large groups, such as two methyl groups, try to occupy the same space. What results is a repulsive non-covalent interaction caused by their respective electron densities.

If we rotate the front, (blue) carbon by 60° clockwise, the butane molecule is now in a staggered conformation.

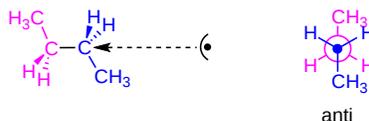


This is more specifically referred to as the '**gauche**' conformation of butane. Notice that although they are staggered, the two methyl groups are not as far apart as they could possibly be. There is still significant steric repulsion between the two bulky groups.

A further rotation of 60° gives us a second eclipsed conformation (B) in which both methyl groups are lined up with hydrogen atoms.



Due to steric repulsion between methyl and hydrogen substituents, this eclipsed conformation B is higher in energy than the gauche conformation. However, because there is no methyl-to-methyl eclipsing, it is lower in energy than eclipsed conformation A. One more 60° rotation produces the ‘anti’ conformation, where the two methyl groups are positioned opposite each other and steric repulsion is minimized.



The anti conformation is the lowest energy conformation for butane. The diagram below summarizes the relative energies for the various eclipsed, staggered, and gauche conformations.

Figure 3.7.1: A 3D Structure of the Anti Butane Conformer.

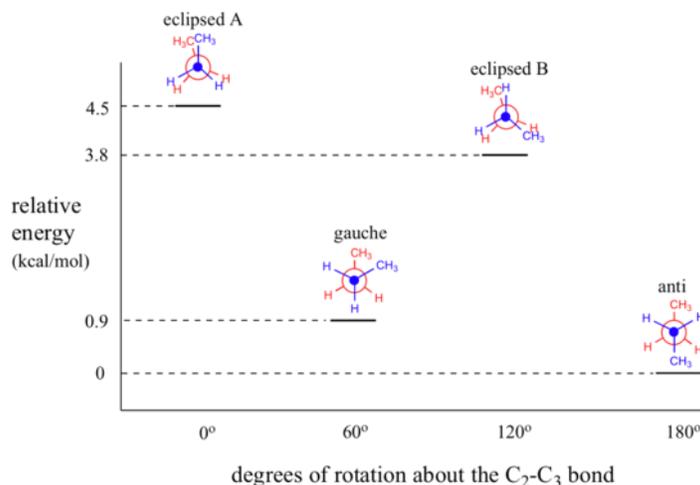


Figure 3.7.2: Potential curve vs dihedral angle of the C<sub>2</sub>-C<sub>3</sub> bond of butane.

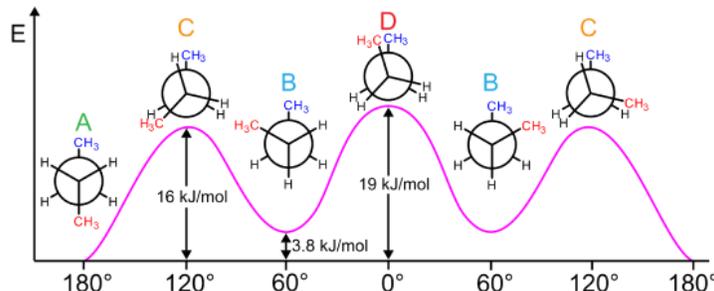
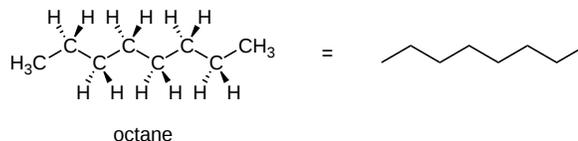


Figure 3.7.2: Newman projections of butane conformations & their relative energy differences (not total energies). Conformations form when butane rotates about one of its single covalent bond. Torsional/dihedral angle is shown on x-axis. Conformation names (according to IUPAC): A: anti-periplanar, anti or trans B: synclinal or gauche C: anticlinal or eclipsed D: syn-periplanar or cis. Source for conformation names & conformer classification: Pure & Appl. Chem., Vol. 68, No. 12, pp. 2193-2222, 1996. (Public Domain; Keministi).

At room temperature, butane is most likely to be in the lowest-energy anti conformation at any given moment in time, although the energy barrier between the anti and eclipsed conformations is not high enough to prevent constant rotation except at very low temperatures. For this reason (and also simply for ease of drawing), it is conventional to draw straight-chain alkanes in a zigzag form, which implies the anti conformation at all carbon-carbon bonds. For example octane is commonly drawn as:



## DRAWING NEWMAN PROJECTIONS

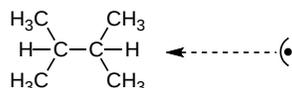
Newman projections are a valuable method for viewing the relative positions of groups within molecule. Being able to draw the Newman projection for a given molecule is a valuable skill and will be used repeatedly throughout organic chemistry. Because organic molecules often contain multiple carbon-carbon bonds it is important to precisely know which bond and which direction is being sighted for the

Newman projection. The details of the Newman projection change given the molecule but for typical alkanes a full conformational analysis involves a full  $360^\circ$  rotation in  $60^\circ$  increments. This will produce three staggered conformers and three eclipsed conformers. Typically, the staggered conformers are more stable and the eclipsed conformers are less stable. The least stable conformer will have the largest groups eclipsed while the most stable conformer will have the largest groups anti ( $180^\circ$ ) to each other.

### EXAMPLE

**Draw the Newman projection of 2,3 dimethylbutane along the  $C_2$ - $C_3$  bond. Then determine the least stable conformation.**

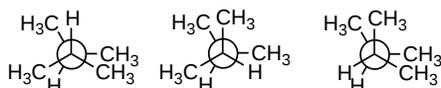
First draw the molecule and locate the indicated bond:



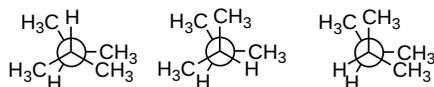
Because the question asks for the least stable conformation, focus on the three possible eclipsed Newman projections. Draw out three eclipsed Newman projections as a template. Because it is difficult to draw a true staggered Newman projection, it is common to show the bonds slightly askew.



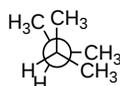
Place the substituents attached to the second carbon ( $C_3$ ) on the back bonds of all three Newman projections. In this example they are 2  $CH_3$ s and an H. Place the substituents in the same position on all three Newman projections.



Then place the substituents attached to the first carbon ( $C_2$ ) on the front bonds of the Newman projection. In this example, the substituents are also 2  $CH_3$ s and an H. Move the substituents through two  $60^\circ$  rotations to create the remaining two eclipsed Newman projections. Leave the substituents on the back carbon in place. Attempting to rotate the front and back carbons simultaneously is a common mistake and often leads to incorrect Newman projections.



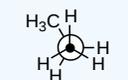
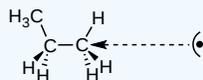
Compare the Newman projections by looking the eclipsed interactions. Remember that the order of torsional strain interactions are  $CH_3$ - $CH_3$  >  $CH_3$ -H > H-H. The third structure has two  $CH_3$ - $CH_3$  torsional interactions which will make it the least stable conformer of 2,3 dimethyl butane.



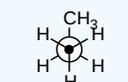
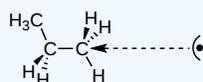
### ✓ EXAMPLE 3.7.1

Draw Newman projections of the eclipsed and staggered conformations of propane, as if viewed down the  $C_1$ - $C_2$  bond.

#### Answer



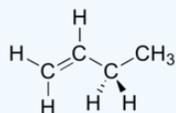
highest energy  
(eclipsed)



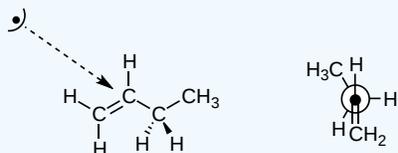
lowest energy  
(staggered)

✓ EXAMPLE 3.7.2

Draw a Newman projection, looking down the C<sub>2</sub>-C<sub>3</sub> bond, of 1-butene in the conformation shown below.



Answer



EXERCISES

QUESTIONS

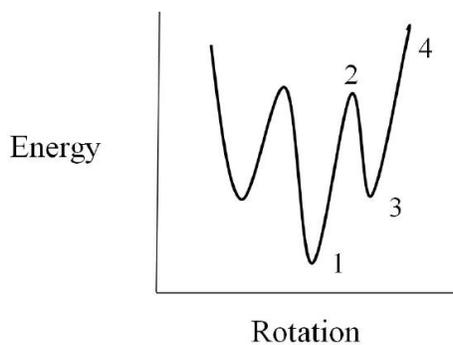
Q3.7.1

Draw the energy diagram for the rotation of the bond highlighted in pentane.

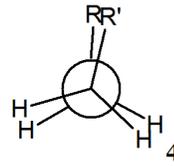
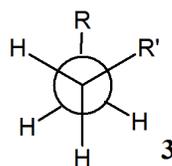
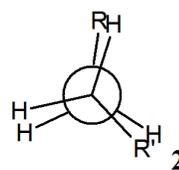
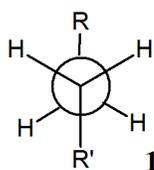


SOLUTIONS

S3.7.1



R=Methyl  
R'=Ethyl



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## 3.8: GASOLINE - A DEEPER LOOK

### OBJECTIVES

After completing this section, you should be able to

1. describe the general nature of petroleum deposits, and recognize why petroleum is such an important source of organic compounds.
2. explain, in general terms, the processes involved in the refining of petroleum.
3. define the octane number of a fuel, and relate octane number to chemical structure.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- catalytic cracking
- catalytic reforming
- fractional distillation
- octane number (octane rating)

### STUDY NOTES

The refining of petroleum into usable fractions is a very important industrial process. In the laboratory component of this course, you will have the opportunity to compare this industrial process to the distillation procedure as it is performed in the student laboratory.

## PETROLEUM

The petroleum that is pumped out of the ground is a complex mixture of several thousand organic compounds, including straight-chain alkanes, cycloalkanes, alkenes, and aromatic hydrocarbons with four to several hundred carbon atoms. The identities and relative abundance of the components vary depending on the source - Texas crude oil is somewhat different from Saudi Arabian crude oil. In fact, the analysis of petroleum from different deposits can produce a “fingerprint” of each, which is useful in tracking down the sources of spilled crude oil. For example, Texas crude oil is “sweet,” meaning that it contains a small amount of sulfur-containing molecules, whereas Saudi Arabian crude oil is “sour,” meaning that it contains a relatively large amount of sulfur-containing molecules.

## GASOLINE

Petroleum is converted to useful products such as gasoline in three steps: distillation, cracking, and reforming. Recall from Chapter 1 that distillation separates compounds on the basis of their relative volatility, which is usually inversely proportional to their boiling points. Part (a) in Figure 3.8.1 shows a cutaway drawing of a column used in the petroleum industry for separating the components of crude oil. The petroleum is heated to approximately 400°C (750°F) and becomes a mixture of liquid and vapor. This mixture, called the feedstock, is introduced into the refining tower. The most volatile components (those with the lowest boiling points) condense at the top of the column where it is cooler, while the less volatile components condense nearer the bottom. Some materials are so nonvolatile that they collect at the bottom without evaporating at all. Thus the composition of the liquid condensing at each level is different. These different fractions, each of which usually consists of a mixture of compounds with similar numbers of carbon atoms, are drawn off separately. Part (b) in Figure 3.8.1 shows the typical fractions collected at refineries, the number of carbon atoms they contain, their boiling points, and their ultimate uses. These products range from gases used in natural and bottled gas to liquids used in fuels and lubricants to gummy solids used as tar on roads and roofs.

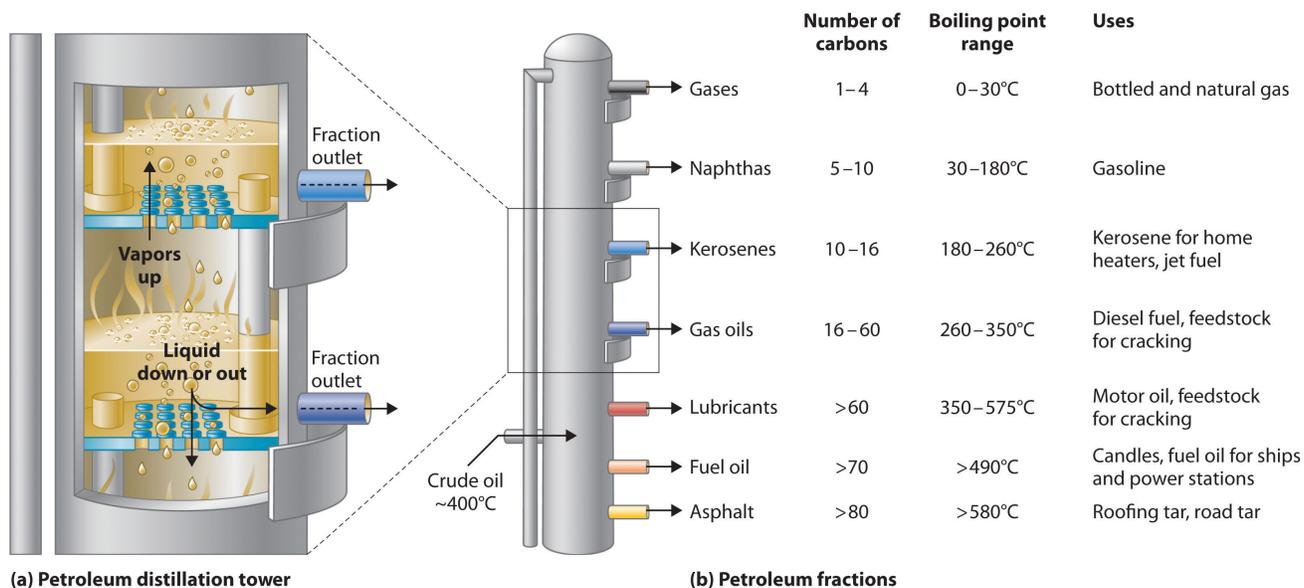


Figure 3.8.1: The Distillation of Petroleum. (a) This is a diagram of a distillation column used for separating petroleum fractions. (b) Petroleum fractions condense at different temperatures, depending on the number of carbon atoms in the molecules, and are drawn off from the column. The most volatile components (those with the lowest boiling points) condense at the top of the column, and the least volatile (those with the highest boiling points) condense at the bottom. (CC BY-NC-SA; anonymous)

The economics of petroleum refining are complex. For example, the market demand for kerosene and lubricants is much lower than the demand for gasoline, yet all three fractions are obtained from the distillation column in comparable amounts. Furthermore, most gasolines and jet fuels are blends with very carefully controlled compositions that cannot vary as their original feedstocks did. To make petroleum refining more profitable, the less volatile, lower-value fractions are converted to more volatile, higher-value mixtures that have carefully controlled formulas. The first process used to accomplish this transformation is cracking, in which the larger and heavier hydrocarbons in the kerosene and higher-boiling-point fractions are heated to temperatures as high as 900°C. High-temperature reactions cause the carbon-carbon bonds to break, which converts the compounds to lighter molecules similar to those in the gasoline fraction. Thus in cracking, a straight-chain alkane with a number of carbon atoms corresponding to the kerosene fraction is converted to a mixture of hydrocarbons with a number of carbon atoms corresponding to the lighter gasoline fraction. The second process used to increase the amount of valuable products is called reforming; it is the chemical conversion of straight-chain alkanes to either branched-chain alkanes or mixtures of aromatic hydrocarbons. Using metals such as platinum brings about the necessary chemical reactions. The mixtures of products obtained from cracking and reforming are separated by fractional distillation.

## OCTANE RATINGS

The quality of a fuel is indicated by its octane rating, which is a measure of its ability to burn in a combustion engine without knocking or pinging. Knocking and pinging signal premature combustion (Figure 3.8.2), which can be caused either by an engine malfunction or by a fuel that burns too fast. In either case, the gasoline-air mixture detonates at the wrong point in the engine cycle, which reduces the power output and can damage valves, pistons, bearings, and other engine components. The various gasoline formulations are designed to provide the mix of hydrocarbons least likely to cause knocking or pinging in a given type of engine performing at a particular level.

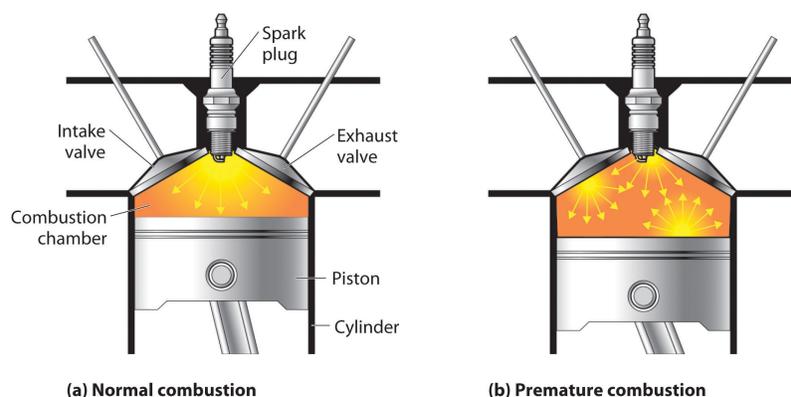


Figure 3.8.2: The Burning of Gasoline in an Internal Combustion Engine. (a) Normally, fuel is ignited by the spark plug, and combustion spreads uniformly outward. (b) Gasoline with an octane rating that is too low for the engine can ignite prematurely, resulting in uneven burning that causes knocking and pinging. (CC BY-NC-SA; anonymous)

The octane scale was established in 1927 using a standard test engine and two pure compounds: *n*-heptane and isooctane (2,2,4-trimethylpentane). *n*-Heptane, which causes a great deal of knocking on combustion, was assigned an octane rating of 0, whereas isooctane, a very smooth-burning fuel, was assigned an octane rating of 100. Chemists assign octane ratings to different blends of gasoline by burning a sample of each in a test engine and comparing the observed knocking with the amount of knocking caused by specific mixtures of *n*-heptane and isooctane. For example, the octane rating of a blend of 89% isooctane and 11% *n*-heptane is simply the average of the octane ratings of the components weighted by the relative amounts of each in the blend. Converting percentages to decimals, we obtain the octane rating of the mixture:

$$0.89(100) + 0.11(0) = 89 \quad (3.8.1)$$

As shown in Table 3.8.1, many compounds that are now available have octane ratings greater than 100, which means they are better fuels than pure isooctane. In addition, anti-knock agents, also called octane enhancers, have been developed. One of the most widely used for many years was **tetraethyl lead** [(C<sub>2</sub>H<sub>5</sub>)<sub>4</sub>Pb], which at approximately 3 g/gal gives a 10–15-point increase in octane rating. Since 1975, however, lead compounds have been phased out as gasoline additives because they are highly toxic. Other enhancers, such as methyl *t*-butyl ether (MTBE), have been developed to take their place. They combine a high octane rating with minimal corrosion to engine and fuel system parts. Unfortunately, when gasoline containing MTBE leaks from underground storage tanks, the result has been contamination of the groundwater in some locations, resulting in limitations or outright bans on the use of MTBE in certain areas. As a result, the use of alternative octane enhancers such as ethanol, which can be obtained from renewable resources such as corn, sugar cane, and, eventually, corn stalks and grasses, is increasing.

Table 3.8.1: The Octane Ratings of Some Hydrocarbons and Common Additives

Name	Condensed Structural Formula	Octane Rating	Name	Condensed Structural Formula	Octane Rating
<i>n</i> -heptane	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	0	<i>o</i> -xylene	<a href="#">skeletal structure of o-xylene.cdxml</a>	107
<i>n</i> -hexane	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	25	ethanol	CH <sub>3</sub> CH <sub>2</sub> OH	108
<i>n</i> -pentane	CH <sub>3</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>2</sub> CH <sub>3</sub>	62	<i>t</i> -butyl alcohol	(CH <sub>3</sub> ) <sub>3</sub> COH	113
isooctane	(CH <sub>3</sub> ) <sub>3</sub> CCH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	100	<i>p</i> -xylene		116
benzene		106	methyl <i>t</i> -butyl ether	H <sub>3</sub> COC(CH <sub>3</sub> ) <sub>3</sub>	116
methanol	CH <sub>3</sub> OH	107	toluene		118

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## 3.S: ORGANIC COMPOUNDS- ALKANES AND THEIR STEREOCHEMISTRY (SUMMARY)

### CONCEPTS & VOCABULARY

#### 3.1: Functional Groups

- **Functional groups** are atoms or small groups of atoms (two to four) that exhibit a characteristic reactivity.
- Functional groups have characteristic names that often carry over into the naming of compounds.
- The most common organic functional groups that will be encountered in this course are: alkanes, alkenes, alkynes, arenes, (alkyl and aryl) halides, alcohols, ethers, aldehydes, ketones, esters, carboxylic acids, acid chlorides, amides, amines, nitriles, nitro compounds, sulfides and sulfoxides.

#### 3.2: Alkanes and Alkane Isomers

- Hydrocarbons are a common class of organic molecules that contain only carbon and hydrogen atoms.
- Alkanes are one type of hydrocarbon that contains only carbon-carbon and carbon hydrogen single bonds.
- Straight chain and branched alkanes have the generic formula  $C_nH_{2n+2}$ , where  $n$  is equal to the number of carbons. Cycloalkanes have the generic formula  $C_nH_{2n}$ .
- Structural isomers are molecules with the same molecular formula, but different structures.

#### 3.3: Alkyl Groups

- **Alkyl groups** are small hydrocarbon chains attached to the parent alkane chain. The names of alkyl groups use the same prefixes to indicate the number of carbons (meth-, eth-, etc.), but use "-yl" as the ending, instead of "-ane".

#### 3.4: Naming Alkanes

- The IUPAC System of nomenclature provides a set of rules for assigning every molecule a unique name.

#### 3.5: Properties of Alkanes

- The boiling point of an alkane depends upon molecular weight and number of branches in the chain. Boiling points tend to increase with increasing molecular weight. Boiling points tend to decrease within a set of isomers as the number of branches increases.
- Alkanes and cycloalkanes are generally more soluble in organic solvents than in water.

#### 3.6: Conformations of Ethane

- Rotation about the carbon-carbon sigma bonds in ethane results in different **rotational isomers** (also known as **conformational isomers** or **conformers**). **Newman projections** are a very common way of depicting conformers.
- The two most common conformers of ethane are called **staggered** and **eclipsed**. The staggered conformer is lower in energy (more stable) than the eclipsed conformer, because it has less **torsional strain**.

#### 3.7: Conformations of Other Alkanes

- Alkanes more complex than ethane, will have a greater variety of possible conformers. The **anti** and **gauche** conformers of butane are specific types of staggered conformations.

#### 3.8: Gasoline - A Deeper Look

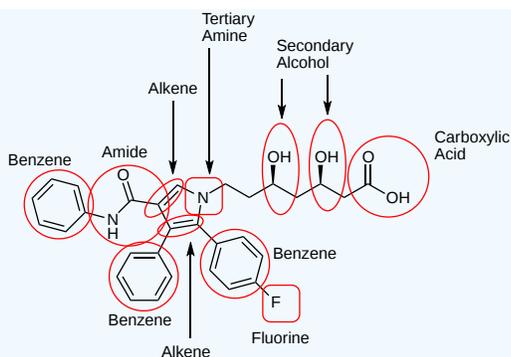
### SUMMARY PROBLEMS

#### ? EXERCISE 3.S.1

Atorvastatin (Lipitor) is a synthetic pharmaceutical marketed by Pfizer for lowering blood cholesterol and is one of the top selling drugs in the world. Circle and label all functional groups in Lipitor. (Be sure to label any amines or alcohols as primary, secondary, or tertiary.)

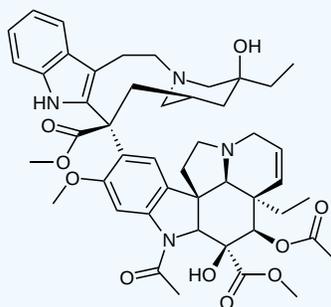


Answer

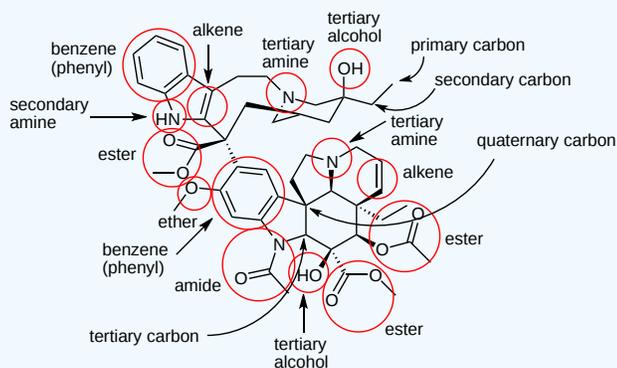


### ? EXERCISE 3.S.2

Vincristine is a natural product that was originally isolated in 1958 and has been studied as an anticancer agent. Answer the following questions related to this molecule. a) Circle and label all functional groups. (Be sure to label any amines or alcohols as primary, secondary, or tertiary.) b) Clearly label one primary carbon, one secondary carbon, one tertiary carbon, and one quaternary carbon.

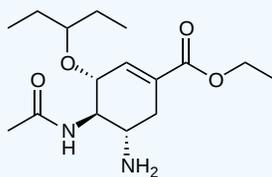


Answer

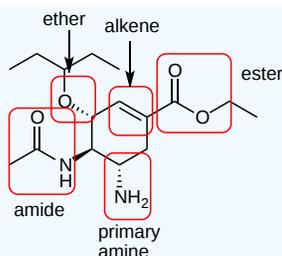


### ? EXERCISE 3.S.3

Tamiflu (oseltamivir) is an anti-influenza medication that was discovered by Gilead Sciences and is marketed by the pharmaceutical company Genetech. Circle and label all functional groups in Tamiflu. (Be sure to label any amines or alcohols as primary, secondary, or tertiary.)



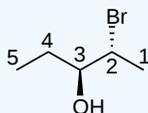
Answer



Notes: Alkanes are not functional groups, so there is no reason to circle groups like methyl or ethyl. (They are structural fragments.) Also, this molecule does not contain an aromatic ring. This is a cyclohexene ring. An aromatic ring is benzene and requires three double bonds in a six-membered ring.

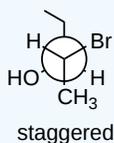
### ? EXERCISE 3.S.4

For the following structure, a) Draw a Newman projection looking down the C2-C3 bond. (C2 should be in front.) b) Rotate the C2-C3 bond by 180 degrees (keep 3, 4, and 5 in the same orientation as the original structure). Draw the new skeletal structure and the new Newman projection looking down the C2-C3 bond. c) Label the Newman projections from parts a and b as staggered or eclipsed.

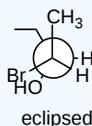
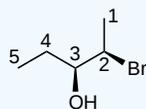


Answer

a)



b)



### SKILLS TO MASTER

- Skill 3.1 Identify the following functional groups that are present in a given organic molecule: alkanes, alkenes, alkynes, arenes, (alkyl and aryl) halides, alcohols, ethers, aldehydes, ketones, esters, carboxylic acids, acid chlorides, amides, amines, nitriles, and nitro compounds.
- Skill 3.2 Name and draw structures of straight chain alkanes up to ten carbons in length.
- Skill 3.3 Name and draw structures for all the structural isomers of a given molecular formula.
- Skill 3.4 Identify methyl, primary, secondary, tertiary, and quaternary carbons in organic structures.
- Skill 3.5 Provide the IUPAC name of any given alkane or cycloalkane structure.
- Skill 3.6 Draw the structure of an alkane or cycloalkane given its IUPAC name.
- Skill 3.7 Arrange a series of alkanes in order of increasing or decreasing boiling point.
- Skill 3.8 Be able to draw Newman Projections of different conformers of alkanes.
- Skill 3.9 be able evaluate a conformer in terms of torsional and steric strain.
- Skill 3.10 Be able to identify the staggered, eclipsed, anti and gauche conformers of alkanes and to order them with respect to relative energy.

## MEMORIZATION TASKS (MT)

- MT 3.1 Memorize the name and structure of each of the common functional groups listed in Skill 3.1.
- MT 3.2 Memorize the names and be able to draw the first ten straight chain alkanes.
- MT 3.3 Memorize the structures and common names of the alkyl substituent groups - isopropyl, *sec*-butyl, isobutyl,, and *tert*-butyl.

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## CHAPTER OVERVIEW

### 4: ORGANIC COMPOUNDS - CYCLOALKANES AND THEIR STEREOCHEMISTRY

#### LEARNING OBJECTIVES

After you have completed Chapter 4, you should be able to

1. fulfill all of the detailed objectives listed under each individual section.
2. draw the *cis-trans* isomers of some simple disubstituted cycloalkanes, and write the IUPAC names of such compounds.
3. define, and use in context, the key terms introduced in this chapter.

This chapter deals with the concept of stereochemistry and conformational analysis in cyclic compounds. The causes of various ring strains and their effects on the overall energy level of a cycloalkane are discussed. We shall stress the stereochemistry of alicyclic compounds.

[4.0: Chapter Objectives](#)

[4.1: Naming Cycloalkanes](#)

[4.2: Cis-Trans Isomerism in Cycloalkanes](#)

[4.3: Stability of Cycloalkanes - Ring Strain](#)

[4.4: Conformations of Cycloalkanes](#)

[4.5: Conformations of Cyclohexane](#)

[4.6: Axial and Equatorial Bonds in Cyclohexane](#)

[4.7: Conformations of Monosubstituted Cyclohexanes](#)

[4.8: Conformations of Disubstituted Cyclohexanes](#)

[4.9: Conformations of Polycyclic Molecules](#)

[4.S: Organic Compounds- Cycloalkanes and their Stereochemistry \(Summary\)](#)

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## 4.0: CHAPTER OBJECTIVES

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Cycloalkanes, a fundamental class of organic compounds, possess intriguing structural characteristics that distinguish them within the realm of organic chemistry. Unlike their linear counterparts, cycloalkanes form closed-ring structures composed entirely of carbon atoms, bonded together in a cyclic fashion. This unique geometry gives rise to diverse stereochemical phenomena, influencing the properties and reactivity of these compounds.

In this exploration, we embark on a journey into the world of cycloalkanes and delve into their stereochemistry. Stereochemistry examines the spatial arrangement of atoms within molecules and its impact on their behavior. For cycloalkanes, stereochemistry becomes particularly captivating due to the constraints imposed by the cyclic framework, leading to fascinating structural isomerism and conformational dynamics.

Throughout our investigation, we will uncover the principles underlying cycloalkane stereochemistry, including cis-trans isomerism, ring strain, and conformational analysis. By elucidating these concepts, we aim to unravel the complexity inherent in cycloalkane structures and shed light on their significance in various fields, from organic synthesis to medicinal chemistry.

Join us as we navigate the intricate world of cycloalkanes and explore the nuances of their stereochemistry, unveiling the secrets hidden within these remarkable molecules.

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## 4.1: NAMING CYCLOALKANES

### OBJECTIVES

After completing this section, you should be able to:

- Name a substituted or unsubstituted cycloalkane, given its Kekulé structure, shorthand structure or condensed structure.
- Draw the Kekulé, shorthand or condensed structure for a substituted or unsubstituted cycloalkane, given its IUPAC name.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

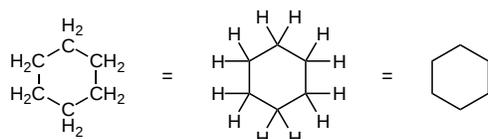
- cycloalkane

### STUDY NOTES

Provided that you have mastered the IUPAC system for naming alkanes, you should find that the nomenclature of cycloalkanes does not present any particular difficulties.

Many organic compounds found in nature contain rings of carbon atoms. These compounds are known as **cycloalkanes**. Cycloalkanes only contain carbon-hydrogen bonds and carbon-carbon single bonds. The simplest examples of this class consist of a single, un-substituted carbon ring, and these form a homologous series similar to the unbranched alkanes.

Like alkanes, cycloalkane molecules are often drawn as skeletal structures in which each intersection between two lines is assumed to have a carbon atom with its corresponding number of hydrogens. Cyclohexane, one of the most common cycloalkanes is shown below as an example.



Cyclic hydrocarbons have the prefix "cyclo-". The IUPAC names, molecular formulas, and skeleton structures of the cycloalkanes with 3 to 10 carbons are given in Table 4.1.1. Note that the general formula for a cycloalkane composed of  $n$  carbons is  $C_nH_{2n}$ , and not  $C_nH_{2n+2}$  as for alkanes. Although a cycloalkane has two fewer hydrogens than the equivalent alkane, each carbon is bonded to four other atoms so are still considered to be **saturated** with hydrogen.

Table 4.1.1: Examples of Simple Cycloalkanes

Cycloalkane	Molecular Formula	Skeleton Structure
Cyclopropane	$C_3H_6$	
Cyclobutane	$C_4H_8$	
Cyclopentane	$C_5H_{10}$	
Cyclohexane	$C_6H_{12}$	
Cycloheptane	$C_7H_{14}$	
Cyclooctane	$C_8H_{16}$	
Cyclononane	$C_9H_{18}$	
Cyclodecane	$C_{10}H_{20}$	

## IUPAC RULES FOR NOMENCLATURE

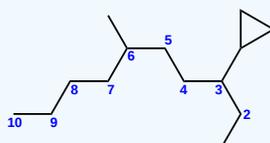
The naming of substituted cycloalkanes follows the same basic steps used in naming alkanes.

1. Determine the parent chain.
2. Number the substituents of the ring beginning at one substituent so that the nearest substituent is numbered the lowest possible. If there are multiple choices that are still the same, go to the next substituent and give it the lowest number possible.
3. Name the substituents and place them in alphabetical order.

More specific rules for naming substituted cycloalkanes with examples are given below.

1. Determine the cycloalkane to use as the parent. If there is an alkyl straight chain that has a greater number of carbons than the cycloalkane, then the alkyl chain must be used as the primary parent chain. Cycloalkanes substituents have an ending "-yl". If there are two cycloalkanes in the molecule, use the cycloalkane with the higher number of carbons as the parent.

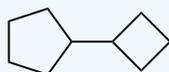
### ✓ EXAMPLE 4.1.1



The longest straight chain contains 10 carbons, compared with cyclopropane, which only contains 3 carbons. The parent chain in this molecule is decane and cyclopropane is a substituent. The name of this molecule is 3-cyclopropyl-6-methyldecane.

### ✓ EXAMPLE 4.1.2

Name the cycloalkane structure.

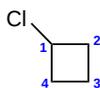


#### Solution

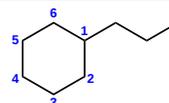
There are two different cycloalkanes in this molecule. Because it contains more carbons, the cyclopentane ring will be named as the parent chain. The smaller ring, cyclobutane, is named as a substituent on the parent chain. The name of this molecule is cyclobutylcyclopentane.

2. When there is only one substituent on the ring, the ring carbon attached to the substituent is automatically carbon #1. Indicating the number of the carbon with the substituent in the name is optional.

### ✓ EXAMPLE 4.1.3



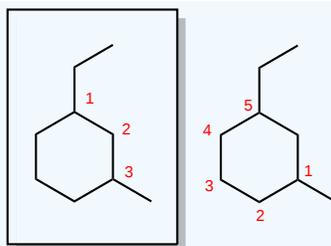
1-chlorocyclobutane or chlorocyclobutane



1-propylcyclohexane or propylcyclohexane

3. If there are multiple substituents on the ring, number the carbons of the cycloalkane so that the carbons with substituents have the lowest possible number. A carbon with multiple substituents should have a lower number than a carbon with only one substituent or functional group. One way to make sure that the lowest number possible is assigned is to number the carbons so that when the numbers corresponding to the substituents are added, their sum is the lowest possible.
4. When naming the cycloalkane, the substituents must be placed in alphabetical order. Remember the prefixes di-, tri-, etc. , are not used for alphabetization.

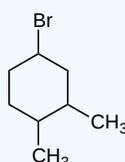
### ✓ EXAMPLE 4.1.4



In this example, the ethyl or the methyl substituent could be attached to carbon one. The ethyl group attachment is assigned carbon 1 because ethyl comes before methyl alphabetically. After assigning carbon 1 the cyclohexane ring can be numbered going clockwise or counterclockwise. When looking at the numbers produced going clockwise produces lower first substituent numbers (1,3) than when numbered counterclockwise (1,5). So the correct name is 1-ethyl-3-methylcyclohexane.

#### ✓ EXAMPLE 4.1.5

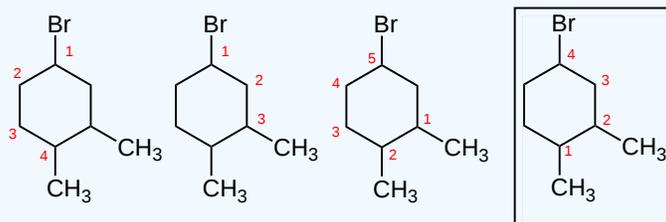
Name the following structure using IUPAC rules.



#### Solution

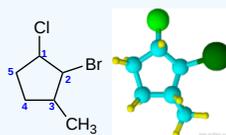
Remember when dealing with cycloalkanes with more than two substituents, finding the lowest possible 2nd substituent numbering takes precedence. Consider a numbering system with each substituent attachment point as being carbon one. Compare them and whichever produces the lowest first point of difference will be correct.

The first structure would have 1,4 for the relationship between the first two groups. The next structure would have 1,3. The final 2 structures both have 1,2 so those are preferable to the first two. Now we have to determine which is better between the final 2 structures. The 3rd substituent on structure 3 would be at the 5 position leading to 1,2,5 while in the final structure the 3rd methyl group is on carbon 4 leading to 1,2,4. This follows the rules of giving the lowest numbers at the first point of difference.



The correct name for the molecule is 4-Bromo-1,2-dimethylcyclohexane.

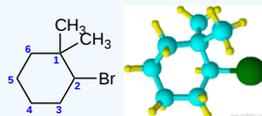
#### ✓ EXAMPLE 4.1.6



2-bromo-1-chloro-3-methylcyclopentane

Notice that "b" of bromo alphabetically precedes the "m" of methyl. Also, notice that the chlorine attachment point is assigned carbon 1 because it comes first alphabetically and the overall sum of numbers would be the same if the methyl attachment carbon was assigned as 1 and the chlorine attachment as 3.

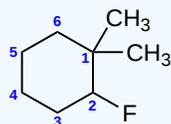
✓ EXAMPLE 4.1.7



(2-bromo-1,1-dimethylcyclohexane)

Although "di" alphabetically precedes "f", "di" is not used in determining the alphabetical order.

✓ EXAMPLE 4.1.8



2-fluoro-1,1,-dimethylcyclohexane **NOT** 1,1-dimethyl-2-fluorocyclohexane also 2-fluoro-1,1,-dimethylcyclohexane **NOT** 1-fluoro-2,2-dimethylcyclohexane (as that would give a larger number to the first point of difference)

Although "di" alphabetically precedes "f", "di" is not used in determining the alphabetical order of the substituents. Notice that the attachment point of the two methyl groups is assigned carbon 1 despite the fact that fluorine comes first alphabetically. This is because this assignment allows for a lower overall numbering of substituents, so assigning alphabetical priority is not necessary.

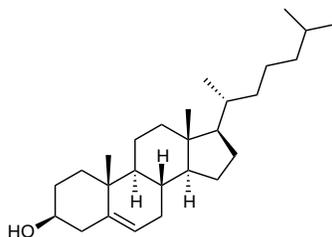
## POLYCYCLIC COMPOUNDS

Hydrocarbons having more than one ring are common, and are referred to as **bicyclic** (two rings), **tricyclic** (three rings) and in general, **polycyclic compounds**. The molecular formulas of such compounds have H/C ratios that decrease with the number of rings. In general, for a hydrocarbon composed of  $n$  carbon atoms associated with  $m$  rings the formula is:  $C_nH_{2n+2-2m}$ . The structural relationship of rings in a polycyclic compound can vary. They may be separate and independent, or they may share one or two common atoms. Some examples of these possible arrangements are shown in the following table.

Table 4.1.2: Examples of Isomeric  $C_8H_{14}$  Bicycloalkanes

Isolated Rings	Spiro Rings	Fused Rings	Bridged Rings
No common atoms	One common atom	One common bond	Two common atoms

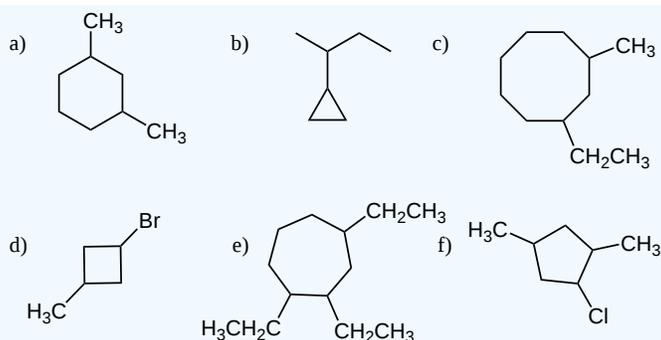
Polycyclic compounds, like cholesterol shown below, are biologically important and typically have common names accepted by IUPAC. However, the common names do not generally follow the basic IUPAC nomenclature rules, and will not be covered here.



Cholesterol (polycyclic)

? EXERCISE 4.1.1

Give the IUPAC names for the following cycloalkane structures.



### Answer

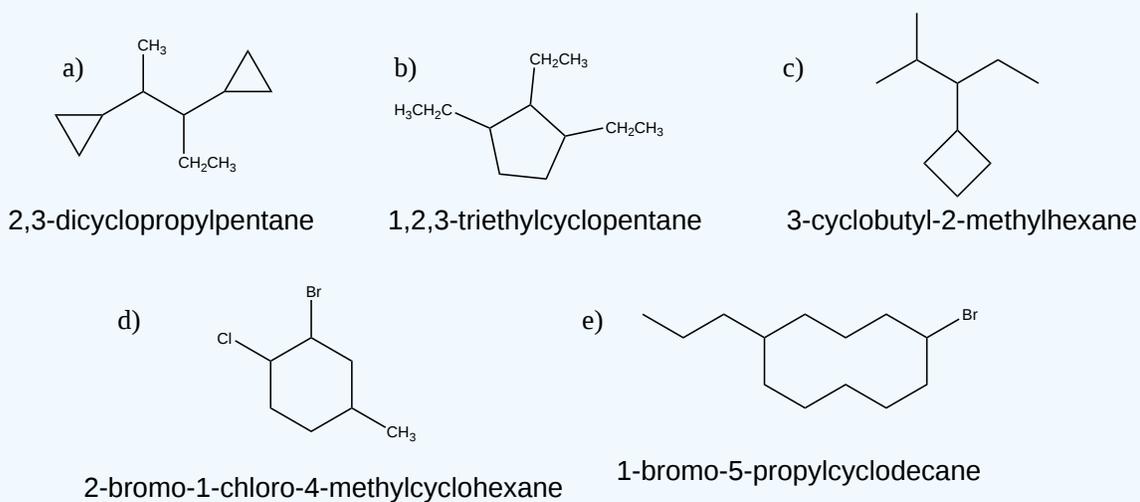
- 1,3-Dimethylcyclohexane
- 2-Cyclopropylbutane
- 1-Ethyl-3-methylcyclooctane
- 1-Bromo-3-methylcyclobutane
- 1,2,4-Triethylcycloheptane
- 1-Chloro-2,4-dimethylcyclopentane

### ? EXERCISE 4.1.2

Draw the structures for the IUPAC names below.

- 2,3-dicyclopropylpentane
- 1,2,3-triethylcyclopentane
- 3-cyclobutyl-2-methylhexane
- 2-bromo-1-chloro-4-methylcyclohexane
- 1-bromo-5-propylcyclododecane

### Answer



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## 4.2: CIS-TRANS ISOMERISM IN CYCLOALKANES

### OBJECTIVES

After completing this section, you should be able to

- draw structural formulas that distinguish between *cis* and *trans* disubstituted cycloalkanes.
- construct models of *cis* and *trans* disubstituted cycloalkanes using ball-and-stick molecular models.

### KEY TERMS

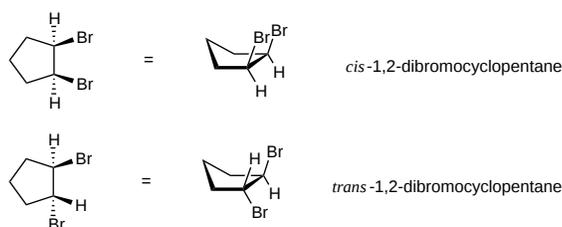
Make certain that you can define, and use in context, the key terms below.

- constitutional isomer
- stereoisomer
- *cis-trans* isomers

Previously, constitutional isomers have been defined as molecules that have the same molecular formula, but different atom connectivity. In this section, a new class of isomers, stereoisomers, will be introduced. **Stereoisomers** are molecules that have the same molecular formula, the same atom connectivity, but they differ in the relative spatial orientation of the atoms.

Cycloalkanes are similar to open-chain alkanes in many respects. They both tend to be nonpolar and relatively inert. One important difference, is that cycloalkanes have much less freedom of movement than open-chain alkanes. As discussed in Sections 3.6 and 3.7, open-chain alkanes are capable of rotation around their carbon-carbon sigma bonds. The ringed structures of cycloalkanes prevent such free rotation, causing them to be more rigid and somewhat planar.

Di-substituted cycloalkanes are one class of molecules that exhibit stereoisomerism. 1,2-dibromocyclopentane can exist as two different stereoisomers: *cis*-1,2-dibromocyclopentane and *trans*-1,2-dibromocyclopentane. The *cis*-1,2-dibromocyclopentane and *trans*-1,2-dibromocyclopentane stereoisomers of 1,2-dibromocyclopentane are shown below. Both molecules have the same molecular formula and the same atom connectivity. They differ only in the relative spatial orientation of the two bromines on the ring. In *cis*-1,2-dibromocyclopentane, both bromine atoms are on the same "face" of the cyclopentane ring, while in *trans*-1,2-dibromocyclopentane, the two bromines are on opposite faces of the ring. Stereoisomers require an additional nomenclature prefix be added to the IUPAC name in order to indicate their spatial orientation. Di-substituted cycloalkane stereoisomers are designated by the nomenclature prefixes *cis* (Latin, meaning on this side) and *trans* (Latin, meaning across).

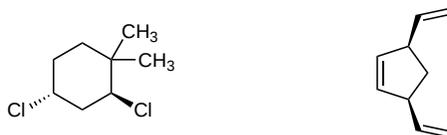


### REPRESENTING 3D STRUCTURES

By convention, chemists use heavy, wedge-shaped bonds to indicate a substituent located above the plane of the ring (coming out of the page), a dashed line for bonds to atoms or groups located below the ring (going back into the page), and solid lines for bonds in the plane of the page.

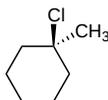


*cis*-1,3-dimethylcyclobutane    *trans*-5-bromo-1,4,6-trimethyl-1,3-cycloheptadiene



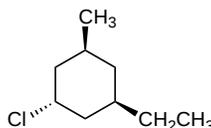
*trans*-2,4-dichloro-1,1-dimethylcyclohexane    *cis*-3,5-divinylcyclopentene

In general, if any two  $sp^3$  carbons in a ring have two different substituent groups (not counting other ring atoms) *cis/trans* stereoisomerism is possible. However, the *cis/trans* designations are not used if both groups are on the same carbon. For example, the chlorine and the methyl group are on the same carbon in 1-chloro-1-methylcyclohexane and the *trans* prefix should not be used.



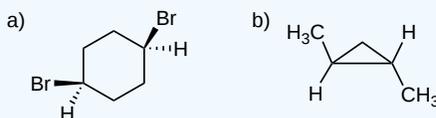
1-chloro-1-methylcyclohexane

If more than two ring carbons have substituents, the stereochemical notation distinguishing the various isomers becomes more complex and the prefixes *cis* and *trans* cannot be used to formally name the molecule. However, the relationship of any two substituents can be informally described using *cis* or *trans*. For example, in the tri-substituted cyclohexane below, the methyl group is *cis* to the ethyl group, and also *trans* to the chlorine. However, the entire molecule cannot be designated as either a *cis* or *trans* isomer. Later sections will describe how to name these more complex molecules ([5.5: Sequence Rules for Specifying Configuration](#))



#### ✓ EXAMPLE 4.2.1

Name the following cycloalkanes:



#### Solution

These two examples represent the two main ways of showing spatial orientation in cycloalkanes.

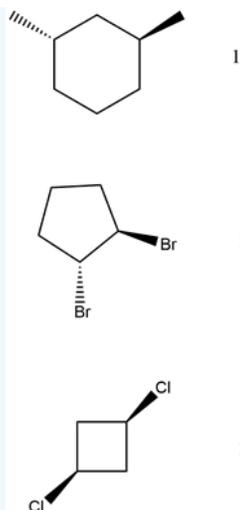
- In example "a" the cycloalkane is shown as being flat and in the plane of the page. The positioning of the substituents is shown by using dash-wedge bonds. *Cis/trans* positioning can be determined by looking at the type of bonds attached to the substituents. If the substituents are both on the same side of the ring (*Cis*) they would both have either dash bonds or wedge bonds. If the substituents are on opposite side of the ring (*Trans*) one substituent would have a dash bond and the other a wedge bond. Because both bromo substituents have a wedge bond they are on the same side of the ring and are *cis*. The name of this molecule is *cis*-1,4-Dibromocyclohexane.
- Example "b" shows the cycloalkane ring roughly perpendicular to the plane of the page. When this is done, the upper and lower face of the ring is defined and each carbon in the ring will have a bond on the upper face and a bond on the lower face. *Cis* substituents will either both be on the upper face or the lower face. *Trans* substituents will have one on the upper face and one on the lower face. In example "b", one of the methyl substituents is on the upper face of the ring and one is on the lower face which makes them *trans* to each other. The name of this molecule is *trans*-1,2-Dimethylcyclopropane.

#### ? EXERCISE 4.2.2

Draw the following molecules:

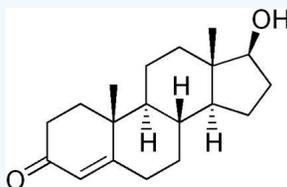
- trans*-1,3-dimethylcyclohexane
- trans*-1,2-dibromocyclopentane
- cis*-1,3-dichlorocyclobutane

Answer



### ? EXERCISE 4.2.3

Cis/Trans nomenclature can be used to describe the relative positioning of substituents on molecules with more complex ring structures. The molecule below is testosterone, the primary male sex hormone. Is the OH and the adjacent methyl group cis or trans to each other? What can you deduce about the relative positions of the indicated hydrogens?

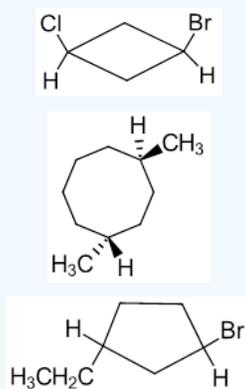


#### Answer

Both the OH and the methyl group have wedge bonds. This implies that they are both on the same side of the testosterone ring making them cis. Two of the hydrogens have wedge bonds while one has a wedge. This means two of the hydrogens are on one side of the testosterone ring while one is on the other side.

### ? EXERCISE 4.2.4

Name the following compounds:



#### Answer

*Cis*-1-Bromo-3-Chlorocyclobutane

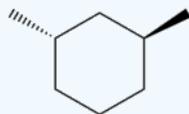
*Trans*-1,4-Dimethylcyclooctane

? EXERCISE 4.2.5

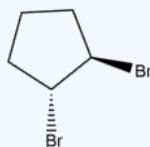
Draw the following molecules:

- trans*-1,3-dimethylcyclohexane
- trans*-1,2-dibromocyclopentane
- cis*-1,3-dichlorocyclobutane

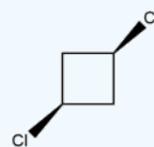
Answer



1



2



3

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## 4.3: STABILITY OF CYCLOALKANES - RING STRAIN

### OBJECTIVES

After completing this section, you should be able to:

- Describe the Baeyer strain theory.
- Describe how the measurement of heats of combustion provides information about the amount of strain present in a cycloalkane ring.
- Determine the relative stability of cyclic compounds, by assessing such factors as angle strain, torsional strain and steric strain.

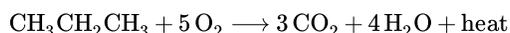
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- angle strain
- steric strain
- torsional strain
- ring strain
- heat of combustion

### HEAT OF COMBUSTION AS A MEASURE OF BOND STRENGTH

The combustion of carbon compounds, especially hydrocarbons, has been the most important source of heat energy for human civilizations throughout recorded history. The practical importance of this reaction cannot be denied, but the massive and uncontrolled chemical changes that take place in combustion make it difficult to deduce mechanistic paths. Using the combustion of propane as an example, we see from the following equation that every covalent bond in the reactants has been broken and an entirely new set of covalent bonds have formed in the products. No other common reaction involves such a profound and pervasive change, and the mechanism of combustion is so complex that chemists are just beginning to explore and understand some of its elementary features.



Since all the covalent bonds in the reactant molecules are broken, the quantity of heat evolved in this reaction, and any other combustion reaction, is related to the strength of these bonds (and, of course, the strength of the bonds formed in the products). Precise heat of combustion measurements can provide useful information about the structure of molecules and their relative stability.

For example, heat of combustion is useful in determining the relative stability of isomers. Pentane has a heat of combustion of -782 kcal/mol, while that of its isomer, 2,2-dimethylpropane (neopentane), is -777 kcal/mol. These values indicate that 2,3-dimethylpentane is 5 kcal/mol more stable than pentane, since it has a lower heat of combustion.

### RING STRAIN

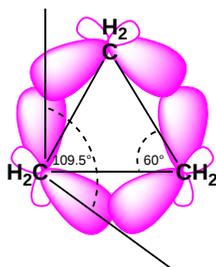
Table 4.3.1 lists the heat of combustion data for some simple cycloalkanes. These cycloalkanes do not have the same molecular formula, so the heat of combustion per each  $\text{CH}_2$  unit present in each molecule is calculated (the fourth column) to provide a useful comparison. From the data, cyclopropane and cyclobutane have significantly higher heats of combustion per  $\text{CH}_2$ , while cyclohexane has the lowest heat of combustion. This indicates that cyclohexane is more stable than cyclopropane and cyclobutane, and in fact, that cyclohexane has a same relative stability as long chain alkanes that are not cyclic. This difference in stability is seen in nature where six membered rings are by far the most common. What causes the difference in stability or the strain in small cycloalkanes?

Table 4.3.1: Heats of combustion of select hydrocarbons

Cycloalkane ( $\text{CH}_2$ ) <sub>n</sub>	$\text{CH}_2$ Units n	$\Delta H^{25^\circ}$ kcal/mol	$\Delta H^{25^\circ}$ per $\text{CH}_2$ Unit	Ring Strain kcal/mol
Cyclopropane	n = 3	468.7	156.2	27.6
Cyclobutane	n = 4	614.3	153.6	26.4
Cyclopentane	n = 5	741.5	148.3	6.5
Cyclohexane	n = 6	882.1	147.0	0.0
Cycloheptane	n = 7	1035.4	147.9	6.3
Cyclooctane	n = 8	1186.0	148.2	9.6
Cyclononane	n = 9	1335.0	148.3	11.7
Cyclodecane	n = 10	1481	148.1	11.0
$\text{CH}_3(\text{CH}_2)_m\text{CH}_3$	m = large	—	147.0	0.0

## THE BAEYER THEORY ON THE STRAIN IN CYCLOALKANE RINGS

In 1890, the famous German organic chemist, A. Baeyer, suggested that cyclopropane and cyclobutane are less stable than cyclohexane, because the smaller rings are more "strained". There are many different types of strain that contribute to the overall ring strain in cycloalkanes, including angle strain, torsional strain, and steric strain. **Torsional strain** and **steric strain** were previously defined in the discussion of conformations of butane. **Angle Strain** occurs when the  $sp^3$  hybridized carbons in cycloalkanes do not have the expected ideal bond angle of  $109.5^\circ$ , causing an increase in the potential energy. An example of angle strain can be seen in the diagram of cyclopropane below in which the bond angle is  $60^\circ$  between the carbons. The compressed bond angles causes poor overlap of the hybrid orbitals forming the carbon-carbon sigma bonds which in turn creates destabilization.



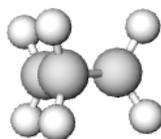
The C-C-C bond angles in cyclopropane (diagram above) ( $60^\circ$ ) and cyclobutane ( $90^\circ$ ) are much different than the ideal bond angle of  $109.5^\circ$ . This bond angle causes cyclopropane and cyclobutane to be less stable than molecules such as cyclohexane and cyclopentane, which have a much lower ring strain because the bond angle between the carbons is much closer to  $109.5^\circ$ . Changes in chemical reactivity as a consequence of angle strain are dramatic in the case of cyclopropane, and are also evident for cyclobutane.

In addition to angle strain, there is also steric (transannular) strain and torsional strain in many cycloalkanes. Transannular strain exists when there is steric repulsion between atoms.



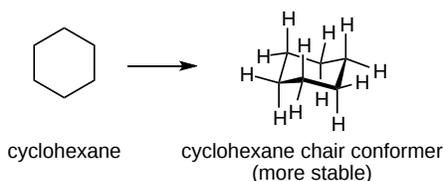
transannular strain

Because cycloalkane lack the ability to freely rotate, torsional (eclipsing) strain exists when a cycloalkane is unable to adopt a staggered conformation around a C-C bond. Torsional strain is especially prevalent in small cycloalkanes, such as cyclopropane, whose structures are nearly planar.



### The Eclipsed C-H Bonds in Cyclopropane

Larger rings like cyclohexane, deal with torsional strain by forming conformers in which the rings are not planar. A conformer is a stereoisomer in which molecules of the same connectivity and formula exist as different isomers, in this case, to reduce ring strain. The ring strain is reduced in conformers due to the rotations around the sigma bonds, which decreases the angle and torsional strain in the ring. The non-planar structures of cyclohexane are very stable compared to cyclopropane and cyclobutane, and will be discussed in more detail in the next section.



## THE TYPES OF STRAIN WHICH CONTRIBUTE TO RING STRAIN IN CYCLOALKANES

- Angle Strain - The strain caused by the increase or reduction of bond angles
- Torsional Strain - The strain caused by eclipsing bonds on adjacent atoms
- Steric Strain - The strain caused by the repulsive interactions of atoms trying to occupy the same space

### ? EXERCISE 4.3.1

*trans*-1,2-Dimethylcyclobutane is more stable than *cis*-1,2-dimethylcyclobutane. Explain this observation.

#### Answer

The *trans* form does not have eclipsing methyl groups, therefore lowering the energy within the molecule. It does however have hydrogen-methyl eclipsing interactions which are not as high in energy as methyl-methyl interactions.

### ? EXERCISE 4.3.2

Cyclobutane has more torsional strain than cyclopropane. Explain this observation.

#### Answer

Cyclobutane has 4 CH<sub>2</sub> groups while cyclopropane only has 3. More CH<sub>2</sub> groups means cyclobutane has more eclipsing H-H interactions and therefore has more torsional strain.

## EXERCISES

1. *Trans*-1,2-Dimethylcyclobutane is more stable than *cis*-1,2-dimethylcyclobutane. Explain this observation.
2. The *trans* form does not have eclipsing methyl groups, therefore lowering the energy within the molecule. It does however have hydrogen-methyl interactions, but are not as high in energy than methyl-methyl interactions.

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## 4.4: CONFORMATIONS OF CYCLOALKANES

### OBJECTIVES

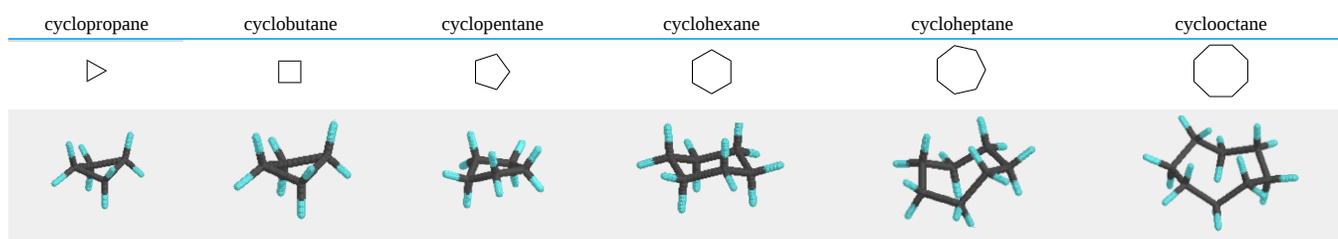
After completing this section, you should be able to

1. describe, and sketch the conformation of cyclopropane, cyclobutane, and cyclopentane.
2. describe the bonding in cyclopropane, and use this to account for the high reactivity of this compound.
3. analyze the stability of cyclobutane, cyclopentane and their substituted derivatives in terms of angular strain, torsional strain and steric interactions.

### STUDY NOTES

Notice that in both cyclobutane and cyclopentane, torsional strain is reduced at the cost of increasing angular (angle) strain.

Although the customary line drawings of simple cycloalkanes are geometrical polygons, the actual shape of these compounds in most cases is very different.



Cyclopropane is necessarily planar (flat), with the carbon atoms at the corners of an equilateral triangle. The  $60^\circ$  bond angles are much smaller than the optimum  $109.5^\circ$  angles of a normal tetrahedral carbon atom, and the resulting angle strain dramatically influences the chemical behavior of this cycloalkane. Cyclopropane also suffers substantial eclipsing strain, since all the carbon-carbon bonds are fully eclipsed. Cyclobutane reduces some bond-eclipsing strain by folding (the out-of-plane dihedral angle is about  $25^\circ$ ), but the total eclipsing and angle strain remains high. Cyclopentane has very little angle strain (the angles of a pentagon are  $108^\circ$ ), but its eclipsing strain would be large (about 40 kJ/mol) if it remained planar. Consequently, the five-membered ring adopts non-planar puckered conformations whenever possible.

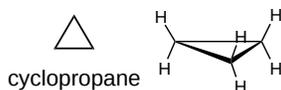
Rings larger than cyclopentane would have angle strain if they were planar. However, this strain, together with the eclipsing strain inherent in a planar structure, can be relieved by puckering the ring. Cyclohexane is a good example of a carbocyclic system that virtually eliminates eclipsing and angle strain by adopting non-planar conformations. Cycloheptane and cyclooctane have greater strain than cyclohexane, in large part due to transannular crowding (steric hindrance by groups on opposite sides of the ring).

Cyclic systems are a little different from open-chain systems. In an open chain, any bond can be rotated  $360^\circ$ , going through many different conformations. That complete rotation isn't possible in a cyclic system, because the parts that would be trying to twist away from each other would still be connected together. Thus cyclic systems have fewer "degrees of freedom" than aliphatic systems; they have "restricted rotation".

Because of the restricted rotation of cyclic systems, most of them have much more well-defined shapes than their aliphatic counterparts. Let's take a look at the basic shapes of some common rings. Many biologically important compounds are built around structures containing rings, so it's important that we become familiar with them. In nature, three- to six-membered rings are frequently encountered, so we'll focus on those.

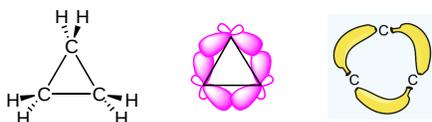
### CYCLOPROPANE

A three membered ring has no rotational freedom whatsoever. A plane is defined by three points, so the three carbon atoms in cyclopropane are all constrained to lie in the same plane. This lack of flexibility does not allow cyclopropane to form more stable conformers which are non-planar.



The main source of ring strain in cyclopropane is angle strain. All of the carbon atoms in cyclopropane are tetrahedral and would prefer to have a bond angle of  $109.5^\circ$ . The angles in an equilateral triangle are actually  $60^\circ$ , about half as large as the optimum angle. The large

deviation from the optimal bond angle means that the C-C sigma bonds forming the cyclopropane ring are bent. Maximum bonding occurs when the overlapping orbitals are pointing directly toward each other. The severely strained bond angles in cyclopropane means that the orbitals forming the C-C bonds overlap at a slight angle making them weaker. This strain is partially overcome by using so-called “banana bonds”, where the overlap between orbitals is no longer directly in a line between the two nuclei, as shown here in three representations of the bonding in cyclopropane:



The constrained nature of cyclopropane causes neighboring C-H bonds to all be held in eclipsed conformations. Cyclopropane is always at maximum torsional strain. This strain can be illustrated in a Newman projections of cyclopropane as shown from the side.



Newman Projection of cyclopropane

Cyclopropane isn't large enough to introduce any steric strain. Steric strain does not become a factor until we reach six membered rings. Before that point, rings are not flexible enough to allow for two ring substituents to interact with each other.

The combination of torsional and angle strain creates a large amount of ring strain in cyclopropane which weakens the C-C ring bonds (255 kJ/mol) when compared to C-C bonds in open-chain propane (370 kJ/mol).

## CYCLOBUTANE

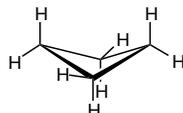
Cyclobutane is a four membered ring. The larger number of ring hydrogens would cause a substantial amount of torsional strain if cyclobutane were planar.



cyclobutane

In three dimensions, cyclobutane is flexible enough to buckle into a "puckered" shape which causes the C-H ring hydrogens to slightly deviate away from being completely eclipsed. This conformation relieves some of the torsional strain but increases the angle strain because the ring bond angles decreases to 88°.

In a line drawing, this butterfly shape is usually shown from the side, with the near edges drawn using darker lines.



The deviation of cyclobutane's ring C-H bonds away from being fully eclipsed can clearly be seen when viewing a Newman projections signed down one of the C-C bond.



Newman projection of cyclobutane

- With bond angles of 88° rather than 109.5° degrees, cyclobutane has significant amounts of angle strain, but less than in cyclopropane.
- Although torsional strain is still present, the neighboring C-H bonds are not exactly eclipsed in the cyclobutane's puckered conformation.
- Steric strain is very low. Cyclobutane is still not large enough that substituents can reach around to cause crowding.
- Overall the ring strain in cyclobutane (110 kJ/mol) is slightly less than cyclopropane (115 kJ/mol).

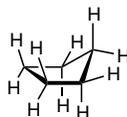
## CYCLOPENTANE

Cyclopentanes are even more stable than cyclobutanes, and they are the second-most common cycloalkane ring in nature, after cyclohexanes. Planar cyclopentane has virtually no angle strain but an immense amount of torsional strain. To reduce torsional strain, cyclopentane adopts a non-planar conformation even though it slightly increases angle strain.



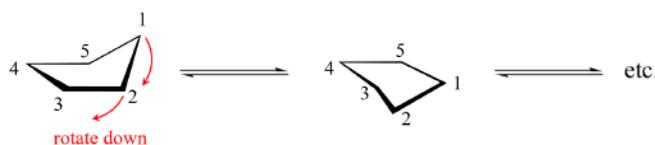
cyclopentane

The lowest energy conformation of cyclopentane is known as the 'envelope', with four of the ring atoms in the same plane and one out of plane (notice that this shape resembles an envelope with the flap open). The out-of-plane carbon is said to be in the **endo** position ('endo' means 'inside'). The envelope removes torsional strain along the sides and flap of the envelope. However, the neighboring carbons are eclipsed along the "bottom" of the envelope, away from the flap.

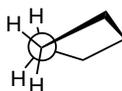


3D structure of cyclopentane (notice that the far top right carbon is the endo position).

At room temperature, cyclopentane undergoes a rapid bond **rotation** process in which each of the five carbons takes turns being in the *endo* position.



Cyclopentane distorts only very slightly into an "envelope" shape in which one corner of the pentagon is lifted up above the plane of the other four. The envelope removes torsional strain along the sides and flap of the envelope by allowing the bonds to be in an almost completely staggered position. However, the neighboring bonds are eclipsed along the "bottom" of the envelope, away from the flap. Viewing a Newman projections of cyclopentane signed down one of the C-C bond show the staggered C-H bonds.

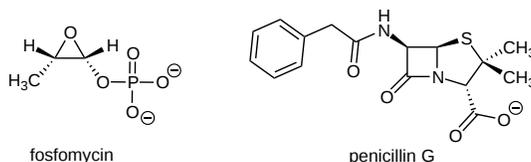


Newman projection of cyclopentane

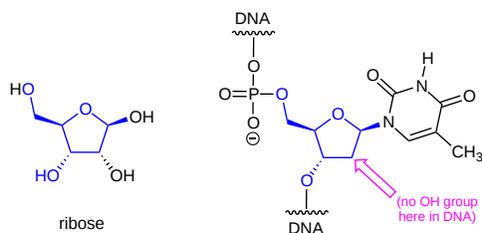
- The angle strain in the envelope conformation of cyclopentane is low. The ideal angle in a regular pentagon is about  $107^\circ$ , very close to the preferred  $109.5^\circ$  tetrahedral bond angle.
- There is some torsional strain in cyclopentane. The envelope conformation reduces torsional strain by placing some bonds in nearly staggered positions. However, other bonds are still almost fully eclipsed.
- Cyclopentane is not large enough to allow for steric strain to be created.
- Overall, cyclopentane has very little ring strain (26 kJ/mol) when compared to cyclopropane and cyclobutane.

### C<sub>3</sub>-C<sub>5</sub> CYCLOALKANES IN NATURE

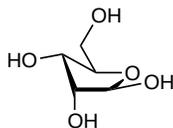
If one of the carbon-carbon bonds is broken in cyclopropane or cyclobutane, the ring will 'spring' open, releasing energy as the bonds reassume their preferred tetrahedral geometry. The effectiveness of two antibiotic drugs, fosfomycin and penicillin, is due in large part to the high reactivity of the three- and four-membered rings in their structures.



One of the most important five-membered rings in nature is a sugar called ribose – DNA and RNA are both constructed upon 'backbones' derived from ribose. Pictured below is one thymidine (T) deoxy-nucleotide from a stretch of DNA. Since the ribose has lost one of the OH groups (at carbon 2 of the ribose ring), this is part of a deoxyribonucleic acid (DNA). If the OH at carbon 2 of the ribose ring was present, this would be part of a ribonucleic acid (RNA).



The lowest-energy conformations for ribose are envelope forms in which either C<sub>3</sub> or C<sub>2</sub> are *endo*, on the same side as the C<sub>5</sub> substituent.



#### ? EXERCISE 4.4.1

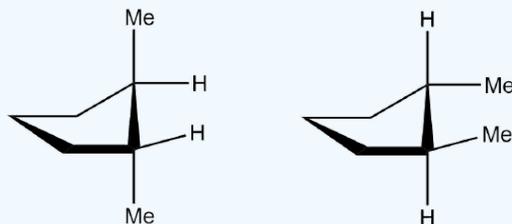
If cyclobutane were to be planar, how many H-H eclipsing interactions would there be? Assuming 4 kJ/mol per H-H eclipsing interaction what would the strain be on this “planar” molecule?

#### Answer

There are eight eclipsing interactions (two per C-C bond). The extra strain on this molecule would be 32 kJ/mol (4 kJ/mol x 8).

#### ? EXERCISE 4.4.1

In the two conformations of *trans*-1,2-Dimethylcyclopentane one is more stable than the other. Explain why this is.



#### Answer

The first conformation is more stable. Even though the methyl groups are *trans* in both models, they are anti to one another in the first structure (which is lower energy) while they are gauche in the second structure increasing strain within the molecule.

#### ? EXERCISE 4.4.3

In methylcyclopentane, which carbon would most likely be in the *endo* position?

#### Answer

The ring carbon attached to the methyl group would most likely be the *endo* carbon. The large methyl group would create the most torsional strain if eclipsed. Being in the *endo* position would place the bonds in a more staggered position which would reduce strain.

#### ? EXERCISE 4.4.4

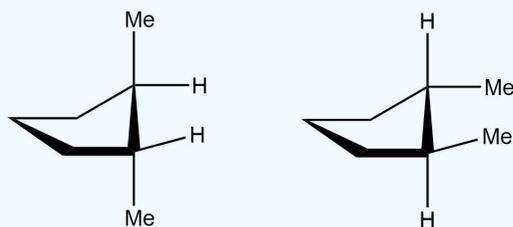
If cyclobutane were to be planar how many H-H eclipsing interactions would there be, and assuming 4 kJ/mol per H-H eclipsing interaction what is the strain on this “planar” molecule?

#### Answer

There are eight eclipsing interactions (two per C-C bond). The extra strain on this molecule would be 32 kJ/mol (4 kJ/mol x 8).

### ? EXERCISE 4.4.5

In the two conformations of *trans*-cyclopentane one is more stable than the other. Explain why this is.



#### Answer

The first conformation is more stable. Even though the methyl groups are *trans* in both models, in the second structure they are eclipsing one another, therefore increasing the strain within the molecule compared to the first structure where the larger methyl groups are anti to one another.

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## 4.5: CONFORMATIONS OF CYCLOHEXANE

### OBJECTIVES

After completing this section, you should be able to

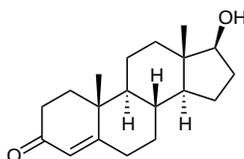
1. explain why cyclohexane rings are free of angular strain.
2. draw the structure of a cyclohexane ring in the chair conformation.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

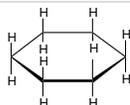
- chair conformation
- twist-boat conformation

We will find that cyclohexanes tend to have the least angle strain and consequently are the most common cycloalkanes found in nature. A wide variety of compounds including, hormones, pharmaceuticals, and flavoring agents have substituted cyclohexane rings.

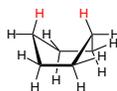


testosterone, which contains three cyclohexane rings and one cyclopentane ring

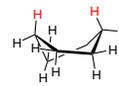
Rings larger than cyclopentane would have angle strain if they were planar. However, this strain, together with the eclipsing strain inherent in a planar structure, can be relieved by puckering the ring. Cyclohexane is a good example of a carbocyclic system that virtually eliminates eclipsing and angle strain by adopting non-planar conformations. Cycloheptane and cyclooctane have greater strain than cyclohexane, in large part due to transannular crowding (steric hindrance by groups on opposite sides of the ring). Cyclohexane has the possibility of forming multiple conformations each of which have structural differences which lead to different amounts of ring strain.



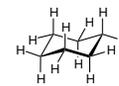
**planar structure**  
severe angle strain ( $120^\circ$ )  
severe eclipsing strain (all bonds)  
small steric strain



**boat conformation**  
slight angle strain  
eclipsing strain at **two bonds**  
steric crowding of **two hydrogens**



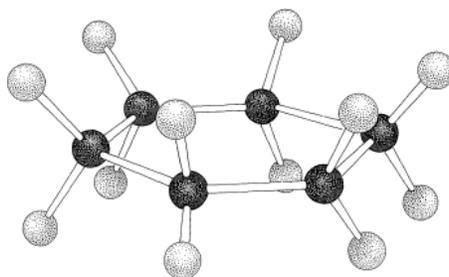
**twist boat conformation**  
slight angle strain  
small eclipsing strain  
small steric strain



**chair conformation**  
no angle strain  
no eclipsing strain  
small steric strain

### CONFORMATIONS OF CYCLOHEXANE

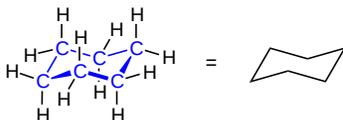
A planar structure for cyclohexane is clearly improbable. The bond angles would necessarily be  $120^\circ$ ,  $10.5^\circ$  larger than the ideal tetrahedral angle. Also, every carbon-hydrogen bond in such a structure would be eclipsed. The resulting angle and eclipsing strains would severely destabilize this structure. The ring strain of planar cyclohexane is in excess of 84 kJ/mol so it rarely discussed other than in theory.



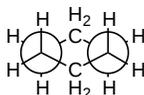
Cyclohexane in the strained planar configuration showing how the hydrogens become eclipsed.

### CHAIR CONFORMATION OF CYCLOHEXANE

The flexibility of cyclohexane allows for a conformation which is almost free of ring strain. If two carbon atoms on opposite sides of the six-membered ring are bent out of the plane of the ring, a shape is formed that resembles a reclining beach chair. This **chair conformation** is the lowest energy conformation for cyclohexane with an overall ring strain of 0 kJ/mol. In this conformation, the carbon-carbon ring bonds are able to assume bonding angles of  $\sim 111^\circ$  which is very near the optimal tetrahedral  $109.5^\circ$  so angle strain has been eliminated.



Also, the C-H ring bonds are staggered so torsional strain has also been eliminated. This is clearly seen when looking at a Newman projection of chair cyclohexane sighted down the two central C-C bonds.



Newman projection of cyclohexane

### HOW TO DRAW THE CHAIR CONFORMATION

To draw a chair:

- 1) Draw two slightly offset parallel lines.
- 2) Draw another pair of parallel lines from the ends of the first pair.
- 3) Connect with a third set of parallel lines.

To draw its ring-flip conformer, just start the first pair of lines at the opposite angle.

### BOAT CONFORMATION OF CYCLOHEXANE

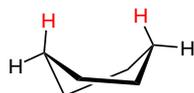
The **Boat Conformation** of cyclohexane is created when two carbon atoms on opposite sides of the six-membered ring are both lifted up out of the plane of the ring creating a shape which slightly resembles a boat. The boat conformation is less stable than the chair form for two major reasons. The boat conformation has unfavorable steric interactions between a pair of 1,4 hydrogens (the so-called "flagpole" hydrogens) that are forced to be very close together ( $1.83\text{\AA}$ ). This steric hindrance creates a repulsion energy of about 12 kJ/mol. An additional cause of the higher energy of the boat conformation is that adjacent hydrogen atoms on the 'bottom of the boat' are forced into eclipsed positions. For these reasons, the boat conformation is about 30 kJ/mol less stable than the chair conformation.



A boat structure of cyclohexane (the interfering "flagpole" hydrogens are shown in red)

### TWIST-BOAT CONFORMATION OF CYCLOHEXANE

The boat form is quite flexible and by twisting it at the bottom created the **twist-boat conformer**. This conformation reduces the strain which characterized the boat conformer. The flagpole hydrogens move farther apart (the carbons they are attached to are shifted in opposite directions, one forward and one back) and the eight hydrogens along the sides become largely but not completely staggered. Though more stable than the boat conformation, the twist-boat (sometimes skew-boat) conformation is roughly 23 kJ/mol less stable than the chair conformation.



A twist-boat structure of cyclohexane

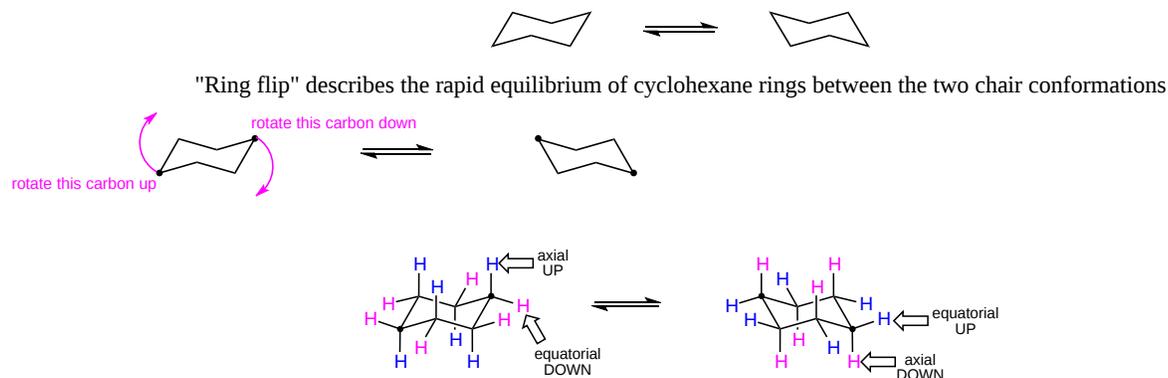
### HALF CHAIR CONFORMATION OF CYCLOHEXANE

Cyclohexane can obtain a partially plane conformation called "half chair" but with only with excessive amounts of ring strain. The half chair conformation is formed by taking planar cyclohexane and lifting one carbon out of the plane of the ring. The half chair conformation has much of the same strain effects predicted by the fully planar cyclohexane. In the planar portion of half chair cyclohexane the C-C bond

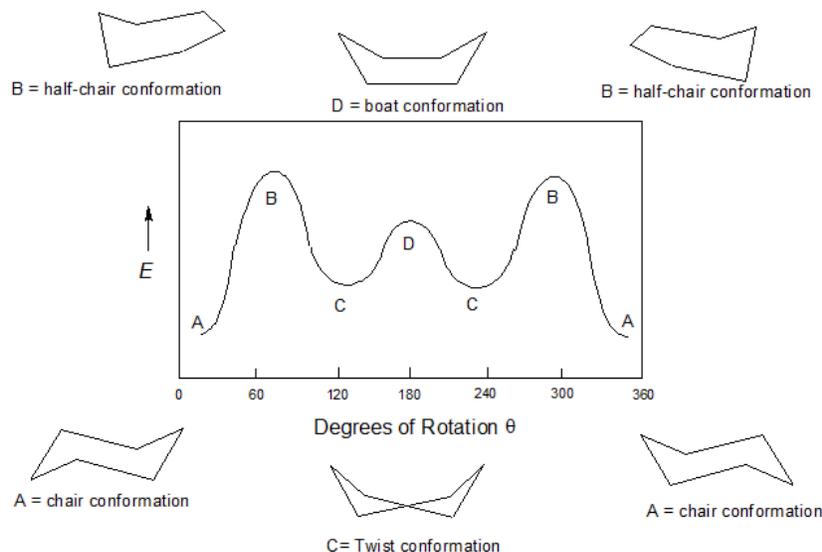
angles are forced to  $120^\circ$  which creates significant amounts of angle strain. Also, the corresponding C-H bonds are fully eclipsed which create torsional strain. The out-of-plane carbon allows for some of the ring's bond angles to reach  $109.5^\circ$  and for some of C-H bonds to not be fully eclipsed. Overall, the half chair conformation is roughly 45 kJ/mol less stable than the chair conformation.

### CONFORMATION CHANGES IN CYCLOHEXANE - "RING FLIPS"

Cyclohexane is rapidly rotating between the two most stable conformations known as the chair conformations in what is called the "ring flip" shown below. The importance of the ring flip will be discussed in the next section.



It is important to note that one chair does not immediately become the other chair, rather the ring must travel through the higher energy conformations as transitions. At room temperature the energy barrier created by the half chair conformation is easily overcome allowing for equilibration between the two chair conformation on the order of 80,000 times per second. Although cyclohexane is continually converting between these different conformations, the stability of the chair conformation causes it to comprises more than 99.9% of the equilibrium mixture at room temperature.



1" id="MathJax-Element-12-Frame" role="presentation" style="position:relative;" tabindex="0">Image of energy diagram of cyclohexane conformations

1" role="presentation" style="position:relative;" tabindex="0">

### EXERCISES

1) Consider the conformations of cyclohexane: half chair, chair, boat, twist boat. Order them in increasing ring strain in the molecule.

### SOLUTIONS

1) Chair < Twist Boat < Boat < half chair (most ring strain)

### QUESTIONS

#### Q4.5.1

Consider the conformations of cyclohexane, chair, boat, twist boat. Order them in increasing strain in the molecule.

*SOLUTIONS***S4.5.1**

Chair < Twist Boat < Boat (most strain)

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## 4.6: AXIAL AND EQUATORIAL BONDS IN CYCLOHEXANE

### OBJECTIVES

After completing this section, you should be able to

1. Draw the chair conformation of cyclohexane, with axial and equatorial hydrogen atoms clearly shown and identified.
2. identify the axial and equatorial hydrogens in a given sketch of the cyclohexane molecule.
3. explain how chair conformations of cyclohexane and its derivatives can interconvert through the process of ring flip.

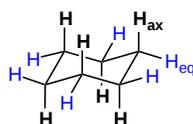
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- axial position
- equatorial position
- ring flip

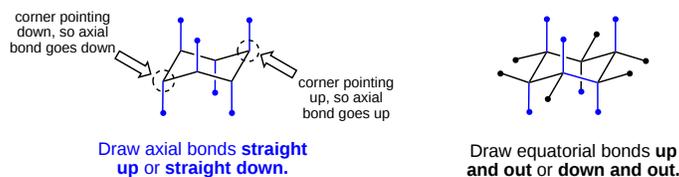
### AXIAL AND EQUATORIAL POSITIONS IN CYCLOHEXANE

Careful examination of the chair conformation of cyclohexane, shows that the twelve hydrogens are not structurally equivalent. Six of them are located about the periphery of the carbon ring, and are termed **equatorial**. The other six are oriented above and below the approximate plane of the ring (three in each location), and are termed **axial** because they are aligned parallel to the symmetry axis of the ring.

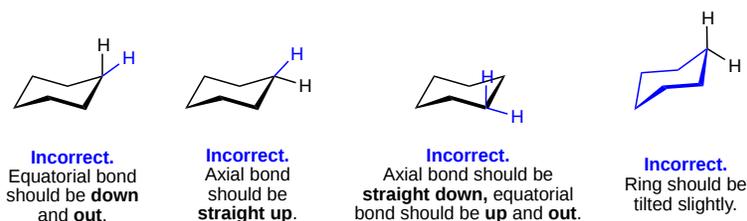


In the figure above, the equatorial hydrogens are colored blue, and the axial hydrogens are black. Since there are two equivalent chair conformations of cyclohexane in rapid equilibrium, all twelve hydrogens have 50% equatorial and 50% axial character.

### HOW TO DRAW AXIAL AND EQUATORIAL BONDS



How **not** to draw the chair:



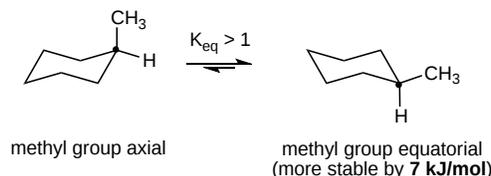
Aside from drawing the basic chair, the key points are:

- Axial bonds alternate up and down, and are shown "vertical".
- Equatorial groups are approximately horizontal, but actually somewhat distorted from that (slightly up or slightly down), so that the angle from the axial group is a bit more than a right angle -- reflecting the common  $109.5^\circ$  bond angle.
- Each carbon has an axial and an equatorial bond.
- Each face of the cyclohexane ring has three axial and three equatorial bonds.
- Each face alternates between axial and equatorial bonds. Then looking at the "up" bond on each carbon in the cyclohexane ring they will alternate axial-equatorial-axial ect.
- When looking down at a cyclohexane ring:
  - the equatorial bonds will form an "equator" around the ring.

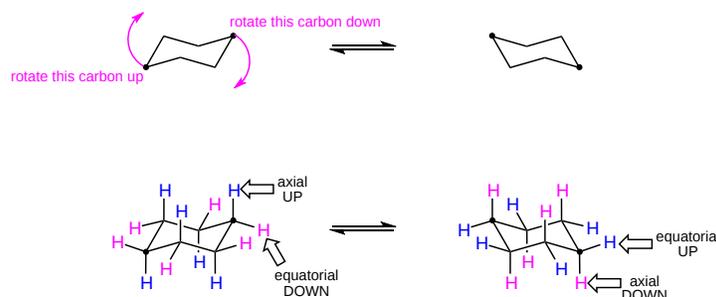
- The axial bonds will either face towards you or away. These will alternate with each axial bond. The first axial bond will be coming towards with the next going away. There will be three of each type.
- Note! The terms cis and trans in regards to the stereochemistry of a ring are not directly linked to the terms axial and equatorial. It is very common to confuse the two. It typically best not to try and directly inter convert the two naming systems.

### AXIAL VS. EQUATORIAL SUBSTITUENTS

When a substituent is added to cyclohexane, the ring flip allows for two distinctly different conformations. One will have the substituent in the axial position while the other will have the substituent in the equatorial position. In the next section will discuss the energy differences between these two possible conformations. Below are the two possible chair conformations of methylcyclohexane created by a ring-flip. Although the conformation which places the methyl group in the equatorial position is more stable by 7 kJ/mol, the energy provided by ambient temperature allows the two conformations to rapidly interconvert.

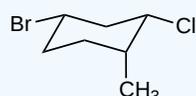


The figure below illustrates how to convert a molecular model of cyclohexane between two different chair conformations - this is something that you should practice with models. Notice that a 'ring flip' causes equatorial groups to become axial, and vice-versa.



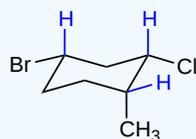
#### ✓ EXAMPLE 4.6.1

For the following please indicate if the substituents are in the axial or equatorial positions.



#### Solution

Due to the large number of bonds in cyclohexane it is common to only draw in the relevant ones (leaving off the hydrogens unless they are involved in a reaction or are important for analysis). It is still possible to determine axial and equatorial positioning with some thought. With problems such as this it is important to remember that each carbon in a cyclohexane ring has one axial and one equatorial bond. Also, remember that axial bonds are perpendicular with the ring and appear to be going either straight up or straight down. Equatorial bonds will be roughly in the plane of the cyclohexane ring (only slightly up or down). Sometimes it is valuable to draw in the additional bonds on the carbons of interest.

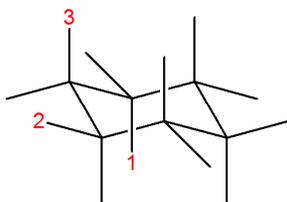


With this it can be concluded that the bromine and chlorine substituents are attached in equatorial positions and the CH<sub>3</sub> substituent is attached in an axial position.

### EXERCISES

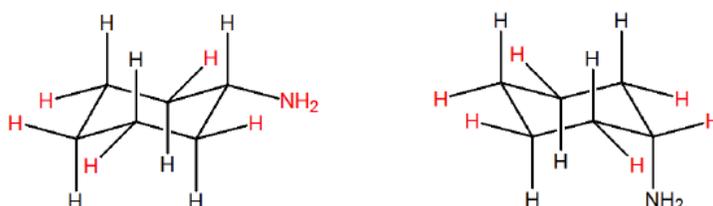
- 1) Draw two conformations of cyclohexyl amine (C<sub>6</sub>H<sub>11</sub>NH<sub>2</sub>). Indicate axial and equatorial positions.
- 2) Draw the two isomers of 1,4-dihydroxycyclohexane, identify which are equatorial and axial.

3) In the following molecule, label which are equatorial and which are axial, then draw the chair flip (showing labels 1,2,3).



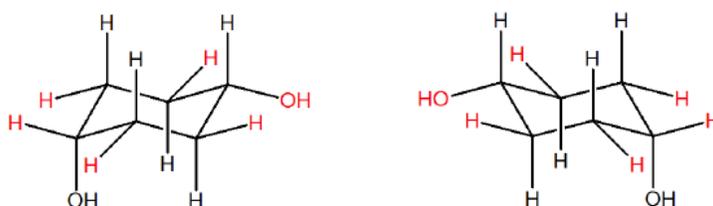
## SOLUTIONS

1)



Axial  
Equatorial

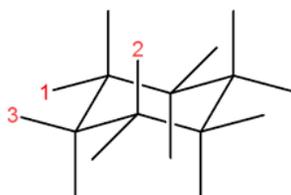
2)



Axial  
Equatorial

3) Original conformation: 1 = axial, 2 = equatorial, 3 = axial

Flipped chair now looks like this.



## QUESTIONS

### Q4.6.1

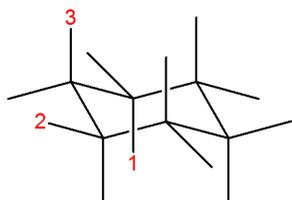
Draw two conformations of cyclohexyl amine ( $C_6H_{11}NH_2$ ). Indicate axial and equatorial positions.

### Q4.6.2

Draw the two isomers of 1,4-dihydroxycyclohexane, identify which are equatorial and axial.

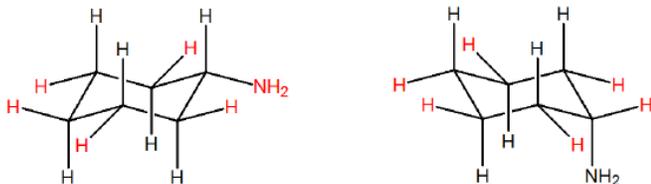
### Q4.6.3

In the following molecule, label which are equatorial and which are axial, then draw the chair flip (showing labels 1,2,3).



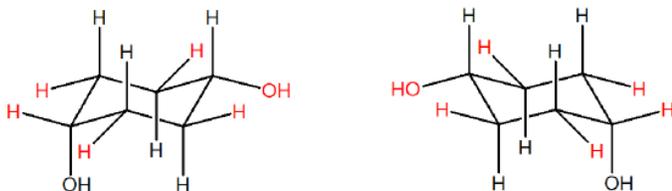
SOLUTIONS

S4.6.1



Axial  
Equatorial

S4.6.2

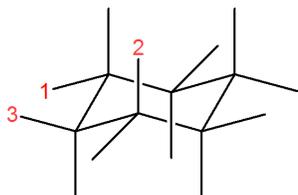


Axial  
Equatorial

S4.6.3

Original conformation: 1 = axial, 2 = equatorial, 3 = axial

Flipped chair now looks like this.



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## 4.7: CONFORMATIONS OF MONOSUBSTITUTED CYCLOHEXANES

### OBJECTIVES

After completing this section, you should be able to

1. account for the greater stability of the equatorial conformers of monosubstituted cyclohexanes compared to their axial counterparts, using the concept of 1,3-diaxial interaction.
2. compare the gauche interactions in butane with the 1,3-diaxial interactions in the axial conformer of methylcyclohexane.
3. arrange a given list of substituents in increasing or decreasing order of 1,3-diaxial interactions.

### KEY TERMS

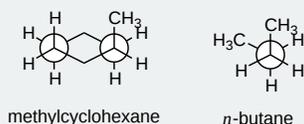
Make certain that you can define, and use in context, the key term below.

- 1,3-diaxial interaction

### STUDY NOTES

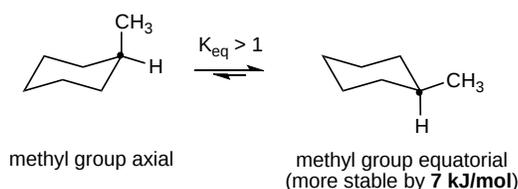
*1,3-Diaxial interactions* are steric interactions between an axial substituent located on carbon atom 1 of a cyclohexane ring and the hydrogen atoms (or other substituents) located on carbon atoms 3 and 5.

Be prepared to draw Newman-type projections for cyclohexane derivatives as the one shown for methylcyclohexane. Note that this is similar to the Newman projections from chapter 3 such as *n*-butane.

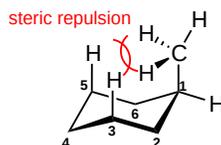


Newman projections of methylcyclohexane and *n*-butane

When a substituent is added to a cyclohexane ring, the two possible chair conformations created during a ring flip are not equally stable. In the example of methylcyclohexane the conformation where the methyl group is in the equatorial position is more stable than the axial conformation by 7.6 kJ/mol at 25° C. The percentages of the two different conformations at equilibrium can be determined by solving the following equation for *K* (the equilibrium constant):  $\Delta E = -RT \ln K$ . In this equation  $\Delta E$  is the energy difference between the two conformations, *R* is the gas constant (8.314 J/mol·K), *T* is the temperature in Kelvin, and *K* is the equilibrium constant for the ring flip conversion. Using this equation, we can calculate a *K* value of 21 which means about 95% methylcyclohexane molecules have the methyl group in the equatorial position at 25° C.

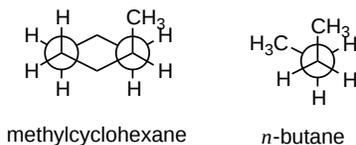


The energy difference between the two conformations comes from strain, called 1,3-diaxial interactions, created when the axial methyl group experiences steric crowding with the two axial hydrogens located on the same side of the cyclohexane ring. Because axial bonds are parallel to each other, substituents larger than hydrogen experience greater steric crowding when they are oriented axial rather than equatorial. Consequently, ***substituted cyclohexanes will preferentially adopt conformations in which the larger substituents are in the equatorial orientation.*** When the methyl group is in the equatorial position this strain is not present which makes the equatorial conformer more stable and favored in the ring flip equilibrium.



Actually, 1,3-diaxial steric strain is directly related to the steric strain created in the gauche conformer of butane discussed in Section: 3-7. When butane is in the gauche conformation 3.8 kJ/mol of strain was created due the steric crowding of two methyl group with a 60°

dihedral angle. When looking at the a Newman projection of axial methylcyclohexane the methyl group is at a  $60^\circ$  dihedral angle with the ring carbon in the rear. This creates roughly the same amount of steric strain as the gauche conformer of butane. Given that there is actually two such interactions in axial methylcyclohexane, it makes sense that there is  $2(3.8 \text{ kJ/mol}) = 7.6 \text{ kJ/mol}$  of steric strain in this conformation. The Newman projection of equatorial methylcyclohexane shows no such interactions and is therefore more stable.



Newman projections of methyl cyclohexane and butane showing similarity of 1,3-diaxial and gauche interactions.

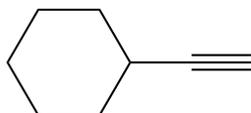
Strain values for other cyclohexane substituents can also be considered. The relative steric hindrance experienced by different substituent groups oriented in an axial versus equatorial location on cyclohexane determined the amount of strain generated. The strain generated can be used to evaluate the relative tendency of substituents to exist in an equatorial or axial location. Looking at the energy values in this table, it is clear that as the size of the substituent increases, the 1,3-diaxial energy tends to increase, also. Note that it is the size and not the molecular weight of the group that is important. Table 4.7.1 summarizes some of these strain values values.

Table 4.7.1: A Selection of  $\Delta G^\circ$  Values for the Change from Axial to Equatorial Orientation of Substituents for Monosubstituted Cyclohexanes

Substituent	$-\Delta G^\circ$ (kcal/mol)	Substituent	$-\Delta G^\circ$ (kcal/mol)
$\text{CH}_3-$	1.7	$\text{O}_2\text{N}-$	1.1
$\text{CH}_2\text{H}_5-$	1.8	$\text{N}\equiv\text{C}-$	0.2
$(\text{CH}_3)_2\text{CH}-$	2.2	$\text{CH}_3\text{O}-$	0.5
$(\text{CH}_3)_3\text{C}-$	$\geq 5.0$	$\text{HO}_2\text{C}-$	0.7
$\text{F}-$	0.3	$\text{H}_2\text{C}=\text{CH}-$	1.3
$\text{Cl}-$	0.5	$\text{C}_6\text{H}_5-$	3.0
$\text{Br}-$	0.5		
$\text{I}-$	0.5		

## EXERCISES

1) In the molecule, cyclohexyl ethyne there is little steric strain, why?



2) Calculate the energy difference between the axial and equatorial conformations of bromocyclohexane?

3) Using your answer from Question 2) estimate the percentages of axial and equatorial conformations of bromocyclohexane at  $25^\circ \text{C}$ .



4) There very little in 1,3-diaxial strain when going from a methyl substituent (3.8 kJ/mol) to an ethyl substituent (4.0 kJ/mol), why? It may help to use molecular model to answer this question.

## SOLUTIONS

1) The ethyne group is linear and therefore does not affect the hydrogens in the 1,3 positions to say to the extent as a bulkier or a bent group (e.g. ethene group) would. This leads to less of a strain on the molecule.

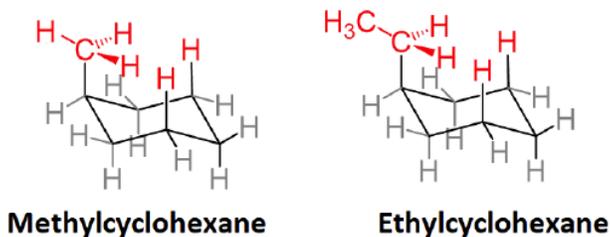


2) The equatorial conformation of bromocyclohexane will have two 1,3 diaxial interactions. The table above states that each interaction accounts for 1.2 kJ/mol of strain. The total strain in equatorial bromocyclohexane will be  $2(1.2 \text{ kJ/mol}) = 2.4 \text{ kJ/mol}$ .

3) Remembering that the axial conformation is higher in energy, the energy difference between the two conformations is  $\Delta E = (E_{\text{equatorial}} - E_{\text{axial}}) = (0 - 2.4 \text{ kJ/mol}) = -2.4 \text{ kJ/mol}$ . After converting  $^{\circ}\text{C}$  to Kelvin and kJ/mol to J/mol we can use the equation  $\Delta E = -RT \ln K$  to find that  $-\Delta E/RT = \ln K$  or  $(2.4 \times 10^3 \text{ J/mol}) / (8.313 \text{ kJ/mol K} \cdot 298 \text{ K}) = \ln K$ . From this we calculate that  $K = 2.6$ . Because the ring flip reaction is an equilibrium we can say that  $K = [\text{Equatorial}] / [\text{Axial}]$ . If assumption is made that  $[\text{Equatorial}] = X$  then  $[\text{Axial}]$  must be  $1-X$ . Plugging these values into the equilibrium expression produces  $K = [X] / [1-X]$ . After plugging in the calculated value for  $K$ ,  $X$  can be solved algebraically.  $2.6 = [X] / [1-X] \rightarrow 2.6 - 2.6X = X \rightarrow 2.6 = 3.6X \rightarrow 2.6/3.6 = X = 0.72$ . This means that bromocyclohexane is in the equatorial position 72% of the time and in the axial position 28% of the time.



4) The fact that C-C sigma bonds can freely rotate allows the ethyl substituent to obtain a conformation which places the bulky  $\text{CH}_3$  group away from the cyclohexane ring. This forces the ethyl substituent to have only have 1,3- diaxial interactions between hydrogens, which only provides a slight difference to a methyl group.



## EXERCISES

### QUESTIONS

#### Q4.7.1

In the molecule, cyclohexyl ethyne there is little steric strain, why?

### SOLUTIONS

#### S4.7.1

The ethyne group is linear and therefore does not affect the hydrogens in the 1,3 positions to the extent as a bulkier or a bent group (e.g. ethene group) would. This leads to less of a strain on the molecule.



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## 4.8: CONFORMATIONS OF DISUBSTITUTED CYCLOHEXANES

### OBJECTIVE

After completing this section, you should be able to use conformational analysis to determine the most stable conformation of a given disubstituted cyclohexane.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- conformational analysis

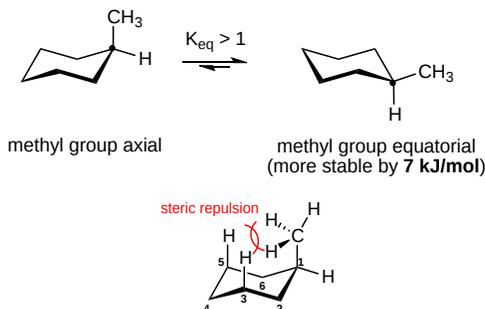
### STUDY NOTES

When faced with the problem of trying to decide which of two conformers of a given disubstituted cyclohexane is the more stable, you may find the following generalizations helpful.

- A conformation in which both substituents are equatorial will always be more stable than a conformation with both groups axial.
- When one substituent is axial and the other is equatorial, the most stable conformation will be the one with the bulkiest substituent in the equatorial position. Steric bulk decreases in the order  
tert-butyl > isopropyl > ethyl > methyl > hydroxyl > halogens

### MONOSUBSTITUTED CYCLOHEXANES

In the previous section, it was stated that the chair conformation in which the methyl group is equatorial is more stable because it minimizes steric repulsion, and thus the equilibrium favors the more stable conformer. This is true for all monosubstituted cyclohexanes. The chair conformation which places the substituent in the equatorial position will be the most stable and be favored in the ring flip equilibrium.



### DISUBSTITUTED CYCLOHEXANES

Determining the more stable chair conformation becomes more complex when there are two or more substituents attached to the cyclohexane ring. To determine the stable chair conformation, the steric effects of each substituent, along with any additional steric interactions, must be taken into account for both chair conformations.

In this section, the effect of conformations on the relative stability of disubstituted cyclohexanes is examined using the two principles:

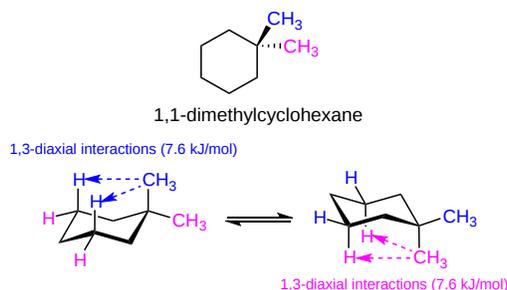
- Substituents prefer equatorial rather than axial positions in order to minimize the steric strain created of 1,3-diaxial interactions.
- The more stable conformation will place the larger substituent in the equatorial position.

#### 1,1-DISUBSTITUTED CYCLOHEXANES

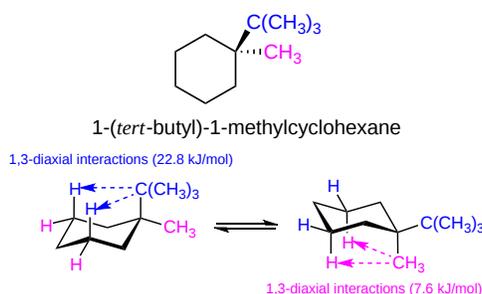
The more stable chair conformation can often be determined empirically or by using the energy values of steric interactions previously discussed in this chapter. Note, in some cases there is no discernable energy difference between the two chair conformations which means they are equally stable.

1,1-dimethylcyclohexane does not have *cis* or *trans* isomers, because both methyl groups are on the same ring carbon. Both chair conformers have one methyl group in an axial position and one methyl group in an equatorial position giving both the same relative stability. The steric strain created by the 1,3-diaxial interactions of a methyl group in an axial position (versus equatorial) is 7.6 kJ/mol (from Table 4.7.1), so both conformers will have equal amounts of steric strain. Thus, the equilibrium between the two conformers does not

favor one or the other. Note, that both methyl groups cannot be equatorial at the same time without breaking bonds and creating a different molecule.

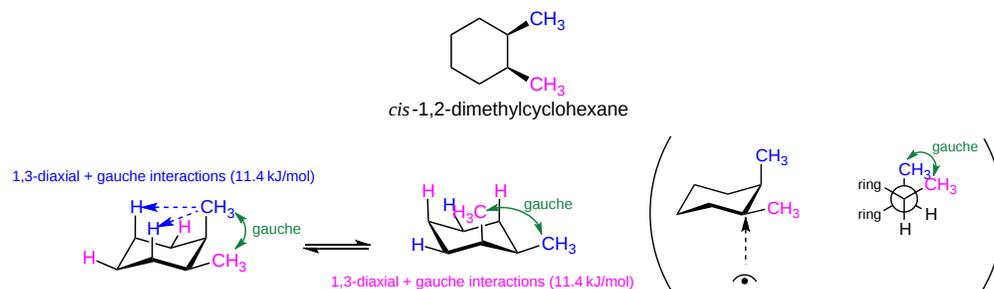


However, if the two groups are different, as in 1-*tert*-butyl-1-methylcyclohexane, then the equilibrium favors the conformer in which the larger group (*tert*-butyl in this case) is in the more stable equatorial position. The energy cost of having one *tert*-butyl group axial (versus equatorial) can be calculated from the values in table 4.7.1 and is approximately 22.8 kJ/mol. The conformer with the *tert*-butyl group axial is approximately 15.2 kJ/mol (22.8 kJ/mol - 7.6 kJ/mol) less stable than the conformer with the *tert*-butyl group equatorial. Solving for the equilibrium constant *K* shows that the equatorial is preferred about 460:1 over axial. This means that 1-*tert*-butyl-1-methylcyclohexane will spend the majority of its time in the more stable conformation, with the *tert*-butyl group in the equatorial position.

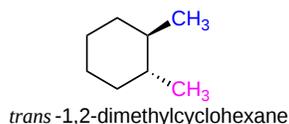


### CIS AND TRANS STEREOISOMERS OF 1,2-DIMETHYLCYCLOHEXANE

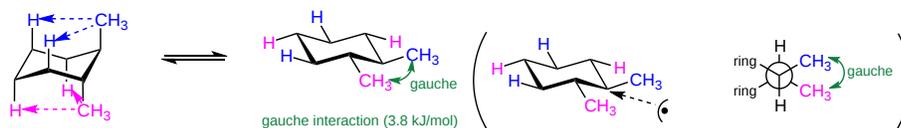
In *cis*-1,2-dimethylcyclohexane, both chair conformations have one methyl group equatorial and one methyl group axial. As previously discussed, the axial methyl group creates 7.6 kJ/mol of steric strain due to 1,3-diaxial interactions. It is important to note, that both chair conformations also have an additional 3.8 kJ/mol of steric strain created by a *gauche* interaction between the two methyl groups. Overall, both chair conformations have 11.4 kJ/mol of steric strain and are of equal stability.



In *trans*-1,2-dimethylcyclohexane, one chair conformer has both methyl groups axial and the other conformer has both methyl groups equatorial. The conformer with both methyl groups equatorial has no 1,3-diaxial interactions however there is still 3.8 kJ/mol of strain created by a *gauche* interaction. The conformer with both methyl groups axial has four 1,3-Diaxial interactions which creates  $2 \times 7.6$  kJ/mol (15.2 kJ/mol) of steric strain. This conformer is (15.2 kJ/mol - 3.8 kJ/mol) 11.4 kJ/mol less stable than the other conformer. The equilibrium will therefore favor the conformer with both methyl groups in the equatorial position.

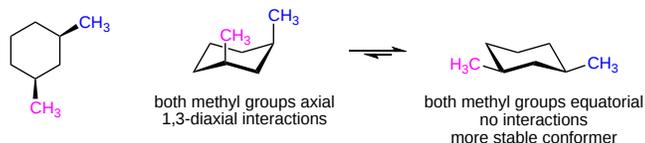


two 1,3-diaxial interactions (15.2 kJ/mol)

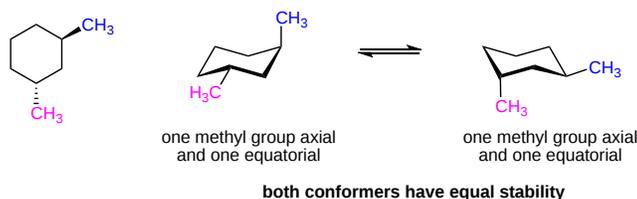


## CIS AND TRANS STEREOISOMERS OF 1,3-DIMETHYLCYCLOHEXANE

A similar conformational analysis can be made for the *cis* and *trans* stereoisomers of 1,3-dimethylcyclohexane. For *cis*-1,3-dimethylcyclohexane one chair conformation has both methyl groups in axial positions creating 1,3-diaxial interactions. The other conformer has both methyl groups in equatorial positions thus creating no 1,3-diaxial interaction. Because the methyl groups are not on adjacent carbons in the cyclohexane rings gauche interactions are not possible. Even without energy calculations it is simple to determine that the conformer with both methyl groups in the equatorial position will be the more stable conformer.



For *trans*-1,3-dimethylcyclohexane both conformations have one methyl axial and one methyl group equatorial. Each conformer has one methyl group creating a 1,3-diaxial interaction so both are of equal stability.



## SUMMARY OF DISUBSTITUED CYCLOHEXANE CHAIR CONFORMATIONS

When considering the conformational analyses discussed above a pattern begins to form. There are only two possible relationships which can occur between ring-flip chair conformations:

- 1) AA/EE: One chair conformation places both substituents in axial positions creating 1,3-diaxial interactions. The other conformer places both substituents in equatorial positions creating no 1,3-diaxial interactions. This diequatorial conformer is the more stable regardless of the substituents.
- 2) AE/EA: Each chair conformation places one substituent in the axial position and one substituent in the equatorial position. If the substituents are the same, there will be equal 1,3-diaxial interactions in both conformers making them equal in stability. However, if the substituents are different then different 1,3-diaxial interactions will occur. The chair conformation which places the larger substituent in the equatorial position will be favored.

Substitution type	Chair Conformation Relationship
<i>cis</i> -1,2-disubstituted cyclohexanes	AE/EA
<i>trans</i> -1,2-disubstituted cyclohexanes	AA/EE
<i>cis</i> -1,3-disubstituted cyclohexanes	AA/EE
<i>trans</i> -1,3-disubstituted cyclohexanes	AE/EA
<i>cis</i> -1,4-disubstituted cyclohexanes	AE/EA
<i>trans</i> -1,4-disubstituted cyclohexanes	AA/EE

### ✓ EXAMPLE 4.8.1

For *cis*-1-chloro-4-methylcyclohexane, draw the most stable chair conformation and determine the energy difference between the two chair conformers.

#### Solution

Based on the table above, *cis*-1,4-disubstituted cyclohexanes should have two chair conformations each with one substituent axial and one equatorial. Based on this, we can surmise that the energy difference of the two chair conformations will be based on the difference in the 1,3-diaxial interactions created by the methyl and chloro substituents.

1,3-diaxial interactions (7.6 kJ/mol)



1,3-diaxial interactions (2.0 kJ/mol)

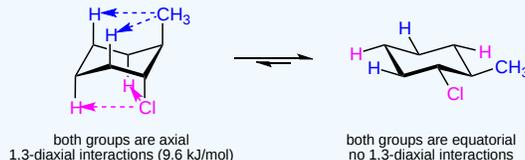
As predicted, each chair conformer places one of the substituents in the axial position. Because the methyl group is larger and has a greater 1,3-diaxial interaction than the chloro, the most stable conformer will place it in the equatorial position, as shown in the structure on the right. Using the 1,3-diaxial energy values given in the previous sections we can calculate that the conformer on the right is (7.6 kJ/mol - 2.0 kJ/mol) 5.6 kJ/mol more stable than the other.

### ✓ EXAMPLE 4.8.2

For *trans*-1-chloro-2-methylcyclohexane, draw the most stable chair conformation and determine the energy difference between the two chair conformers.

#### Solution

Based on the table above, *trans*-1,2-disubstituted cyclohexanes should have one chair conformation with both substituents axial and one conformation with both substituents equatorial. Based on this, we can predict that the conformer which places both substituents equatorial will be the more stable conformer. The energy difference of the two chair conformations will be based on the 1,3-diaxial interactions created by both the methyl and chloro substituents.



both groups are axial  
1,3-diaxial interactions (9.6 kJ/mol)

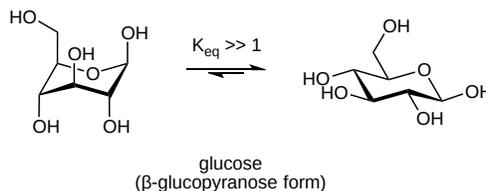
both groups are equatorial  
no 1,3-diaxial interactions

As predicted, one chair conformer places both substituents in the axial position and the other places both substituents equatorial. The more stable conformer will place both substituents in the equatorial position, as shown in the structure on the right. Using the 1,3-diaxial energy values given in the previous sections we can calculate that the conformer on the right is (7.6 kJ/mol + 2.0 kJ/mol) 9.6 kJ/mol more stable than the other.

## CONFORMATIONAL ANALYSIS OF COMPLEX SIX MEMBERED RING STRUCTURES

Cyclohexane can have more than two substituents. Also, there are multiple six membered rings which contain atoms other than carbon. All of these systems usually form chair conformations and follow the same steric constraints discussed in this section. Because the most commonly found rings in nature are six membered, conformational analysis can often help in understanding the usual shapes of some biologically important molecules. In complex six membered ring structures a direct calculation of 1,3-diaxial energy values may be difficult. In these cases a determination of the more stable chair conformer can be made by empirically applying the principles of steric interactions.

A later chapter will discuss how many sugars can exist in cyclic forms which are often six membered rings. When in an aqueous solution the six carbon sugar, glucose, is usually a six membered ring adopting a chair conformation. When looking at the two possible ring-clip chair conformations, one has all of the substituents axial and the other has all the substituents equatorial. Even without a calculation, it is clear that the conformation with all equatorial substituents is the most stable and glucose will most commonly be found in this conformation.



glucose  
( $\beta$ -glucopyranose form)

### ✓ EXAMPLE 4.8.3

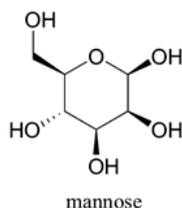
The six carbon sugar, fructose, in aqueous solution is also a six-membered ring in a chair conformation. Which of the two possible chair conformations would be expected to be the most stable?

### Solution

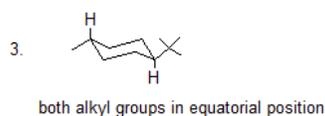
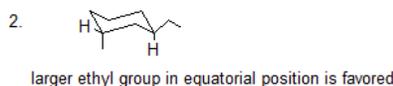
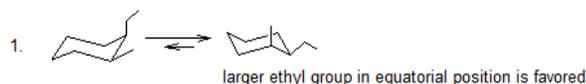
The lower energy chair conformation is the one with three of the five substituents (including the bulky  $-\text{CH}_2\text{OH}$  group) in the equatorial position (pictured on the right). The left structure has 3 equatorial substituents while the structure on the right only has two equatorial substituents.

### EXERCISES

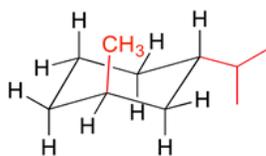
1. Draw the two chair conformations for *cis*-1-ethyl-2-methylcyclohexane using bond-line structures and indicate the more energetically favored conformation.
2. Draw the most stable conformation for *trans*-1-ethyl-3-methylcyclohexane using bond-line structures.
3. Draw the most stable conformation for *trans*-1-*t*-butyl-4-methylcyclohexane using bond-line structures.
4. Draw the most stable conformation for *trans*-1-isopropyl-3-methylcyclohexane.
5. Can a 'ring flip' change a *cis*-disubstituted cyclohexane to *trans*? Explain.
6. Draw the two chair conformations of the six-carbon sugar mannose, being sure to clearly show each non-hydrogen substituent as axial or equatorial. Predict which conformation is likely to be more stable, and explain why.



### SOLUTIONS



4.



The bulkier isopropyl group is in the equatorial position.

5. No. In order to change the relationship of two substituents on a ring from *cis* to *trans*, you would need to break and reform two covalent bonds. Ring flips involve only *rotation* of single bonds.

6.

## EXERCISES

### QUESTIONS

#### Q4.8.1

For the following molecules draw the most stable chair conformation and explain why you chose this as an answer

1 = *trans*-1,2-dimethylcyclohexane

2 = *cis*-1,3-dimethylcyclohexane

### SOLUTIONS

#### S4.8.1

1 – The most stable conformation would be to have the methyl groups equatorial reducing steric interaction

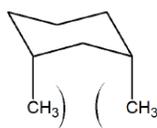
2 – The most stable conformation would be to have the groups equatorial this would reduce the strain if they were axial



1



2




---

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## 4.9: CONFORMATIONS OF POLYCYCLIC MOLECULES

### OBJECTIVE

After completing this section, you should be able to draw the structures and construct molecular models of simple polycyclic molecules.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bridgehead carbon atom
- polycyclic molecule

### STUDY NOTES

A *bridgehead carbon atom* is a carbon atom which is shared by at least two rings. The hydrogen atom which is attached to a bridgehead carbon may be referred to as a bridgehead hydrogen.

Note that bicyclo[2.2.1]heptane is the systematic name of norbornane. You need not be concerned over the IUPAC name of norbornane. The nomenclature of compounds of this type is beyond the scope of this course.

### NOMENCLATURE OF BICYCLIC RING SYSTEMS

There are many hydrocarbons and hydrocarbon derivatives with two rings having common carbon atoms. There are three main ways that the two rings can be connected. The first is called a **fused bicyclic** ring structure where the two rings share a covalent bond and have two **bridgehead** carbons (marked in red on the structures below). A bridgehead is defined as a carbon that is part of two or more rings. Hydrogens attached to bridge head carbons are often referred to as bridge head hydrogens. The two rings can also be connected by a bridge containing one or more carbons to form a **bridged bicyclic** molecule. Lastly, the two rings can be joined with a single bridge head carbon to form **spiro bicyclic** molecules.



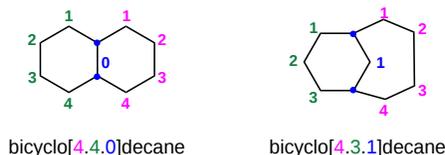
• = bridgehead carbons

#### Bicyclic Isomers of $C_{10}H_{18}$

### NAMING FUSED AND BRIDGED COMPOUNDS

Fused and bridged bicyclic compounds follow similar naming conventions:

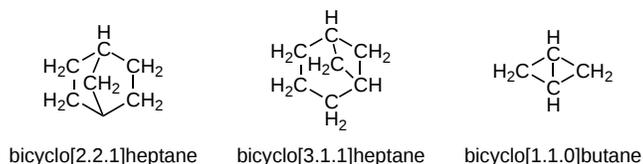
1. Count the total number of carbons in both rings. This is the parent name. (eg. ten carbons in the system would be decane)
2. Count the number of carbons between the bridgeheads, then place the numbers in square brackets in descending order separated by periods. Fused and bridged bicyclic compounds should have three numbers such as [2.2.0]. For fused compounds one of the numbers should be zero.
3. Place the word **bicyclo** at the beginning of the name.



bicyclo[4.4.0]decane

bicyclo[4.3.1]decane

Examples with carbons and hydrogens explicitly shown:



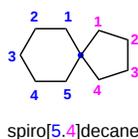
bicyclo[2.2.1]heptane

bicyclo[3.1.1]heptane

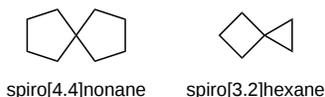
bicyclo[1.1.0]butane

## NAMING SPIRO COMPOUNDS

Spiro bicyclics are named using the same basic rules. Because there is only one bridgehead carbon only two numbers will be required in the brackets. Also, the word **spiro** is placed at the beginning.



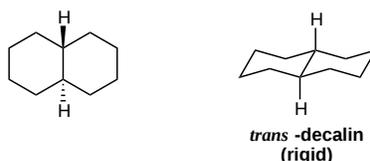
## EXAMPLES



## CONFORMATIONS IN BICYCLIC RING SYSTEMS

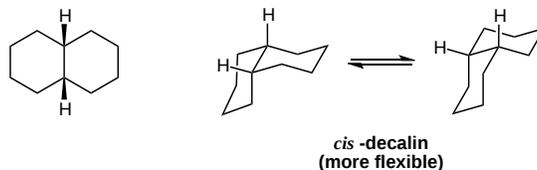
As expected, the connection of two rings has defined effects on the possible conformations. However, the ideas previously discussed in this chapter can be used for conformational analysis. Fused rings have the possibility of two isomers where the bridgehead hydrogens are either *cis* or *trans* along the shared bond. These two isomers have significant differences in flexibility and stability as seen in bicyclo[4,4,0]decane more commonly known as decalin. If the positioning of the bridgehead hydrogens are shown in a fused ring the prefix *cis* or *trans* should be included in the name.

The *trans*-isomer is the easiest to describe because the fusion of the two rings creates a rigid, roughly planar, structure made up of two chair conformations. Unlike cyclohexane, the two rings cannot flip from one chair form to another. Accordingly, the orientation of the any substituents is fixed in either an axial or equatorial position in *trans*-decalin. This means that the C-C bonds coming away from the fused edge are held in equatorial positions relative to each ring thus preventing the possibility of any 1,3-diaxial interactions occurring between ring atoms.

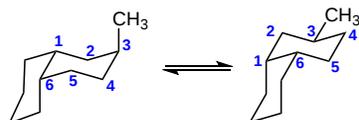


2kcal mol<sup>-1</sup> id="MathJax-Element-1-Frame" role="presentation" style="position:relative;" tabindex="0">

The two rings in *cis*-decalin are also both held in a chair conformations. In comparison, the chair-chair forms of *cis*-decalin are relatively flexible, and inversion of both rings at once occurs fairly easily.



The flexibility of *cis*-decalin allows for a substituent to interconvert between axial and equatorial conformations. In much the same fashion as cyclohexane, equatorial substituents tend to create less steric strain and create a more stable conformer.



(Note: atoms are mapped in blue for clarity.)

A major difference in *cis*-decalin is the fact that one of C-C bonds coming away from the fused edge is held in an axial position. This is true in both ring-flip conformations. This axial C-C bond causes 1,3-diaxial interactions to occur in *cis*-decalin making it roughly 8.4 kJ/mol less stable than *trans*-decalin. This amount of 1,3-diaxial steric strain is roughly equivalent to that of an ethyl substituent attached to a cyclohexane ring (8.0 kJ/mol)



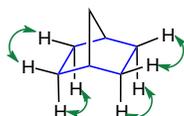
X = steric strain

Bicyclic compounds with a bridge typically have very little flexibility and are often held in a ridged conformation. The molecule norbornane represent a cyclohexane ring connected by a single carbon bridge.



norbornane, or  
bicyclo[2.2.1]heptane

Norbornane is estimated to have 72 kJ/mol of ring strain which can be understood when viewing the contained rings. The carbon bridge in norbornane holds the cyclohexane ring at the bottom in a boat conformation creating torsional strain from eclipsing bonds along the edge.



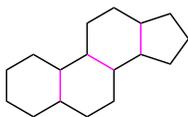
(green arrows used to illustrate eclipsing hydrogens)

Also, the carbon bridge forms a cyclopentane ring (shown in red below making up the right side of the structure) with increased angle strain throughout the whole molecule.

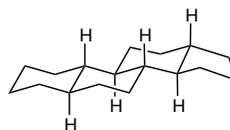


## POLYCYCLIC SYSTEMS IN NATURE

Fused ring systems like decalin are very common in natural products. In fact, similar ring systems are found in steroids, which are an important class of lipids. Steroids generally have structures that include three six-membered rings and a five-membered ring connected by three fused bonds. Most natural steroids have a *trans* configuration at all three fusion points. This tends to give steroids a rigid and semi-flat structure.

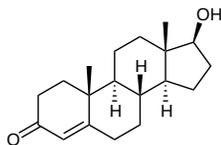


basic steroid ring configuration  
(fused bonds highlighted in purple)

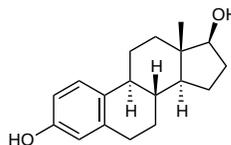


trans-trans-trans

Sex hormones are an example of steroids. The primary male hormone, testosterone, is responsible for the development of secondary sex characteristics. Two female sex hormones, progesterone and estrogen (or estradiol) control the ovulation cycle. Notice that the male and female hormones have only slight differences in structures, but yet have very different physiological effects. Testosterone promotes the normal development of male genital organs and is synthesized from cholesterol in the testes. It also promotes secondary male sexual characteristics such as deep voice, facial and body hair.

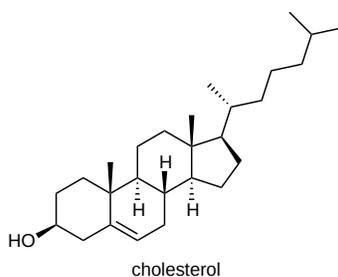


testosterone



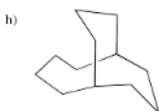
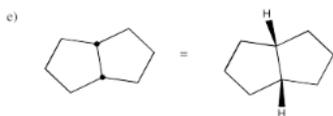
estradiol

The best known and most abundant steroid in the body is cholesterol. Cholesterol is formed in brain tissue, nerve tissue, and the blood stream. It is the major compound found in gallstones and bile salts. Cholesterol also contributes to the formation of deposits on the inner walls of blood vessels. These deposits harden and obstruct the flow of blood. This condition, known as atherosclerosis, results in various heart diseases, strokes, and high blood pressure.



## EXERCISES

1)



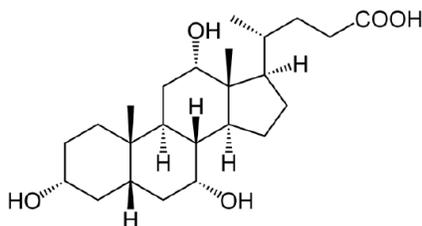
i)



j)



3) The following molecule is cholic acid. Determine if the three fused bonds have a *cis* or *trans* configuration.



## SOLUTIONS

1)

a) Bicyclo[2.1.1]hexane

b) Bicyclo[3.2.1]octane

c) Bicyclo[2.1.0]pentane (more commonly called "housane")

d) Bicyclo[2.2.2]octane

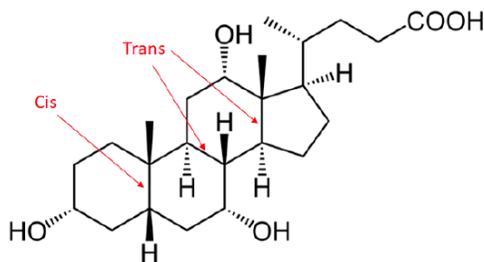
e) *cis*-Bicyclo[3.3.0]octane

f) *cis*-Bicyclo[1.1.0]butane

g) Bicyclo[1.1.1]pentane

h) Bicyclo[4.3.3]dodecane

- i) Spiro[5.2]octane
- j) Spiro[3.3]heptane
- 2)



### QUESTIONS

#### Q4.9.1

Someone stated that *trans*-decalin is more stable than *cis*-decalin. Explain why this is incorrect.

### SOLUTIONS

#### S4.9.1

*Cis*-decalin has fewer steric interactions than *trans*-decalin.

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## 4.S: ORGANIC COMPOUNDS- CYCLOALKANES AND THEIR STEREOCHEMISTRY (SUMMARY)

### CONCEPTS & VOCABULARY

#### 4.1: Naming Cycloalkanes

- Cycloalkanes are saturated hydrocarbons that have the generic formula  $C_nH_{2n}$ , where  $n$  is the number of carbons in the ring.
- The IUPAC rules for naming cycloalkanes is very similar to the rules used for naming alkanes.

#### 4.2: Cis-Trans Isomerism in Cycloalkanes

- Stereoisomers** are molecules that have the same molecular formula, the same atom connectivity, but they differ in the relative spatial orientation of the atoms.
- Di-substituted cycloalkanes exhibit *cis*- / *trans*- stereoisomerism. The *cis*- isomer has both substituents on the same face of the ring, while the *trans*- isomer has groups on opposite faces of the ring.

#### 4.3: Stability of Cycloalkanes - Ring Strain

- Ring strain** is the total strain in a ring due to **torsional strain**, **steric strain** and **angle strain**.
- Angle strain is when the C-C-C bond angles in rings are different than  $109.5^\circ$ , the optimal bond angle for  $sp^3$  hybridized carbons.
- Ring strain causes small cycloalkanes, like cyclopropane and cyclobutane, to be much less stable than other cycloalkanes.

#### 4.4: Conformations of Cycloalkanes

- Cyclopentane has less ring strain than cyclopropane and cyclobutane, because its ring carbons have more flexibility to rotate away from planarity, resulting in lower angle and torsional strains.

#### 4.5: Conformations of Cyclohexane

- Cyclohexane has significantly lower ring strain than smaller cycloalkanes, because cyclohexane can adopt non-planar structures, which minimize angle strain and torsional strain.
- The common non-planar structures of cyclohexane are the boat, twist-boat, and chair conformations. The most stable, and hence, the most common, is the chair conformation.

#### 4.6: Axial and Equatorial Bonds in Cyclohexane

- The two chair conformations of cyclohexane interconvert rapidly at room temperature in a process called **chair flip** or **ring flip**.
- In the chair conformation of cyclohexane, of the two groups attached to each ring carbon, one of the groups occupies the **axial** position, while the other group occupies the **equatorial** position.
- A group that was axial will switch to the equatorial position during a ring flip, and vice versa.

#### 4.7: Conformations of Monosubstituted Cyclohexanes

- To minimize the steric effects of **1,3-diaxial interactions**, the single group on a monosubstituted cyclohexane ring will prefer to be in the equatorial position over the axial position. The larger the group, the greater is the preference shifts.

#### 4.8: Conformations of Disubstituted Cyclohexanes

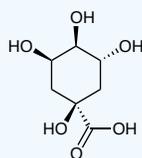
- The preference for large groups to be in the equatorial position effects the relative stability of the *cis* and *trans* isomers of disubstituted cyclohexanes. **Conformational analysis** is the process used to determine which isomer, *cis* or *trans*, is most stable.

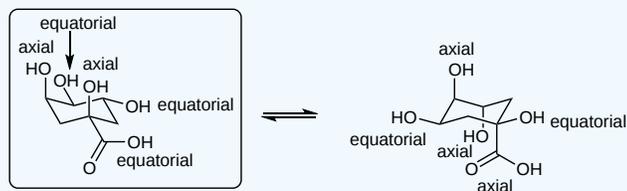
#### 4.9: Conformations of Polycyclic Molecules

### SUMMARY PROBLEMS

#### ? EXERCISE 4.S.1

The following molecule, quinic acid, is a natural product that can be obtained from a variety of sources including the coffee bean. Draw both chair conformations for this molecule, identify each substituent in both structures as axial or equatorial, and clearly indicate which chair conformation is the most stable.

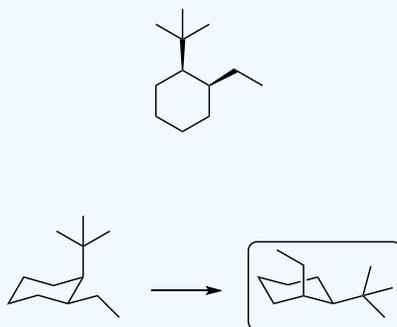


**Answer**


The circled conformation is more stable because it has more equatorial substituents (3 versus 2) and the largest group (the carboxylic acid) is equatorial.

**? EXERCISE 4.S.2**

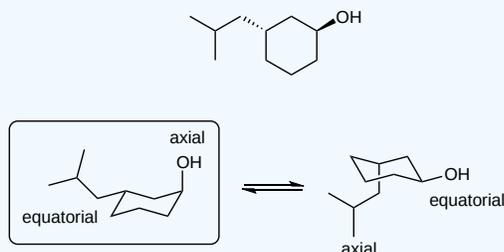
Convert the following name to a skeletal structure: *cis*-1-*t*-butyl-2-ethylcyclohexane. Then, draw this molecule in a chair conformation and perform a ring flip. Circle the most stable of the two conformations.

**Answer**


Remember that, due to its large size, the *t*-butyl substituent locks the cyclohexane ring into one conformation with the *t*-butyl in the equatorial position. Thus, this isn't an equilibrium. It exists only as the circled conformation.

**? EXERCISE 4.S.3**

Convert the following name to a skeletal structure: *trans*-3-isobutylcyclohexanol. Then, draw the two chair conformations, label substituents as axial or equatorial, and circle the more stable conformation.

**Answer**


The circled molecule is most stable because the larger substituent is equatorial.

**SKILLS TO MASTER**

- Skill 4.1 Be able to name and draw cycloalkanes
- Skill 4.2 Identify and draw the *cis*- and *trans*- stereoisomers of disubstituted cycloalkanes.
- Skill 4.3 Determine the effects of torsional strain, steric strain, and angle strain on the overall ring strain of a cycloalkane.

- Skill 4.4 Draw the chair conformers of cyclohexane.
- Skill 4.5 Draw and identify the axial and equatorial positions in a chair conformer of cyclohexane and its ring-flip conformer.
- Skill 4.6 Use conformational analysis to determine the most stable stereoisomer in disubstituted and polysubstituted cyclohexanes.

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## CHAPTER OVERVIEW

### 5: STEREOCHEMISTRY AT TETRAHEDRAL CENTERS

#### LEARNING OBJECTIVES

After you have completed Chapter 5, you should be able to

- fulfill all of the detailed objectives listed under each individual section.
- use molecular models in solving problems on stereochemistry.
- solve road-map problems that include stereochemical information.
- define, and use in context, the new key terms.

This chapter introduces the concept of chirality, and discusses the structure of compounds containing one or two chiral centers. A convenient method of representing the three-dimensional arrangement of the atoms in chiral compounds is explained; furthermore, throughout the chapter, considerable emphasis is placed on the use of molecular models to assist in the understanding of the phenomenon of chirality. The chapter continues with an examination of stereochemistry—the three-dimensional nature of molecules. The subject is introduced using the experimental observation that certain substances have the ability to rotate plane-polarized light. Finally, certain reactions of alkenes are re-examined in the light of the new material encountered in this chapter.

[5.0: Chapter Objectives and Introduction](#)

[5.1: Enantiomers and the Tetrahedral Carbon](#)

[5.2: The Reason for Handedness in Molecules - Chirality](#)

[5.3: Optical Activity](#)

[5.4: Pasteur's Discovery of Enantiomers](#)

[5.5: Sequence Rules for Specifying Configuration](#)

[5.6: Diastereomers](#)

[5.7: Meso Compounds](#)

[5.8: Racemic Mixtures and the Resolution of Enantiomers](#)

[5.9: A Review of Isomerism](#)

[5.10: Chirality at Nitrogen, Phosphorus, and Sulfur](#)

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[5.S: Stereochemistry at Tetrahedral Centers \(Summary\)](#)

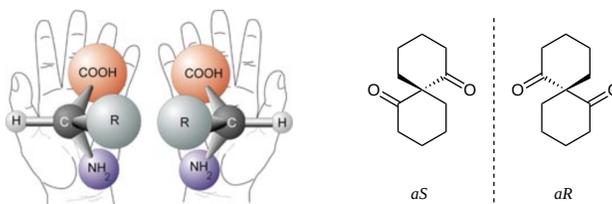
[5.xx: Enantiomers and Diastereomers](#)

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## 5.0: CHAPTER OBJECTIVES AND INTRODUCTION

The opposite of chiral is **achiral**. Achiral objects are superimposable with their mirror images. If the molecules are superimposable, they are identical to each other. For example, two pieces of paper are achiral. In contrast, **chiral** objects, like our hands, are non-superimposable mirror images of each other. Try to line up your left hand perfectly with your right hand, so that the palms are both facing in the same directions. Spend about a minute doing this. Do you see that they cannot line up exactly?



The same thing applies to some molecules. A chiral molecule has a mirror image that cannot line up with it perfectly - the mirror images are non-superimposable. This pair of non-superimposable mirror image molecules are called **enantiomers**. But why are chiral molecules so interesting? Just like your left hand will not fit properly in your right glove, one of the enantiomers of a molecule may not work the same way in your body, as the other. It turns out that many of the biological molecules such as our DNA, amino acids and sugars, are chiral molecules.

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## 5.1: ENANTIOMERS AND THE TETRAHEDRAL CARBON

### OBJECTIVES

After completing this section, you should be able to

1. use molecular models to show that only a tetrahedral carbon atom satisfactorily accounts for the lack of isomerism in molecules of the type  $\text{CH}_2\text{XY}$ , and for the existence of optical isomerism in molecules of the type  $\text{CHXYZ}$ .
2. determine whether two differently oriented wedge-and-broken-line structures are identical or represent a pair of enantiomers.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

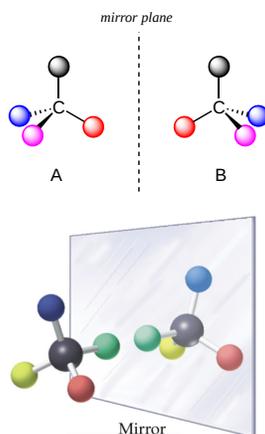
- enantiomer

### STUDY NOTES

Stereoisomers are isomers that differ in spatial arrangement of atoms, rather than order of atomic connectivity. One of the most interesting types of isomer is the mirror-image stereoisomer, a non-superimposable set of two molecules that are mirror images of one another. The existence of these molecules are determined by a concept known as **chirality**. The word "chiral" was derived from the Greek word for hand, because our hands are a good example of chirality since they are non-superimposable mirror images of each other.

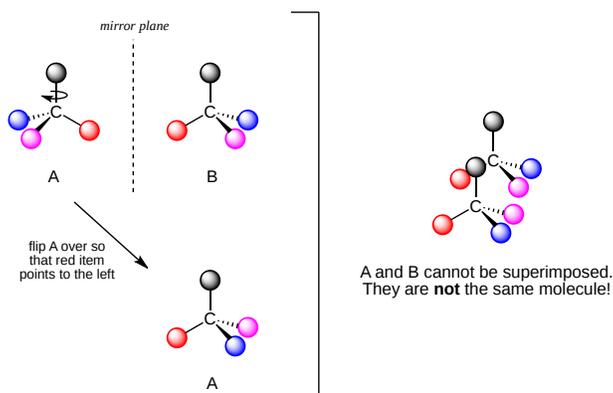
### CHIRAL MOLECULES

The term **chiral**, from the Greek work for 'hand', refers to anything which cannot be superimposed on its own mirror image. Certain organic molecules are chiral meaning that they are not superimposable on their mirror image. Chiral molecules contain one or more **chiral centers**, which are almost always tetrahedral ( $sp^3$ -hybridized) carbons with four different substituents. Consider the molecule A below: a tetrahedral carbon, with four different substituents denoted by balls of four different colors.



The mirror image of A, which we will call B, is drawn on the right side of the figure, and an imaginary mirror is in the middle. Notice that every point on A lines up through the mirror with the same point on B: in other words, if A looked in the mirror, it would see B looking back.

Now, if we flip compound A over and try to superimpose it point for point on compound B, we find that we cannot do it: if we superimpose any two colored balls, then the other two are misaligned.



A is not superimposable on its mirror image (B), thus by definition A is a chiral molecule. It follows that B also is not superimposable on its mirror image (A), and thus it is also a chiral molecule.

A and B are called **stereoisomers or optical isomers**: molecules with the same molecular formula and the same bonding arrangement, but a *different arrangement of atoms in space*. **Enantiomers** are pairs of stereoisomers which are mirror images of each other: thus, A and B are enantiomers. It should be self-evident that a chiral molecule will always have one (and *only one*) enantiomer: enantiomers come in pairs. Enantiomers have identical physical properties (melting point, boiling point, density, and so on). However, enantiomers do differ in how they interact with polarized light (we will learn more about this soon) and they may also interact in very different ways with other chiral molecules - proteins, for example. We will begin to explore this last idea in later in this chapter, and see many examples throughout the remainder of our study of biological organic chemistry.

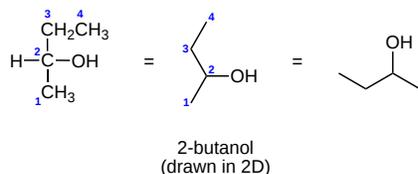
### THE MANY SYNONYMS OF THE CHIRAL CARBON

Be aware - all of the following terms can be used to describe a chiral carbon.

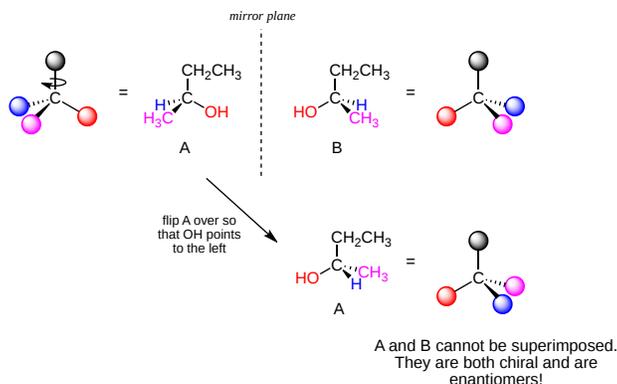
chiral carbon = asymmetric carbon = optically active carbon = stereo carbon = stereo center = chiral center

Let's apply our chirality discussion to real molecules.

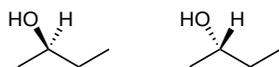
Consider 2-butanol, drawn in two dimensions below.



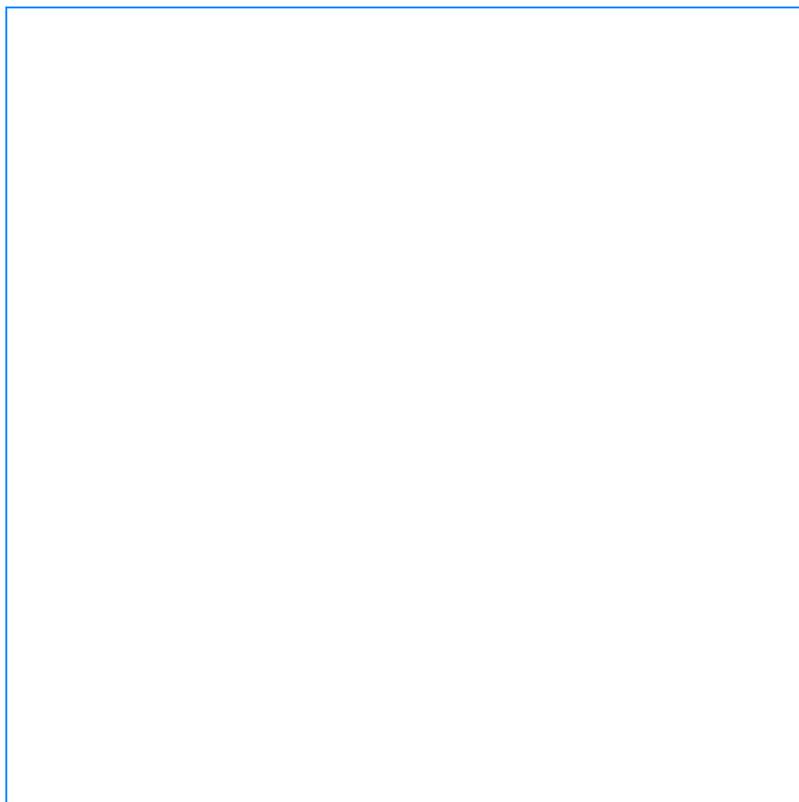
Carbon #2 is a chiral center: it is  $sp^3$ -hybridized and tetrahedral (even though it is not drawn that way above), and the four substituents attached to it are different: a hydrogen (H), a methyl ( $-CH_3$ ) group, an ethyl ( $-CH_2CH_3$ ) group, and a hydroxyl (OH) group. If the bonding at  $C_2$  of 2-butanol is drawn in three dimensions and this structure called A. Then the mirror image of A can be drawn to form structure B.



When we try to superimpose A onto B, we find that we cannot do it. Because structure A and B are not superimposable on their mirror image they are both chiral molecules. Because A and B are different due only to the arrangement of atoms in space they are stereoisomers. Because A and B are mirror images of each other they are also enantiomers. When looking at simplified line structures is clear that there are two distinct ways of drawing 2-butanol which only differ in their spatial arrangement around a chiral carbon.



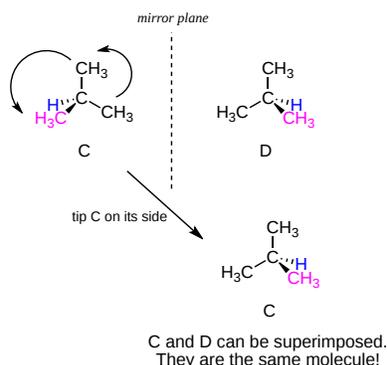
two enantiomers of 2-butanol



The 3D Structures of the Two Enantiomers of 2-Butanol

For comparison, 2-propanol, is an achiral molecule because it lacks a chiral carbon. Carbon #2 is bonded to two identical substituents (methyl groups), and so it is not a chiral carbon. Being achiral means that 2-propanol should be superimposable on its mirror image which is shown in the figure below. A more detailed explanation on why 2-propanol is achiral will be given in the next section.

### 2-propanol is achiral:



## STEREISOMERS

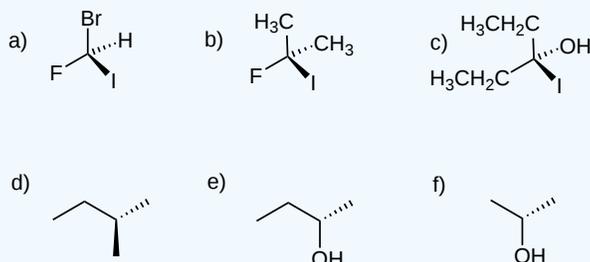
Stereoisomers have been defined as molecules with the same connectivity but different arrangements of the atoms in space. It is important to note that there are two types of stereoisomers: geometric and optical.

**Optical isomers** are molecules whose structures are mirror images but cannot be superimposed on one another in any orientation. Optical isomers have identical physical properties, although their chemical properties may differ in asymmetric environments. Molecules that are nonsuperimposable mirror images of each other are said to be chiral.

**Geometric isomers** differ in the relative position(s) of substituents in a rigid molecule. Simple rotation about a C–C  $\sigma$  bond in an alkene, for example, cannot occur because of the presence of the  $\pi$  bond. The substituents are therefore rigidly locked into a particular spatial arrangement. Thus a carbon–carbon multiple bond, or in some cases a ring, prevents one geometric isomer from being readily converted to the other. The members of an isomeric pair are identified as either cis or trans, and interconversion between the two forms requires breaking and reforming one or more bonds. Because their structural difference causes them to have different physical and chemical properties, cis and trans isomers are actually two distinct chemical compounds.

### ? EXERCISE 5.1.1

Identify the following molecules as chiral or achiral.

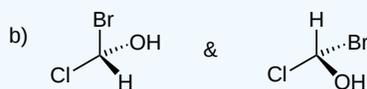
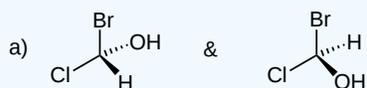


#### Answer

- chiral (4 different groups off C)
- achiral (2 identical  $-\text{CH}_3$  substituents off central C)
- achiral (2 identical  $-\text{CH}_2\text{CH}_3$  substituents off central C)
- achiral (2 identical  $\text{CH}_3$  substituents off carbon 2)
- chiral (4 different groups off carbon 2)
- achiral (2 identical  $\text{CH}_3$  substituents off central C)

## ? EXERCISE 5.1.2

Determine if the following sets of compounds in each group are enantiomers or the same compound.



### Answer

- a) enantiomers – non superimposable mirror images
- b) same compound – when you rotate the molecule on the right it is identical to the one on the left
- c) enantiomers – non superimposable mirror images
- d) enantiomers – non superimposable mirror images

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## 5.2: THE REASON FOR HANDEDNESS IN MOLECULES - CHIRALITY

### OBJECTIVES

After completing this section, you should be able to

1. determine whether or not a compound is chiral, given its Kekulé, condensed or shorthand structure, with or without the aid of molecular models.
2. label the chiral centres (carbon atoms) in a given Kekulé, condensed or shorthand structure.

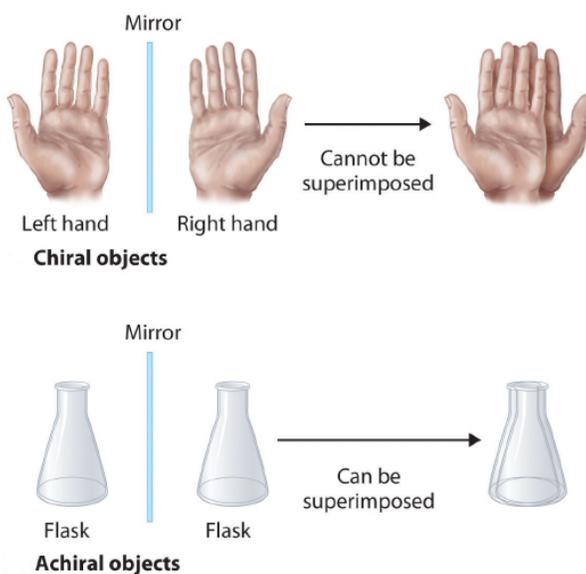
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

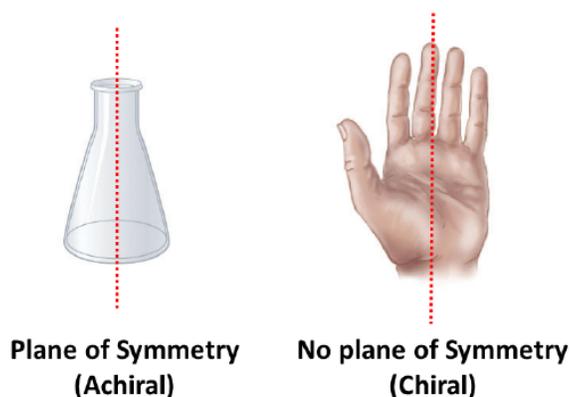
- achiral
- chiral
- chiral (stereogenic) centre
- plane of symmetry

### SYMMETRY AND CHIRALITY

Molecules that are nonsuperimposable mirror images of each other are said to be chiral (pronounced “ky-ral,” from the Greek cheir, meaning “hand”). Examples of some familiar chiral objects are your hands. Your left and right hands are nonsuperimposable mirror images. (Try putting your right shoe on your left foot—it just doesn’t work.) An achiral object is one that can be superimposed on its mirror image, as shown by the superimposed flasks 25.7.1b in the figure below.

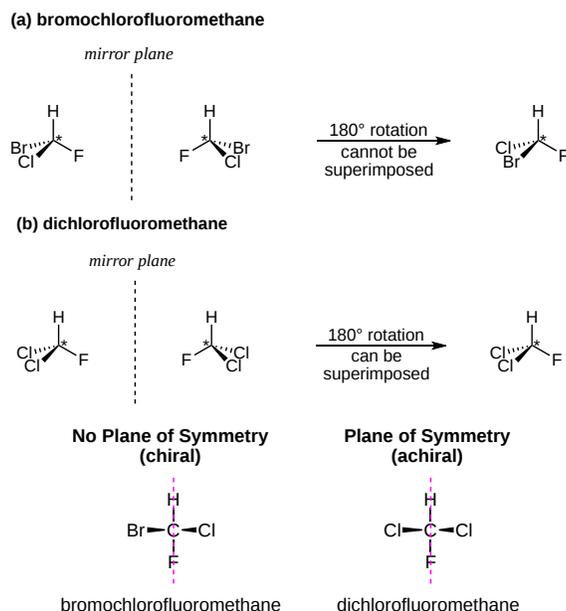


An important question is why is one chiral and the other not? The answer is that the flask has a plane of symmetry and your hand does not. A plane of symmetry is a plane or a line through an object which divides the object into two halves that are mirror images of each other. When looking at the flask, a line can be drawn down the middle which separates it into two mirror image halves. However, a similar line down the middle of a hand separates it into two non-mirror image halves. This idea can be used to predict chirality. If an object or molecule has a plane of symmetry it is achiral. If it lacks a plane of symmetry it is chiral.



Symmetry can be used to explain why a carbon bonded to four different substituents is chiral. When a carbon is bonded to fewer than four different substituents it will have a plane of symmetry making it achiral. A carbon atom that is bonded to four different substituents loses all symmetry, and is often referred to as an asymmetric carbon. The lack of a plane of symmetry makes the carbon chiral. The presence of a single chiral carbon atom sufficient to render the molecule chiral, and modern terminology refers to such groupings as chiral centers or stereo centers.

An example is shown in the bromochlorofluoromethane molecule shown in part (a) of the figure below. This carbon, is attached to four different substituents making it chiral, which is often designated by an asterisk in structural drawings. If the bromine atom is replaced by another chlorine to make dichlorofluoromethane, as shown in part (b) below, the molecule and its mirror image can now be superimposed by simple rotation. Thus the carbon is no longer a chiral center. Upon comparison, bromochlorofluoromethane lacks a plane of symmetry while dichlorofluoromethane has a plane of symmetry.

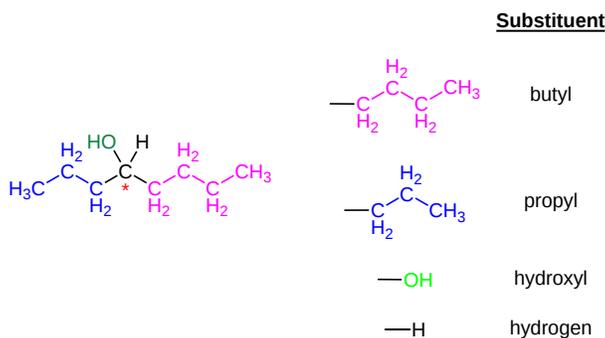


## IDENTIFYING CHIRAL CARBONS

Identifying chiral carbons in a molecule is an important skill for organic chemists. The presence of a chiral carbon presents the possibility of a molecule having multiple stereoisomers. Most of the chiral centers we shall discuss in this chapter are asymmetric carbon atoms, but it should be recognized that other tetrahedral or pyramidal atoms may become chiral centers if appropriately substituted. Also, when more than one chiral center is present in a molecular structure, care must be taken to analyze their relationship before concluding that a specific molecular configuration is chiral or achiral. This aspect of stereoisomerism will be treated later. Because a carbon requires four different substituents to become asymmetric, it can be said, with few exceptions, that  $sp^2$  and  $sp$  hybridized carbons involved in multiple bonds are achiral. Also, any carbon with more than one hydrogen, such as a  $-CH_3$  or  $-CH_2-$  group, are also achiral.

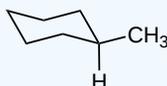
Looking for planes of symmetry in a molecule is useful, but often difficult in practice. It is difficult to illustrate on the two dimensional page, but you will see if you build models of these achiral molecules that, in each case, there is at least one **plane of symmetry**, where one side of the plane is the mirror image of the other. In most cases, the easiest way to decide whether a molecule is chiral or achiral is to look for one or more stereocenters - with a few rare exceptions, the general rule is that molecules with at least one stereocenter are chiral, and molecules with no stereocenters are achiral.

Determining if a carbon is bonded to four distinctly different substituents can often be difficult to ascertain. Remember even the slightest difference makes a substituent unique. Often these difference can be distant from the chiral carbon itself. Careful consideration and often the building of molecular models may be required. A good example is shown below. It may appear that the molecule is achiral, however, when looking at the groups directly attached to the possible chiral carbon, it is clear that they all different. The two alkyl groups are differ by a single  $-CH_2-$  group which is enough to consider them different.



### ? EXAMPLE 5.2.1

Predict if the following molecule would be chiral or achiral:

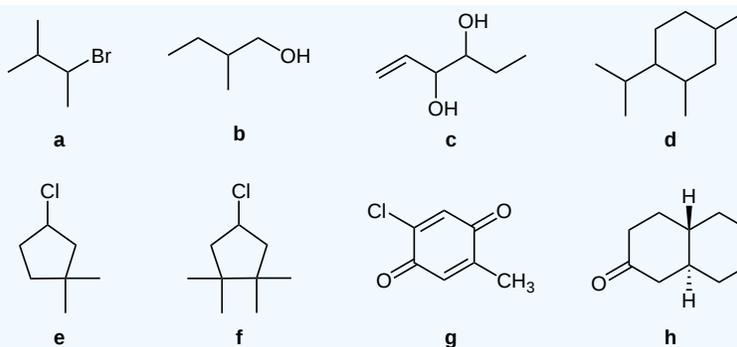


#### Answer

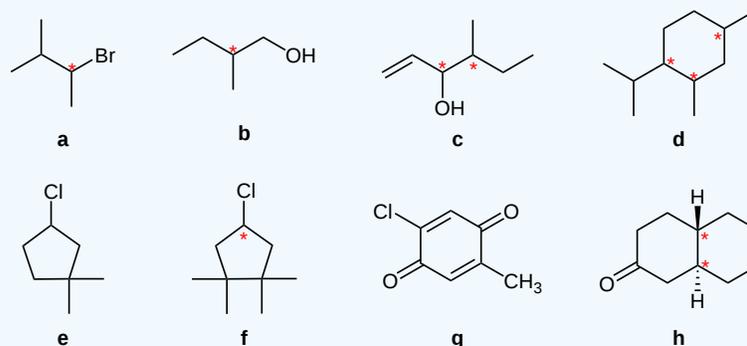
Achiral. When determining the chirality of a molecule, it best to start by locating any chiral carbons. An obvious candidate is the ring carbon attached to the methyl substituent. The question then becomes: does the ring as two different substituents making the substituted ring carbon chiral? With an uncertainty such as this, it is then helpful try to identify any planes of symmetry in the molecule. This molecule does have a plane of symmetry making the molecule achiral. The plane of symmetry would be easier see if the molecule were view from above. Typically, monosubstituted cycloalkanes have a similar plane of symmetry making them all achiral.

### ? EXERCISE 5.2.1

Determine if each of the following molecules are chiral or achiral. For chiral molecules indicate any chiral carbons.



### Answer

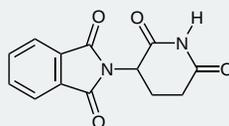


### Explanation

Structures F and G are achiral. The former has a plane of symmetry passing through the chlorine atom and bisecting the opposite carbon-carbon bond. The similar structure of compound E does not have such a symmetry plane, and the carbon bonded to the chlorine is a chiral center (the two ring segments connecting this carbon are not identical). Structure G is essentially flat. All the carbons except that of the methyl group are  $sp^2$  hybridized, and therefore trigonal-planar in configuration. Compounds C, D & H have more than one chiral center, and are also chiral.

### NOTE

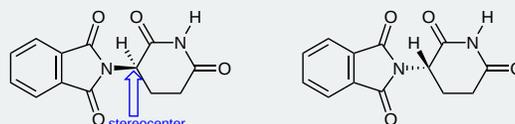
In the 1960's, a drug called thalidomide was widely prescribed in the Western Europe to alleviate morning sickness in pregnant women.



thalidomide

Thalidomide had previously been used in other countries as an antidepressant, and was believed to be safe and effective for both purposes. The drug was not approved for use in the U.S.A. It was not long, however, before doctors realized that something had gone horribly wrong: many babies born to women who had taken thalidomide during pregnancy suffered from severe birth defects.

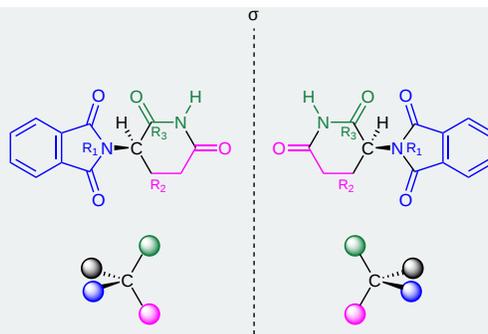
Researchers later realized the problem lay in the fact that thalidomide was being provided as a mixture of two different isomeric forms.



effective isomer

mutagenic isomer

One of the isomers is an effective medication, the other caused the side effects. Both isomeric forms have the same molecular formula and the same atom-to-atom connectivity, so they are not constitutional isomers. Where they differ is in the arrangement in three-dimensional space about one tetrahedral,  $sp^3$ -hybridized carbon. These two forms of thalidomide are **stereoisomers**. If you make models of the two stereoisomers of thalidomide, you will see that they too are mirror images, and cannot be superimposed.

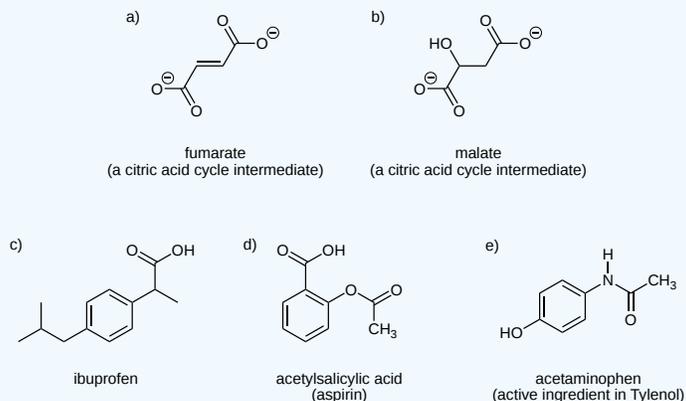


As a historical note, thalidomide was never approved for use in the United States. This was thanks in large part to the efforts of Dr. Frances Kelsey, a Food and Drug officer who, at peril to her career, blocked its approval due to her concerns about the lack of adequate safety studies, particularly with regard to the drug's ability to enter the bloodstream of a developing fetus. Unfortunately, though, at that time clinical trials for new drugs involved widespread and unregulated distribution to doctors and their patients across the country, so families in the U.S. were not spared from the damage caused.

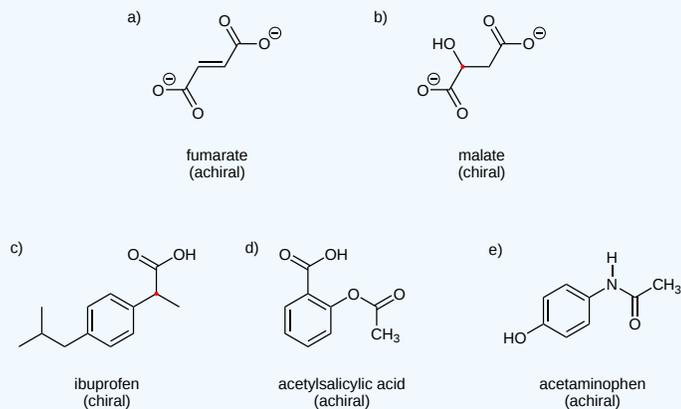
Very recently a close derivative of thalidomide has become legal to prescribe again in the United States, with strict safety measures enforced, for the treatment of a form of blood cancer called multiple myeloma. In Brazil, thalidomide is used in the treatment of [leprosy](#) - but despite safety measures, children are still being born with thalidomide-related defects.

### ✓ EXAMPLE 5.2.2

Label the molecules below as chiral or achiral, and locate all stereocenters.

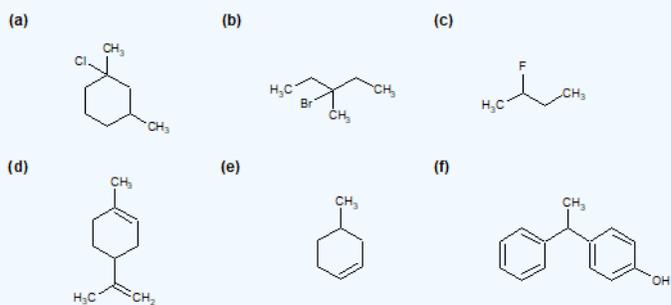


**Answer**

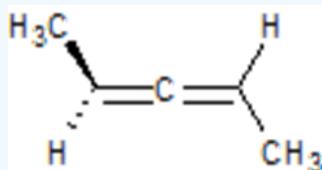


? EXERCISE 5.2.2

1) For the following compounds, star (\*) each chiral center, if any.



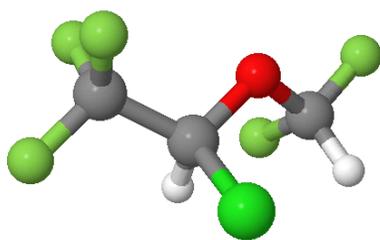
2) Explain why the following compound is chiral.



3) Determine which of the following objects is chiral.

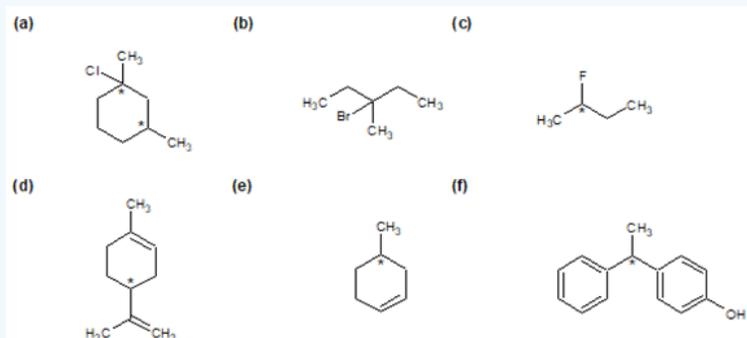
- A Glove.
  - A nail.
  - A pair of sunglasses.
  - The written word "Chiral".
- 4) Place an "\*" by all of the chiral carbons in the following molecules.

- Erythrose, a four carbon sugar.
- Isoflurane, an anesthetic. Bright green = Chlorine, Pale green = Fluorine.



Answer

1)



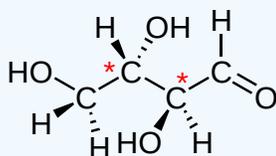
2) Though the molecule does not contain a chiral carbon, it is chiral as it is non-superimposable on its mirror image due to its twisted nature (the twist comes from the structure of the double bonds needing to be at  $90^\circ$  angles to each other, preventing the molecule from being planar).

3)

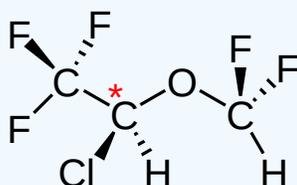
- a) Just as hands are chiral a glove must also be chiral.
- b) A nail has a plane of symmetry which goes down the middle making it achiral.
- c) A pair of sunglasses has a plane of symmetry which goes through the nose making it achiral.
- d) Most written words are chiral. Look one in a mirror to confirm this.

4

a)

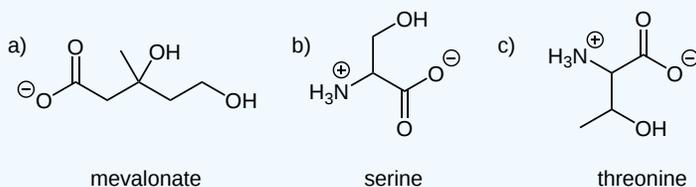


b)

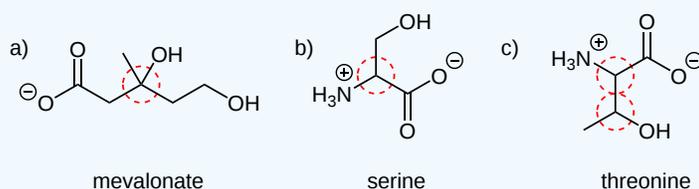


### ? EXERCISE 5.2.3

Circle all of the carbon stereocenters in the molecules below.

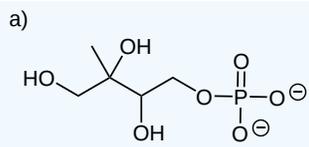


Answer

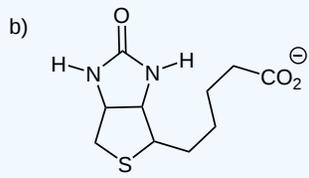


### ? EXERCISE 5.2.4

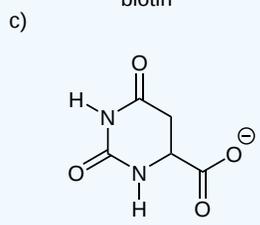
Circle all of the carbon stereocenters in the molecules below.



2-methylerythritol-4-phosphate

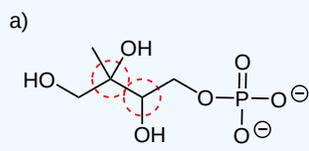


biotin

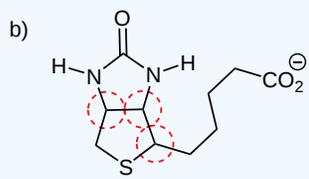


dihydroorotate

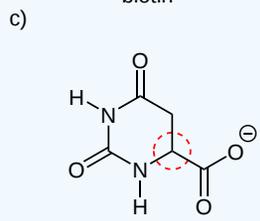
Answer



2-methylerythritol-4-phosphate

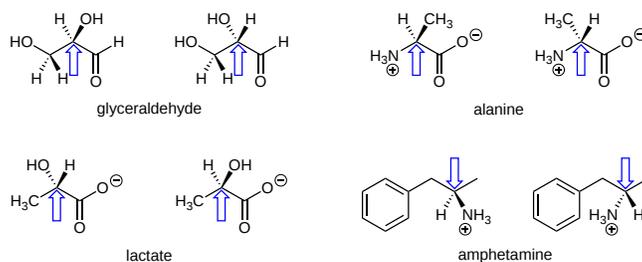


biotin

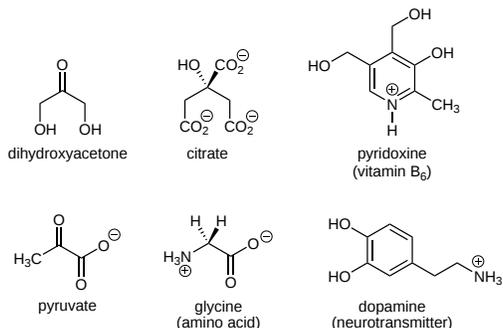


dihydroorotate

Here are some more examples of chiral molecules that exist as pairs of enantiomers. In each of these examples, there is a single stereocenter, indicated with an arrow. (Many molecules have more than one stereocenter, but we will get to that a little later!)



Here are some examples of molecules that are **achiral** (*not* chiral). Notice that none of these molecules has a stereocenter.



It is difficult to illustrate on the two dimensional page, but you will see if you build models of these achiral molecules that, in each case, there is at least one **plane of symmetry**, where one side of the plane is the mirror image of the other. Chirality is tied conceptually to the idea of asymmetry, and *any molecule that has a plane of symmetry cannot be chiral*. When looking for a plane of symmetry, however, we must consider all possible conformations that a molecule could adopt. Even a very simple molecule like ethane, for example, is asymmetric in many of its countless potential conformations – but it has obvious symmetry in both the eclipsed and staggered conformations, and for this reason it is achiral.

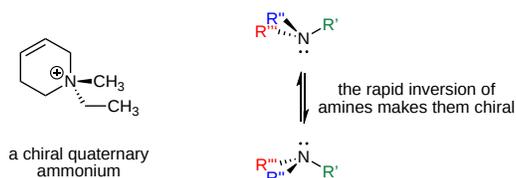
Looking for planes of symmetry in a molecule is useful, but often difficult in practice. In most cases, the easiest way to decide whether a molecule is chiral or achiral is to look for one or more stereocenters - with a few rare exceptions (see section 3.7B), the general rule is that molecules with at least one stereocenter are chiral, and molecules with no stereocenters are achiral. Carbon stereocenters are also referred to quite frequently as **chiral carbons**.

When evaluating a molecule for chirality, it is important to recognize that the question of whether or not the dashed/solid wedge drawing convention is used is irrelevant. Chiral molecules are sometimes drawn without using wedges (although obviously this means that stereochemical information is being omitted). Conversely, wedges may be used on carbons that are not stereocenters – look, for example, at the drawings of glycine and citrate in the figure above. Just because you see dashed and solid wedges in a structure, do not automatically assume that you are looking at a stereocenter.

Other elements in addition to carbon can be stereocenters. The phosphorus center of phosphate ion and organic phosphate esters, for example, is tetrahedral, and thus is potentially a stereocenter.

We will see in chapter 10 how researchers, in order to investigate the stereochemistry of reactions at the phosphate center, incorporated sulfur and/or <sup>17</sup>O and <sup>18</sup>O isotopes of oxygen (the ‘normal’ isotope is <sup>16</sup>O) to create chiral phosphate groups. Phosphate triesters are chiral if the three substituent groups are different.

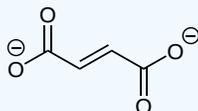
Asymmetric quaternary ammonium groups are also chiral. Amines, however, are not chiral, because they rapidly invert, or turn ‘inside out’, at room temperature.



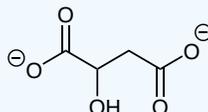
### ? EXERCISE 5.2.5

Label the molecules below as chiral or achiral, and circle all stereocenters.

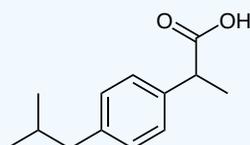
a) fumarate (a citric acid cycle intermediate)



b) malate (a citric acid cycle intermediate)

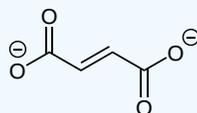


b) malate (a citric acid cycle intermediate)

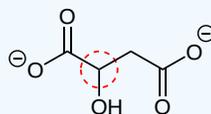


**Answer**

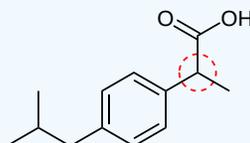
a) achiral (no stereocenters)



b) chiral



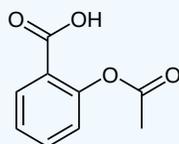
c) chiral



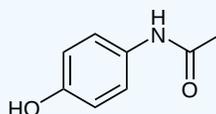
### ? EXERCISE 5.2.6

Label the molecules below as chiral or achiral, and circle all stereocenters.

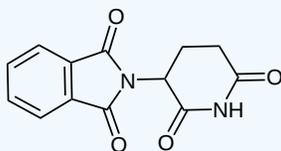
a) acetylsalicylic acid (aspirin)



b) acetaminophen (active ingredient in Tylenol)

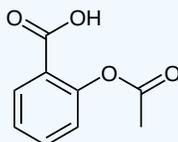


c) thalidomide (drug that caused birth defects in pregnant mothers in the 1960's)

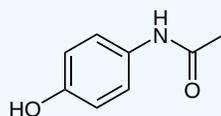


**Answer**

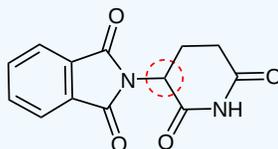
a) achiral (no stereocenters)



b) achiral (no stereocenters)

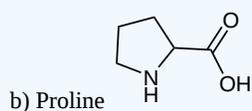
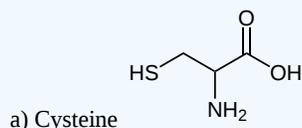


c) chiral

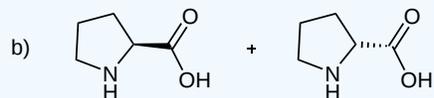
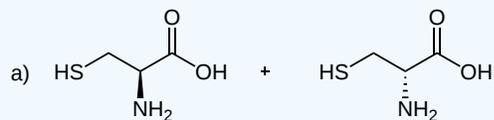


### ? EXERCISE 5.2.7

Draw both enantiomers of the following chiral amino acids.



**Answer**



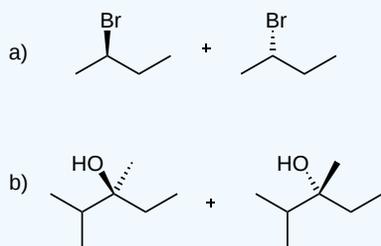
### ? EXERCISE 5.2.8

Draw both enantiomers of the following compounds from the given names.

a) 2-bromobutane

b) 2,3-dimethyl-3-pentanol

**Answer**



### ? EXERCISE 5.2.9

Which of the following body parts are chiral?

a) Hands b) Eyes c) Feet d) Ears

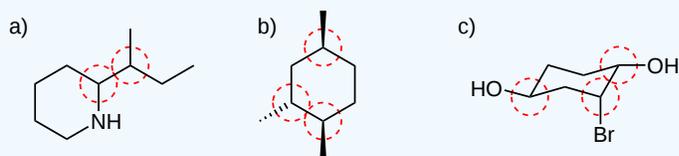
**Answer**

- a) Hands- chiral since the mirror images cannot be superimposed (think of the example in the beginning of the section)
- b) Eyes- achiral since mirror images that are superimposable
- c) Feet- chiral since the mirror images cannot be superimposed (Does your right foot fit in your left shoe?)
- d) Ears- chiral since the mirror images cannot be superimposed

### ? EXERCISE 5.2.10

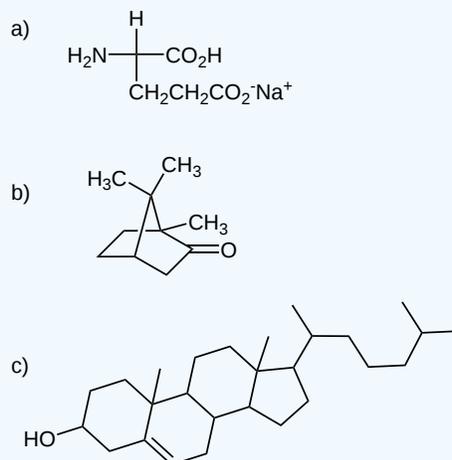
Circle the chiral centers in the following compounds.

**Answer**

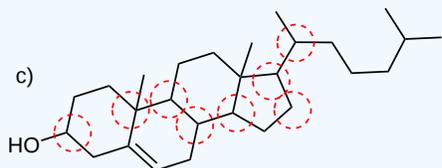
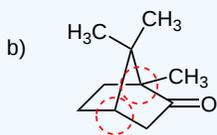
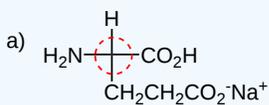


### ? EXERCISE 5.2.11

Identify the chiral centers in the following compounds.



Answer



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## 5.3: OPTICAL ACTIVITY

### OBJECTIVES

After completing this section, you should be able to

1. describe the nature of plane-polarized light.
2. describe the features and operation of a simple polarimeter.
3. calculate the specific rotation of a compound, given the relevant experimental data.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- analyzer
- dextrorotatory
- levorotatory
- optically active
- plane-polarized light
- polarimeter
- polarizer
- specific rotation,  $[\alpha]_D^{20}$

### STUDY NOTES

A *polarizer* is a device through which only light waves oscillating in a single plane may pass. A *polarimeter* is an instrument used to determine the angle through which plane-polarized light has been rotated by a given sample. You will have the opportunity to use a polarimeter in the laboratory component of the course. An *analyzer* is the component of a polarimeter that allows the angle of rotation of plane-polarized light to be determined.

Specific rotations are normally measured at 20°C, and this property may be indicated by the symbol  $[\alpha]_D^{20}$ . Sometimes the solvent is specified in parentheses behind the specific rotation value, for example,

$$[\alpha]_D^{20} = +12^\circ \text{ (chloroform)}$$

For liquids, the specific rotation may be obtained using the neat liquid rather than a solution; in such cases the formula is

$$[\alpha]_D^{\text{temp}} (\text{neat}) = \alpha \times l \times d$$

where  $\alpha$  is the observed rotation,  $l$  is the path length of the cell (measured in decimetres, dm), and  $d$  is the density of the liquid.

Identifying and distinguishing [enantiomers](#) is inherently difficult, since their physical and chemical properties are largely identical. Fortunately, a nearly two hundred year old discovery by the French physicist Jean-Baptiste Biot has made this task much easier. This discovery disclosed that the right- and left-handed enantiomers of a chiral compound perturb plane-polarized light in opposite ways. This perturbation is unique to chiral molecules, and has been termed **optical activity**.

### POLARIMETRY

Plane-polarized light is created by passing ordinary light through a polarizing device, which may be as simple as a lens taken from polarizing sun-glasses. Such devices transmit selectively only that component of a light beam having electrical and magnetic field vectors oscillating in a single plane. The plane of polarization can be determined by an instrument called a **polarimeter** (Figure 5.3.1).

Monochromatic (single wavelength) light, is polarized by a fixed polarizer next to the light source. A sample cell holder is located in line with the light beam, followed by a movable polarizer (the analyzer) and an eyepiece through which the light intensity can be observed. In modern instruments an electronic light detector takes the place of the human eye. In the absence of a sample, the light intensity at the detector is at a maximum when the second (movable) polarizer is set parallel to the first polarizer ( $\alpha = 0^\circ$ ). If the analyzer is turned  $90^\circ$  to the plane of initial polarization, all the light will be blocked from reaching the detector.

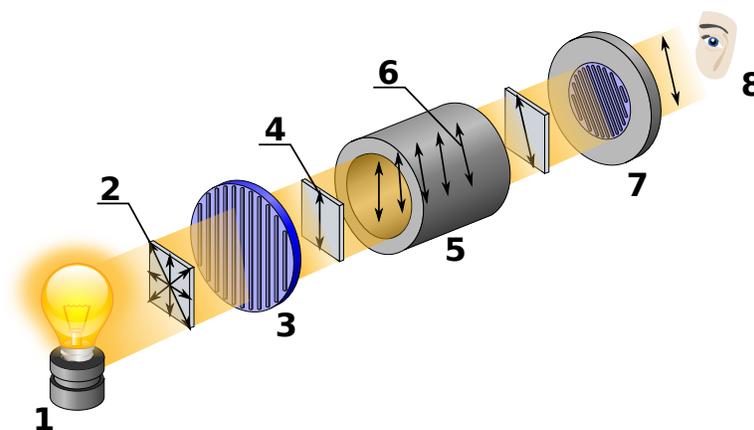


Figure 5.3.1: Operating principle of an optical polarimeter. 1. Light source 2. Unpolarized light 3. Linear polarizer 4. Linearly polarized light 5. Sample tube containing molecules under study 6. Optical rotation due to molecules 7. Rotatable linear analyzer 8. Detector. (CC BY-SA 3.0 Unported; Kaidor via Wikipedia)

Chemists use polarimeters to investigate the influence of compounds (in the sample cell) on plane polarized light. Samples composed only of achiral molecules (e.g. water or hexane), have no effect on the polarized light beam. However, if a single enantiomer is examined (all sample molecules being right-handed, or all being left-handed), the plane of polarization is rotated in either a clockwise (positive) or counter-clockwise (negative) direction, and the analyzer must be turned an appropriate matching angle,  $\alpha$ , if full light intensity is to reach the detector. In the above illustration, the sample has rotated the polarization plane clockwise by  $+90^\circ$ , and the analyzer has been turned this amount to permit maximum light transmission.

The observed rotations ( $\alpha$ ) of enantiomers are opposite in direction. One enantiomer will rotate polarized light in a clockwise direction, termed **dextrorotatory** or (+), and its mirror-image partner in a counter-clockwise manner, termed **levorotatory** or (-). The prefixes dextro and levo come from the Latin *dexter*, meaning right, and *laevus*, for left, and are abbreviated *d* and *l* respectively. If equal quantities of each enantiomer are examined, using the same sample cell, then the magnitude of the rotations will be the same, with one being positive and the other negative. To be absolutely certain whether an observed rotation is positive or negative it is often necessary to make a second measurement using a different amount or concentration of the sample. In the above illustration, for example,  $\alpha$  might be  $-90^\circ$  or  $+270^\circ$  rather than  $+90^\circ$ . If the sample concentration is reduced by 10%, then the positive rotation would change to  $+81^\circ$  (or  $+243^\circ$ ) while the negative rotation would change to  $-81^\circ$ , and the correct  $\alpha$  would be identified unambiguously.

Since it is not always possible to obtain or use samples of exactly the same size, the observed rotation is usually corrected to compensate for variations in sample quantity and cell length. Thus it is common practice to convert the observed rotation,  $\alpha$ , to a **specific rotation**, by the following formula:

$$[\alpha]_D = \frac{\alpha}{lc} \quad (5.3.1)$$

where

- $[\alpha]_D$  is the specific rotation
- $l$  is the cell length in dm
- $c$  is the concentration in g/ml
- $D$  designates that the light used is the 589 line from a sodium lamp

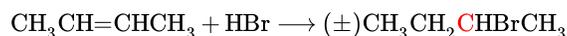
Compounds that rotate the plane of polarized light are termed **optically active**. Each enantiomer of a stereoisomeric pair is optically active and has an equal but opposite-in-sign specific rotation. Specific rotations are useful in that they are experimentally determined constants that characterize and identify pure enantiomers. For example, the lactic acid enantiomers have the following specific rotations:

- Carvone from caraway:  $[\alpha]_D^{20} = +62.5^\circ$  (this isomer may be referred to as (+)-carvone or d-carvone)
- Carvone from spearmint:  $[\alpha]_D^{20} = -62.5^\circ$  (this isomer may be referred to as (-)-carvone or l-carvone)

and carvone enantiomers have the following specific rotations:

- Lactic acid from muscle tissue:  $[\alpha]_D^{20} = +2.5^\circ$  (this isomer may be referred to as (+)-lactic acid or d-lactic acid)
- Lactic acid from sour milk:  $[\alpha]_D^{20} = -2.5^\circ$  (this isomer may be referred to as (-)-lactic acid or l-lactic acid)

A 50:50 mixture of enantiomers has no observable optical activity. Such mixtures are called **racemates** or racemic modifications, and are designated ( $\pm$ ). When chiral compounds are created from achiral compounds, the products are racemic unless a single enantiomer of a chiral co-reactant or catalyst is involved in the reaction. The addition of HBr to either cis- or trans-2-butene is an example of racemic product formation (the chiral center is colored red).



Chiral organic compounds isolated from living organisms are usually optically active, indicating that one of the enantiomers predominates (often it is the only isomer present). This is a result of the action of chiral catalysts we call enzymes, and reflects the inherently chiral nature of life itself. Chiral synthetic compounds, on the other hand, are commonly racemates, unless they have been prepared from enantiomerically pure starting materials.

There are two ways in which the condition of a chiral substance may be changed:

1. A racemate may be separated into its component enantiomers. This process is called **resolution**.
2. A pure enantiomer may be transformed into its racemate. This process is called **racemization**.

## ENANTIOMERIC EXCESS

The "optical purity" is a comparison of the optical rotation of a pure sample of unknown stereochemistry versus the optical rotation of a sample of pure enantiomer. It is expressed as a percentage. If the sample only rotates plane-polarized light half as much as expected, the optical purity is 50%.

$$\% \text{ optical purity} = \frac{\text{specific rotation of mixture}}{\text{specific rotation of pure enantiomer}} \times 100\%$$

Because *R* and *S* enantiomers have equal but opposite optical activity, it naturally follows that a 50:50 racemic mixture of two enantiomers will have no observable optical activity. If we know the specific rotation for a chiral molecule, however, we can easily calculate the ratio of enantiomers present in a mixture of two enantiomers, based on its measured optical activity. When a mixture contains more of one enantiomer than the other, chemists often use the concept of **enantiomeric excess (ee)** to quantify the difference. Enantiomeric excess can be expressed as:

$$ee = \frac{(\% \text{ more abundant enantiomer} - 50) \times 100\%}{50}$$

For example, a mixture containing 60% *R* enantiomer (and 40% *S* enantiomer) has a 20% enantiomeric excess of *R*:  $((60-50) \times 100) / 50 = 20\%$ .

### ? EXERCISE 5.3.1

The specific rotation of (*S*)-carvone is  $(+61^\circ)$ , measured 'neat' (pure liquid sample, no solvent). The optical rotation of a neat sample of a mixture of *R* and *S* carvone is measured at  $(-23^\circ)$ . Which enantiomer is in excess, and what is its ee? What are the percentages of (*R*)- and (*S*)-carvone in the sample?

#### Answer

The observed rotation of the mixture is levorotary (negative, counter-clockwise), and the specific rotation of the pure *S* enantiomer is given as dextrorotary (positive, clockwise), meaning that the pure *R* enantiomer must be levorotary, and the mixture must contain more of the *R* enantiomer than of the *S* enantiomer.

$$\text{Rotation (R/S Mix)} = [\text{Fraction(S)} \times \text{Rotation (S)}] + [\text{Fraction(R)} \times \text{Rotation (R)}]$$

$$\text{Let Fraction (S)} = x, \text{ therefore Fraction (R)} = 1 - x.$$

$$\text{Rotation (R/S Mix)} = x[\text{Rotation (S)}] + (1 - x)[\text{Rotation (R)}].$$

$$-23 = x(+61) + (1 - x)(-61)$$

$$\text{Solve for } x: x = 0.3114 \text{ and } (1 - x) = 0.6885$$

Therefore the percentages of (*R*)- and (*S*)-carvone in the sample are 68.9% and 31.1%, respectively.

$$ee = [(\% \text{ more abundant enantiomer} - 50) \times 100] / 50 = [68.9 - 50] \times 100 / 50 = 37.8\%$$

Chiral molecules are often labeled according to the sign of their specific rotation, as in (*S*)-(+)-carvone and (*R*)-(-)-carvone, or ( $\pm$ )-carvone for the racemic mixture. However, there is no relationship whatsoever between a molecule's *R/S* designation and the sign of its specific rotation. Without performing a polarimetry experiment or looking in the literature, we would have no idea that (-)-carvone has the *R* configuration and (+)-carvone has the *S* configuration

Chiral molecules are often labeled according to the sign of their specific rotation, as in (*S*)-(+)-carvone and (*R*)-(-)-carvone, or ( $\pm$ )-carvone for the racemic mixture. However, there is no relationship whatsoever between a molecule's *R/S* designation and the sign of its specific rotation. Without performing a polarimetry experiment or looking in the literature, we would have no idea that (-)-carvone has the *R* configuration and (+)-carvone has the *S* configuration.

## SEPARATION OF CHIRAL COMPOUNDS

As noted earlier, chiral compounds synthesized from achiral starting materials and reagents are generally racemic (i.e. a 50:50 mixture of enantiomers). Separation of racemates into their component enantiomers is a process called resolution. Since enantiomers have identical physical properties, such as solubility and melting point, resolution is extremely difficult. Diastereomers, on the other hand, have different physical properties, and this fact is used to achieve resolution of racemates. Reaction of a racemate with an enantiomerically pure chiral reagent gives a mixture of diastereomers, which can be separated. For example, if a racemic mixture of a chiral alcohol is reacted with an enantiomerically pure carboxylic acid, the result is a mixture of diastereomers: in this case, because the pure (*R*) enantiomer of the acid was used, the product is a mixture of (*R*-*R*) and (*R*-*S*) diastereomeric esters, which can, in theory, be separated by their different physical properties. Subsequent hydrolysis of each separated ester will yield the 'resolved' (enantiomerically pure) alcohols. The use of this technique is known as chiral resolution.

### ? EXERCISE 5.3.2

A 3.20 g sample of morphine ( $[\alpha]_D = -132$ ) was dissolved in 10.0 mL of acetic acid ( $[\alpha]_D = 0$ ). If it is put into a sample tube with a path length of 2.00 cm, what would be its observed rotation ( $\alpha$ )?

#### Answer

The specific rotation,  $[\alpha]_D = (\text{observed rotation, } \alpha \text{ (degrees)}) / [(\text{pathlength, } l \text{ (dm)}) \times (\text{concentration, } c \text{ (g/cm}^3\text{)})] = \alpha / (l \times c)$

Solving for  $\alpha$ ,  $\alpha = [\alpha]_D \times l \times c$

$([\alpha]_D = -132) \times (l = 2.00 \text{ cm} = 0.200 \text{ dm}) \times (c = 3.20 \text{ g} / 10.0 \text{ cm}^3 = 0.320 \text{ g/cm}^3)$

$\alpha = -132 \times 0.200 \text{ dm} \times 0.320 \text{ g/cm}^3 = -8.45^\circ$

### ? EXERCISE 5.3.3

Is the morphine in the previous exercise dextrorotatory or levorotatory?

#### Answer

Since morphine has a (-) rotation, it indicates that it rotates light to the left (counterclockwise) and morphine is levorotatory.

### ? EXERCISE 5.3.4

Label the following compounds as dextrorotatory or levorotatory.

- sucrose ( $[\alpha]_D = +66.7$ )
- cholesterol ( $[\alpha]_D = -31.5$ )
- cocaine ( $[\alpha]_D = -16$ )
- chloroform ( $[\alpha]_D = 0$ )

#### Answer

- sucrose ( $[\alpha]_D = +66.7$ ) dextrorotatory
- cholesterol ( $[\alpha]_D = -31.5$ ) levorotatory
- cocaine ( $[\alpha]_D = -16$ ) levorotatory
- chloroform ( $[\alpha]_D = 0$ ) neither, not optically active

### ? EXERCISE 5.3.5a

The specific rotation of (*S*)-carvone is (+)  $61^\circ$  when measured neat (pure liquid sample with no solvent). The optical rotation of a neat sample of a mixture of *R* and *S* carvone is measured at (-)  $23^\circ$ .

a) Which enantiomer is in excess?

#### Answer

Since the pure *S* enantiomer ((+)  $61^\circ$ ) is dextrorotatory (positive, clockwise), the *R* enantiomer must be levorotatory. The observed rotation of the mixture is levorotatory since its negative (counterclockwise). This means the mixture must contain more of the *R* enantiomer than the *S* enantiomer.

**? EXERCISE 5.3.5b**

b) What are the percentages of (*S*)- and (*R*)- carvone in the sample mixture?

**Answer**

Optical rotation ( $\alpha$ ) of the (*R/S* mixture) = [fraction (*S*) x  $[\alpha]_D$  (*S*)] + [fraction (*R*) x  $[\alpha]_D$  (*R*)]

To determine the fraction of *S* and *R*, we make  $y$  = fraction (*S*) and  $1 - y$  = fraction (*R*)

$-23^\circ = y \times (61^\circ) + (1 - y) \times (-61^\circ)$  solving for  $y$ :  $y = 0.3114$  and  $(1-y) = 0.6885$

Therefore the percentage of (*S*)-carvone is 31.1 % and (*R*)-carvone is 68.9 %

**? EXERCISE 5.3.5c**

c) What is the ee (enantiomeric excess)?

**Answer**

$ee = [(\% \text{ more abundant isomer} - 50) \times 100] / 50 = [(68.9 - 50) \times 100] / 50 = 37.8 \% ee$

**? EXERCISE 5.3.6a**

Determine the ee's of the following from the percentages

- 95 % (*R*)- tartaric acid and 5.0 % (*S*)- tartaric acid

**Answer**

$[(95 - 50) \times 100] / 50 = 90 \% ee$  (*R*)-tartaric acid

**? EXERCISE 5.3.6b**

Determine the ee's of the following from the percentages

- 75 % (*S*)- limonene and 25 % (*R*)- limonene

**Answer**

$[(75 - 50) \times 100] / 50 = 50 \% ee$  (*S*)- limonene

**? EXERCISE 5.3.6c**

Determine the ee's of the following from the percentages

- 85 % (*R*) cysteine

**Answer**

$(85 - 50) \times 100] / 50 = 70 \% ee$  (*R*)-cysteine

**? EXERCISE 5.3.6d**

Determine the ee's of the following from the percentages

- 50 % (*S*) alanine

**Answer**

$(50 - 50) \times 100] / 50 = 0 \% ee$ , racemic mixture

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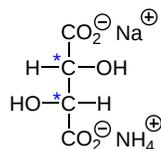
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## 5.4: PASTEUR'S DISCOVERY OF ENANTIOMERS

### OBJECTIVE

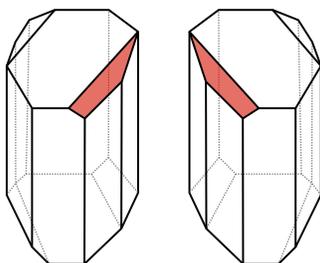
After completing this section, you should be able to discuss how the results of work carried out by Biot and Pasteur contributed to the development of the concept of the tetrahedral carbon atom.

Because enantiomers have identical physical and chemical properties in achiral environments, separation of the stereoisomeric components of a racemic mixture or racemate is normally not possible by the conventional techniques of distillation and crystallization. In some cases, however, the crystal habits of solid enantiomers and racemates permit the chemist (acting as a chiral resolving agent) to discriminate enantiomeric components of a mixture. As background for the following example, it is recommended that the section on crystal properties be reviewed. Tartaric acid, its potassium salt known in antiquity as "tartar", has served as the locus of several landmark events in the history of stereochemistry. In 1832 the French chemist Jean Baptiste Biot observed that tartaric acid obtained from tartar was optically active, rotating the plane of polarized light clockwise (**dextrorotatory**). An optically inactive, higher melting, form of tartaric acid, called racemic acid was also known.



sodium ammonium tartrate

A little more than a decade later, young Louis Pasteur conducted a careful study of the crystalline forms assumed by various salts of these acids. He noticed that under certain conditions, the sodium ammonium mixed salt of the racemic acid formed a mixture of enantiomorphous hemihedral crystals; a drawing of such a pair is shown below. Pasteur reasoned that the dissymmetry of the crystals might reflect the optical activity and dissymmetry of its component molecules. After picking the different crystals apart with a tweezer, he found that one group yielded the known dextrorotatory tartaric acid measured by Biot; the second led to a previously unknown levorotatory tartaric acid, having the same melting point as the dextrorotatory acid. Today we recognize that Pasteur had achieved the first resolution of a racemic mixture, and laid the foundation of what we now call stereochemistry.



Optical activity was first observed by the French physicist Jean-Baptiste Biot. He concluded that the change in direction of plane-polarized light when it passed through certain substances was actually a rotation of light, and that it had a molecular basis. His work was supported by the experimentation of Louis Pasteur. Pasteur observed the existence of two crystals that were mirror images in tartaric acid, an acid found in wine. Through meticulous experimentation, he found that one set of molecules rotated polarized light clockwise while the other rotated light counterclockwise to the same extent. He also observed that a mixture of both, a *racemic mixture* (or *racemic modification*), did not rotate light because the optical activity of one molecule canceled the effects of the other molecule. Pasteur was the first to show the existence of chiral molecules.

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## 5.5: SEQUENCE RULES FOR SPECIFYING CONFIGURATION

### OBJECTIVES

After completing this section, you should be able to

1. assign Cahn-Ingold-Prelog priorities to a given set of substituents.
2. determine whether a given wedge-and-broken-line structure corresponds to an *R* or an *S* configuration, with or without the aid of molecular models.
3. draw the wedge-and-broken-line structure for a compound, given its IUPAC name, complete with *R* or *S* designation.
4. construct a stereochemically accurate model of a given enantiomer from either a wedge-and-broken-line structure or the IUPAC name of the compound, complete with *R* or *S* designation.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- absolute configuration
- *R* configuration
- *S* configuration

### STUDY NOTES

When designating a structure as *R* or *S*, you must ensure that the atom or group with the lowest priority is pointing away from you, the observer. The easiest way to show this is to use the wedge-and-broken-line representation. You can then immediately determine whether you are observing an *R* configuration or an *S* configuration.

To name the enantiomers of a compound unambiguously, their names must include the "handedness" of the molecule. The method for this is formally known as *R/S* nomenclature.

### INTRODUCTION

The method of unambiguously assigning the handedness of molecules was originated by three chemists: R.S. Cahn, C. Ingold, and V. Prelog and, as such, is also often called the Cahn-Ingold-Prelog rules. In addition to the Cahn-Ingold system, there are two ways of experimentally determining the absolute configuration of an enantiomer:

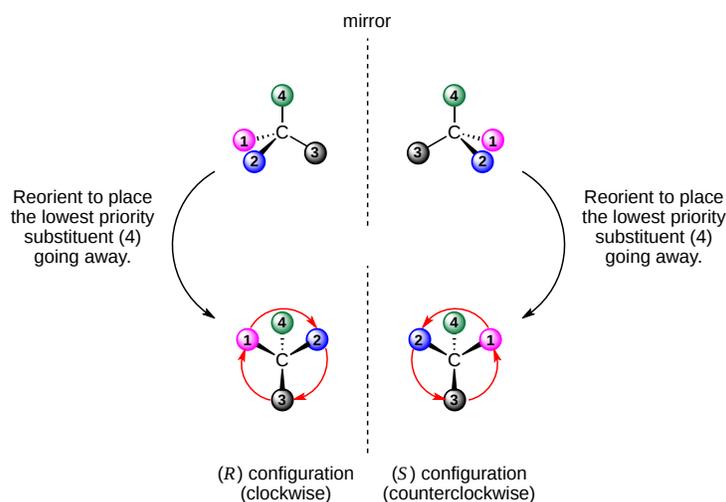
1. **X-ray diffraction** analysis. Note that there is no correlation between the sign of rotation and the structure of a particular enantiomer.
2. Chemical correlation with a molecule whose structure has already been determined via X-ray diffraction.

However, for non-laboratory purposes, it is beneficial to focus on the (*R*)/(*S*) system. The sign of **optical rotation**, although different for the two enantiomers of a chiral molecule, at the same temperature, **cannot** be used to establish the absolute configuration of an enantiomer; this is because the sign of optical rotation for a particular enantiomer may change when the temperature changes.

### STEREOCENTERS ARE LABELED (*R*) OR (*S*)

The "right hand" and "left hand" nomenclature is used to name the enantiomers of a chiral compound. The stereocenters are labeled as (*R*) or (*S*).

The **Cahn-Ingold-Prelog** rules of assign priorities the groups directly bonded to the chiral carbon. Having ranked the four groups attached to a chiral carbon, we describe the stereochemical configuration around the carbon by orienting the molecule so that the group with the lowest ranking (4) is given a dash bond to indicate it points directly away from us. We then look at the three remaining substituents, which now appear to radiate toward us which is shown by using wedge bonds. If a curved arrow drawn from the highest to second-highest to third-highest ranked substituent is clockwise, we say that the chirality center has the (*R*) configuration (Latin *rectus*, meaning "right"). If an arrow from is counterclockwise, the chirality center has the (*S*) configuration (Latin *sinister*, meaning "left"). To remember these assignments, think of a car's steering wheel when making a Right (clockwise) turn. Note, the (*R*) or (*S*) configurations represent the two enantiomers of a chiral molecule. The (*R*) or (*S*) configuration is often added as a prefix, in parenthesis, to a chiral molecule's name to indicate which enantiomer is being discussed (e.g., (*R*)-2-bromobutane). If more than chiral carbon is present in a chiral molecule, each carbon's number is included before the (*R*) or (*S*) configuration. Ex: (2*R*,4*S*,6*R*)-2-bromo-6-chloro-4-methylheptane.



## SEQUENCE RULES TO ASSIGN PRIORITIES TO SUBSTITUENTS

Before applying the (R) and (S) nomenclature to a stereocenter, the substituents must be prioritized according to the following rules:

### RULE 1

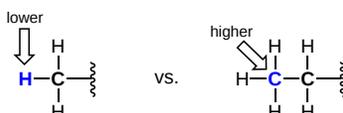
First, examine the atoms directly attached to the stereocenter of the compound. An atom with a higher atomic number takes precedence over an atom with a lower atomic number. Hydrogen is the lowest possible priority atom, because it has the lowest atomic number.

1. The atom with higher atomic number has higher priority ( $I > Br > Cl > S > P > F > O > N > C > H$ ).
2. When comparing isotopes, the atom with the higher mass number has higher priority [ $^{18}O > ^{16}O$  or  $^{15}N > ^{14}N$  or  $^{13}C > ^{12}C$  or  $T (^3H) > D (^2H) > H$ ].

### RULE 2

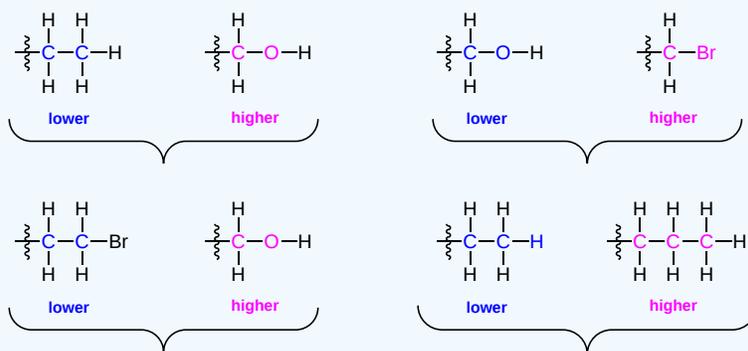
If there are two or more substituents which have the same element directly attached to chiral carbon, proceed along the substituent chains until a point of difference is found. Determine which of the chains has the first connection to an atom with the highest priority (the highest atomic number). That chain has the higher priority.

**For example:** an ethyl substituent takes priority over a methyl substituent. At the connectivity of the stereocenter, both have a carbon atom, which are equal in rank. Going down the chains, a methyl has only hydrogen atoms attached to it, whereas the ethyl has two hydrogen atoms and a carbon atom. The carbon atom on the ethyl is the first point of difference and has a higher atomic number than hydrogen; therefore the ethyl takes priority over the methyl.



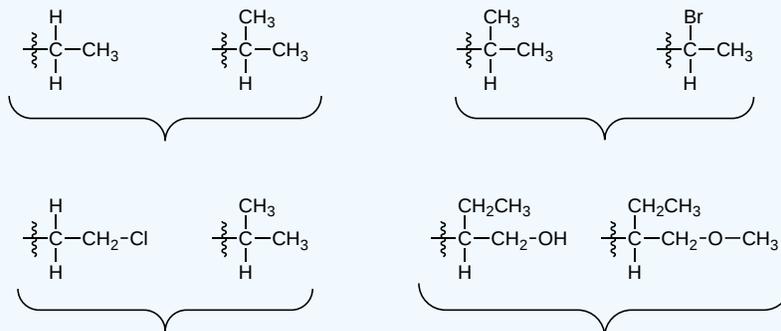
The "-H" (left) ranks lower than the "-C-" (right) based on the relative molecular weights at the first point of difference.

### EXAMPLE 5.5.1



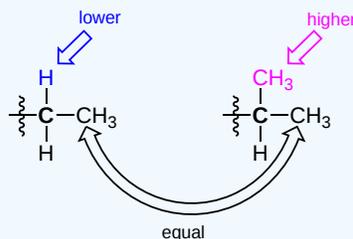
### ? WORKED EXERCISE 5.5.1

For the following pairs of substituents, determine which would have the higher and lower priority based on the **Cahn-Ingold-Prelog** rules. Explain your answer.



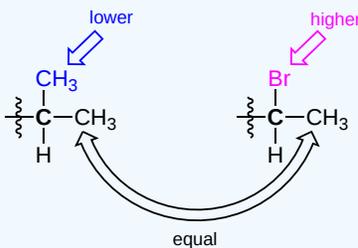
#### Answer

A 1-methylethyl substituent takes precedence over an ethyl substituent. Connected to the first carbon atom, ethyl only has one other carbon, whereas the 1-methylethyl has two carbon atoms attached to the first; this is the first point of difference. Therefore, 1-methylethyl ranks higher in priority than ethyl, as shown below:



The "C-" (right) ranks higher than the "H-" (left) based on the first point of difference and their relative atomic numbers.

#### However:

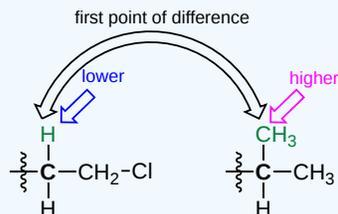


In this case, even though the bold carbon on the right structure has two connections to a non-hydrogen atom (C), it is the lower priority.

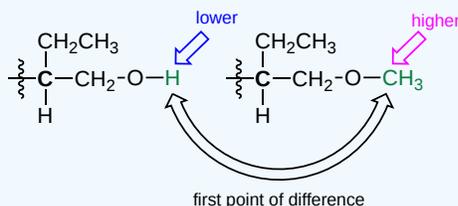
This is because one of the atoms attached to the bold carbon on the left molecule ranks higher than any of the atoms attached to the bold carbon on the right structure, since Br has a higher atomic number than C.

#### Caution!!

Keep in mind that priority is determined by the **first** point of difference along the two similar substituent chains. After the first point of difference, the rest of the chain is irrelevant.

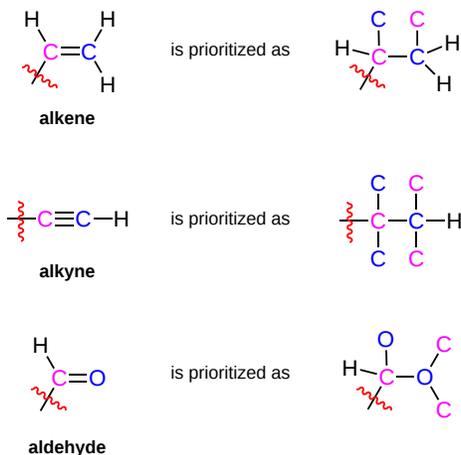


When looking for the first point of difference on similar substituent chains, one may encounter branching. If there is branching, choose the branch that is higher in priority. If the two substituents have similar branches, rank the elements within the branches until a point of difference.



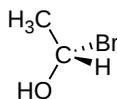
### RULE 3

For assigning priority, multiple bonds are treated as if each bond of the multiple bond is bonded to a unique atom. For example, an alkene substituent ( $\text{CH}_2=\text{CH}-$ ) has higher priority than an ethyl substituent ( $\text{CH}_3\text{CH}_2-$ ). The alkene carbon priority is "two" bonds to carbon atoms and one bond to a hydrogen atom compared with the ethyl carbon that has only one bond to a carbon atom and two bonds to two hydrogen atoms. Similarly, alkyne substituent ( $\text{HCC}-$ ) would have an even higher priority because the alkyne carbon is treated as if it is bonded to three carbons. This method remains the same with compounds containing a carbonyl ( $\text{C}=\text{O}$ ) group. The carbon of an aldehyde substituent ( $\text{O}=\text{CH}-$ ) is treated as if it is bonded to a hydrogen and two oxygen atoms.



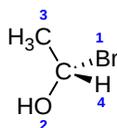
### DETERMINING (*R*) OR (*S*) CONFIGURATION USING A MOLECULAR MODEL

In order to demonstrate how to determine the (*R*)/(*S*) configuration of the chiral carbon in the following molecule using molecular models, first construct a model of the bromoethanol structure:

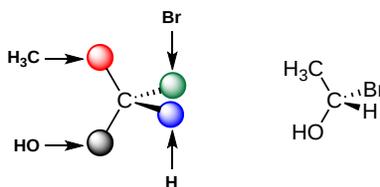


First make a molecular model of a tetrahedral carbon with four different substituents. In many cases, this will appear as a carbon with four bonds with a different colored ball attached to each bond.

For the molecule in question, determine the location of the chiral carbon and assign CIP priorities to the substituents. In this case, Br gets the highest priority because it has the highest atomic number. The O in the OH substituent gets priority 2 and the C in  $\text{CH}_3$  gets priority 3. Lastly, H gets the lowest priority, 4, because it has the smallest atomic number.



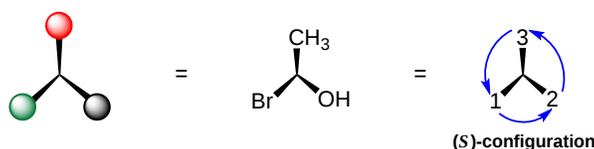
Now take your molecular model and orientate it to match the molecule in question. Remember in the dash/wedge representation, two regular bonds are in the plane of the page. The wedge bond is coming toward you and the dashed bond is going away from you. If you were to hold a piece of paper directly in front of you, the substituents with the regular bond should both be touching the piece of paper. The dashed bond should be pointing behind the piece of paper and the wedge bond should be pointing in front.



**In this structure, the bromine is going away from you, the hydrogen is coming toward you and the hydroxide and methyl groups are in the plane of the page.**

Then based on the position, assign each substituent on the chiral carbon a colored ball on your molecular model. In this case, bromine is going away so it is assigned the green ball. The hydrogen is coming toward you so it is assigned the blue ball. The last two substituents are in the plane of the page, however, the CH<sub>3</sub> is positioned higher so it is assigned the red ball which leaves OH being assigned the black ball.

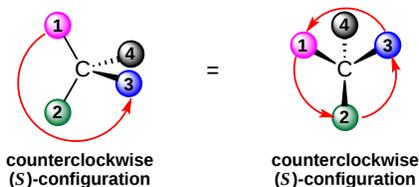
Lastly, grab onto the ball for the lowest priority substituent, in this case the blue one, and point the other three substituents towards you. The three bonds should be angled towards you as if they all have wedge bonds. Assign the original substituents and their corresponding CIP priorities to the three colored balls. The green ball was assigned to bromine which was given priority one. The OH was assigned to the black ball and given priority two. The CH<sub>3</sub> was assigned to the red ball and given priority three. In this case the priorities are going counter clockwise so the chiral carbon has an **(S)** configuration.



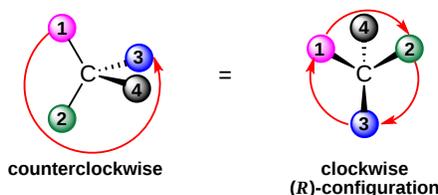
## DETERMINING (R) OR (S) CONFIGURATION WITHOUT A MOLECULAR MODEL

If a molecular model cannot be used there are a couple of simple methods which can be applied if the dash/wedge bond system is being used.

After assigning CIP priorities, if the lowest priority substituent (4) is on the dash bond the configuration of substituents 1-3 can be assigned directly. As shown in the figure below, the configuration of substituents 1-3 does not change when moving to sight down the bond of substituent 4. In both cases, substituents 1-3 are ordered in a counterclockwise fashion which gives the chiral carbon an (S) configuration.



The opposite is true if the lowest priority substituent (4) is on the wedge bond. As shown in the figure below, the configuration of substituents 1-3 is inverted when moving to sight down the bond of substituent 4. When the lowest priority substituent is on the wedge bond, the configuration of substituents 1-3 can be assigned directly only if the direction is inverted. i.e. clockwise = (S) and counterclockwise = (R).

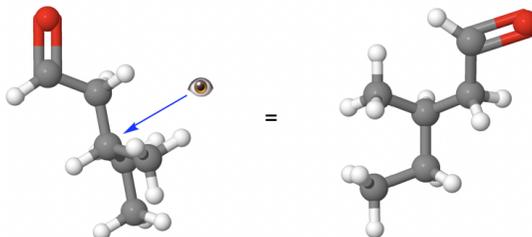
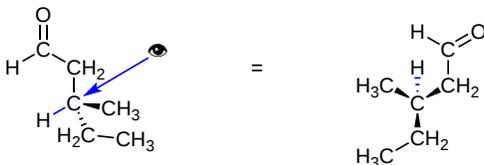


**With the lowest priority group in front, drawing an arc from 1 to 2 to 3 gives the reverse of the configuration.**

However, if the lowest priority substituent is on one of the regular bonds when the dash/wedge system is being used then configurations are best assigned by changing perspectives. This method can also be used if the three-dimensional configuration of the chiral carbon is represented. First, locate the chiral carbon and assign CIP priorities to its substituents. Then while perceiving the drawn molecule as a three-dimensional image, mentally change your perspective such that you are looking down the bond between the chiral carbon and the lowest CIP ranked substituent (#4). If done correctly, the bonds for substituents 1-3 should be coming towards you as wedge bonds. You can then follow the direction of the CIP priority numbers to determine the (R)/(S) configuration of the chiral carbon.

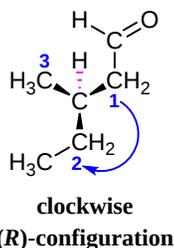


Locate the chiral carbon and assign CIP priorities to its substituents.



Mentally sight down the bond between the chiral carbon and the lowest CIP ranked substituent.

This bond is shown in magenta.

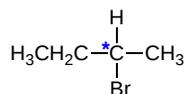


Follow the direction of the CIP priority numbers to determine the (R)/(S) configuration.

## DRAWING THE STRUCTURE OF A CHIRAL MOLECULE FROM ITS NAME

Draw the structure of (S)-2-Bromobutane:

1) Draw the basic structure of the molecule and determine the location of the chiral carbon.



2) Determine the chiral carbon's substituents and assign them a CIP priority.

-H (Priority 4)

-CH<sub>3</sub> (Priority 3)

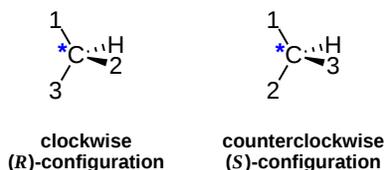
-CH<sub>2</sub>CH<sub>3</sub> (Priority 2)

-Br (Priority 1)

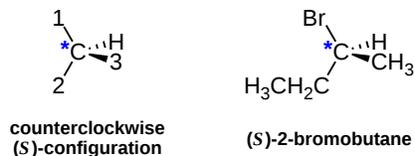
3) Draw the chiral carbon in a dash/wedge form and add the lowest priority substituent to the dash bond. In this case, the lowest priority substituent is -H.



4) Add the remaining substituents in a clockwise fashion for (R) and a counterclockwise fashion for (S).

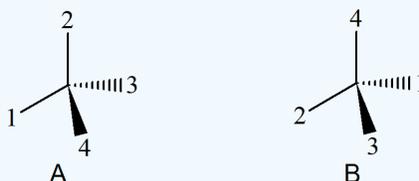


The molecule posed in this question has an (*S*) configuration so the remaining substituents are added in a counterclockwise fashion.



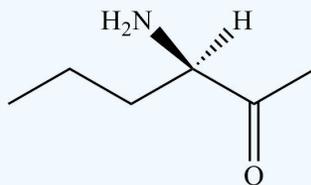
### ? EXERCISE 5.5.1

1) Orient the following so that the least priority (4) atom is placed behind, then assign stereochemistry (*R*) or (*S*).



2) Draw (*R*)-2-bromobutan-2-ol.

3) Assign (*R*)/(*S*) to the following molecule.



4) Which in the following pairs would have a higher CIP priority?

- H or -Cl
- Br or -I
- CH<sub>2</sub>OH or -OCH<sub>3</sub>
- CH<sub>2</sub>CH<sub>3</sub> or -CH=CH<sub>2</sub>
- NH<sub>2</sub> or -OH

5) Rank the following substituents in order of their CIP priority:

- H, -OCH<sub>3</sub>, -CH<sub>2</sub>OH, -OH
- OH, -CO<sub>2</sub>H, -CH=O, -CH<sub>2</sub>OH
- CN, -NH<sub>2</sub>, -CH=O, -NHCH<sub>3</sub>
- SH, -SCH<sub>3</sub>, -OH, -OOCH<sub>3</sub>

6) Determine if the chiral carbon in the following molecules have an (*R*) or (*S*) configuration. Red = Oxygen & Blue = Nitrogen.

a)

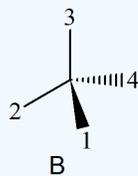
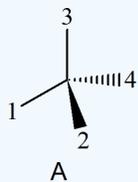
GLmol

b)

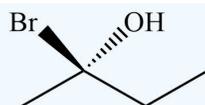
GLmol

**Answer**

1) A is (*S*) and B is (*R*).



2)



3) The stereocenter is (*R*).

4)

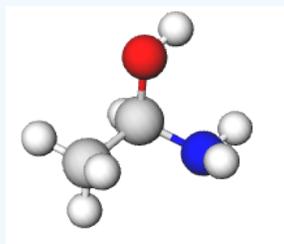
- Cl
- I
- OCH<sub>3</sub>
- CH=CH<sub>2</sub>
- OH

5) Rank the following substituents in order of their CIP priority:

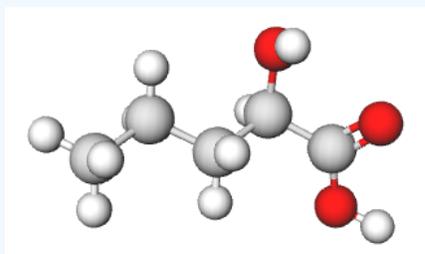
- OCH<sub>3</sub>, -OH, -CH<sub>2</sub>OH, -H,
- OH, -CO<sub>2</sub>H, -CH=O, -CH<sub>2</sub>OH
- NHCH<sub>3</sub>, -NH<sub>2</sub>, -CH=O, -CN
- SCH<sub>3</sub>, -SH, -OOCH<sub>3</sub>, -OH

6)

a) The chiral carbon is (*R*). The four substituents of the chiral carbon are -OH (1), -NH<sub>2</sub> (2), -CH<sub>3</sub> (3), and -H (4). Then looking down the lowest priority bond, you should roughly see what appears in the picture below. The substituents with priorities 1-3 are ordered in a clockwise fashion so the chiral carbon is (*R*).



b) The chiral carbon is (*S*). The four substituents of the chiral carbon are -CO<sub>2</sub>H (1), -OH (2), -CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub> (3), and -H (4). Then looking down the lowest priority bond, you should roughly see what appears in the picture below. The substituents with priorities 1-3 are ordered in a counterclockwise fashion so the chiral carbon is (*S*).



### ? EXERCISE 5.5.2

Identify which substituent in the following sets has a higher ranking.

- H or -CH<sub>3</sub>
- CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub> or CH<sub>2</sub>CH<sub>3</sub>
- CH<sub>2</sub>Cl or CH<sub>2</sub>OH

**Answer**

- CH<sub>3</sub>
- CH<sub>2</sub>CH<sub>2</sub>CH<sub>3</sub>
- CH<sub>2</sub>Cl

### ? EXERCISE 5.5.3

Identify which substituent in the following sets has a higher ranking.

- NH<sub>2</sub> or -N=NH
- CH<sub>2</sub>CH<sub>2</sub>OH or -CH<sub>2</sub>OH
- CH=CH<sub>2</sub> or -CH<sub>2</sub>CH<sub>3</sub>

**Answer**

- N=NH
- CH<sub>2</sub>OH
- CH=CH<sub>2</sub>

### ? EXERCISE 5.5.4

Place the following sets of substituents in each group in order of lowest priority (1<sup>st</sup>) to highest priority (4<sup>th</sup>)

- NH<sub>2</sub>, -F, -Br, -CH<sub>3</sub>
- SH, -NH<sub>2</sub>, -F, -H

**Answer**

- CH<sub>3</sub> < -NH<sub>2</sub> < -F, < -Br
- H < -NH<sub>2</sub> < -F, < -SH

### ? EXERCISE 5.5.5

Place the following sets of substituents in each group in order of lowest priority (1<sup>st</sup>) to highest priority (4<sup>th</sup>)

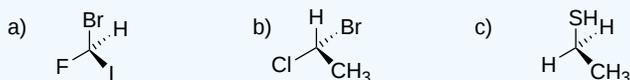
- CH<sub>2</sub>CH<sub>3</sub>, -CN, -CH<sub>2</sub>CH<sub>2</sub>OH, -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH
- CH<sub>2</sub>NH<sub>2</sub>, -CH<sub>2</sub>SH, -C(CH<sub>3</sub>)<sub>3</sub>, -CN

**Answer**

- CH<sub>2</sub>CH<sub>3</sub> < -CH<sub>2</sub>CH<sub>2</sub>OH < -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>OH, < -CN
- C(CH<sub>3</sub>)<sub>3</sub> < -CH<sub>2</sub>NH<sub>2</sub> < -CN < -CH<sub>2</sub>SH

### ? EXERCISE 5.5.6

Assign the following chiral centers as (*R*) or (*S*).

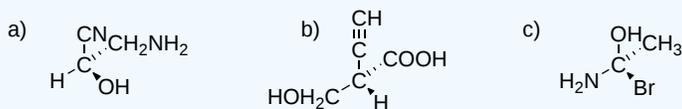


**Answer**

- (S)**: I > Br > F > H. The lowest priority substituent is going backwards so following the highest priority, it goes left (counterclockwise).
- (R)**: Br > Cl > CH<sub>3</sub> > H. Using a model kit, you need to rotate the H to the back position where the Br is. This causes the priority to go to the left (clockwise) when looking at it with the H in the back position. Alternatively, if you do not have a model kit, you can imagine the structure 3-dimensionally and since the lowest priority (H) is facing up (as drawn), if you look at it from below, starting with Br (1<sup>st</sup> priority) and moving towards Cl (2<sup>nd</sup> priority), you are moving right (clockwise) which represents (*R*) stereochemistry.
- Neither (R) or (S)**: Since there are two identical substituents (H's) the molecule is achiral and cannot be assigned (*R*) or (*S*).

### ? EXERCISE 5.5.7

Assign the following chiral centers as (*R*) or (*S*).



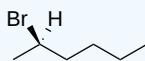
#### Answer

- a) (**R**): OH > CN (C triple bonded to N) > CH<sub>2</sub>NH<sub>2</sub> > H. The H needs to be moved to the back position which causes the priority to go to the right (clockwise) which indicates (*R*).
- b) (**S**): COOH > CH<sub>2</sub>OH > C CH > H. Since the H is coming forward, you can assign the priority and it goes to the right (clockwise which would be (*R*)) but since the lowest priority is forward, you have to switch it to (*S*). Alternatively, you can rotate the molecule to put the lowest priority to the back and you'll see that it rotates left (or counterclockwise) for (*S*).
- c) (**S**): Br > OH > NH<sub>2</sub> > CH<sub>3</sub>. Since the lowest priority is going back, you can follow the priority and see that it is going left (counterclockwise) and therefore (*S*).

### ? EXERCISE 5.5.8

Draw the structure of (*R*)-2-bromohexane.

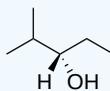
#### Answer



### ? EXERCISE 5.5.9

Draw the structure of (*S*)-2-methyl-3-pentanol.

#### Answer



## REFERENCES

- Schore and Vollhardt. *Organic Chemistry Structure and Function*. New York:W.H. Freeman and Company, 2007.
- McMurry, John and Simanek, Eric. *Fundamentals of Organic Chemistry*. 6th Ed. Brooks Cole, 2006.

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## 5.6: DIASTEREOMERS

### OBJECTIVES

After completing this section, you should be able to

1. calculate the maximum number of stereoisomers possible for a compound containing a specified number of chiral carbon atoms.
2. draw wedge-and-broken-line structures for all possible stereoisomers of a compound containing two chiral carbon atoms, with or without the aid of molecular models.
3. assign (*R*)/(*S*) configurations to wedge-and-broken-line structures containing two chiral carbon atoms, with or without the aid of molecular models.
4. determine, with or without the aid of molecular models, whether two wedge-and-broken-line structures containing two chiral carbon atoms are identical, represent a pair of enantiomers, or represent a pair of diastereomers.
5. draw the wedge-and-broken-line structure of a specific stereoisomer of a compound containing two chiral carbon atoms, given its IUPAC name and (*R*)/(*S*) configuration.

### KEY TERMS

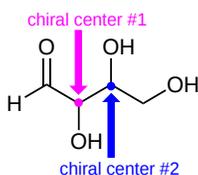
Make certain that you can define, and use in context, the key term below.

- diastereomer

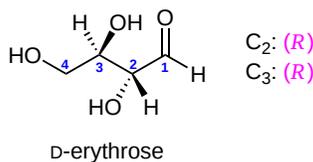
Diastereomers are two molecules which are stereoisomers (same molecular formula, same connectivity, different arrangement of atoms in space) but are *not* enantiomers. Unlike enantiomers which are **mirror images** of each other and **non-superimposable**, diastereomers are **not mirror images** of each other and **non-superimposable**. Diastereomers can have different physical properties and reactivity. They have different melting points and boiling points and different densities. In order for diastereomer stereoisomers to occur, a compound must have **two or more** stereocenters.

### INTRODUCTION

So far, we have been analyzing compounds with a single chiral center. Next, we turn our attention to those which have multiple chiral centers. We'll start with some stereoisomeric four-carbon sugars with two chiral centers.

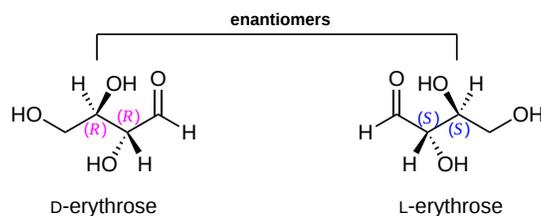


We will start with a common four-carbon sugar called D-erythrose.



A note on sugar nomenclature: biochemists use a special system to refer to the stereochemistry of sugar molecules, employing names of historical origin in addition to the designators '*D*' and '*L*'. You will learn about this system if you take a biochemistry class. We will use the *D/L* designations here to refer to different sugars, but we won't worry about learning the system.

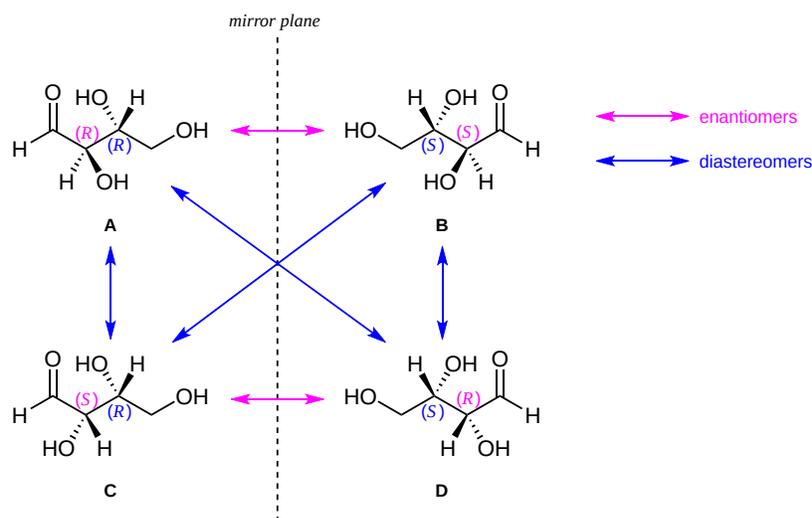
As you can see, *D*-erythrose is a chiral molecule:  $C_2$  and  $C_3$  are stereocenters, both of which have the (*R*) configuration. In addition, you should make a model to convince yourself that it is impossible to find a plane of symmetry through the molecule, regardless of the conformation. Does *D*-erythrose have an enantiomer? Of course it does – if it is a chiral molecule, it must. The enantiomer of erythrose is its mirror image, and is named *L*-erythrose (once again, you should use models to convince yourself that these mirror images of erythrose are not superimposable).



Notice that both chiral centers in L-erythrose both have the (S) configuration. To avoid confusion, we will simply refer to the different stereoisomers by capital letters.

Now let's consider all the possible stereoisomers.

Look first at compound A below. Both chiral centers in have the (R) configuration (you should confirm this for yourself!). The mirror image of Compound A is compound B, which has the (S) configuration at both chiral centers. If we were to pick up compound A, flip it over and put it next to compound B, we would see that they are *not* superimposable (again, confirm this for yourself with your models!). A and B are nonsuperimposable mirror images: in other words, enantiomers.



Now, look at compound C, in which the configuration is (S) at chiral center 1 and (R) at chiral center 2. Compounds A and C are stereoisomers: they have the same molecular formula and the same bond connectivity, but a different arrangement of atoms in space (recall that this is the definition of the term 'stereoisomer'). However, they are *not* mirror images of each other (confirm this with your models!), and so they are *not* enantiomers. By definition, they are **diastereomers** of each other.

Notice that compounds C and B also have a diastereomeric relationship, by the same definition.

So, compounds A and B are a pair of enantiomers, and compound C is a diastereomer of both of them. Does compound C have its own enantiomer? Compound D is the mirror image of compound C, and the two are not superimposable. Therefore, C and D are a pair of enantiomers. Compound D is also a diastereomer of compounds A and B.

This can also seem very confusing at first, but there some simple shortcuts to analyzing stereoisomers:

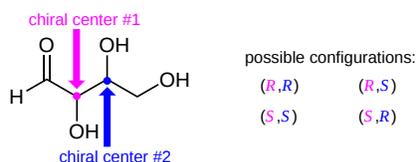
### STEREISOIMER SHORTCUTS

If **all** of the chiral centers are of opposite (R)/(S) configuration between two stereoisomers, they are enantiomers.

If **at least one, but not all** of the chiral centers are opposite between two stereoisomers, they are diastereomers.

These shortcuts do not take into account the possibility of additional stereoisomers due to alkene groups: we will come to that later

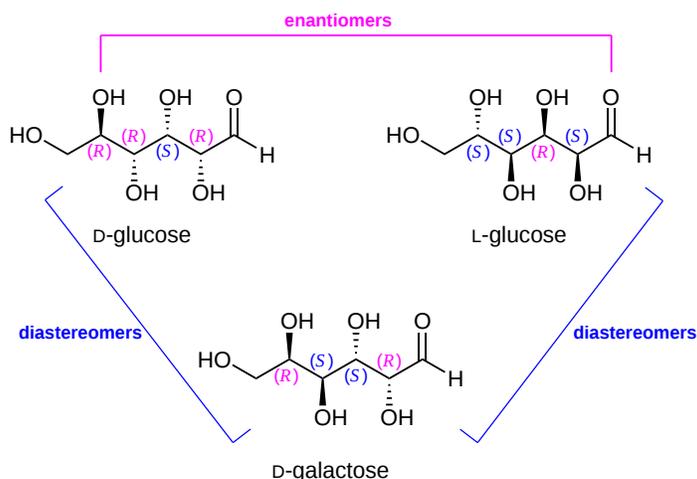
Here's another way of looking at the four stereoisomers, where one chiral center is associated with red and the other blue. Pairs of enantiomers are stacked together.



We know, using the shortcut above, that the enantiomer of (*R,R*) must be (*S,S*) - both chiral centers are different. We also know that (*R,S*) and (*S,R*) are diastereomers of (*R,R*), because in each case one - but not both - chiral centers are different.

## DETERMINING THE MAXIMUM NUMBER OF STEREOISOMERS FOR A COMPOUND

In general, a structure with  $n$  stereocenters will have a maximum of  $2^n$  different stereoisomers. (We are not considering, for the time being, the stereochemistry of double bonds - that will come later). For example, let's consider the glucose molecule in its open-chain form (recall that many sugar molecules can exist in either an open-chain or a cyclic form). There are two enantiomers of glucose, called D-glucose and L-glucose. The D-enantiomer is the common sugar that our bodies use for energy. It has  $n = 4$  stereocenters, so therefore there are  $2^n = 2^4 = 16$  possible stereoisomers (including D-glucose itself).

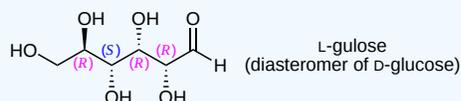
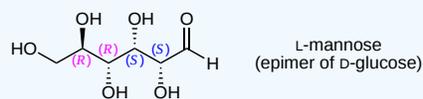
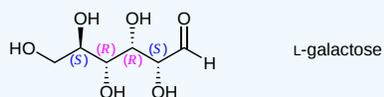


In L-glucose, all of the stereocenters are inverted relative to D-glucose. That leaves 14 diastereomers of D-glucose: these are molecules in which at least one, but not all, of the stereocenters are inverted relative to D-glucose. One of these 14 diastereomers, a sugar called D-galactose, is shown above: in D-galactose, one of four stereocenters is inverted relative to D-glucose. Diastereomers which differ in only one stereocenter (out of two or more) are called **epimers**. D-glucose and D-galactose can therefore be referred to as epimers as well as diastereomers.

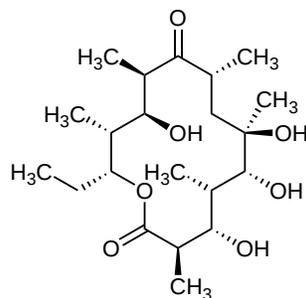
### ✓ EXAMPLE 5.6.1

- Draw the structure of L-galactose, the enantiomer of D-galactose.
- Draw the structure of two more diastereomers of D-glucose. One should be an epimer.

#### Answer



Erythronolide B, a precursor to the 'macrocyclic' antibiotic erythromycin, has 10 stereocenters. Its enantiomer is that molecule in which all 10 stereocenters are inverted.



erythronolide B

In total, there are  $2^{10} = 1024$  stereoisomers in the erythronolide B family: 1022 of these are diastereomers of the structure above, one is the enantiomer of the structure above, and the last *is* the structure above.

We know that enantiomers have identical physical properties and equal but opposite degrees of specific rotation. Diastereomers, in theory at least, have different physical properties – we stipulate ‘in theory’ because sometimes the physical properties of two or more diastereomers are so similar that it is very difficult to separate them. In addition, the specific rotations of diastereomers are unrelated – they could be the same sign or opposite signs, and similar in magnitude or very dissimilar.

### ? EXERCISE 5.6.1

Determine the number of stereoisomers a molecule can have with...

- 3 chiral centers
- 1 chiral center
- 6 chiral centers

#### Answer

Since a molecule with  $n$  chiral centers can have  $2^n$  stereoisomers...

- $2^3 = 8$  possible stereoisomers
- $2^1 = 2$  possible stereoisomers
- $2^6 = 64$  possible stereoisomers

### ? EXERCISE 5.6.2a

What is the relationship between enantiomers?

#### Answer

They are mirror images of each other and when 2 or more chiral centers are present, every stereocenter is the opposite in its enantiomer.

### ? EXERCISE 5.6.2b

How does the stereochemistry in diastereomers differ from each other?

#### Answer

In diastereomers, one or more of the chiral centers is the opposite but they all can't be the opposite or else they'd be enantiomers.

### ? EXERCISE 5.6.2c

What are epimers?

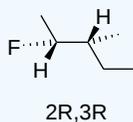
#### Answer

Epimers are when **only one** chiral center is the opposite (in molecules with 2 or more chiral centers) in its diastereomer.

? EXERCISE 5.6.3a

Draw the structure of (2R,3R) 2-fluoro-3-methylhexane.

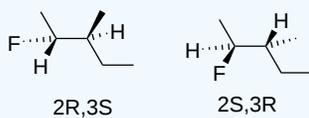
Answer



? EXERCISE 5.6.3b

Draw both diastereomers of (2R,3R) 2-fluoro-3-methylhexane.

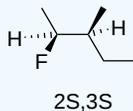
Answer



? EXERCISE 5.6.3c

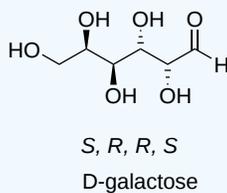
Draw the enantiomer of (2R,3R) 2-fluoro-3-methylhexane.

Answer

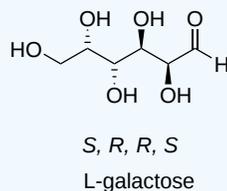


? EXERCISE 5.6.4a

Draw the structure of L-galactose, the enantiomer of D-galactose.



Answer

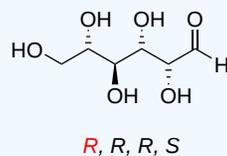


### ? EXERCISE 5.6.4b

Draw a diastereomer of D-galactose that is an epimer.

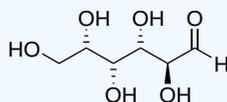
#### Answer

You can draw an epimer by drawing D-galactose with 1 (and only 1) of its chiral centers reversed. Here's an example when you switch only the first chiral center (in red). (There are 3 other epimers that could be drawn as long as you only swap a single chiral center in the diastereomer that you use.)

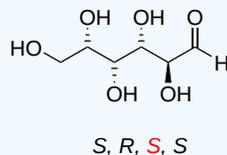


### ? EXERCISE 5.6.4c

Identify if the following diastereomer of galactose is an epimer of D- galactose or L- galactose.



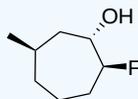
#### Answer



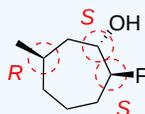
Since the diastereomer above only varies from L-galactose by 1 chiral center, the above is an epimer in relationship to L-galactose. Since it varies from D-galactose by 3 chiral centers, it is not an epimer but a diastereomer. Since not all of the chiral centers are swapped, it is not an enantiomer!

### ? EXERCISE 5.6.5a

For the compound shown below, label each chiral center as R or S.



#### Answer



### ? EXERCISE 5.6.5b

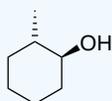
How many stereoisomers are possible for the compound in part a)?

#### Answer

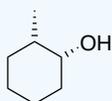
Since there are 3 chiral centers,  $2^3 = 8$  possible stereoisomers.

### ? EXERCISE 5.6.6

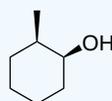
Consider the stereoisomers below.



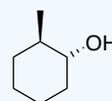
i



ii



iii



iv

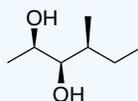
- Which is/are an enantiomer of i?
- Which is/are a diastereomer of ii?
- Which is/are an epimer of i?

#### Answer

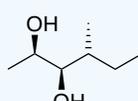
- iv is an enantiomer of i since both chiral centers are switched and they are non superimposable mirror images.
- i & iv are diastereomers of ii since they are stereoisomers that are not mirror images.
- ii and iii are epimers of i since they are diastereomers with only 1 chiral center switched and the other one the same.

### ? EXERCISE 5.6.7

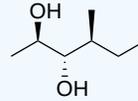
Consider the 8 stereoisomers below.



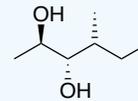
i



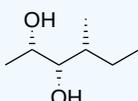
ii



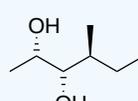
iii



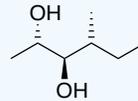
iv



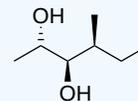
v



vi



vii



viii

- Which is/are an enantiomer of i?
- Which is/are a diastereomer of i?
- Which is/are an epimer of i?

#### Answer

- v is an enantiomer since all three chiral centers are switched and they are non superimposable mirror images.
- ii, iii, iv, vi, vii & viii are diastereomers of i since they are stereoisomers that are not mirror images.
- ii, iii & viii are epimers of i since they are diastereomers with only 1 chiral center switched and the other chiral centers the same.

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## 5.7: MESO COMPOUNDS

### OBJECTIVES

After completing this section, you should be able to

1. determine whether or not a compound containing two chiral carbon atoms will have a meso form, given its Kekulé, condensed or shorthand structure, or its IUPAC name.
2. draw wedge-and-broken-line structures for the enantiomers and meso form of a compound such as tartaric acid, given its IUPAC name, or its Kekulé, condensed or shorthand structure.
3. make a general comparison of the physical properties of the enantiomers, meso form and racemic mixture of a compound such as tartaric acid.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- meso compound

### STUDY NOTES

You may be confused by the two sets of structures showing “rotations.” Of course in each case the two structures shown are identical, they represent the same molecule looked at from two different perspectives. In the first case, there is a  $120^\circ$  rotation around the single carbon-carbon bond. In the second, the whole molecule is rotated  $180^\circ$  top to bottom.

### INTRODUCTION

A meso compound is an achiral compound that has chiral centers. A meso compound contains an internal plane of symmetry which makes it superimposable on its mirror image and is optically **inactive** although it contains two or more stereocenters. Remember, an internal plane of symmetry was shown to make a molecule achiral in **Section 5.2**.

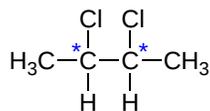
In general, a meso compound should contain two or more identical substituted stereocenters. Also, it has an internal symmetry plane that divides the compound in half. These two halves reflect each other by the internal mirror. The stereochemistry of reflected stereocenters should “cancel out”. What it means here is that when we have an internal plane that splits the compound into two symmetrical sides, the stereochemistry of both left and right side should be opposite to each other, and therefore, resulting the molecule being **optically inactive**.

### IDENTIFICATION

A meso compound must have:

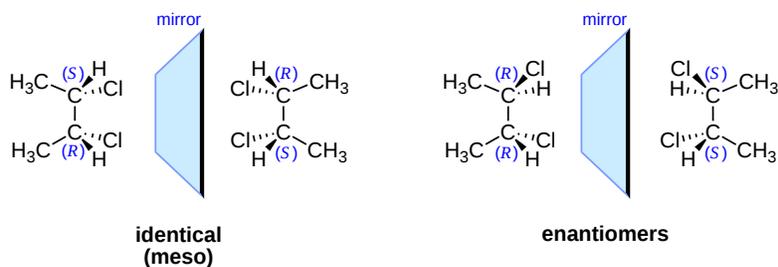
1. Two or more stereocenters.
2. An internal plane of symmetry, or internal mirror, that lies in the compound.
3. Stereochemistry that cancels out. This means reflected stereocenter should have the same substituents and be inverted. For instance, in a meso compound with two stereocenters one should be R and the other S.

The compounds 2,3-dichlorobutane contains two chiral carbons and therefore would be expected to provide  $2^2 = 4$  different stereoisomers. These stereoisomers should be made up of two pairs of enantiomers.

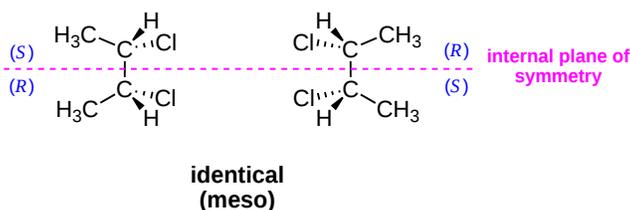


2,3-dichlorobutane

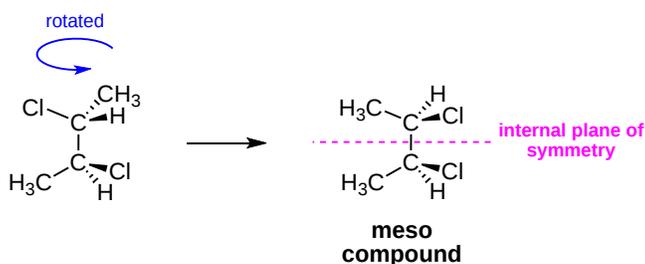
After drawing out all the possible stereoisomers of 2,3-dichlorobutane, the pair on the right in the figure below are mirror images. Also, they are non-superimposable because they have distinctly different conformation (R,R & S,S). This makes the pair enantiomers of each other. However, the pair on the left represent a meso compound, they both are identical despite being mirror images.



Upon further investigation, the meso compound has an internal plane of symmetry which is not present in the pair of enantiomers. The plane of symmetry in the meso compound comes about because there are two chiral carbons present, both chiral carbons are identically substituted (Cl, H, CH<sub>3</sub>), and one chiral carbon is R and the other is S. Despite being represented as mirror images, both structures represent the same compound. This is best proven by making molecular models of both representations and then superimposing them. Overall, 2,3-dichlorobutane only has three possible stereoisomers, the pair of enantiomers and the meso compound.

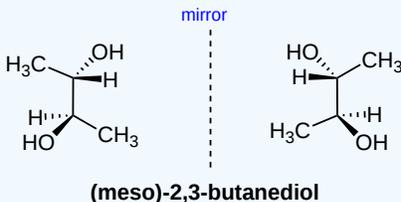


When looking for an internal plane of symmetry, it is important to remember that sigma bonds (single bonds) can rotate. Just because the immediate representation of a molecule does not have a plane of symmetry does not mean that one cannot be obtained through rotation. Often the substituents attached to a stereocenter need to be rotated to recognize the internal plane of symmetry. As the stereocenter is rotated, its configuration does not change. Building a molecular model when considering a possible meso compound is an invaluable tool because it allows for easy rotation of chiral carbons. An example of how rotation of a chiral carbon can reveal an internal plane of symmetry is shown below.

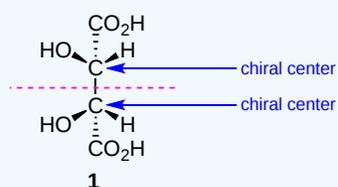


### ✓ EXAMPLE 5.7.1

Below are the two mirror images of (meso)-2,3-Butanediol. Because it is a meso compound, the two structures are identical. Show that both mirror images can be obtained by simply rotating the three-dimensional structure provided below.

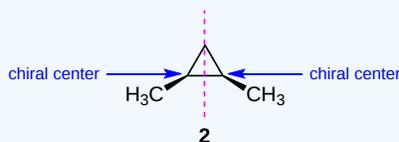


## ✓ EXAMPLE 5.7.2



1 has a plane of symmetry (the horizontal plane going through the red broken line) and, therefore, is achiral; 1 has chiral centers. Thus, 1 is a meso compound.

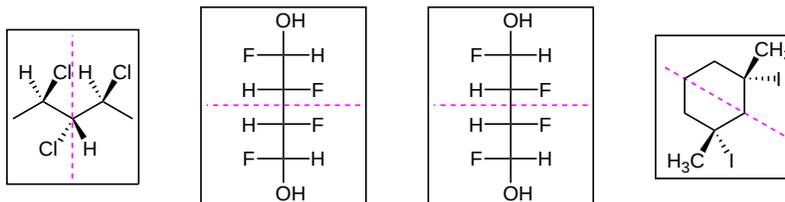
## ✓ EXAMPLE 5.7.3



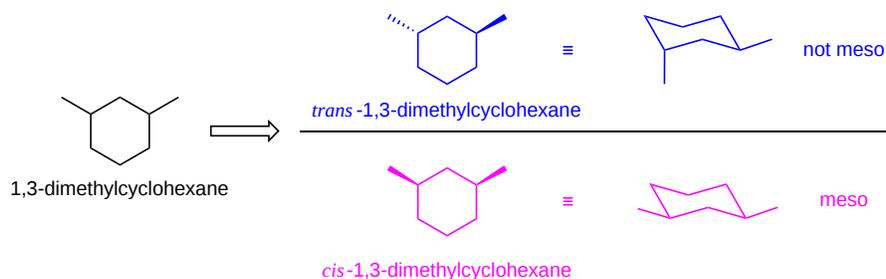
This molecule has a plane of symmetry (the vertical plane going through the red broken line perpendicular to the plane of the ring) and, therefore, is achiral, but has two chiral centers. Thus, it is a meso compound.

## OTHER EXAMPLES OF MESO COMPOUNDS

Meso compounds can exist in many different forms such as pentane, butane, heptane, and even cycloalkanes. Although two chiral carbons must be present, meso compounds can have many more. Notice that in every case a plane of symmetry is present.

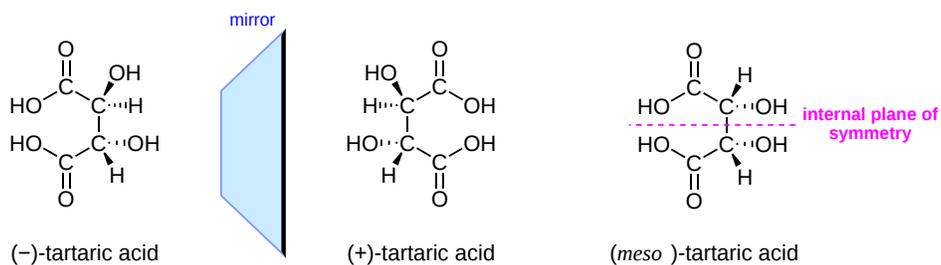


In general, a disubstituted cycloalkane is meso if the two substituents are the same and they are in a *cis* conformation. *Trans* disubstituted cycloalkanes are not meso regardless if the two substituents are the same.



## OPTICAL ACTIVITY ANALYSIS OF A MESO COMPOUND

When the **optical activity** of a meso compound is attempted to be determined with a polarimeter, the indicator will not show (+) or (-). It simply means there is no certain direction of rotation of the polarized light, neither levorotatory (-) and dextrorotatory (+) because a meso compound is achiral (optically inactive). Investigations of isomeric tartaric acid (2,3-dihydroxybutanedioic acid), carried out by Louis Pasteur in the mid 19th century, were instrumental in elucidating some of the subtleties of stereochemistry. Tartaric acid, has two chiral but only three stereoisomers. Two of these stereoisomers are enantiomers and the third is an achiral a **meso** compound. Some physical properties of these stereoisomers of tartaric acid are given in the table below. Notice that the enantiomers have the same amount of optical rotation but in different directions. Meso-tartaric acid produces no optical rotation because it is achiral and not optically active. Meso-tartaric acid is actually a diastereomer of both (-) and (+)-tartaric acid, which gives it a distinctly different melting point.



(+)-tartaric acid:	$[\alpha]_D = +13^\circ$	m.p. 172 °C
(-)-tartaric acid:	$[\alpha]_D = -13^\circ$	m.p. 172 °C
<i>meso</i> -tartaric acid:	$[\alpha]_D = 0^\circ$	m.p. 140 °C

### ? EXERCISE 5.7.1

1) Determine which of the following molecules are meso.

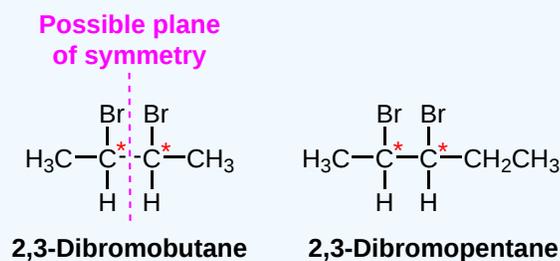
2) Explain why 2,3-dibromobutane has the possibility of being a meso compound while 2,3-dibromopentane does not.

3) Observe the following compound and determine if it is a meso compound. If so indicate the plane of symmetry. Red = oxygen. Remember sigma bonds are able to rotate.

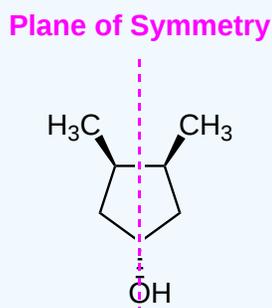
**Answer**

1) A C, D, E are meso compounds.

2) One of the requirements of a meso compound is that the reflected chiral carbons have the same substituents. The compound 2,3-dibromobutane, fulfills this requirement (Br, H, CH<sub>3</sub>) and can possibly be a meso compound if the two chiral carbons have the appropriate configuration (R & S). The substituents of the two chiral carbons in 2,3-dibromopentane do not have the same substituents (Br, H, CH<sub>3</sub> vs. Br, H, CH<sub>2</sub>CH<sub>3</sub>). This 2,3-dibromopentane cannot form a meso compound regardless of the configurations of its chiral carbons.

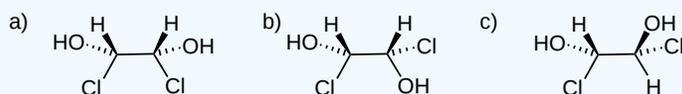


3) The compound is meso.



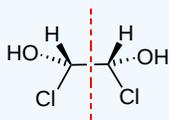
**EXERCISE 5.7.1**

Which of the following are meso compounds?



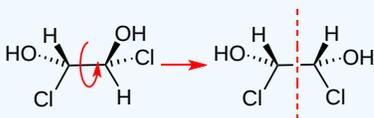
### Answer

a) This is a meso compound. There is an internal plane of symmetry (dashed line shown in red) between the C's (and it has stereochemistry of S & R).



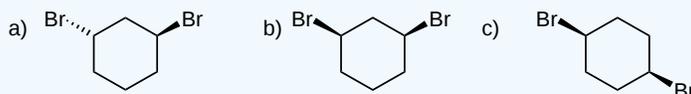
b) This is not a meso compound. No matter how you rotate the C-C bond, you do not see a plane of symmetry (and its stereochemistry is S & S)

c) This is a meso compound. There is an internal plane of symmetry (dashed line shown in red) that can be seen when you rotate the C-C bond (and it has stereochemistry of S & R).



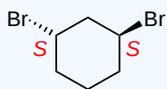
### ? EXERCISE 5.7.2

Which of the following are meso compounds?



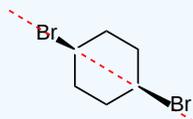
### Answer

a) This is not a meso compound. There is no plane of symmetry and has stereochemistry of S & S.



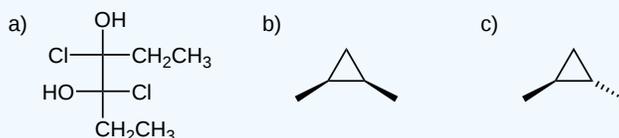
b) This is a meso compound. There is an internal plane of symmetry (dashed line shown in red) and it has stereochemistry of R & S.

c) This is not a meso compound (even though it has planes of symmetry). The plane of symmetry shown in red makes it so that both chiral centers have symmetrical groups (the ring) and thus the compound is not chiral (so it can't be a meso compound).



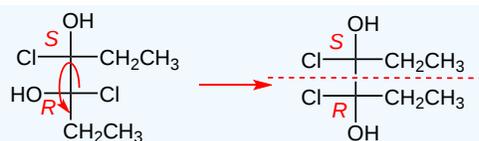
### ? EXERCISE 5.7.3

Which of the following are meso compounds?

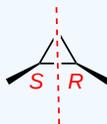


### Answer

a) This is a meso compound. If you rotate between the C-C bond, you can see that it has a mirror plane between the C's (shown in red on the structure to the right). Notice how rotating a C-C bond doesn't change the stereochemistry of the molecule (S & R).



b) This is a meso compound. You can see the plane of symmetry in red and the compound has stereochemistry of S & R.



c) This is not a meso compound. There is no plane of symmetry and it has stereochemistry of S & S.

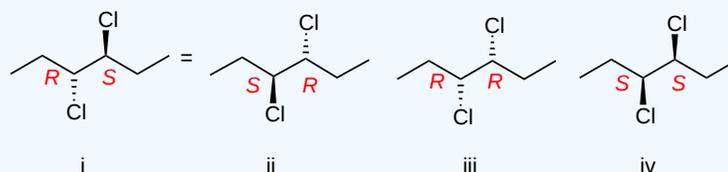


### ? EXERCISE 5.7.4

Determine (and draw) if any of the forms of 3,4-dichlorohexane are a meso compound.

#### Answer

Looking at the 4 different possibilities below, i & ii are equivalent structures (with R & S stereochemistry) so it is a meso compound. iii & iv are not meso compounds but are enantiomers to each other.



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## 5.8: RACEMIC MIXTURES AND THE RESOLUTION OF ENANTIOMERS

### OBJECTIVES

After completing this section, you should be able to

1. describe a common process for separating a mixture of enantiomers.
2. explain why racemic mixtures do not rotate plane-polarized light.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- racemic mixture (or racemate)
- resolve

### STUDY NOTES

A *racemic mixture* is a 50:50 mixture of two enantiomers. Because they are mirror images, each enantiomer rotates plane-polarized light in an equal but opposite direction and is optically inactive. If the enantiomers are separated, the mixture is said to have been *resolved*. A common experiment in the laboratory component of introductory organic chemistry involves the resolution of a racemic mixture.

The dramatic biochemical consequences of chirality are illustrated by the use, in the 1950s, of the drug Thalidomide, a sedative given to pregnant women to relieve morning sickness. It was later realized that while the (+)-form of the molecule, was a safe and effective sedative, the (–)-form was an active teratogen. The drug caused numerous birth abnormalities when taken in the early stages of pregnancy because it contained a mixture of the two forms.

As noted earlier, chiral compounds synthesized from achiral starting materials and reagents are generally racemic (i.e. a 50:50 mixture of enantiomers). Separation of racemates into their component enantiomers is a process called resolution. Since enantiomers have identical physical properties, such as solubility and melting point, resolution is extremely difficult. Diastereomers, on the other hand, have different physical properties, and this fact is used to achieve resolution of racemates. Reaction of a racemate with an enantiomerically pure chiral reagent gives a mixture of diastereomers, which can be separated. For example, if a racemic mixture of a chiral alcohol is reacted with an enantiomerically pure carboxylic acid, the result is a mixture of diastereomers: in this case, because the pure (R) enantiomer of the acid was used, the product is a mixture of (R-R) and (R-S) diastereomeric esters, which can, in theory, be separated by their different physical properties. Subsequent hydrolysis of each separated ester will yield the 'resolved' (enantiomerically pure) alcohols. The used in this technique are known as 'Moscher's esters', after Harry Stone Moscher, a chemist who pioneered the method at Stanford University.

As noted earlier, chiral compounds synthesized from achiral starting materials and reagents are generally racemic (i.e. a 50:50 mixture of enantiomers). Separation of racemates into their component enantiomers is a process called **resolution**. Since enantiomers have identical physical properties, such as solubility and melting point, resolution is extremely difficult. Diastereomers, on the other hand, have different physical properties, and this fact is used to achieve resolution of racemates. Reaction of a racemate with an enantiomerically pure chiral reagent gives a mixture of diastereomers, which can be separated. Reversing the first reaction then leads to the separated enantiomers plus the recovered reagent.

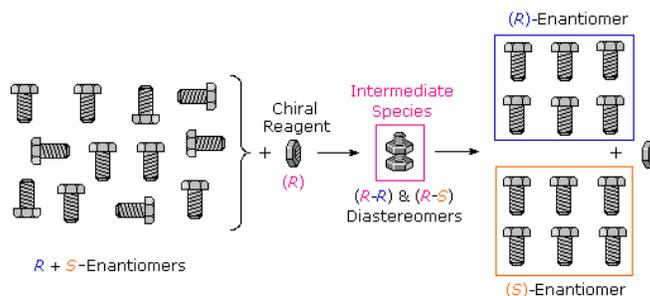


Figure 5.8.1:

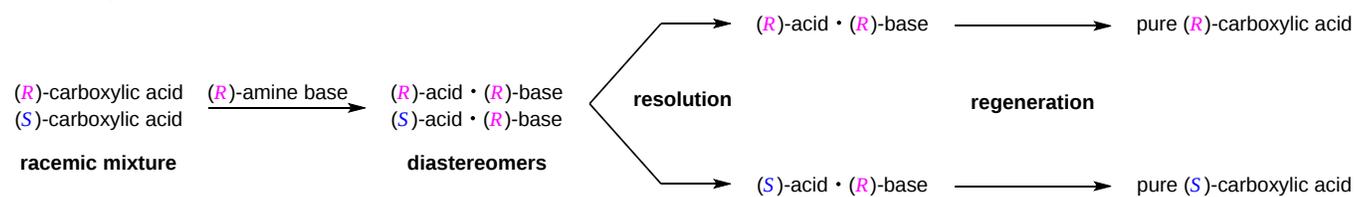
Many kinds of chemical and physical reactions, including salt formation, may be used to achieve the diastereomeric intermediates needed for separation. Figure 5.8.1 illustrates this general principle by showing how a nut having a right-handed thread (R) could serve as a "reagent" to discriminate and separate a mixture of right- and left-handed bolts of identical size and weight. Only the two right-handed partners can interact to give a fully-threaded intermediate, so separation is fairly simple. The resolving moiety, i.e. the nut, is then removed,

leaving the bolts separated into their right and left-handed forms. Chemical reactions of enantiomers are normally not so dramatically different, but a practical distinction is nevertheless possible.

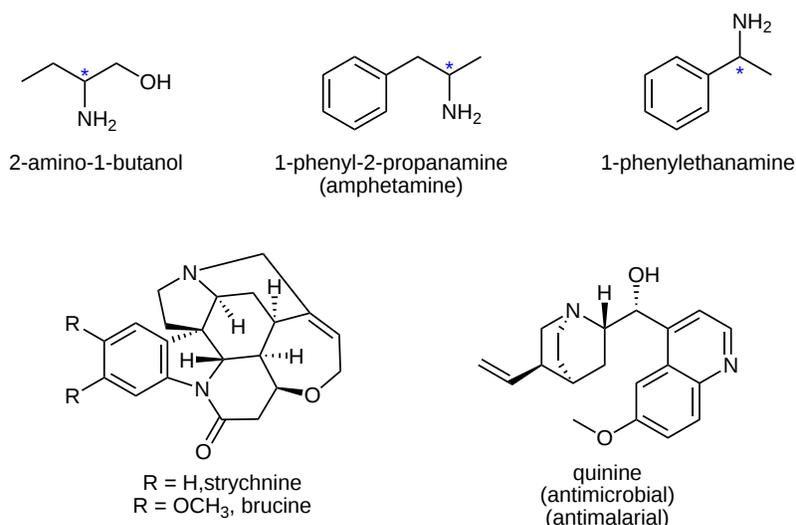
Because the physical properties of enantiomers are identical, they seldom can be separated by simple physical methods, such as fractional crystallization or distillation. It is only under the influence of another chiral substance that enantiomers behave differently, and almost all methods of resolution of enantiomers are based upon this fact. We include here a discussion of the primary methods of resolution.

### CHIRAL AMINES AS RESOLVING AGENTS AND RESOLUTION OF RACEMIC ACIDS

The most commonly used procedure for separating enantiomers is to convert them to a mixture of diastereomers that will have different physical properties: melting point, boiling point, solubility, and so on (Section 5-5). For example, if you have a racemic or (*R*)/(*S*) mixture of enantiomers of a carboxylic acid and convert this to a salt with a chiral amine base having the (*R*) configuration, the salt will be a mixture of two diastereomers, (*R*-acid • *R*-base) and (*S*-acid • *R*-base). These diastereomeric salts are *not* identical and they are not mirror images. Therefore they will differ to some degree in their physical properties, and a separation by physical methods, such as crystallization, may be possible. If the diastereomeric salts can be completely separated, the carboxylic acid regenerated from each salt will be either exclusively the (*R*) or the (*S*) enantiomer.



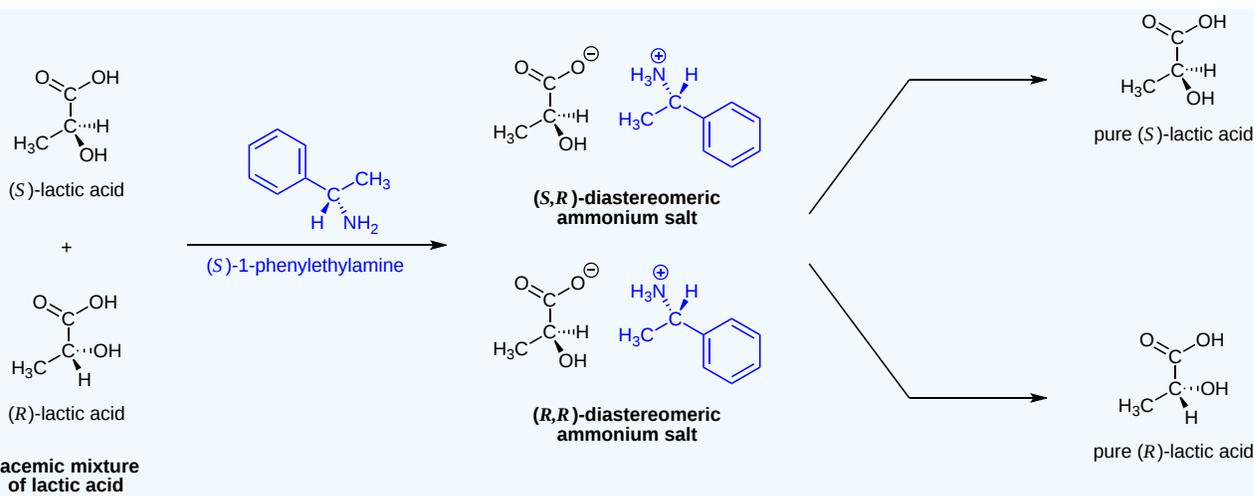
Resolution of chiral acids through the formation of diastereomeric salts requires adequate supplies of suitable chiral bases. Brucine, strychnine, and quinine frequently are used for this purpose because they are readily available, naturally occurring chiral bases. Simpler amines of synthetic origin, such as 2-amino-1-butanol, amphetamine, and 1-phenylethylamine, also can be used, but first they must be resolved themselves.



#### ? WORKED EXAMPLE 5.8.1

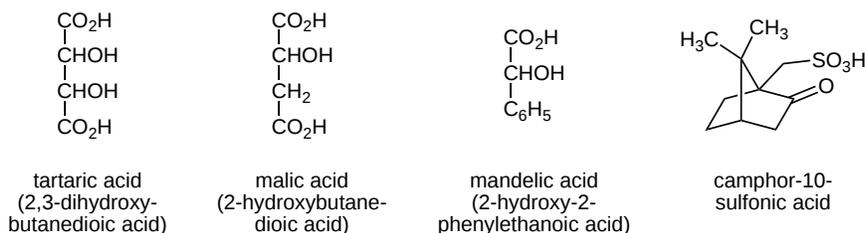
Show how (*S*)-1-phenylethylamine can be used to resolve a racemic mixture of lactic acid. Please draw all the structures involved.

**Answer**



## RESOLUTION OF RACEMIC BASES

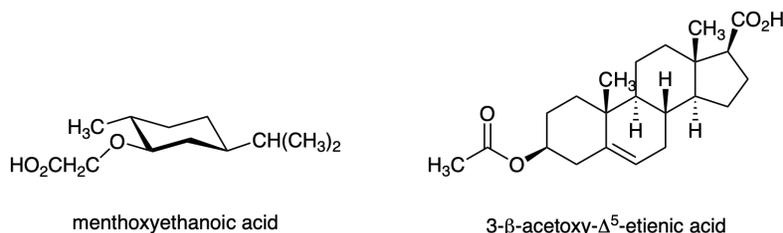
Chiral acids, such as (+)-tartaric acid, (-)-malic acid, (-)-mandelic acid, and (+)-camphor-10-sulfonic acid, are used for the resolution of a racemic base.



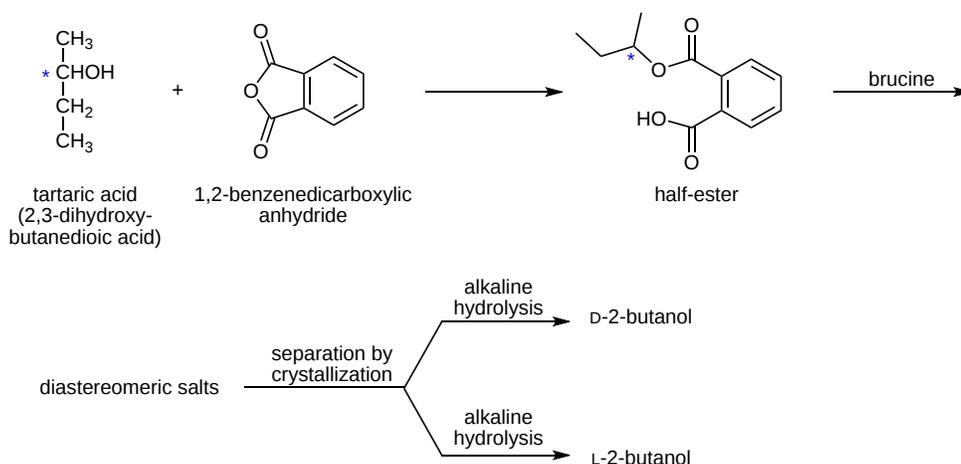
The principle is the same as for the resolution of a racemic acid with a chiral base, and the choice of acid will depend both on the ease of separation of the diastereomeric salts and, of course, on the availability of the acid for the scale of the resolution involved. Resolution methods of this kind can be tedious, because numerous recrystallizations in different solvents may be necessary to progressively enrich the crystals in the less-soluble diastereomer. To determine when the resolution is complete, the mixture of diastereomers is recrystallized until there is no further change in the measured optical rotation of the crystals. At this stage it is hoped that the crystalline salt is a pure diastereomer from which one pure enantiomer can be recovered. The optical rotation of this enantiomer will be a maximum value if it is "optically" pure because any amount of the other enantiomer could only reduce the magnitude of the measured rotation  $\alpha$ .

## RESOLUTION OF RACEMIC ALCOHOLS

To resolve a racemic alcohol, a chiral acid can be used to convert the alcohol to a mixture of diastereomeric esters. This is not as generally useful as might be thought because esters tend to be liquids unless they are very high-molecularweight compounds. If the diastereomeric esters are not crystalline, they must be separated by some other method than fractional crystallization (for instance, by chromatography methods, Section 9-2). Two chiral acids that are useful resolving agents for alcohols are:

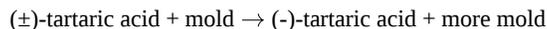


The most common method of resolving an alcohol is to convert it to a half-ester of a dicarboxylic acid, such as butanedioic (succinic) or 1,2-benzenedicarboxylic (phthalic) acid, with the corresponding anhydride. The resulting half-ester has a free carboxyl function and may then be resolvable with a chiral base, usually brucine:



## OTHER METHODS OF RESOLUTION

One of the major goals in the field of organic chemistry is the development of reagents with the property of "chiral recognition" such that they can affect a clean separation of enantiomers in one operation without destroying either of the enantiomers. We have not achieved that ideal yet, but it may not be far in the future. Chromatographic methods, whereby the stationary phase is a chiral reagent that adsorbs one enantiomer more strongly than the other, have been used to resolve racemic compounds, but such resolutions seldom have led to both pure enantiomers on a preparative scale. Other methods, called kinetic resolutions, are excellent when applicable. The procedure takes advantage of differences in reaction rates of enantiomers with chiral reagents. One enantiomer may react more rapidly, thereby leaving an excess of the other enantiomer behind. For example, racemic tartaric acid can be resolved with the aid of certain penicillin molds that consume the dextrorotatory enantiomer faster than the levorotatory enantiomer. As a result, almost pure (-)-tartaric acid can be recovered from the mixture:



The crystallization procedure employed by Pasteur for his classical resolution of ( $\pm$ )-tartaric acid (**Section 5.4**) has been successful only in a very few cases. This procedure depends on the formation of individual crystals of each enantiomer. Thus if the crystallization of sodium ammonium tartrate is carried out below 27°, the usual racemate salt does not form; a mixture of crystals of the (+) and (-) salts forms instead. The two different kinds of crystals, which are related as an object to its mirror image, can be separated manually with the aid of a microscope and subsequently may be converted to the tartaric acid enantiomers by strong acid. A variation on this method of resolution is the seeding of a saturated solution of a racemic mixture with crystals of one pure enantiomer in the hope of causing crystallization of just that one enantiomer, thereby leaving the other in solution. Unfortunately, very few practical resolutions have been achieved in this way.

## PREDICATING THE CHIRALITY OF THE PRODUCT OF A REACTION

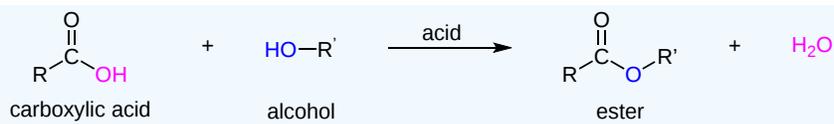
It is important to understand the changes in chirality which occur during the formation of product during a reaction. A chiral reaction product, has the possibility of forming multiple stereoisomers which all need to be considered. Changes in chirality, if possible, will be discussed with each individual reaction as this textbook moves forward. Some possible situations which can occur are:

- **A new chiral carbon is formed during a reaction.** This commonly occurs when an  $sp^2$  hybridized carbon in the reactant is converted to  $sp^3$  hybridized chiral carbon in the product. When this occurs, a racemic mixture of the new chiral carbon is formed.
- **A chiral carbon is lost during a reaction.** This commonly occurs when an  $sp^3$  hybridized chiral carbon in the reactant is converted to either a  $sp^2$  or  $sp$  hybridized carbon in the product.
- **An enantiomerically pure starting material is converted to a racemic mixture in the product.** This commonly occurs when a  $sp^3$  hybridized chiral carbon is temporarily converted to an  $sp^2$  hybridized carbon during a reaction's mechanism. The chiral carbon is reformed as a racemic mixture.
- **Chiral carbons remain unchanged during a reaction.** If a chiral carbon is not directly involved in a reaction, it will move from a reactant to a product unchanged.

Determining if a chiral carbon is involved in a given reaction is vital for determining which of these four situations is occurring.

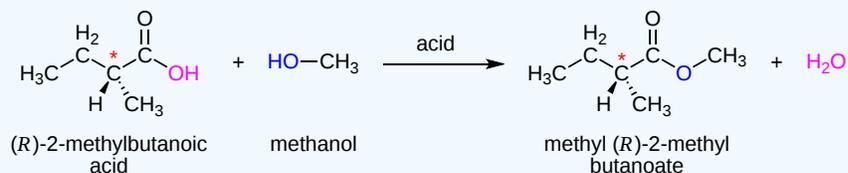
### ? WORKED EXAMPLE 5.8.2

The following reaction involves the conversion of a carboxylic acid reacting with an alcohol to form an ester. If a pure sample of (R)-2-methylbutanoic acid is reacted with methanol to form an ester, what would be the stereochemistry of the product?



**Answer**

First it is important to identify the location of the chiral carbon and determine if it is directly involved in the reaction. In this case, the chiral carbon is not involved so the stereochemistry will be carried over into the product unchanged.



**? EXERCISE 5.8.1**

Indicate the reagents you could use to resolve the following compounds. Show the reactions involved and specify the physical method you believe would be the best to separate the diastereomers of 1-phenyl-2-propanamine.

**Answer**

You could react the 1-phenyl-2-propanamine racemic mixture with a chiral acid such as (+)-tartaric acid (*R, R*). The reaction will produce a mixture of diastereomeric salts (i.e. *R, R, R* and *S, R, R*). You can separate the diastereomers through crystallization and treat the salt with a strong base (e.g. KOH) to recover the pure enantiomeric amine.

**? EXERCISE 5.8.2**

Indicate the reagents you would use to resolve the following and discuss the reactions involved and specify the physical method you believe would be the best to separate the diastereomers of 2,3-pentadienedioic acid.

**Answer**

You could react the 2,3-pentadienedioic acid mixture with a chiral base such as (*R*)-1-phenylethylamine. The reaction will produce a mixture of diastereomeric salts. Separate the diastereomers through crystallization and treat the resulting salt with strong acid (e.g. HCl) to recover the pure enantiomeric acid.

**? EXERCISE 5.8.3**

Indicate the reagents you would use to resolve the following and discuss the reactions involved and specify the physical method you believe would be the best to separate the diastereomers of 1-phenylethanol.

**Answer**

You could react the 1-phenylethanol mixture with 1,2-benzenedicarboxylic anhydride. The reaction will produce a mixture of diastereomeric salts. You could then separate the diastereomers through crystallization and then alkaline hydrolysis treatment should recover the pure enantiomeric alcohol.

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## 5.9: A REVIEW OF ISOMERISM

### OBJECTIVE

After completing this section, you should be able to explain the differences among constitutional (structural) isomers and stereoisomers (geometric isomers).

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- constitutional
- (structural) isomers
- stereoisomers

The following flow chart can be used to identify the relationship of two compounds with respect to isomerization:

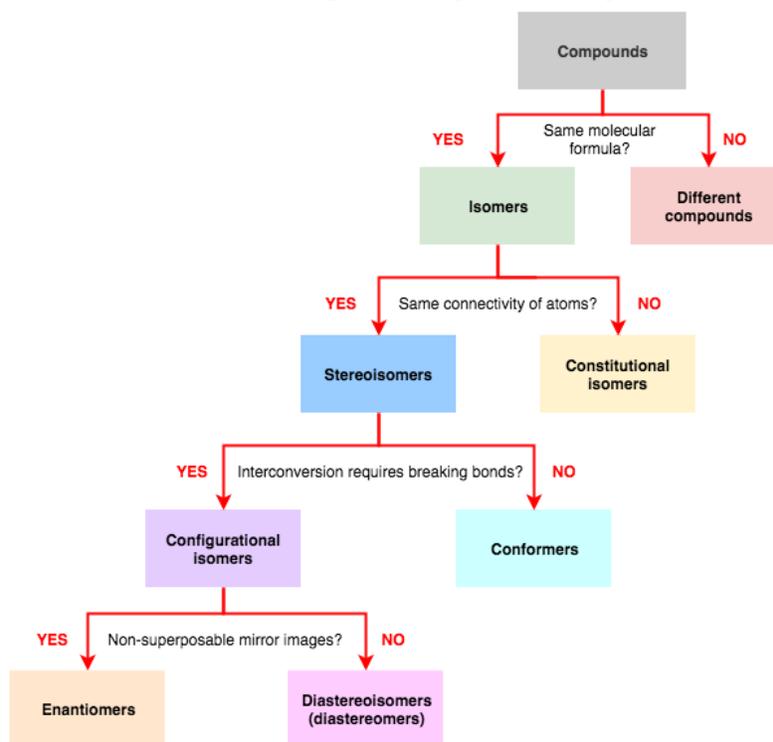
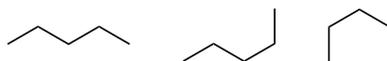


Figure 5.9.1: Different types of isomers. When using the flow chart, it is really important to remember that each decision on the flow chart is related to comparing two molecules, ie. it doesn't make sense to ask "what is compound A", but it does make sense to ask "how are compounds A and B related"? A molecule may be the enantiomer of another molecule, but a diastereomer of another. (CC-BY-SA 4.0; Mark Coster via StackExchange)

### CONFORMATIONAL ISOMERS

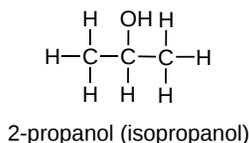
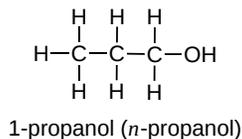
The C–C single bonds in ethane, propane, and other alkanes are formed by the overlap of an  $sp^3$  hybrid orbital on one carbon atom with an  $sp^3$  hybrid orbital on another carbon atom, forming a  $\sigma$  bond. Each  $sp^3$  hybrid orbital is cylindrically symmetrical (all cross-sections are circles), resulting in a carbon–carbon single bond that is also cylindrically symmetrical about the C–C axis. Because rotation about the carbon–carbon single bond can occur without changing the overlap of the  $sp^3$  hybrid orbitals, there is no significant electronic energy barrier to rotation. Consequently, many different arrangements of the atoms are possible, each corresponding to different degrees of rotation. Differences in three-dimensional structure resulting from rotation about a  $\sigma$  bond are called differences in conformation, and each different arrangement is called a conformational isomer (or conformer).



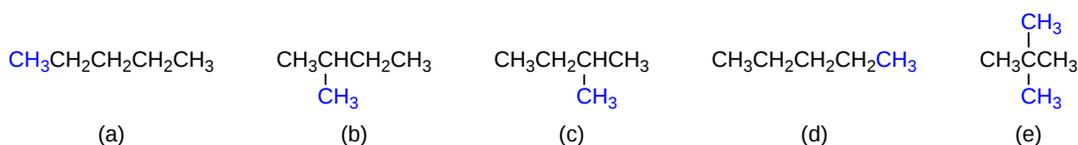
Conformational Isomers of Pentane

## STRUCTURAL ISOMERS

Unlike conformational isomers, which do not differ in connectivity, structural isomers differ in connectivity, as illustrated here for 1-propanol and 2-propanol. Although these two alcohols have the same molecular formula ( $C_3H_8O$ ), the position of the  $-OH$  group differs, which leads to differences in their physical and chemical properties.



In the conversion of one structural isomer to another, at least one bond must be broken and reformed at a different position in the molecule. Consider, for example, the following five structures represented by the formula  $C_5H_{12}$ :



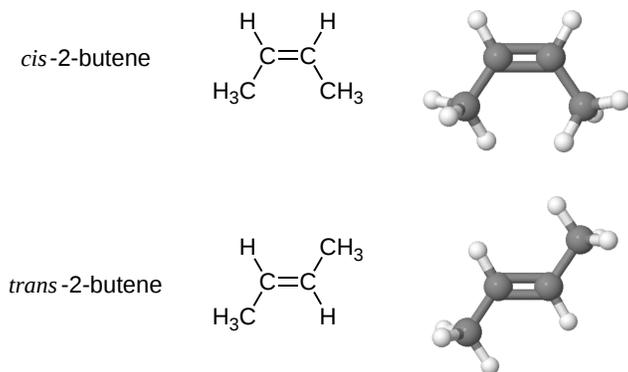
Of these structures, (a) and (d) represent the same compound, as do (b) and (c). No bonds have been broken and reformed; the molecules are simply rotated about a  $180^\circ$  vertical axis. Only three—*n*-pentane (a) and (d), 2-methylbutane (b) and (c), and 2,2-dimethylpropane (e)—are structural isomers. Because no bonds are broken in going from (a) to (d) or from (b) to (c), these alternative representations are not structural isomers. The three structural isomers—either (a) or (d), either (b) or (c), and (e)—have distinct physical and chemical properties.

## STEREISOMERS

**Stereoisomers** have the same connectivity in their atoms but a different arrangement in three-dimensional space. There are different classifications of stereoisomers depending on how the arrangements differ from one another. Notice that in the structural isomers, there was some difference in the connection of atoms. For example, 1-butene has a double bond followed by two single bonds while 2-butene has a single bond, then a double bond, then a single bond. A stereoisomer will have the same connectivity among all atoms in the molecule.

## GEOMETRIC ISOMERS

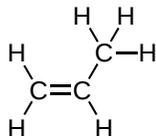
With a molecule such as 2-butene, a different type of isomerism called geometric isomerism can be observed. **Geometric isomers** are isomers in which the order of atom bonding is the same but the arrangement of atoms in space is different. The double bond in an alkene is not free to rotate because of the nature of the bond. Therefore, there are two different ways to construct the 2-butene molecule (see figure below). The image below shows the two geometric isomers, called *cis*-2-butene and *trans*-2-butene.



### Geometric Isomers of 2-Butene

The *cis* isomer has the two single hydrogen atoms on the same side of the molecule, while the *trans* isomer has them on opposite sides of the molecule. In both molecules, the bonding order of the atoms is the same. In order for geometric isomers to exist, there must be a rigid structure in the molecule to prevent free rotation around a bond. This occurs with a double bond or a ring. In addition, the two carbon atoms

must each have two different groups attached in order for there to be geometric isomers. Propene (see figure below) has no geometric isomers because one of the carbon atoms (the one on the far left) involved in the double bond has two single hydrogens bonded to it.

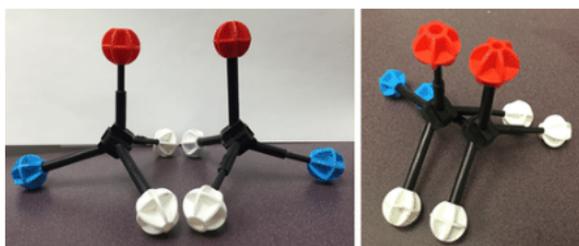


Physical and chemical properties of geometric isomers are generally different. As with alkenes, alkynes display structural isomerism beginning with 1-butyne and 2-butyne. However, there are no geometric isomers with alkynes because there is only one other group bonded to the carbon atoms that are involved in the triple bond.

## OPTICAL ISOMERS

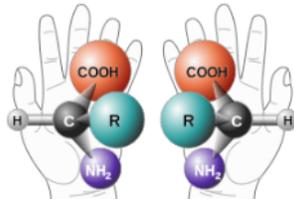
Stereoisomers that are not geometric isomers are known as optical isomers. Optical isomers differ in the placement of substituted groups around one or more atoms of the molecule. They were given their name because of their interactions with plane-polarized light. Optical isomers are labeled enantiomers or diastereomers.

**Enantiomers** are non-superimposable mirror images. A common example of a pair of enantiomers is your hands. Your hands are mirror images of one another but no matter how you turn, twist, or rotate your hands, they are not superimposable.



Two models that are mirror images and superimposable.

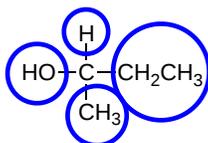
Since they are superimposable, they are the same molecule and are not isomers.



Your hands and some molecules are mirror images but are not superimposable.

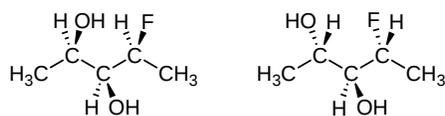
These pairs of molecules are called enantiomers.

Objects that have non-superimposable mirror images are called **chiral**. When examining a molecule, carbon atoms with four unique groups attached are considered chiral. Look at the figure below to see an example of a chiral molecule. Note that we have to look beyond the first atom attached to the central carbon atom. The four circles indicate the four unique groups attached to the central carbon atom, which is chiral.



A chiral carbon has four unique groups attached to it

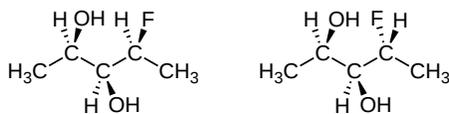
Another type of optical isomer are **diastereomers**, which are non-mirror image optical isomers. Diastereomers have a different arrangement around one or more atoms while some of the atoms have the same arrangement. As shown in the figure below, note that the orientation of groups on the first and third carbons are different but the second one remains the same so they are not the same molecule. The solid wedge indicates a group coming out of the page/screen towards you and the dashed line indicates that a group is going away from you "behind" the page/screen.



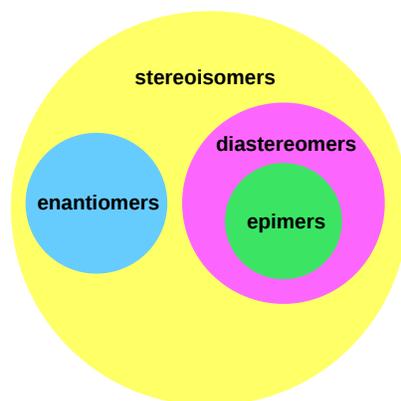
**Diastereomers differ at one or more atom. These molecules are not mirror images and they are not superimposable.**

**They are optical isomers because they have the same connectivity between atoms but a different arrangement of substituent groups.**

**Epimers** are a sub-group of diastereomers that differ at only one location. All epimers are diastereomers but not all diastereomers are epimers.



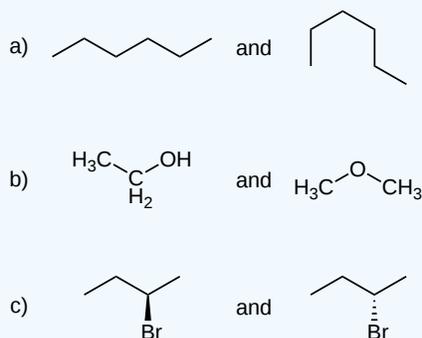
Epimers have a different arrangement around one atom, while arrangements around the other atoms are the same



**Diagram showing the division of stereoisomers (also known as optical isomers)**

### ? EXERCISE 5.9.1

What kind of isomers are the following pairs?

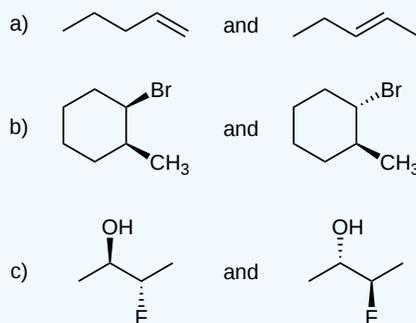


#### Answer

- Since both structures have the same formula ( $\text{C}_6\text{H}_{12}$ ) and they have the same connectivity, but are in a different arrangement of the atoms in space, they are conformational isomers.
- Since both structures have the same formula ( $\text{C}_2\text{H}_6\text{O}$ ) but different connectivity, they are structural isomers.
- Since both structures have the same formula ( $\text{C}_3\text{H}_9\text{Br}$ ) and the same connectivity but the structure on the left has *R* stereochemistry and the structure on the right has *S* stereochemistry, they are stereoisomers called enantiomers.

### ? EXERCISE 5.9.2

What kind of isomers are the following pairs?



#### Answer

a) Since both structures have the same formula ( $C_4H_8O$ ) but different connectivity of where the double bond is, they are structural isomers.

b) Both structures have the same formula and the same connectivity but the structure on the left has (R,S) stereochemistry and the structure on the right has (S,S) stereochemistry, they are stereoisomers that are diastereomers.

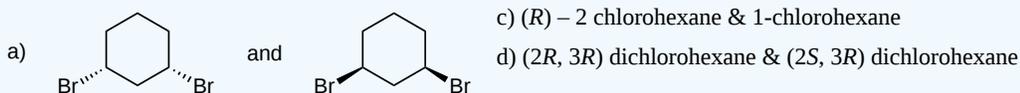


c) Both structures have the same connectivity but their stereochemistry differs so they are stereoisomers. Since the one on the left is (R,S) and the one on the right is (S,R), they are non-super imposable mirror images of each other and enantiomers.



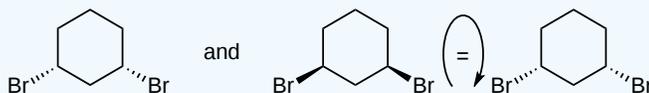
### ? EXERCISE 5.9.3

What is the relationship between the following pairs?



#### Answer

a) Both structures have the same connectivity and same chemical formula and when you rotate the structure on the right you can see that these are the same compound both with (R,S) stereochemistry.



b) Since both structures have the same formula ( $C_4H_8$ ) and the same connectivity they are stereoisomers since they differ in what is known as cis/trans isomerism (covered more in Ch 7). Due to the pi bond between carbons 2 & 3, there is not free rotation between the C2-C3 bond so the structure on the left has the carbon chains on the same side of the double bond (cis) and the structure on the right has the carbon chains on opposite sides of the double bond (trans).



c) Looking at the structures below, you can see that they have the same formula ( $C_6H_{13}Cl$ ) and different connectivity, so they are structural isomers.



d) Looking at the structures below, you can see that they have the same formula ( $C_6H_{12}Br_2$ ) and the same connectivity, but the structure on the left is ( $R,R$ ) and the structure on the right is ( $S,R$ ) so since they differ by only one stereocenter, they are stereoisomers that are diastereomers (& epimers in this case).



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## 5.10: CHIRALITY AT NITROGEN, PHOSPHORUS, AND SULFUR

### OBJECTIVES

After completing this section, you should be able to

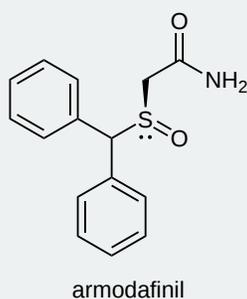
1. recognize that atoms other than carbon can be chiral centres.
2. explain why enantiomers of compounds such as ethylmethanamine cannot normally be isolated.

### STUDY NOTES

The first example of a resolvable compound containing a chiral nitrogen atom was resolved by William Pope and Stanley Peachey in 1899. It had the structure shown below.

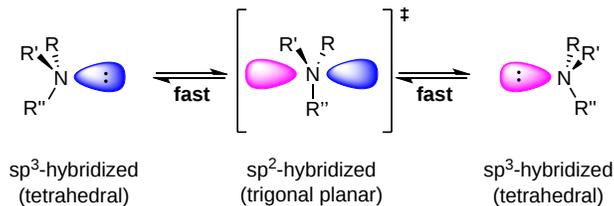
a resolvable compound with a chiral nitrogen centre

Chiral sulfoxides find application in certain drugs such as esomeprazole and armodafinil and are a good example of a stereogenic sulfur center.

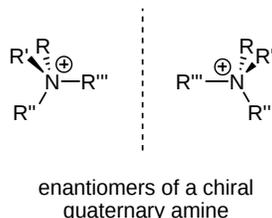


### CHIRALITY AT NITROGEN

Due to their tetrahedral configuration, amines with three different substituents are chiral. The R and S enantiomeric forms of chiral amines cannot be resolved due to their rapid interconversion by a process called pyramidal or nitrogen inversion. During the inversion, the  $sp^3$  hybridized amine momentarily rehybridizes to a  $sp^2$  hybridized, trigonal planar, transition state where the lone pair electrons occupy a p orbital. The nitrogen then returns to tetrahedral  $sp^3$  hybridization causing the lone pair electrons to enter to a hybrid orbital on the opposite side of the nitrogen. During this process substituents invert to form the enantiomer, analogous to the Walden inversion seen in  $S_N2$  reactions. The thermodynamic barrier for this inversion ( $\sim 25$  kJ/mol) is low enough to allow rapid inversion at room temperature, leading to a mixture of interconverting R and S configurations. At room temperature a nitrogen atom exists as a racemic mixture of R and S configurations.

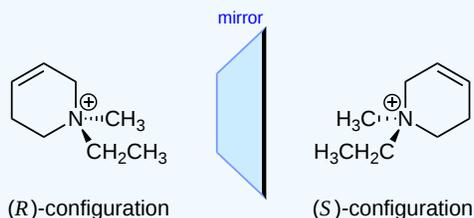


Quaternary amines lack lone pair electrons and therefore do not undergo pyramidal inversions. Quaternary amines with four different substituents are chiral and are readily resolved into separate enantiomers.



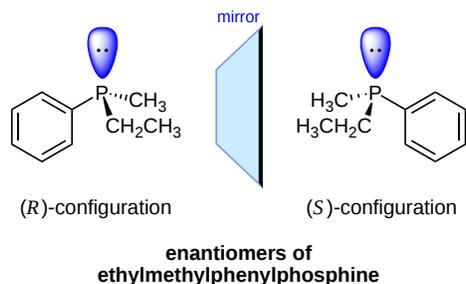
### ✓ EXAMPLE 5.10.1

The Enantiomers of a chiral quaternary amine.

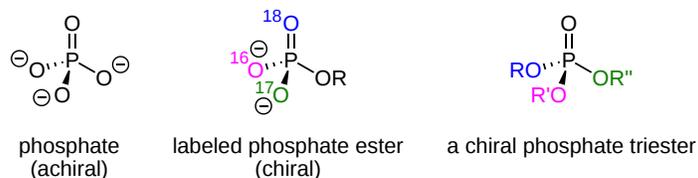


## CHIRALITY AT PHOSPHORUS

Trivalent phosphorus compounds called phosphines have a tetrahedral electron-group geometry which makes them structurally analogous to amines. The rate of inversion for phosphines are so much slower than amines that chiral phosphines can be isolated. In this case the set of lone pair electrons are considered a substituent and given the lowest Cahn-Ingold-Prelog priority.

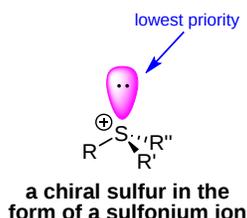


The phosphorus center of phosphate ions and organic phosphate esters is also tetrahedral, and thus potentially a stereocenter. In order to investigate the stereochemistry of reactions at the phosphate center,  $^{17}\text{O}$  and  $^{18}\text{O}$  isotopes of oxygen (the 'normal' isotope is  $^{16}\text{O}$ ) can be incorporated to create chiral phosphate groups. Phosphate triesters are chiral if the all four substituent groups are different (including the carbonyl oxygen).

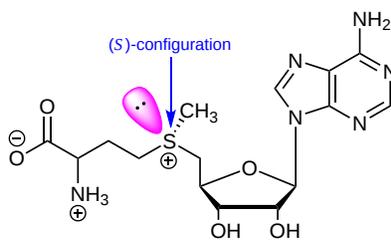


## CHIRALITY AT SULFUR

Trivalent sulfur compounds called sulfonium salts ( $\text{R}_3\text{S}^+$ ) have a tetrahedral electron-group geometry similar to amines and can be chiral if the R groups are all different. In a similar fashion as phosphorus, the inversion rates are slow enough for chiral sulfonium salts to be isolated. Here again the set of lone pair electrons are considered a substituent and given the lowest CIP priority. Sulfonium salts will be discussed in greater detail in [Section 18.8](#).

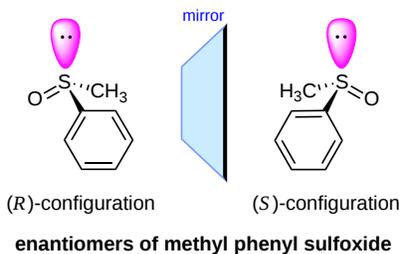


An excellent example of a chiral sulfonium salt in biological systems is the coenzyme (S)-adenosylmethionine (SAM). The presence of a sulfonium allows SAM to be a biological methyl group donor in many metabolic pathways. Note that SAM has an (S) configuration at the sulfur atom.



(S)-S-adenosylmethionine

The sulfur in sulfoxides (R'SOR'') can be chiral if both R groups are different. Here again the inversion rate is slow enough to allow chiral sulfoxides to be isolated. An excellent example is methyl phenyl sulfoxide. Sulfoxides will also be discussed in greater detail in [Section 18.8](#).



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## 5.11: PROCHIRALITY

### OBJECTIVES

After completing this section, you should be able to

1. identify a compound as being prochiral.
2. identify the *Re* and *Si* faces of prochiral  $sp^2$  centre.
3. identify atoms (or groups of atoms) as *pro-R* or *pro-S* on a prochiral  $sp^3$  centre.

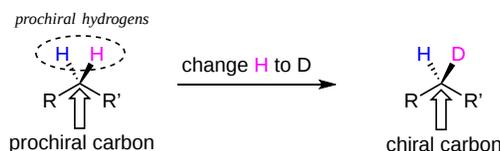
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- prochiral
- *pro-R*
- *pro-S*
- *Re*
- *Si*

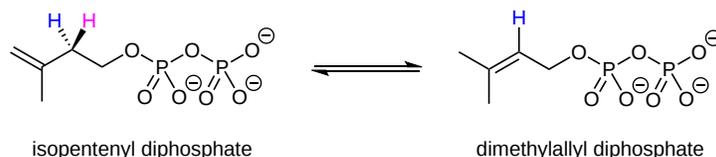
### PROCHIRAL CARBONS

When a tetrahedral carbon can be converted to a chiral center by changing only one of the attached groups, it is referred to as a '**prochiral**' carbon. The two hydrogens on the prochiral carbon can be described as 'prochiral hydrogens'.

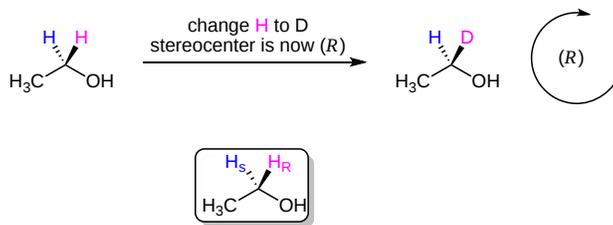


Note that if, in a 'thought experiment', we were to change either one of the prochiral hydrogens on a prochiral carbon center to a deuterium (the  $^2\text{H}$  isotope of hydrogen), the carbon would now have four different substituents and thus would be a chiral center.

Prochirality is an important concept in biological chemistry, because enzymes can distinguish between the two 'identical' groups bound to a prochiral carbon center due to the fact that *they occupy different regions in three-dimensional space*. Consider the isomerization reaction below, which is part of the biosynthesis of isoprenoid compounds. We do not need to understand the reaction itself (it will be covered in chapter 14); all we need to recognize at this point is that the isomerase enzyme is able to distinguish between the prochiral 'red' and the 'blue' hydrogens on the isopentenyl diphosphate (IPP) substrate. In the course of the left to right reaction, IPP specifically loses the 'red' hydrogen and keeps the 'blue' one.



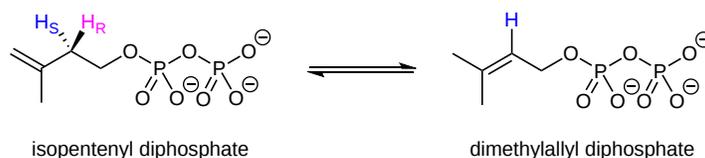
Prochiral hydrogens can be unambiguously designated using a variation on the *R/S* system for labeling chiral centers. For the sake of clarity, we'll look at a very simple molecule, ethanol, to explain this system. To name the 'red' and 'blue' prochiral hydrogens on ethanol, we need to engage in a thought experiment. If we, in our imagination, were to arbitrarily change red H to a deuterium, the molecule would now be chiral and the chiral carbon would have the *R* configuration (D has a higher priority than H).



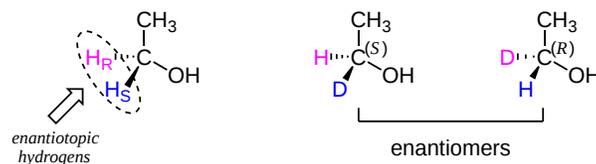
For this reason, we can refer to the red H as the *pro-R* hydrogen of ethanol, and label it  $H_R$ . Conversely, if we change the blue H to D and leave red H as a hydrogen, the configuration of the molecule would be *S*, so we can refer to blue H as the *pro-S* hydrogen of ethanol, and

label it  $H_S$ .

Looking back at our isoprenoid biosynthesis example, we see that it is specifically the *pro-R* hydrogen that the isopentenyl diphosphate substrate loses in the reaction.

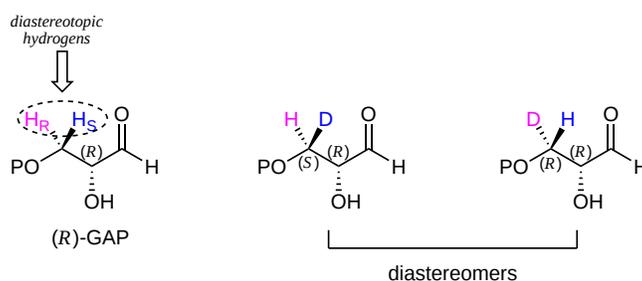


Prochiral hydrogens can be designated either enantiotopic or diastereotopic. If either  $H_R$  or  $H_S$  on ethanol were replaced by a deuterium, the two resulting isomers would be enantiomers (because there are no other stereocenters anywhere on the molecule).



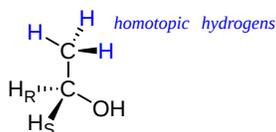
Thus, these two hydrogens are referred to as **enantiotopic**.

In (*R*)-glyceraldehyde-3-phosphate ((*R*)-GAP), however, we see something different:



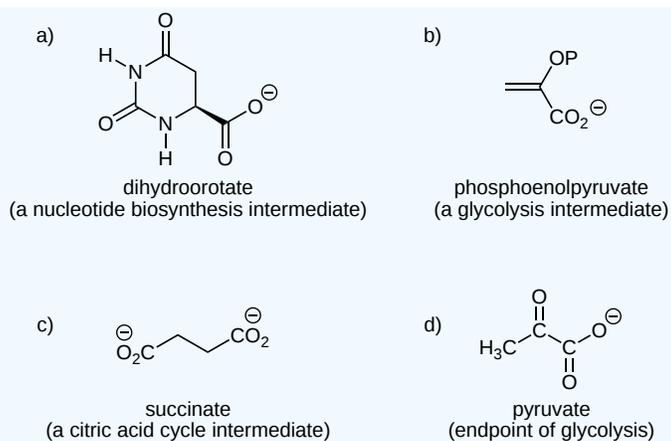
*R*-GAP already has one chiral center. If either of the prochiral hydrogens  $H_R$  or  $H_S$  is replaced by a deuterium, a second chiral center is created, and the two resulting molecules will be diastereomers (one is *S,R*, one is *R,R*). Thus, in this molecule,  $H_R$  and  $H_S$  are referred to as **diastereotopic** hydrogens.

Finally, hydrogens that can be designated neither enantiotopic nor diastereotopic are called **homotopic**. If a homotopic hydrogen is replaced by deuterium, a chiral center is *not* created. The three hydrogen atoms on the methyl ( $CH_3$ ) group of ethanol (and on *any* methyl group) are homotopic. An enzyme cannot distinguish among homotopic hydrogens.

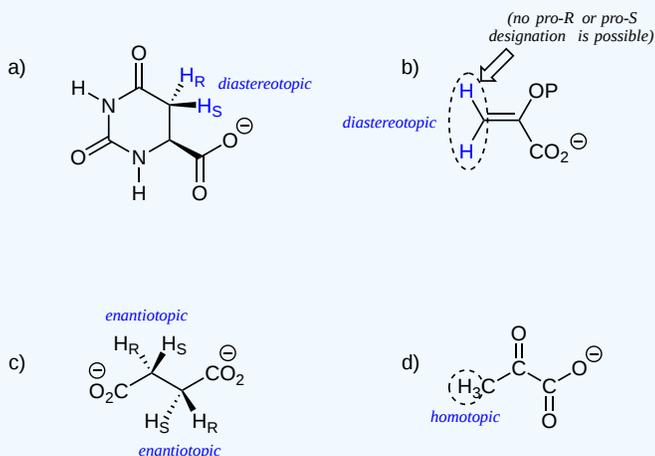


### ? EXAMPLE 5.11.1

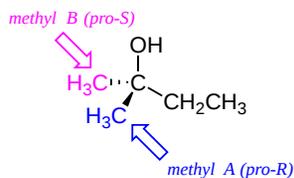
Identify in the molecules below all pairs/groups of hydrogens that are homotopic, enantiotopic, or diastereotopic. When appropriate, label prochiral hydrogens as  $H_R$  or  $H_S$ .



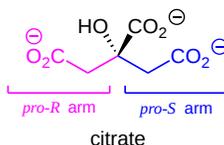
Answer



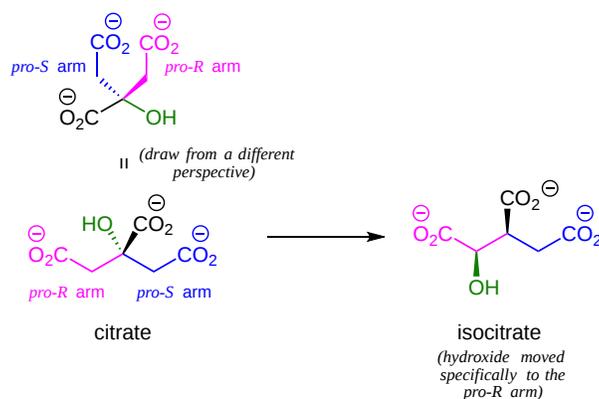
Groups other than hydrogens can be considered prochiral. The alcohol below has two prochiral methyl groups - the red one is *pro-R*, the blue is *pro-S*. How do we make these designations? Simple - just arbitrarily assign the red methyl a higher priority than the blue, and the compound now has the *R* configuration - therefore red methyl is *pro-R*.



Citrate is another example. The central carbon is a prochiral center with two 'arms' that are identical except that one can be designated *pro-R* and the other *pro-S*.

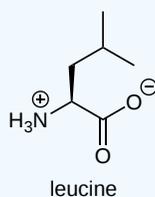


In an isomerization reaction of the citric acid (Krebs) cycle, a hydroxide is shifted specifically to the *pro-R* arm of citrate to form isocitrate: again, the enzyme catalyzing the reaction distinguishes between the two prochiral arms of the substrate (we will study this reaction in chapter 13).

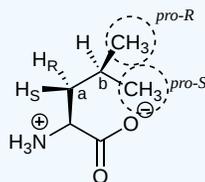


### ? EXERCISE 5.11.1

Assign *pro-R* and *pro-S* designations to all prochiral groups in the amino acid leucine. (*Hint*: there are two pairs of prochiral groups!). Are these prochiral groups diastereotopic or enantiotopic?

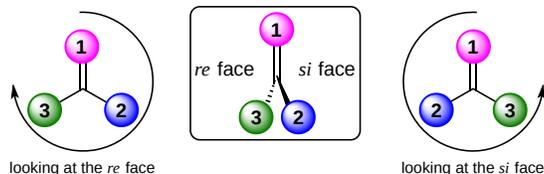


**Answer**



## PROCHIRAL CARBONYL AND IMINE GROUPS

Trigonal planar,  $sp^2$ -hybridized carbons are not, as we well know, chiral centers— but they can be prochiral centers if they are bonded to three different substituents. We (and the enzymes that catalyze reactions for which they are substrates) can distinguish between the two planar ‘faces’ of a prochiral  $sp^2$  - hybridized group. These faces are designated by the terms *re* and *si*. To determine which is the *re* face and which is the *si* face of a planar organic group, we simply use the same priority rankings that we are familiar with from the R/S system, and trace a circle: *re* is clockwise and *si* is counterclockwise.



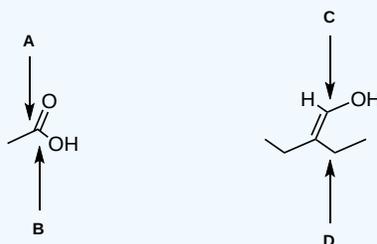
When the two groups adjacent to a carbonyl ( $C=O$ ) are not the same, we can distinguish between the *re* and *si* ‘faces’ of the planar structure. The concept of a trigonal planar group having two distinct faces comes into play when we consider the stereochemical outcome of a nucleophilic addition reaction. Nucleophilic additions to carbonyls will be covered in greater detail in **Chapter 19**. Notice that in the course of a carbonyl addition reaction, the hybridization of the carbonyl carbon changes from  $sp^2$  to  $sp^3$ , meaning that the bond geometry changes from trigonal planar to tetrahedral. If the two R groups are not equivalent, then a chiral center is created upon addition of the nucleophile. The configuration of the new chiral center depends upon which side of the carbonyl plane the nucleophile attacks from. Reactions of this type often result in a 50:50 racemic mixture of stereoisomers, but it is also possible that one stereoisomer may be more abundant, depending on the structure of the reactants and the conditions under which the reaction takes place.



### ? EXERCISE 5.11.3

a) State which of the following hydrogen atoms are *pro-R* or *pro-S*.

b) Identify which side is *Re* or *Si*.

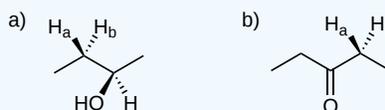


#### Answer

- a) Left compound:  $H_a = \textit{pro-S}$  and  $H_b = \textit{pro-R}$ ; Right compound:  $H_a = \textit{pro-R}$  and  $H_b = \textit{pro-S}$   
 b) A – *Re*; B – *Si*; C – *Re*; D – *Si*

### ? EXERCISE 5.11.4

State whether the H's indicated below are *pro-R* or *pro-S* for the following structures.

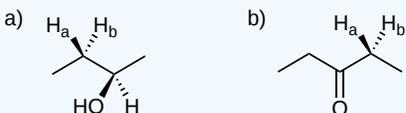


#### Answer

- a)  $H_a$  is *pro-R*;  $H_b$  is *pro-S*  
 $H_a$  is *pro-R*;  $H_b$  is *pro-S*

### ? EXERCISE 5.11.5

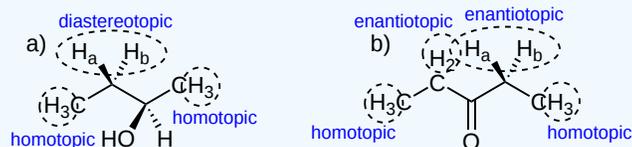
In the structures below, determine if the H's are homotopic, enantiotopic, or diastereotopic.



#### Answer

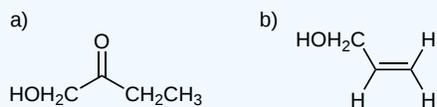
In a), the  $\text{CH}_2$  is diastereotopic since there is another chiral center on the molecule. Both  $\text{CH}_3$ 's are homotopic since replacing one of them doesn't create a chiral center.

In b), the  $\text{CH}_2$ 's are enantiotopic since it would create the only chiral center on the molecule. Both  $\text{CH}_3$ 's are homotopic since replacing one of them doesn't create a chiral center.



### ? EXERCISE 5.11.6

State whether you are looking down at the molecule from the re face or si face.



#### Answer

- You are looking at the **si face**. The re face would be if you were facing the molecule from the back.
- You are looking at the **re face**. The si face would be if you were facing the molecule from the back.

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## 5.12: CHIRALITY IN NATURE AND CHIRAL ENVIRONMENTS

### OBJECTIVE

After completing this section, you should be able to explain how chiral molecules in nature can have such dramatically different biological properties.

### SOME CHIRAL ORGANIC MOLECULES

There are a number of important biomolecules that could occur as enantiomers, including amino acids and sugars. In most cases, only one enantiomer occurs naturally (although some fungi, for example, are able to produce mirror-image forms of these compounds). We will look later at some of these biomolecules, but first we will look at a compound that occurs naturally in both enantiomeric forms.

Carvone is a secondary metabolite. That means it is a naturally-occurring compound that is not directly connected to the very basic functions of a cell, such as self-replication or the production of energy. The role of secondary metabolites in nature is often difficult to determine. However, these compounds often play roles in self-defense, acting as deterrents against competitor species in a sort of small-scale chemical warfare scenario. They are also frequently used in communications; this role has been studied most extensively among insects, which use lots of compounds to send information to each other.

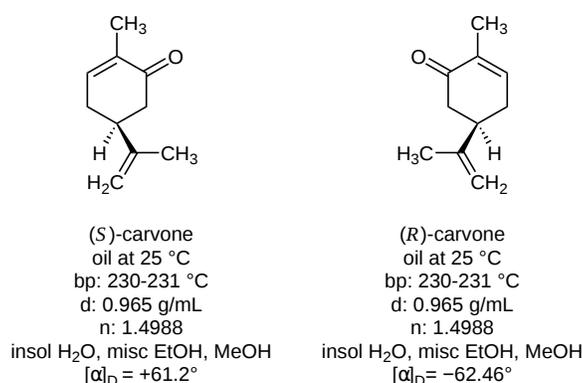


Figure 5.12.1: The two naturally-occurring enantiomers of carvone.

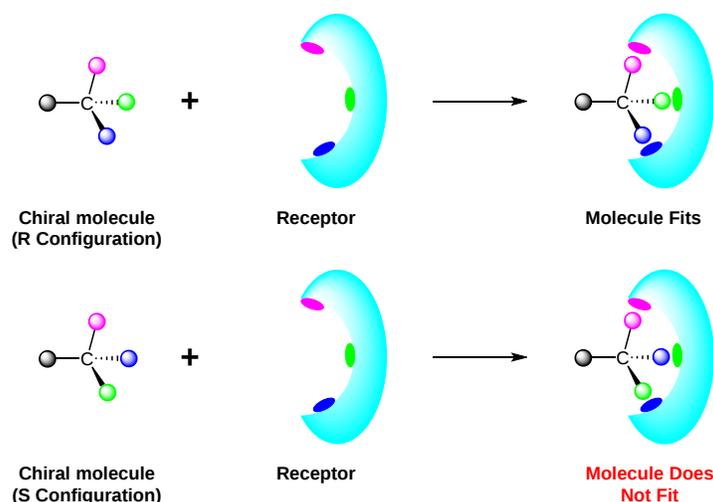
Carvone is produced in two enantiomeric forms. One of these forms, called (-)-carvone, is found in mint leaves, and it is a principal contributor to the distinctive odor of mint. The other form, (+)-carvone, is found in caraway seeds. This form has a very different smell, and is typically used to flavor rye bread and other Eastern European foods.

Note that (+)-carvone is another name for (*S*)-carvone. The (+) designation is based on its positive optical rotation value, which is experimentally measured. This means the (-)-carvone is (*R*)-carvone and would have a negative optical rotation value.

How different, exactly, are these two compounds, (+)- and (-)-carvone? Are they completely different isomers, with different physical properties? In most ways, the answer is no. These two compounds have the same appearance (colorless oil), the same boiling point (230 °C), the same refractive index (1.499) and specific gravity (0.965). However, they have optical rotations that are almost exactly opposite values.

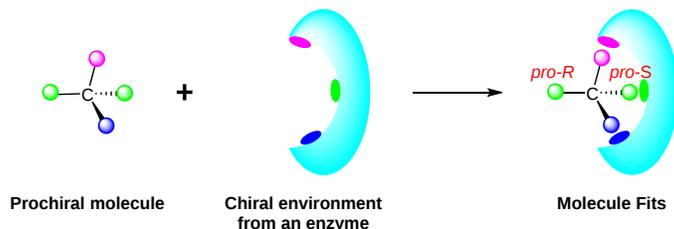
- Two enantiomers have the same physical properties.
- Enantiomers have opposite optical rotations.

Clearly they have different biological properties; since they have slightly different odors, they must fit into slightly different nasal receptors, signaling to the brain whether the person next to you is chewing a stick of gum or a piece of rye bread. This is much like how a left hand only fits into a left handed baseball glove and not into a right handed one. Receptors which allow for a biological effect, in this case to perceive a certain smell, are often chiral and will only allow one enantiomer of a chiral substrate to fit. An example of this is shown in the figure below. The receptor as a complementary three-dimension shape to allow the *R* configuration of the chiral substrate to fit. When the *S* configuration of the chiral substrate attempts to fit the configuration does not match that of the receptor as shown in the second drawing where the bottom two groups do not fit the receptor site.



## CHIRAL ENVIRONMENTS

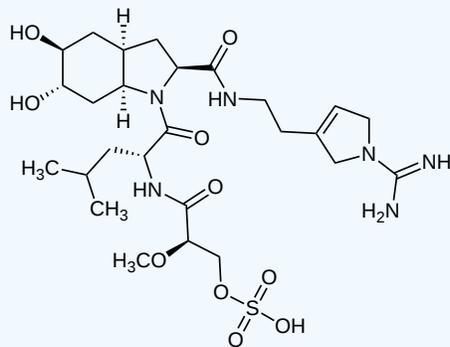
In the previous section, enzymes were shown to be capable of converting a prochiral substrate into a single enantiomer product. Enzymes can provide such an effect because they create a **chiral environment**. The figure below shows a prochiral substrate. The magenta and blue balls represent substituents while the two green balls represent two of the same substituent which is available for a given reaction. One of these substituents is *pro-R* and the other is *pro-S*. Without the presence of a chiral environment the two green substituents are chemically identical. However, as the prochiral molecule interacts with the chiral environment provided by an enzyme the two green substituents become chemically distinct. Despite being achiral, the prochiral molecule can only interact with the chiral environment in one specific position. The figure below shows that the *pro-S* green substituent of the prochiral molecule is protected by the enzyme, while the *pro-R* green substituent is exposed and can undergo a reaction. In this case the enzyme would provide a product that is predominantly the R enantiomer.



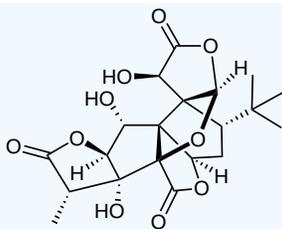
### ? EXERCISE 5.12.1

The following are three molecules found in nature. Please identify four chiral centers in each, mark them with asterisks, and identify each center as having a R or S configuration. Each molecule contains more than four chiral centers.

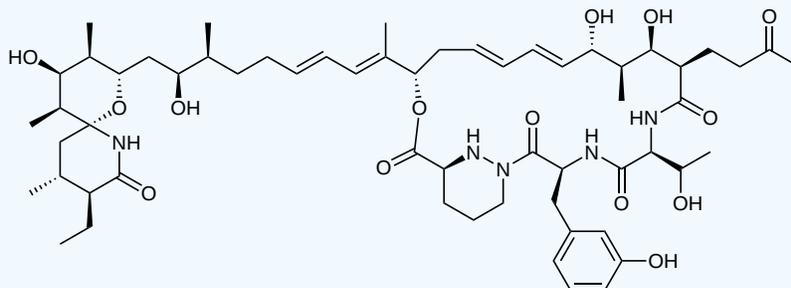
a) The following is the structure of dysinosin A, a potent thrombin inhibitor that consequently prevents blood clotting.



b) Ginkgolide B (below) is a secondary metabolite of the ginkgo tree, extracts of which are used in Chinese medicine.

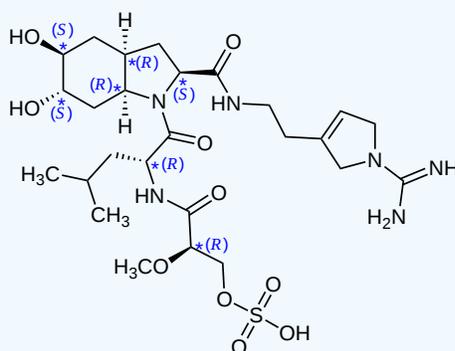


c) Sanglifehrin A, shown below, is produced by a bacteria that may be found in the soil of coffee plantations in Malawi. It is also a promising candidate for the treatment of organ transplant patients owing to its potent immuno-suppressant activity.

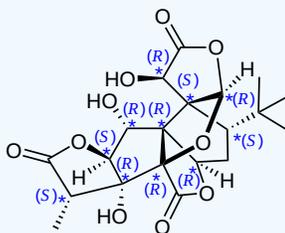


Answer

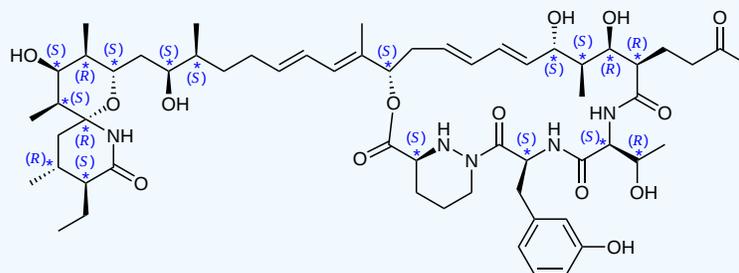
a)



b)



c)



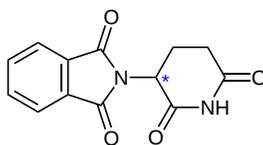


Figure  $\backslash(\text{PageIndex}\{2\}\backslash)$ : Thalidomide.

There are other reasons that we might concern ourselves with an understanding of enantiomers, apart from dietary and olfactory preferences. Perhaps the most dramatic example of the importance of enantiomers can be found in the case of thalidomide. Thalidomide was a drug commonly prescribed during the 1950's and 1960's in order to alleviate nausea and other symptoms of morning sickness. In fact, only one enantiomer of thalidomide had any therapeutic effect in this regard. The other enantiomer, apart from being therapeutically useless in this application, was subsequently found to be a teratogen, meaning it produces pronounced birth defects. This was obviously not a good thing to prescribe to pregnant women. Workers in the pharmaceutical industry are now much more aware of these kinds of consequences, although of course not all problems with drugs go undetected even through the extensive clinical trials required in the United States. Since the era of thalidomide, however, a tremendous amount of research in the field of synthetic organic chemistry has been devoted to methods of producing only one enantiomer of a useful compound and not the other. This effort probably represents the single biggest aim of synthetic organic chemistry through the last quarter century.

- Enantiomers may have very different biological properties.
- Obtaining enantiomerically pure compounds is very important in medicine and the pharmaceutical industry.

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## 5.S: STEREOCHEMISTRY AT TETRAHEDRAL CENTERS (SUMMARY)

### CONCEPTS & VOCABULARY

#### 5.1: Enantiomers and the Tetrahedral Carbon

- Every molecule is either **chiral** (not superimposable on its mirror image) or **achiral** (superimposable on its mirror image).
- **Chiral** molecules do not have a plane of symmetry, while **achiral** molecules have one or more **planes of symmetry**.
- **Stereoisomers** vary by spatial arrangement of atoms but have the same atom connectivity.
- **Stereoisomers** that are mirror images of one another but are not superimposable are called **enantiomers**.

#### 5.2: The Reason for Handedness in Molecules - Chirality

- A Tetrahedral carbon atom bonded to four different substituents is an **asymmetric** carbon (also called a **stereocenter** or **chiral** carbon), which typically leads to a **chiral** molecule (meso compounds are the exception in section 5.7).

#### 5.3: Optical Activity

- **Enantiomers** cause rotation of plane-polarized light in equal amounts in opposite directions. This is called **optical activity**. Clockwise rotation is called **dextrorotatory** (+) and counter-clockwise is called **levorotatory** (-).
- **Specific rotation** is the amount that a sample of a chemical rotates plane-polarized light. It can be used to calculate the purity of a mixture of **enantiomers** called the **enantiomeric excess**.
- **Resolution** is the separation of a mixture of **enantiomers**.
- **Racemates** are defined as a 50:50 mixture of **enantiomers**, resulting in a sample that is not **optically active**. The process of forming a **racemic** mixture is called **racemization**.

#### 5.4: Pasteur's Discovery of Enantiomers

#### 5.5: Sequence Rules for Specifying Configuration

- Use the CIP rules to determine the priority of each substituent attached to a **chiral** carbon to determine whether configuration is **R** or **S**. With the lowest priority group facing away from you, draw an arc connecting groups 1-2-3. If that arc is clockwise, the configuration is **R**. If counterclockwise, the configuration is **S**.

#### 5.6: Diastereomers

- **Stereoisomers** that are not mirror images of one another are called **diastereomers**.
- **Diastereomers** have two or more **stereocenters**. The configurations of the **stereocenters** cannot be inverse of each other (example R,R and S,S) because that defines a pair of **enantiomers**.

#### 5.7: Meso Compounds

- **Meso** compounds are **achiral** but have **chiral** centers. This is caused by having an internal **plane of symmetry** that allows the two molecules to be superimposable on one another and be **optically inactive**.

#### 5.8: Racemic Mixtures and the Resolution of Enantiomers

- Each component of a **racemic** mixture rotates plane polarized light an equal amount in opposite directions, so there is no **optical activity**.
- **Racemic** mixtures can be separated into the component **enantiomers** by reaction with a **chiral** reagent, which will form **diastereomer** intermediates of the molecules which can then be separated. Following separation the **chiral** reagent is removed to yield the two pure **enantiomers**.

#### 5.9: A Review of Isomerism

- There are several categories of **isomers** with the largest distinction between:
  - constitutional (structural) isomers that contain the same number of each atom but differ in connectivity
  - **stereoisomers** that have all the same atoms with the same connectivity, but only differ in how the atoms are arranged three dimensionally
- In addition to the **diastereomers** and **enantiomers** that have been discussed at length in this chapter, **stereoisomers** can also be:
  - cis/trans or E/Z isomers which differ by spatial arrangement around a double bond
  - conformational **isomers** (conformers) which occur due to free rotation of sigma bonds

#### 5.10: Chirality at Nitrogen, Phosphorus, and Sulfur

- Nitrogen when bonded to three different atoms is **chiral**, however the lone pair of electrons moves freely between positions on the Nitrogen causing these molecules to become a **racemic** mixture.
- When bonded to four different atoms in quaternary ammonium salts, nitrogen atoms lead to **chiral** molecules.

- Organic phosphates with four different groups can also be **chiral**.

#### 5.11: Prochirality

- When a carbon can be converted to a **chiral** center by changing only one of its attached groups, it is called **prochiral**.
- If a molecule has two hydrogens on the same atom and replacement of either one with deuterium would lead to **enantiomers**, the hydrogens are **enantiotopic**.
- Similarly if this replacement would lead to **diastereomer** molecules, the hydrogens are **diastereotopic**.
- If replacement of a hydrogen would not lead to a chiral center being created, they are termed **homotopic**.

#### 5.12: Chirality in Nature and Chiral Environments

### SKILLS TO MASTER

- Skill 5.1 Identify stereocenters in molecular structures.
- Skill 5.2 Identify whether two structures are identical (not meso), constitutional isomers, enantiomers, diastereomers or meso and identical.
- Skill 5.3 Explain how plane polarized light is used to show optical activity.
- Skill 5.4 Calculate specific rotation from experimental data.
- Skill 5.5 Calculate optical purity and enantiomeric excess from experimental data.
- Skill 5.6 Determine configuration of stereocenters as R or S.
- Skill 5.7 Draw the enantiomer and diastereomers of a given compound with one or more stereocenters.
- Skill 5.8 Identify planes of symmetry in meso compounds.
- Skill 5.9 Describe a process for separating a mixture of enantiomers.
- Skill 5.10 Explain why racemic mixtures are optically inactive.
- Skill 5.11 Explain the difference between constitutional and stereoisomers.
- Skill 5.12 Give an example of a chiral center that is not carbon.

### MEMORIZATION TASKS

MT 5.1 Memorize the rules for determining R and S configuration.

MT 5.2 Memorize the types of isomers and how to identify them.

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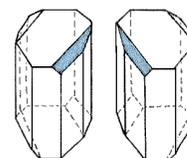
## 5.XX: ENANTIOMERS AND DIASTEREOMERS

### OBJECTIVE

After completing this section, you should be able to discuss how the results of work carried out by Biot and Pasteur contributed to the development of the concept of the tetrahedral carbon atom.

Because enantiomers have identical physical and chemical properties in achiral environments, separation of the stereoisomeric components of a racemic mixture or racemate is normally not possible by the conventional techniques of distillation and crystallization. In some cases, however, the crystal habits of solid enantiomers and racemates permit the chemist (acting as a chiral resolving agent) to discriminate enantiomeric components of a mixture. As background for the following example, it is recommended that the section on crystal properties be reviewed.

Tartaric acid, its potassium salt known in antiquity as "tartar", has served as the locus of several landmark events in the history of stereochemistry. In 1832 the French chemist Jean Baptiste Biot observed that tartaric acid obtained from tartar was optically active, rotating the plane of polarized light clockwise (dextrorotatory). An optically inactive, higher melting, form of tartaric acid, called racemic acid was also known. A little more than a decade later, young Louis Pasteur conducted a careful study of the crystalline forms assumed by various salts of these acids. He noticed that under certain conditions, the sodium ammonium mixed salt of the racemic acid formed a mixture of enantiomorphous hemihedral crystals; a drawing of such a pair is shown on the right. Pasteur reasoned that the dissymmetry of the crystals might reflect the optical activity and dissymmetry of its component molecules. After picking the different crystals apart with a tweezer, he found that one group yielded the known dextrorotatory tartaric acid measured by Biot; the second led to a previously unknown levorotatory tartaric acid, having the same melting point as the dextrorotatory acid. Today we recognize that Pasteur had achieved the first resolution of a racemic mixture, and laid the foundation of what we now call stereochemistry.



Optical activity was first observed by the French physicist Jean-Baptiste Biot. He concluded that the change in direction of plane-polarized light when it passed through certain substances was actually a rotation of light, and that it had a molecular basis. His work was supported by the experimentation of Louis Pasteur. Pasteur observed the existence of two crystals that were mirror images in tartaric acid, an acid found in wine. Through meticulous experimentation, he found that one set of molecules rotated polarized light clockwise while the other rotated light counterclockwise to the same extent. He also observed that a mixture of both, a *racemic mixture* (or *racemic modification*), did not rotate light because the optical activity of one molecule canceled the effects of the other molecule. Pasteur was the first to show the existence of chiral molecules.

### ? EXERCISE 5.xx.1

When you have one enantiomer that rotates plane polarized light clockwise, why does a racemic mixture of that compound not rotate plane polarized light?

#### Answer

Since a racemic mixture consists of equal amounts of both enantiomers, one enantiomer will rotate plane polarized light clockwise, while the other enantiomer will rotate plane polarized light counterclockwise an equal amount, causing them to cancel out, and the racemic mixture being not optically active.

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## CHAPTER OVERVIEW

### 6: AN OVERVIEW OF ORGANIC REACTIONS

#### LEARNING OBJECTIVES

After you have completed Chapter 6, you should be able to

1. fulfill the detailed objectives listed under each individual section.
2. identify the polarity pattern in the common functional groups, and explain the importance of being able to do so.
3. describe the essential differences between polar and radical reactions, and assign a given reaction to one of these two categories.
4. discuss how kinetic and thermodynamic factors determine the rate and extent of a chemical reaction.
5. use bond dissociation energies to calculate the  $\Delta H^\circ$  of simple reactions, and *vice versa*.
6. draw and interpret reaction energy diagrams.
7. define, and use in context, the new key terms.

This chapter is designed to provide a gentle introduction to the subject of reaction mechanisms. Two types of reactions are introduced—polar reactions and radical reactions. The chapter briefly reviews a number of topics you should be familiar with, including rates and equilibria, elementary thermodynamics and bond dissociation energies. You must have a working knowledge of these topics to obtain a thorough understanding of organic reaction mechanisms. Reaction energy diagrams are used to illustrate the energy changes that take place during chemical reactions, and to emphasize the difference between a reaction intermediate and a transition state.

[6.0: Chapter Objectives](#)

[6.1: Kinds of Organic Reactions](#)

[6.2: How Organic Reactions Occur - Mechanisms](#)

[6.3: Radical Reactions](#)

[6.4: Polar Reactions](#)

[6.5: An Example of a Polar Reaction - Addition of HBr to Ethylene](#)

[6.6: Using Curved Arrows in Polar Reaction Mechanisms](#)

[6.7: Describing a Reaction - Equilibria, Rates, and Energy Changes](#)

[6.8: Describing a Reaction - Bond Dissociation Energies](#)

[6.9: Describing a Reaction - Energy Diagrams and Transition States](#)

[6.10: Describing a Reaction- Intermediates](#)

[6.11: A Comparison between Biological Reactions and Laboratory Reactions](#)

[6.S: An Overview of Organic Reactions \(Summary\)](#)

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## 6.0: CHAPTER OBJECTIVES

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Organic reactions form the backbone of organic chemistry, defining how molecules interact and transform into new compounds. These reactions involve the breaking and formation of chemical bonds between carbon atoms and other elements, leading to the synthesis of complex molecules with diverse functionalities. Understanding organic reactions is essential for designing synthetic pathways, predicting chemical behavior, and elucidating the mechanisms underlying biological processes. An overview of some key categories of organic reactions include:

- 1. Substitution Reactions:** In substitution reactions, one functional group in a molecule is replaced by another. This can occur through either nucleophilic or electrophilic substitution mechanisms. Examples include  $S_N1$  (nucleophilic substitution, unimolecular),  $S_N2$  (nucleophilic substitution, bimolecular), and electrophilic aromatic substitution.
- 2. Addition Reactions:** Addition reactions involve the addition of atoms or groups to carbon-carbon multiple bonds (such as alkenes or alkynes). Examples include hydration (addition of water), hydrogenation (addition of hydrogen), and halogenation (addition of halogens).
- 3. Elimination Reactions:** In elimination reactions, a molecule loses atoms or functional groups to form a double or triple bond. Common examples include dehydration (loss of water), dehydrohalogenation (loss of a hydrogen halide), and beta-elimination reactions.
- 4. Oxidation-Reduction (Redox) Reactions:** Redox reactions involve the transfer of electrons between reactants. Oxidation involves the loss of electrons, while reduction involves the gain of electrons. Organic redox reactions often involve the conversion of functional groups, such as alcohols to ketones/aldehydes or alkenes to diols.
- 5. Acid-Base Reactions:** Acid-base reactions involve the transfer of a proton ( $H^+$ ) from an acid to a base. In organic chemistry, this can occur between molecules containing acidic or basic functional groups, such as carboxylic acids and amines.
- 6. Condensation Reactions:** Condensation reactions involve the combination of two molecules with the loss of a small molecule, often water. Examples include esterification (formation of esters from carboxylic acids and alcohols) and peptide bond formation (condensation of amino acids to form peptides and proteins).
- 7. Functional Group Transformation Reactions:** These reactions involve the conversion of one functional group into another through a series of chemical steps. Examples include hydrolysis (cleavage of esters, amides, etc., by water), reduction of carbonyl compounds to alcohols, and Grignard reactions (formation of carbon-carbon bonds).

Organic reactions can be classified based on various criteria, including reaction mechanism, types of reactants and products, and the nature of the functional groups involved. Mastery of organic reactions is essential for synthetic chemists, medicinal chemists, and biochemists alike, enabling the design and manipulation of molecules for a wide range of applications in industry, medicine, and materials science.

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## 6.1: KINDS OF ORGANIC REACTIONS

### OBJECTIVE

After completing this section, you should be able to list and describe the four important “kinds” of reactions that occur in organic chemistry.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- addition reaction
- elimination reaction
- rearrangement reaction
- substitution reaction

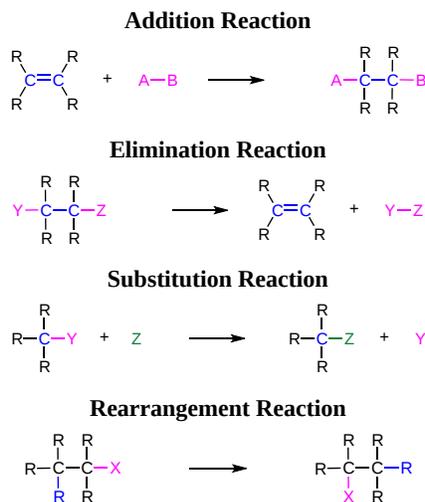
### STUDY NOTES

It is sufficient that you know the general form of each kind of reaction. However, given a chemical equation, you should be able to recognize which kind of reaction it involves.

If you scan any organic textbook you will encounter what appears to be a very large, often intimidating, number of reactions. These are the “tools” of a chemist, and to use these tools effectively, we must organize them in a sensible manner and look for patterns of reactivity that permit us make plausible predictions. Most of these reactions occur at special sites of reactivity known as functional groups, and these constitute one organizational scheme that helps us catalog and remember reactions.

*Ultimately, the best way to achieve proficiency in organic chemistry is to understand how reactions take place, and to recognize the various factors that influence their course.*

First, we identify four broad classes of reactions based solely on the **structural change** occurring in the reactant molecules. This classification does not require knowledge or speculation concerning reaction paths or mechanisms. The four main reaction classes are additions, eliminations, substitutions, and rearrangements.



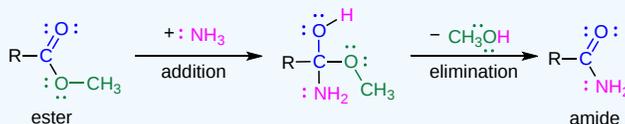
In an **addition** reaction the number of  $\sigma$ -bonds in the substrate molecule increases, usually at the expense of one or more  $\pi$ -bonds. The reverse is true of **elimination** reactions, *i.e.* the number of  $\sigma$ -bonds in the substrate decreases, and new  $\pi$ -bonds are often formed. **Substitution** reactions, as the name implies, are characterized by replacement of an atom or group (Y) by another atom or group (Z). Aside from these groups, the number of bonds does not change. A **rearrangement** reaction generates an isomer, and again the number of bonds normally does not change.

The examples illustrated above involve simple alkyl and alkene systems, but these reaction types are general for most functional groups, including those incorporating carbon-oxygen double bonds and carbon-nitrogen double and triple bonds. Some common reactions may

actually be a combination of reaction types.

### ✓ EXAMPLE 6.1.1: REACTION OF AN ESTER WITH AMMONIA

The reaction of an ester with ammonia to give an amide, as shown below, appears to be a substitution reaction ( $Y = \text{CH}_3\text{O}$  &  $Z = \text{NH}_2$ ); however, it is actually two reactions, an addition followed by an elimination.



### ✓ EXAMPLE 6.1.2: THE ADDITION OF WATER TO A NITRILE

The addition of water to a nitrile does not seem to fit any of the above reaction types, but it is simply a slow addition reaction followed by a rapid rearrangement, as shown in the following equation. Rapid rearrangements of this kind are called **tautomerizations**.



### ? EXERCISE 6.1.3

Classify each reaction as addition, elimination, substitution, or rearrangement.

- A)  $\text{CH}_3\text{CH}_2\text{Br} + \text{LiOH} \longrightarrow \text{CH}_3\text{CH}_2\text{OH} + \text{LiBr}$
- B)  $\text{CH}_3\text{CH}_2\text{CH}_2\text{Cl} \longrightarrow \text{CH}_3\text{CH}=\text{CH}_2 + \text{HCl}$
- C)  $\text{CH}_3\text{CH}=\text{CH}_2 + \text{H}_2 \longrightarrow \text{CH}_3\text{CH}_2\text{CH}_3$

#### Answer

A = Substitution; B = Elimination; C = Addition

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## 6.2: HOW ORGANIC REACTIONS OCCUR - MECHANISMS

### OBJECTIVES

After completing this section, you should be able to

- explain the difference between heterolytic and homolytic bond breakage, and between heterogenic and homogenic bond formation.
- state the two reaction types involved in symmetrical and unsymmetrical processes.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- heterogenic
- heterolytic
- homogenic
- homolytic
- polar reaction
- radical reaction
- reaction mechanism

### STUDY NOTES

Upon first reading first four key terms, it is easy to be puzzled. The ending of the word tells you whether a bond is being formed (-genic) or broken (-lytic), while the root of the word describes the nature of that formation or decomposition. So hetero (meaning different) reactions involve asymmetrical bond making (or breaking) and homo (meaning same) involve symmetrical processes.

Because one pair of electrons constitutes a single bond, the unsymmetrical making or breaking of that bond in a hetero processes are described as polar reactions. Similarly, symmetrical homo processes of bond making and breaking are called radical reactions. Radicals (sometimes referred to as free radicals) are highly reactive neutral chemical species with one unpaired electron. In later sections we discuss radical and polar reactions in more detail.

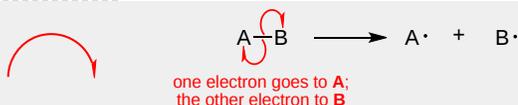
### THE ARROW NOTATION IN MECHANISMS

Since chemical reactions involve the breaking and making of bonds, a consideration of the movement of bonding (and non-bonding) valence shell electrons is essential to this understanding. It is now common practice to show the movement of electrons with curved arrows, and a sequence of equations depicting the consequences of such electron shifts is termed a **mechanism**. In general, two kinds of curved arrows are used in drawing mechanisms:

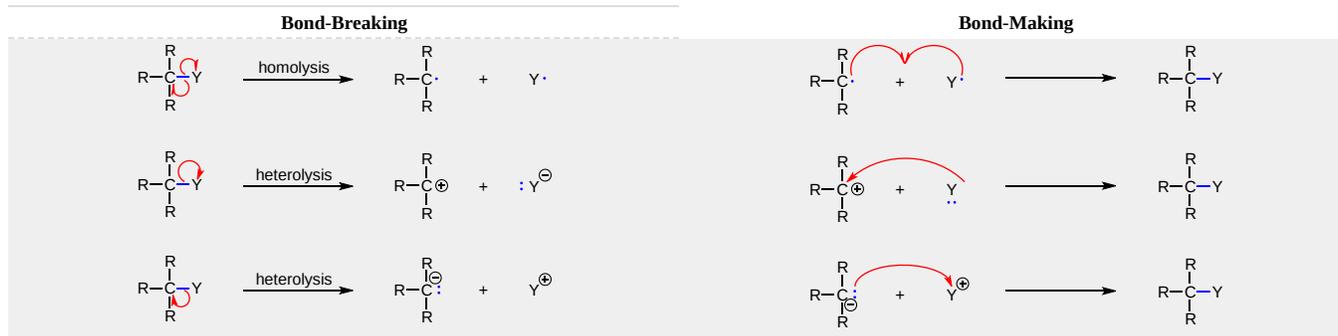
A full head on the arrow indicates the movement or shift of an electron pair:



A partial head (fishhook) on the arrow indicates the shift of a single electron:

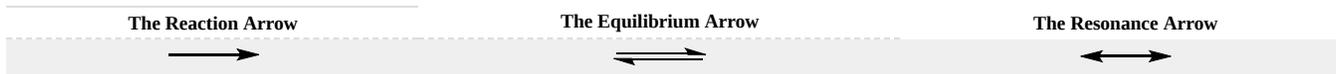


The use of these symbols in bond-breaking and bond-making reactions is illustrated below. If a covalent single bond is broken so that one electron of the shared pair remains with each fragment, as in the first example, this bond-breaking is called **homolysis**. If the bond breaks with both electrons of the shared pair remaining with one fragment, as in the second and third examples, this is called **heterolysis**.

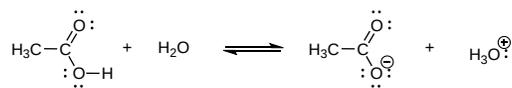


## OTHER ARROW SYMBOLS

Chemists also use arrow symbols for other purposes, and it is essential to use them correctly.



The following equations illustrate the proper use of these symbols:



## REACTIVE INTERMEDIATES

The products of bond breaking, shown above, are not stable in the usual sense, and cannot be isolated for prolonged study. Such species are referred to as **reactive intermediates**, and are believed to be transient intermediates in many reactions. The general structures and names of four such intermediates are given below.

Charged Intermediates	Uncharged Intermediates
$\begin{array}{c} \text{R} \\   \\ \text{R}-\text{C}^+ \\   \\ \text{R} \end{array}$ <p>a carbocation</p>	$\begin{array}{c} \text{R} \\   \\ \text{R}-\text{C}\cdot \\   \\ \text{R} \end{array}$ <p>a radical</p>
$\begin{array}{c} \text{R} \\   \\ \text{R}-\text{C}^- \\   \\ \text{R} \end{array}$ <p>a carbanion</p>	$\begin{array}{c} \text{R} \\ \diagdown \\ \text{C} \\ \diagup \\ \text{R} \end{array}$ <p>a carbene</p>

A pair of widely used terms, related to the Lewis acid-base notation, should also be introduced here.

- **Electrophile:** An electron deficient atom, ion or molecule that has an affinity for an electron pair, and will bond to a base or nucleophile.
- **Nucleophile:** An atom, ion or molecule that has an electron pair that may be donated in bonding to an electrophile (or Lewis acid).

Using these definitions, it is clear that carbocations (called carbonium ions in the older literature) are electrophiles and carbanions are nucleophiles. Carbenes have only a valence shell sextet of electrons and are therefore electron deficient. In this sense they are electrophiles, but the non-bonding electron pair also gives carbenes nucleophilic character. As a rule, the electrophilic character dominates carbene reactivity. Carbon radicals have only seven valence electrons, and may be considered electron deficient; however, they do not in general bond to nucleophilic electron pairs, so their chemistry exhibits unique differences from that of conventional electrophiles. Radical intermediates are often called **free radicals**.

The importance of electrophile / nucleophile terminology comes from the fact that many organic reactions involve at some stage the bonding of a nucleophile to an electrophile, a process that generally leads to a stable intermediate or product. Reactions of this kind are sometimes called **ionic reactions**, since ionic reactants or products are often involved. Some common examples of ionic reactions and their mechanisms may be examined below.

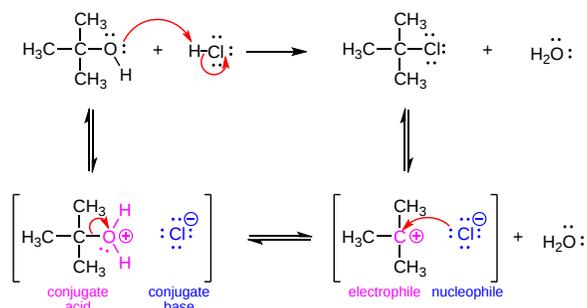
The shapes ideally assumed by these intermediates becomes important when considering the stereochemistry of reactions in which they play a role. A simple tetravalent compound like methane,  $\text{CH}_4$ , has a tetrahedral configuration. Carbocations have only three bonds to the charge bearing carbon, so it adopts a planar trigonal configuration. Carbanions are pyramidal in shape (tetrahedral if the electron pair is viewed as a substituent), but these species invert rapidly at room temperature, passing through a higher energy planar form in which the electron pair occupies a p-orbital. Radicals are intermediate in configuration, the energy difference between pyramidal and planar forms being very small. Since three points determine a plane, the shape of carbenes must be planar; however, the valence electron distribution varies.

## IONIC REACTIONS

The principles and terms introduced in the previous sections can now be summarized and illustrated by the following three examples. Reactions such as these are called **ionic** or **polar** reactions, because they often involve charged species and the bonding together of **electrophiles and nucleophiles**. Ionic reactions normally take place in liquid solutions, where solvent molecules assist the formation of charged intermediates.

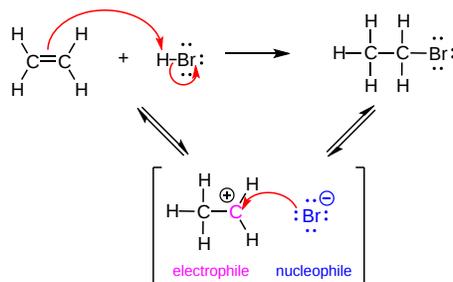
### SUBSTITUTION REACTION

The substitution reaction shown below can be viewed as taking place in three steps. The first is an acid-base equilibrium, in which HCl protonates the oxygen atom of the alcohol. The resulting conjugate acid then loses water in a second step to give a carbocation intermediate. Finally, this electrophile combines with the chloride anion nucleophile to give the final product.



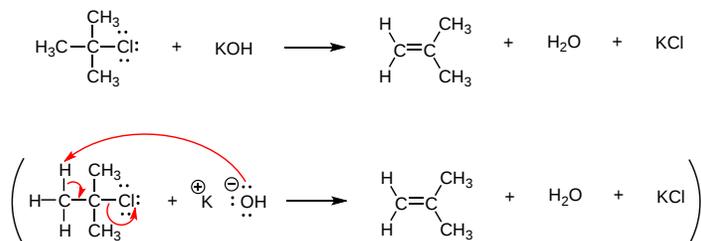
### ADDITION REACTION

The addition reaction shown below can be viewed as taking place in two steps. The first step can again be considered an acid-base equilibrium, with the pi-electrons of the carbon-carbon double bond functioning as a base. The resulting conjugate acid is a carbocation, and this electrophile combines with the nucleophilic bromide anion.



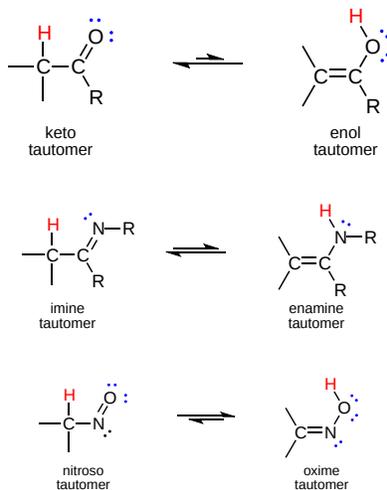
### ELEMINATION REACTION

The elimination reaction shown below takes place in one step. The bond breaking and making operations that take place in this step are described by the curved arrows. The initial stage may also be viewed as an acid-base interaction, with hydroxide ion serving as the base and a hydrogen atom component of the alkyl chloride as an acid. **rearrangement** (tautomerism)



## TAUTOMERIZATION REACTION

There are many kinds of molecular rearrangements. The examples shown below are from an important class called **tautomerization** or, more specifically, keto-enol tautomerization. Tautomers are rapidly interconverted constitutional isomers, usually distinguished by a different bonding location for a labile hydrogen atom (colored red here) and a differently located double bond. The equilibrium between tautomers is not only rapid under normal conditions, but it often strongly favors one of the isomers (acetone, for example, is 99.999% keto tautomer). Even in such one-sided equilibria, evidence for the presence of the minor tautomer comes from the chemical behavior of the compound. Tautomeric equilibria are catalyzed by traces of acids or bases that are generally present in most chemical samples.



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## 6.3: RADICAL REACTIONS

### OBJECTIVES

After completing this section, you should be able to

- give an example of a radical substitution reaction.
- identify the three steps (initiation, propagation and termination) that occur in a typical radical substitution reaction.
- write out the steps involved in a simple radical substitution reaction, such as the chlorination of methane.
- explain why the halogenation of an alkane is not a particularly useful method of preparing specific alkyl halides.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- chain reaction
- initiation step
- propagation step
- radical substitution
- termination step

### STUDY NOTES

A *radical substitution reaction* is a reaction which occurs by a free radical mechanism and results in the substitution of one or more of the atoms or groups present in the substrate by different atoms or groups.

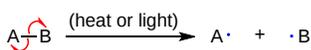
The *initiation step* in a radical chain reaction is the step in which a free radical is first produced. A *termination step* of a radical chain reaction is one in which two radicals react together in some way so that the chain can no longer be propagated.

While radical halogenation of very simple alkanes can be an effective synthetic strategy, it cannot be employed for larger more complex alkanes to yield specific alkyl halides, since the reactive nature of radicals always leads to mixtures of single- and multiple-halogenated products.

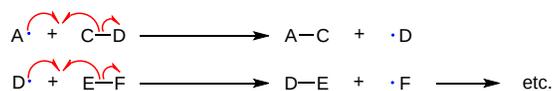
### THE THREE PHASES OF RADICAL CHAIN REACTIONS

Because of their high reactivity, free radicals have the potential to be both extremely powerful chemical tools and extremely harmful contaminants. Much of the power of free radical species stems from the natural tendency of radical processes to occur in a chain reaction fashion. **Radical chain reactions** have three distinct phases: initiation, propagation, and termination.

**Initiation:**



**Propagation:**

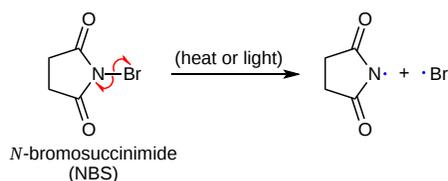


**Termination:**

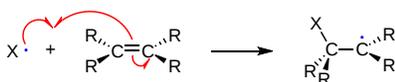
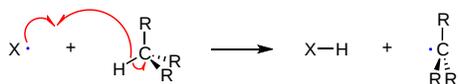


The **initiation phase** describes the step that initially creates a radical species. In most cases, this is a homolytic cleavage event, and takes place very rarely due to the high energy barriers involved. Often the influence of heat, UV radiation, or a metal-containing catalyst is necessary to overcome the energy barrier.

Molecular chlorine and bromine will both undergo homolytic cleavage to form radicals when subjected to heat or light. Other functional groups which also tend to form radicals when exposed to heat or light are chlorofluorocarbons, peroxides, and the halogenated amide N-bromosuccinimide (NBS).



The **propagation phase** describes the 'chain' part of chain reactions. Once a reactive free radical is generated, it can react with stable molecules to form new free radicals. These new free radicals go on to generate yet more free radicals, and so on. Propagation steps often involve hydrogen abstraction or addition of the radical to double bonds.



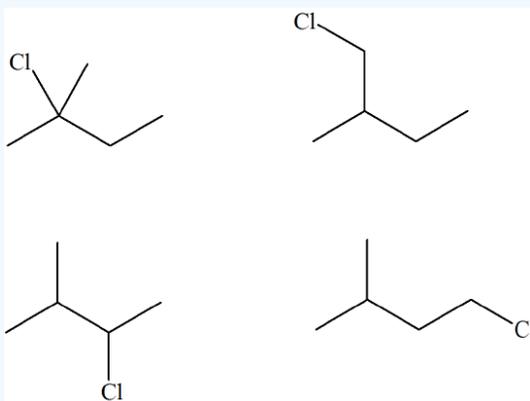
**Chain termination** occurs when two free radical species react with each other to form a stable, non-radical adduct. Although this is a very thermodynamically downhill event, it is also very rare due to the low concentration of radical species and the small likelihood of two radicals colliding with one another. In other words, the Gibbs free energy barrier is very high for this reaction, mostly due to entropic rather than enthalpic considerations. The active sites of enzymes, of course, can evolve to overcome this entropic barrier by positioning two radical intermediates adjacent to one another.



### ? EXERCISE 6.3.1

Radical chlorination of alkanes are not useful due to uncontrolled substitution. Draw the mono-substituted products of  $\text{Cl}_2$  reacting with 2-methylbutane.

Answer

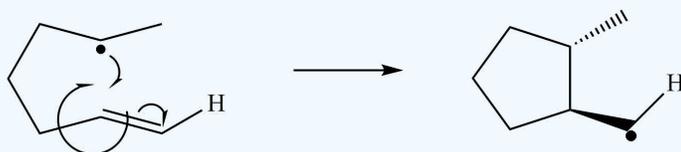


### ? EXERCISE 6.3.2

Propose a radical mechanism for the following reaction:



Answer



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## 6.4: POLAR REACTIONS

### OBJECTIVES

After completing this section, you should be able to

- identify the positive and negative ends of the bonds present in the common functional groups.
- explain how bond polarity can be enhanced by the interaction of a functional group with a solvent, metal cation or acid.
- explain how the polarizability of an atom can be an important factor in determining the reactivity of a bond.
- describe the heterolytic bond-breaking process.
- use curved (curly) arrows to indicate the movement of electron pairs during bond breakage and bond formation.
- predict whether a given species (compound or ion) is likely to behave as a nucleophile or as an electrophile.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- electrophile
- nucleophile
- polar reaction
- polarizability

### STUDY NOTES

You may wish to review Section 2.1 before you begin this section. The relative electronegativities of the elements shown in the periodic table should already be familiar. Remember that it is the relative electronegativities that are important, not the actual numerical values.

Make sure that you understand the polarity patterns of the common functional groups. Do not try to memorize these polarities; rather, concentrate on why they arise. You will encounter these group polarities so frequently that they will soon become “second nature” to you.

### HALOGENS AND THE CHARACTER OF THE CARBON-HALOGEN BOND

With respect to electronegativity, most halogens are more electronegative than carbon. This results in a carbon-halogen bond that is polarized. As shown in the image below, the carbon atom has a partial positive charge, while the halogen has a partial negative charge.



The following table shows the relationship between the halogens and electronegativity. Notice, as we move up the periodic table from iodine to fluorine, electronegativity increases.

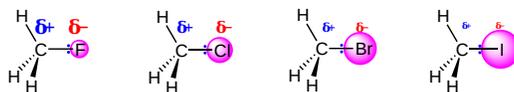
Electronegativity	$\text{I} < \text{Br} < \text{Cl} < \text{F}$
-------------------	---

The following table shows the relationships between bond length, bond strength, and molecular size. As we progress down the periodic table from fluorine to iodine, molecular size increases. As a result, we also see an increase in bond length. Conversely, as molecular size increases and we get longer bonds, the strength of those bonds decreases.

Bond length	$\text{C}-\text{F} < \text{C}-\text{Cl} < \text{C}-\text{Br} < \text{C}-\text{I}$
Bond strength	$\text{C}-\text{I} < \text{C}-\text{Br} < \text{C}-\text{Cl} < \text{C}-\text{F}$
Molecular size	$\text{F} < \text{Cl} < \text{Br} < \text{I}$

### THE INFLUENCE OF BOND POLARITY

Of the four halogens, fluorine is the most electronegative and iodine the least. That means that the electron pair in the carbon-fluorine bond will be dragged most towards the halogen end. Looking at the methyl halides as simple examples:



The electronegativities of carbon and iodine are not very different, and so there will be little separation of charge on the bond. One of the important set of reactions of alkyl halides involves replacing the halogen by something else - [substitution reactions](#). These reactions can

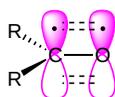
involve the carbon-halogen bond breaking to give positive and negative ions. The ion with the positively charged carbon atom then reacts with something either fully or slightly negatively charged. Alternatively, something either fully or negatively charged is attracted to the slightly positive carbon atom and pushes off the halogen atom.

You might have thought that either of these would be more effective in the case of the carbon-fluorine bond with the quite large amounts of positive and negative charge already present. But that's not so - quite the opposite is true! The thing that governs the reactivity is the strength of the bonds which have to be broken. It is difficult to break a carbon-fluorine bond, but easy to break a carbon-iodine one.

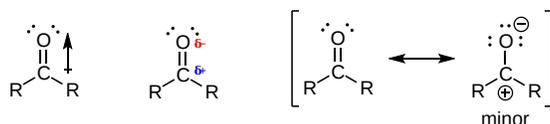
## THE CARBONYL GROUP

C=O is prone to additions and nucleophilic attack because of carbon's positive charge and oxygen's negative charge. The resonance of the carbon partial positive charge allows the negative charge on the nucleophile to attack the Carbonyl group and become a part of the structure and a positive charge (usually a proton hydrogen) attacks the oxygen. Just a reminder, the nucleophile is a good acid therefore "likes protons" so it will attack the side with a positive charge.

Before we consider in detail the reactivity of aldehydes and ketones, we need to look back and remind ourselves of what the bonding picture looks like in a carbonyl. Carbonyl carbons are  $sp^2$  hybridized, with the three  $sp^2$  orbitals forming overlaps with orbitals on the oxygen and on the two carbon or hydrogen atoms. These three bonds adopt trigonal planar geometry. The remaining unhybridized 2p orbital on the central carbonyl carbon is perpendicular to this plane, and forms a 'side-by-side' pi bond with a 2p orbital on the oxygen.



The carbon-oxygen double bond is polar: oxygen is more electronegative than carbon, so electron density is higher on the oxygen side of the bond and lower on the carbon side. Recall that bond polarity can be depicted with a dipole arrow, or by showing the oxygen as holding a partial negative charge and the carbonyl carbon a partial positive charge.



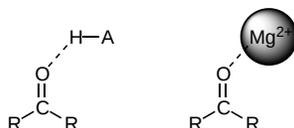
A third way to illustrate the carbon-oxygen dipole is to consider the two main resonance contributors of a carbonyl group: the major form, which is what you typically see drawn in Lewis structures, and a minor but very important contributor in which both electrons in the pi bond are localized on the oxygen, giving it a full negative charge. The latter depiction shows the carbon with an empty 2p orbital and a full positive charge.

## SOME CARBONYL COMPOUNDS

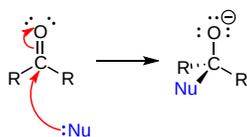
Compound	aldehyde	ketone	formaldehyde	carboxylic acid	ester	amide	enone	acyl halide	acid anhydride
Structure									
General Formula	RCHO	RCOR'	CH <sub>2</sub> O	RCOOH	RCOOR'	RCONR'R''	RC(O)C(R')C(R'')R'''	RCOX	RCO <sub>2</sub> COR'

## NUCLEOPHILIC ADDITION TO ALDEHYDES AND KETONES

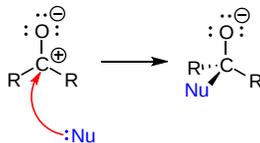
The result of carbonyl bond polarization, however it is depicted, is straightforward to predict. The carbon, because it is electron-poor, is an electrophile: it is a great target for attack by an electron-rich nucleophilic group. Because the oxygen end of the carbonyl double bond bears a partial negative charge, anything that can help to stabilize this charge by accepting some of the electron density will increase the bond's polarity and make the carbon more electrophilic. Very often a general acid group serves this purpose, donating a proton to the carbonyl oxygen.



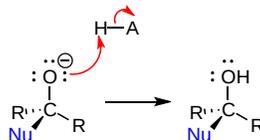
The same effect can also be achieved if a Lewis acid, such as a magnesium ion, is located near the carbonyl oxygen. Unlike the situation in a nucleophilic substitution reaction, when a nucleophile attacks an aldehyde or ketone carbon there is no leaving group - the incoming nucleophile simply 'pushes' the electrons in the pi bond up to the oxygen.



Alternatively, if you start with the minor resonance contributor, you can picture this as an attack by a nucleophile on a carbocation.



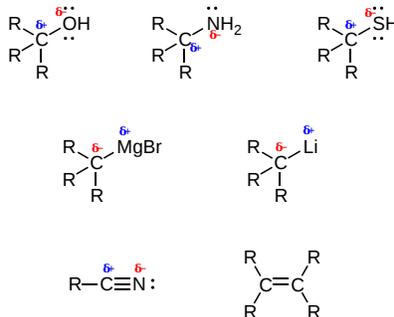
After the carbonyl is attacked by the nucleophile, the negatively charged oxygen has the capacity to act as a nucleophile. However, most commonly the oxygen acts instead as a base, abstracting a proton from a nearby acid group in the solvent or enzyme active site.



This very common type of reaction is called a **nucleophilic addition**. In many biologically relevant examples of nucleophilic addition to carbonyls, the nucleophile is an alcohol oxygen or an amine nitrogen, or occasionally a thiol sulfur. In one very important reaction type known as an aldol reaction, the nucleophile attacking the carbonyl is a resonance-stabilized carbanion. In this chapter, we will concentrate on reactions where the nucleophile is an oxygen or nitrogen.

1. Nucleophilic Addition to Aldehydes and Ketones
2. Nucleophilic Substitution of RCOZ (Z = Leaving Group)
3. General reaction
4. General mechanism

## POLARITY PATTERNS IN OTHER COMMON FUNCTIONAL GROUPS



## NUCLEOPHILE?

Nucleophilic functional groups are those which have electron-rich atoms able to donate a pair of electrons to form a new covalent bond. In both laboratory and biological organic chemistry, the most relevant nucleophilic atoms are oxygen, nitrogen, and sulfur, and the most common nucleophilic functional groups are water, alcohols, phenols, amines, thiols, and occasionally carboxylates.

More specifically in laboratory reactions, halide and azide ( $\text{N}_3^-$ ) anions are commonly seen acting as nucleophiles.

Of course, carbons can also be nucleophiles - otherwise how could new carbon-carbon bonds be formed in the synthesis of large organic molecules like DNA or fatty acids? Enolate ions (section 7.5) are the most common carbon nucleophiles in biochemical reactions, while the cyanide ion ( $\text{CN}^-$ ) is just one example of a carbon nucleophile commonly used in the laboratory. Reactions with carbon nucleophiles will be dealt with in chapters 13 and 14, however - in this chapter and the next, we will concentrate on non-carbon nucleophiles.

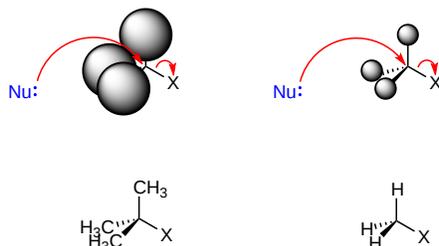
When thinking about nucleophiles, the first thing to recognize is that, for the most part, the same quality of 'electron-richness' that makes a something nucleophilic also makes it basic: *nucleophiles can be bases, and bases can be nucleophiles*. It should not be surprising, then, that most of the trends in basicity that we have already discussed also apply to nucleophilicity.

Neutral Nucleophiles	Charged Nucleophiles
$\text{H}_2\text{O}$ , $\text{NH}_3$ , $\text{RNH}_2$ , $\text{R}_2\text{NH}$ , $\text{R}_3\text{N}$ , $\text{ROH}$ , $\text{RCOOH}$ , $\text{RSH}$ , and $\text{PR}_3$	$^-\text{OH}$ , $^-\text{OR}$ , $^-\text{NH}_2$ , $^-\text{NHR}$ , $^-\text{NR}_2$ , $^-\text{SH}$ , $^-\text{SR}$ , $^-\text{SeR}$ , $^-\text{Cl}$ , $^-\text{Br}$ , $^-\text{I}$ , $^-\text{F}$ , $^-\text{CN}$ , $\text{RCOO}^-$

## ELECTROPHILES

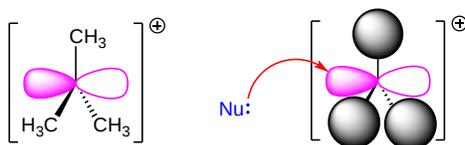
In the vast majority of the nucleophilic substitution reactions you will see in this and other organic chemistry texts, the electrophilic atom is a carbon which is bonded to an electronegative atom, usually oxygen, nitrogen, sulfur, or a halogen. The concept of electrophilicity is relatively simple: an electron-poor atom is an attractive target for something that is electron-rich, *i.e.* a nucleophile. However, we must also consider the effect of steric hindrance on electrophilicity. In addition, we must discuss how the nature of the electrophilic carbon, and more specifically the stability of a potential carbocationic intermediate, influences the  $S_N1$  vs.  $S_N2$  character of a nucleophilic substitution reaction.

Consider two hypothetical  $S_N2$  reactions: one in which the electrophile is a methyl carbon and another in which it is tertiary carbon.



Because the three substituents on the methyl carbon electrophile are tiny hydrogens, the nucleophile has a relatively clear path for backside attack. However, backside attack on the tertiary carbon is blocked by the bulkier methyl groups. Once again, steric hindrance - this time caused by bulky groups attached to the electrophile rather than to the nucleophile - hinders the progress of an associative nucleophilic ( $S_N2$ ) displacement.

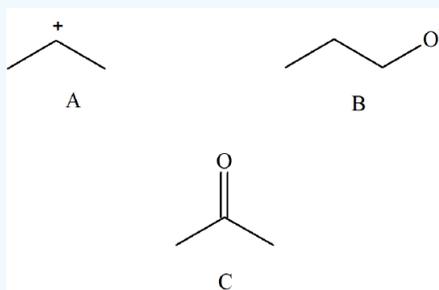
The factors discussed in the above paragraph, however, do not prevent a sterically-hindered carbon from being a good electrophile - they only make it less likely to be attacked in a *concerted*  $S_N2$  reaction. Nucleophilic substitution reactions in which the electrophilic carbon is sterically hindered are more likely to occur by a two-step, dissociative ( $S_N1$ ) mechanism. This makes perfect sense from a geometric point of view: the limitations imposed by sterics are significant mainly in an  $S_N2$  displacement, when the electrophile being attacked is a  $sp^3$ -hybridized tetrahedral carbon with its relatively 'tight' angles of  $109.4^\circ$ . Remember that in an  $S_N1$  mechanism, the nucleophile attacks an  $sp^2$ -hybridized carbocation intermediate, which has trigonal planar geometry with 'open'  $120^\circ$  angles.



With this open geometry, the empty p orbital of the electrophilic carbocation is no longer significantly shielded from the approaching nucleophile by the bulky alkyl groups. A carbocation is a very potent electrophile, and the nucleophilic step occurs very rapidly compared to the first (ionization) step.

### ? EXERCISE 6.4.1

Label the following either an electrophile or a nucleophile.



#### Answer

A = Electrophile

B = Nucleophile

C = Both (carbonyl carbon is electrophile and oxygen is nucleophile)

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## 6.5: AN EXAMPLE OF A POLAR REACTION - ADDITION OF HBR TO ETHYLENE

### OBJECTIVES

After completing this section, you should be able to

- give an example of a simple polar reaction (e.g., a electrophilic addition).
- identify the electrophile and nucleophile in a simple polar reaction.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- electrophilic addition
- carbocation

### STUDY NOTES

The curved arrows introduced in this section are used throughout the course to indicate the movement of electron pairs. It takes practice for the beginning student to feel comfortable using these arrows. Remember that the head of the arrow indicates where the electron pair moves to; its tail shows where the electron pair comes from. (Chemists often refer to the use of curved arrows as “electron pushing.”)

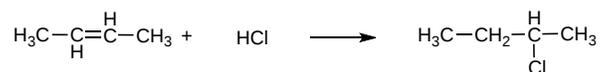
This page looks at the reaction of the carbon-carbon double bond in alkenes such as ethene with hydrogen halides such as hydrogen chloride and hydrogen bromide. Symmetrical alkenes (like ethene or but-2-ene) are dealt with first. These are alkenes where identical groups are attached to each end of the carbon-carbon double bond.

### ADDITION TO SYMMETRICAL ALKENES

All alkenes undergo addition reactions with the hydrogen halides. A hydrogen atom joins to one of the carbon atoms originally in the double bond, and a halogen atom to the other. For example, with ethene and hydrogen chloride, you get chloroethane:



With but-2-ene you get 2-chlorobutane:

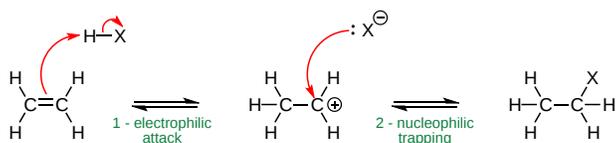


What happens if you add the hydrogen to the carbon atom at the right-hand end of the double bond, and the chlorine to the left-hand end? You would still have the same product. The chlorine would be on a carbon atom next to the end of the chain - you would simply have drawn the molecule flipped over in space. That would be different if the alkene was unsymmetrical - that's why we have to look at them separately.

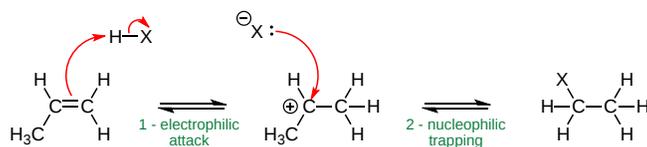
### MECHANISM

The addition of hydrogen halides is one of the easiest electrophilic addition reactions because it uses the simplest electrophile: the proton. Hydrogen halides provide both an electrophile (proton) and a nucleophile (halide). First, the electrophile will attack the double bond and take up a set of pi electrons, attaching it to the molecule (1). This is basically the reverse of the last step in the **E1** reaction (deprotonation step). The resulting molecule will have a single carbon-carbon bond with a positive charge on one of them (carbocation). The next step is when the nucleophile (halide) bonds to the carbocation, producing a new molecule with both the original hydrogen and halide attached to the organic reactant (2). The second step will only occur if a good **nucleophile** is used.

*Mechanism of Electrophilic Addition of Hydrogen Halide to Ethene*



*Mechanism of Electrophilic Addition of Hydrogen Halide to Propene*

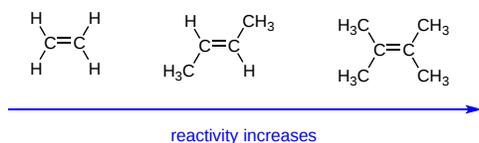


All of the halides (HBr, HCl, HI, HF) can participate in this reaction and add on in the same manner. Although different halides do have different rates of reaction, due to the H-X bond getting weaker as X gets larger (poor overlap of orbitals).

## REACTION RATES

Reaction rates increase in the order HF - HCl - HBr - HI. Hydrogen fluoride reacts much more slowly than the other three, and is normally ignored in talking about these reactions. When the hydrogen halides react with alkenes, the hydrogen-halogen bond has to be broken. The bond strength falls as you go from HF to HI, and the hydrogen-fluorine bond is particularly strong. Because it is difficult to break the bond between the hydrogen and the fluorine, the addition of HF is bound to be slow.

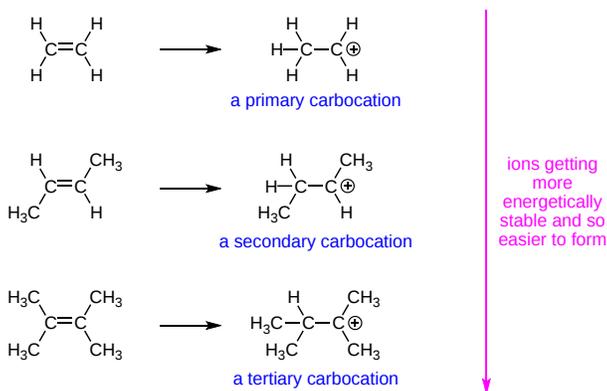
This applies to unsymmetrical alkenes as well as to symmetrical ones. For simplicity the examples given below are all symmetrical ones - but they don't have to be. Reaction rates increase as the alkene gets more complicated - in the sense of the number of alkyl groups (such as methyl groups) attached to the carbon atoms at either end of the double bond. For example:



There are two ways of looking at the reasons for this - both of which need you to know about the mechanism for the reactions.

Alkenes react because the electrons in the pi bond attract things with any degree of positive charge. Anything which increases the electron density around the double bond will help this. Alkyl groups have a tendency to "push" electrons away from themselves towards the double bond. The more alkyl groups you have, the more negative the area around the double bonds becomes.

The more negatively charged that region becomes, the more it will attract molecules like hydrogen chloride. The more important reason, though, lies in the stability of the intermediate ion formed during the reaction. The three examples given above produce these carbocations (carbonium ions) at the half-way stage of the reaction:

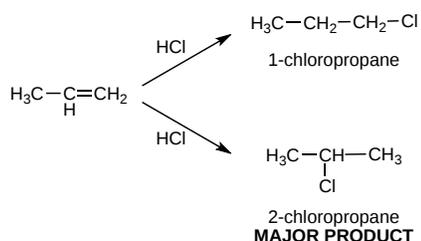


The stability of the intermediate ions governs the activation energy for the reaction. As you go towards the more complicated alkenes, the activation energy for the reaction falls. That means that the reactions become faster.

## ADDITION TO UNSYMMETRICAL ALKENES

In terms of reaction conditions and the factors affecting the rates of the reaction, there is no difference whatsoever between these alkenes and the symmetrical ones described above. The problem comes with the orientation of the addition - in other words, which way around the hydrogen and the halogen add across the double bond.

If HCl adds to an unsymmetrical alkene like propene, there are two possible ways it could add. However, in practice, there is only one major product.



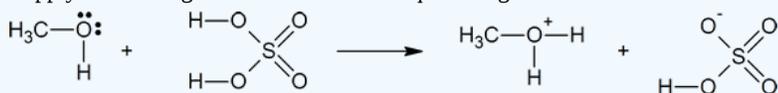
This is in line with Markovnikov's Rule which says:

When a compound HX is added to an unsymmetrical alkene, the hydrogen becomes attached to the carbon with the most hydrogens attached to it already.

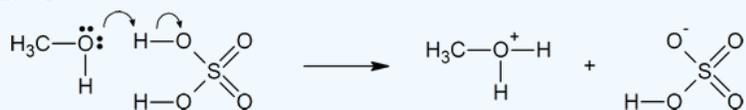
In this case, the hydrogen becomes attached to the CH<sub>2</sub> group, because the CH<sub>2</sub> group has more hydrogens than the CH group. Notice that only the hydrogens directly attached to the carbon atoms at either end of the double bond count. The ones in the CH<sub>3</sub> group are totally irrelevant.

### ? EXERCISE 6.5.1

Supply the missing curved arrows in the equations given below.



Answer



### ? EXERCISE 6.5.2

Predict the product of the following reactions:



**Answer**

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## 6.6: USING CURVED ARROWS IN POLAR REACTION MECHANISMS

### OBJECTIVE

After completing this section, you should be able to use curved (curly) arrows, in conjunction with a chemical equation, to show the movement of electron pairs in a simple polar reaction, such as electrophilic addition.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- electrophilic
- nucleophilic

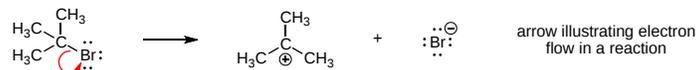
### PUSHING ELECTRONS AND CURVED ARROWS

Understanding the location of electrons and being able to draw the curved arrows that depict the mechanisms by which the reactions occur is one of the most critical tools for learning organic chemistry since they allow you to understand what controls reactions, and how reactions proceed.

Before you can do this you need to understand that a **bond** is due to a pair of electrons shared between atoms. When asked to draw a mechanism, curved arrows should be used to show all the bonding changes that occur. A few simple lessons that illustrate these concepts can be found below.

#### LESSON 1

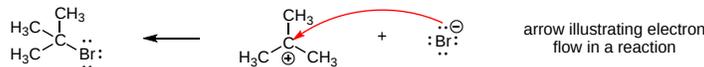
If we remove the pair of electrons in a bond, then we **BREAK** that bond. This is true for single and multiple bonds as shown below:



Notice that since the starting materials were *neutral*, the products are also *neutral*. In general terms, the *sum of the charges* on the starting materials **MUST** equal the *sum of the charges* on the products since we have the **same number of electrons**.

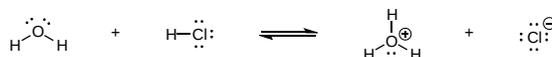
The first example is a **REACTION** since we broke a sigma bond. In the second two examples, we moved pi electrons into lone pairs. This is **RESONANCE**.

If we move electrons between two atoms, then we **MAKE** a new bond:

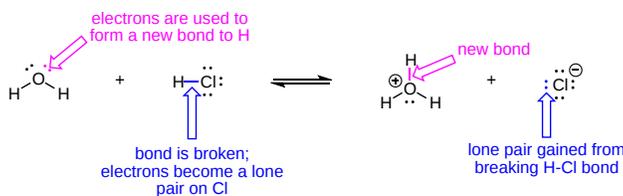


We always show electrons moving from **electron rich** to **electron poor**.

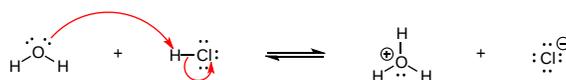
## LESSON 2



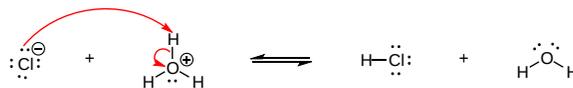
This is a simple acid/base reaction, showing the formation of the hydronium ion produced when hydrochloric acid is dissolved in water. It is useful to analyze the bond changes that are occurring. Water is functioning as a **base** and hydrochloric acid as an **acid**. Consider the differences in bonding between the starting materials and the products:



One of the lone pairs on the oxygen atom of water was used to form a bond to a hydrogen atom, creating the hydronium ion ( $\text{H}_3\text{O}^+$ ) seen in the products. The hydrogen-chlorine bond of HCl was broken, and the electrons in this bond became a lone pair on the chlorine atom, thus generating a chloride ion. We can illustrate these changes in bonding using the curved arrows shown below.



Note that in this diagram, the overall charge of the reactants is the same as the overall charge of the products. We can also show the curved arrows for the reverse reaction:



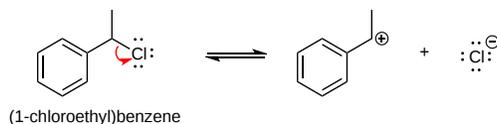
This shows the formation of the new H-Cl bond by using a lone pair of electrons from the electron-rich chloride ion to form a bond to an electron poor hydrogen atom of the hydronium ion. Because hydrogen can only form one bond, the oxygen-hydrogen bond is broken and its electrons become a lone pair on the electron-poor oxygen atom. Notice that the charges balance!

## LESSON 3

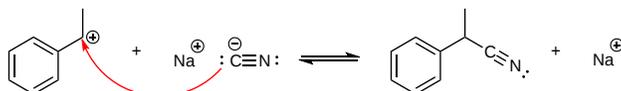
In this section, we will look at the curved arrows for some nucleophilic substitution reactions. Overall, the processes involved are similar to those for the acid/base reactions described above. In a nucleophilic substitution reaction, an electron-rich nucleophile (Nu) becomes bonded to an electron-poor carbon atom, and a leaving group (LG) is displaced. In bonding terms, we must *make* a Nu-C bond and *break* a C-LG bond.



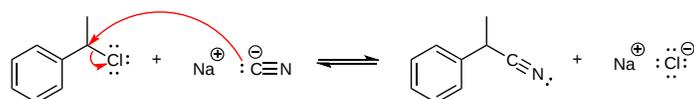
Let's consider the stepwise  $\text{S}_{\text{N}}1$  reaction between (1-chloroethyl)benzene and sodium cyanide. The first step of this process is breaking the C-Cl bond, where the electrons in that bond become a lone pair on the chlorine atom. The carbon atom has lost electrons and therefore becomes positive, generating a secondary carbocation. Because the chlorine atom gained an additional lone pair of electrons, it becomes a negatively charged chloride ion.



In the second step, the electron-rich nucleophile donates electrons to form a new C-C bond with the electron-poor secondary carbocation.



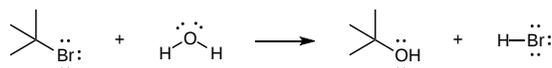
In an  $\text{S}_{\text{N}}2$  reaction, the bond forming and breaking processes occur simultaneously. The scheme below shows the Nu donating electrons to form a new C-C bond at the same time that the C-Cl bond is breaking. The electrons in the C-Cl bond become a lone pair on the chlorine atom, generating a chloride ion. Forming and breaking the bonds simultaneously allows carbon to obey the octet rule throughout this process.



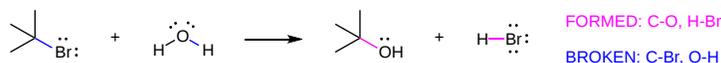
Notice that in all steps for the processes above, the overall charges of the starting materials match those of the products.

## LESSON 4

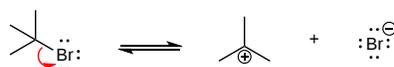
This section will dissect another substitution reaction, although it is more involved. Let's consider the  $S_N1$  reaction of *tert*-butyl bromide with water.



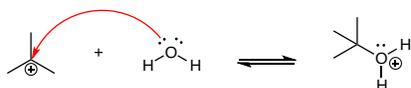
It can be helpful to take inventory of which bonds have been formed, and which bonds have been broken.



The curved arrows we draw must account for ALL of these bonding changes. Since we are dealing with an  $S_N1$  reaction process, the first step will be cleavage of the C-Br bond to give a carbocation and a bromide anion.



Water then acts as a nucleophile, using one of its lone pairs to form a bond to the electron-poor *t*-butyl cation. This generates an oxonium ion, where oxygen has three bonds and a positive formal charge.

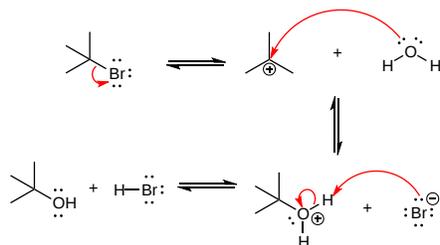


The final step is an acid/base reaction between the bromide anion generated in step 1 and the oxonium product of step 2. The bromide anion acts as a base, using a lone pair to form a bond to one of the hydrogen atoms. The O-H bond then breaks, and its electrons become a lone pair on oxygen. This gives the final products of HBr and *t*-butyl alcohol.

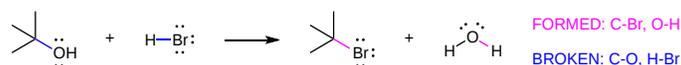


Notice that in each of the mechanistic steps above, the overall charge of the reactant side balances with the overall charge of the product side.

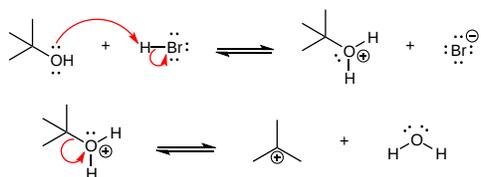
While the above process was broken down into distinct steps, however it is important to note that mechanisms are almost always shown as a continuous process. The overall mechanism for this processes can be found below:



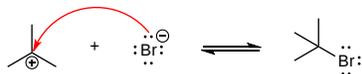
Now consider the reverse reaction, i.e. the reaction of *t*-butyl alcohol with hydrobromic acid to generate *t*-butyl bromide and water. The scheme is shown below, along with an analysis of the bonds formed and broken in this process:



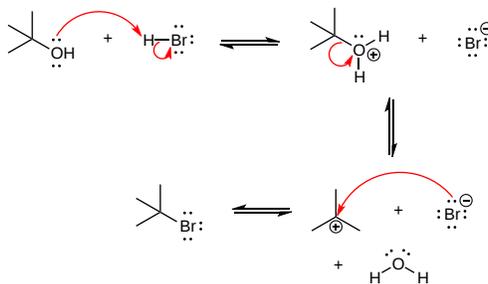
The mechanism must occur via the same pathway as shown above (Law of Macroscopic Reversibility), however this mechanism can still be deduced without knowing that. First, it is known that HBr is a strong acid and can donate a proton to a base. The most basic sites in the whole system are the lone pairs on the oxygen atom of *t*-butanol. Since the lone pairs are the electron-rich area of the molecule, the arrow starts at a lone pair and ends at the proton of HBr. The H-Br bond breaks, pushing its electrons onto the bromine atom and generating a bromide ion.



The bromide ion generated in the first step can then react with the *t*-butyl cation to generate *t*-butyl bromide.



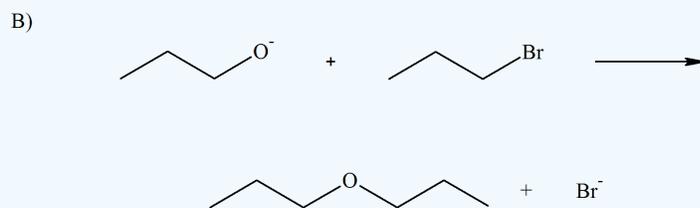
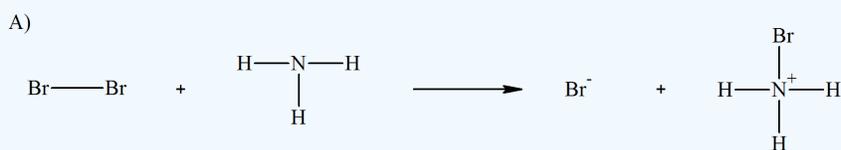
Once again, the above the overall process is broken down into individual steps, however it is more common to illustrate this as one overall process:



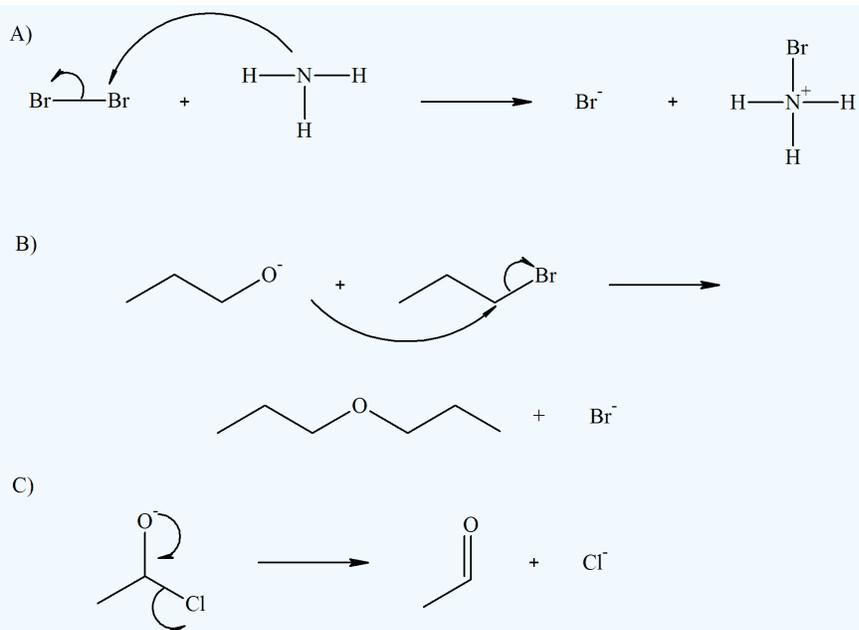
## CURVED ARROW SUMMARY

- Curved arrows flow from electron rich to electron poor.
- Therefore they start from lone pairs or bonds.
- The charges in any particular step should always be balanced.
- Remember to obey the rules of valence (eg. octet rule for C,N,O,F etc.).
- If electrons are taken out of a bond, then that bond is broken.
- If electrons are placed between two atoms then it implies a bond is being made.

### ? EXERCISE 6.6.1



Answer



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## 6.7: DESCRIBING A REACTION - EQUILIBRIA, RATES, AND ENERGY CHANGES

### OBJECTIVES

After completing this section, you should be able to

- write the equilibrium constant expression for a given reaction.
- assess, qualitatively, how far a reaction will proceed in a given direction, given the value of  $K_{eq}$ .
- explain the difference between rate and equilibrium.
- state the relationship between  $\Delta G^\circ$  and  $K_{eq}$ , and use this relationship to determine the value of either of the two variables, given the other.
- state the relationship between Gibbs free-energy, enthalpy and entropy, and use the relationship to calculate any one of  $\Delta G^\circ$ ,  $\Delta H^\circ$  and  $\Delta S^\circ$ , given the other two.
- make a qualitative assessment of whether  $\Delta S^\circ$  for a given process is expected to be positive or negative.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

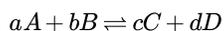
- exergonic
- endergonic
- exothermic
- endothermic
- enthalpy change (heat of reaction),  $\Delta H^\circ$
- entropy change,  $\Delta S^\circ$
- reaction mechanism
- standard Gibbs free-energy change,  $\Delta G^\circ$

### STUDY NOTES

Throughout this course you will be paying a great deal of attention to the mechanisms of the reactions that you study. Some students see this as a laborious task of little practical use. However, you will find that a knowledge of reaction mechanisms can help reduce the number of reactions to memorize, provide a connecting link between apparently unrelated reactions, and enable someone with a basic knowledge of organic chemistry to deduce how a previously unseen reaction might proceed. The investigation of reaction mechanisms is a popular research area for organic chemists.

### EQUILIBRIUM CONSTANT

For the hypothetical chemical reaction:



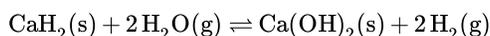
the equilibrium constant is defined as:

$$K_C = \frac{[C]^c [D]^d}{[A]^a [B]^b}$$

The notation  $[A]$  signifies the molar concentration of species A. An alternative expression for the equilibrium constant involves partial pressures:

$$K_P = \frac{P_C^c P_D^d}{P_A^a P_B^b}$$

Note that the expression for the equilibrium constant includes only solutes and gases; pure solids and liquids do not appear in the expression. For example, the equilibrium expression for the reaction



is the following:

$$K_C = \frac{[H_2]^2}{[H_2O]^2}$$

Observe that the gas-phase species  $H_2O$  and  $H_2$  appear in the expression but the solids  $CaH_2$  and  $Ca(OH)_2$  do not appear.

The equilibrium constant is most readily determined by allowing a reaction to reach equilibrium, measuring the concentrations of the various solution-phase or gas-phase reactants and products, and substituting these values into the Law of Mass Action.

## FREE ENERGY

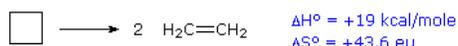
The interaction between enthalpy and entropy changes in chemical reactions is best observed by studying their influence on the equilibrium constants of reversible reactions. To this end a new thermodynamic function called Free Energy (or Gibbs Free Energy), symbol  $\Delta G$ , is defined as shown in the first equation below. Two things should be apparent from this equation. First, in cases where the entropy change is small,  $\Delta G \cong \Delta H$ . Second, the importance of  $\Delta S$  in determining  $\Delta G$  increases with increasing temperature.

$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$$

where the temperature is measured in absolute temperature (K).

The free energy function provides improved insight into the thermodynamic driving forces that influence reactions. A negative  $\Delta G^\circ$  is characteristic of an **exergonic reaction**, one which is thermodynamically favorable and often spontaneous, as is the melting of ice at 1 °C. Likewise a positive  $\Delta G^\circ$  is characteristic of an **endergonic reaction**, one which requires an input of energy from the surroundings.

For an example of the relationship of free energy to enthalpy consider the decomposition of cyclobutane to ethene, shown in the following equation. The standard state for all the compounds is gaseous.



This reaction is endothermic, but the increase in number of molecules from one (reactants) to two (products) results in a large positive  $\Delta S^\circ$ .

At 25 °C (298 K):

$$\Delta G^\circ = 19 \text{ kcal/mol} - 298(43.6) \text{ cal/mole} = 19 - 13 \text{ kcal/mole} = +6 \text{ kcal/mole.}$$

Thus, the entropy change opposes the enthalpy change, but is not sufficient to change the sign of the resulting free energy change, which is endergonic. Indeed, cyclobutane is perfectly stable when kept at room temperature.

Because the entropy contribution increases with temperature, this energetically unfavorable transformation can be made favorable by raising the temperature. At 200 °C (473 K),

$$\Delta G^\circ = 19 \text{ kcal/mol} - 473(43.6) \text{ cal/mole} \tag{6.7.1}$$

$$= 19 - 20.6 \text{ kcal/mole} \tag{6.7.2}$$

$$= -1.6 \text{ kcal/mole.} \tag{6.7.3}$$

This is now an **exergonic reaction**, and the thermal cracking of cyclobutane to ethene is known to occur at higher temperatures.

$$\Delta G^\circ = -RT \ln K = -2.303RT \log_{10} K \tag{6.7.4}$$

where  $R = 1.987 \text{ cal/ K mole}$   $T = \text{temperature in K}$  and  $K = \text{equilibrium constant}$

### NOTE

Equation 6.7.4 is important because it demonstrates the fundamental relationship of  $\Delta G^\circ$  to the equilibrium constant,  $K$ . Because of the negative logarithmic relationship between these variables, a negative  $\Delta G^\circ$  generates a  $K > 1$ , whereas a positive  $\Delta G^\circ$  generates a  $K < 1$ . When  $\Delta G^\circ = 0$ ,  $K = 1$ . Furthermore, small changes in  $\Delta G^\circ$  produce large changes in  $K$ . A change of 1.4 kcal/mole in  $\Delta G^\circ$  changes  $K$  by approximately a factor of 10. This interrelationship may be explored with the calculator on the right. Entering free energies outside the range -8 to 8 kcal/mole or equilibrium constants outside the range  $10^{-6}$  to 900,000 will trigger an alert, indicating the large imbalance such numbers imply.

### ? EXERCISE 6.7.1

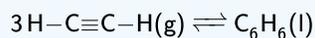
At 155°C, the equilibrium constant,  $K_{eq}$ , for the reaction



has a value of 4.0. Calculate  $\Delta G^\circ$  for this reaction at 155°C.

**? EXERCISE 6.7.2**

Acetylene ( $C_2H_2$ ) can be converted into benzene ( $C_6H_6$ ) according to the equation:



At 25°C,  $\Delta G^\circ$  for this reaction is  $-503$  kJ and  $\Delta H^\circ$  is  $-631$  kJ. Determine  $\Delta S^\circ$  and indicate whether the size of  $\Delta S^\circ$  agrees with what you would have predicted simply by looking at the chemical equation.

**Answer**

The entropy change is negative, as one would expect from looking at the chemical equation, since three moles of reactants yield one mole of product; that is, the system becomes much more “ordered” as it goes from reactants to products.

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## 6.8: DESCRIBING A REACTION - BOND DISSOCIATION ENERGIES

### OBJECTIVES

After completing this section, you should be able to

- predict the value of  $\Delta H^\circ$  for a gas-phase reaction, given the necessary bond dissociation energy data.
- predict the dissociation energy of a particular bond, given  $\Delta H^\circ$  for a reaction involving the bond and any other necessary bond dissociation energy data.
- outline the limitations of using bond dissociation energies to predict whether or not a given reaction will occur.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bond dissociation energy
- solvation

### STUDY NOTES

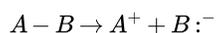
The idea of calculating the standard enthalpy of a reaction from the appropriate bond dissociation energy data should be familiar to you from your first-year chemistry course.

*Solvation* is the interaction between solvent molecules and the ions or molecules dissolved in that solvent.

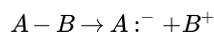
The homolytic bond dissociation energy is the amount of energy needed to break apart one mole of covalently bonded gases into a pair of radicals. The [SI units](#) used to describe bond energy are kiloJoules per mole of bonds (kJ/Mol). It indicates how strongly the atoms are bonded to each other.

### INTRODUCTION

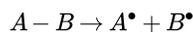
Breaking a [covalent bond](#) between two partners, A-B, can occur either heterolytically, where the shared pair of electron goes with one partner or another



or



or homolytically, where one electron stays with each partner.



The products of homolytic cleavage are [radicals](#) and the energy that is required to break the bond homolytically is called the Bond Dissociation Energy (BDE) and is a measure of the strength of the bond.

### CALCULATION OF THE BDE

The BDE for a molecule A-B is calculated as the difference in the [enthalpies of formation](#) of the products and reactants for homolysis

$$BDE = \Delta_f H(A^\bullet) + \Delta_f H(B^\bullet) - \Delta_f H(A - B)$$

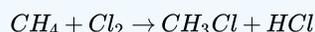
Officially, the [IUPAC](#) definition of bond dissociation energy refers to the energy change that occurs at 0 K, and the symbol is  $D_0$ . However, it is commonly referred to as BDE, the bond dissociation energy, and it is generally used, albeit imprecisely, interchangeably with the bond dissociation *enthalpy*, which generally refers to the enthalpy change at room temperature (298K). Although there are technical differences between BDEs at 0 K and 298 K, those differences are not large and generally do not affect interpretations of chemical processes.

### BOND BREAKAGE/FORMATION

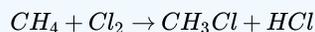
Bond dissociation energy (or enthalpy) is a [state function](#) and consequently does not depend on the path by which it occurs. Therefore, the specific mechanism in how a bond breaks or is formed does not affect the BDE. Bond dissociation energies are useful in assessing the energetics of chemical processes. For chemical reactions, combining bond dissociation energies for bonds formed and bonds broken in a chemical reaction using [Hess's Law](#) can be used to estimate reaction enthalpies.

### ✓ EXAMPLE 6.8.1: CHLORINATION OF METHANE

Consider the chlorination of methane



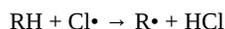
the overall reaction thermochemistry can be calculated exactly by combining the BDEs for the bonds broken and bonds formed



$$\Delta H = \text{BDE}(\text{R-H}) + \text{BDE}(\text{Cl}_2) - \text{BDE}(\text{HCl}) - \text{BDE}(\text{CH}_3\text{-Cl})$$

Because reaction enthalpy is a state function, it does not matter what reactions are combined to make up the overall process using Hess's Law. However, BDEs are convenient to use because they are readily available.

Alternatively, BDEs can be used to assess individual steps of a mechanism. For example, an important step in [free radical chlorination of alkanes](#) is the abstraction of hydrogen from the alkane to form a free radical.



The energy change for this step is equal to the difference in the BDEs in RH and HCl

$$\Delta H = \text{BDE}(\text{R-H}) - \text{BDE}(\text{HCl})$$

This relationship shows that the hydrogen abstraction step is more favorable when BDE(R-H) is smaller. The difference in energies accounts for the selectivity in the halogenation of hydrocarbons with different types of C-H bonds.

Table 6.8.1: Representative C-H BDEs in Organic Molecules

R-H	D <sub>0</sub> , kJ/mol	D <sub>298</sub> , kJ/mol	R-H	D <sub>0</sub> , kJ/mol	D <sub>298</sub> , kJ/mol
CH <sub>3</sub> -H	432.7±0.1	439.3±0.4	H <sub>2</sub> C=CH-H	456.7±2.7	463.2±2.9
CH <sub>3</sub> CH <sub>2</sub> -H		423.0±1.7	C <sub>6</sub> H <sub>5</sub> -H	465.8±1.9	472.4±2.5
(CH <sub>3</sub> ) <sub>2</sub> CH-H		412.5±1.7	HCCH	551.2±0.1	557.8±0.3
(CH <sub>3</sub> ) <sub>3</sub> C-H		403.8±1.7			
			H <sub>2</sub> C=CHCH <sub>2</sub> -H		371.5±1.7
HC(O)-H		368.6±0.8	C <sub>6</sub> H <sub>5</sub> CH <sub>2</sub> -H		375.3±2.5
CH <sub>3</sub> C(O)-H		374.0±1.2			

## TRENDS IN C-H BDES

It is important to remember that C-H BDEs refer to the energy it takes to break the bond, and is the difference in energy between the reactants and the products. Therefore, it is not appropriate to interpret BDEs solely in terms of the "stability of the radical products" as is often done.

Analysis of the BDEs shown in the table above shows that there are some systematic trends:

- BDEs vary with hybridization:** Bonds with sp<sup>3</sup> hybridized carbons are weakest and bonds with sp hybridized carbons are much stronger. The vinyl and phenyl C-H bonds are similar, reflecting their sp<sup>2</sup> hybridization. The correlation with hybridization can be viewed as a reflection of the C-H bond lengths. Longer bonds formed with sp<sup>3</sup> orbitals are consequently weaker. Shorter bonds formed with orbitals that have more s-character are similarly stronger.
- C-H BDEs vary with substitution:** Among sp<sup>3</sup> hybridized systems, methane has the strongest C-H bond. C-H bonds on primary carbons are stronger than those on secondary carbons, which are stronger than those on tertiary carbons.

## INTERPRETATION OF C-H BDES FOR SP<sup>3</sup> HYBRIDIZED CARBONS

The interpretation of the BDEs in saturated molecules has been subject of recent controversy. As indicated above, the variation in BDEs with substitution has traditionally been interpreted as reflecting the stabilities of the alkyl radicals, with the assessment that more highly substituted radicals are more stable, as with carbocations. Although this is a popular explanation, it fails to account for the fact the bonds to groups other than H do not show the same types of variation.

R	BDE(R-CH <sub>3</sub> )	BDE(R-Cl)	BDE(R-Br)	BDE(R-OH)
CH <sub>3</sub> -	377.0±0.4	350.2±0.4	301.7±1.3	385.3±0.4
CH <sub>3</sub> CH <sub>2</sub> -	372.4±1.7	354.8±2.1	302.9±2.5	393.3±1.7
(CH <sub>3</sub> ) <sub>2</sub> CH-	370.7±1.7	356.5±2.1	309.2±2.9	399.6±1.7
(CH <sub>3</sub> ) <sub>3</sub> C-	366.1±1.7	355.2±2.9	303.8±2.5	400.8±1.7

Therefore, although C-CH<sub>3</sub> bonds get weaker with more substitution, the effect is not nearly as large as that observed with C-H bonds. The strengths of C-Cl and C-Br bonds are not affected by substitution, despite the fact that the same radicals are formed as when breaking C-H bonds, and the C-OH bonds in alcohols actually *increase* with more substitution.

Gronert has proposed that the variation in BDEs is alternately explained as resulting from destabilization of the reactants due to steric repulsion of the substituents, which is released in the nearly planar radicals.<sup>1</sup> Considering that BDEs reflect the relative energies of reactants and products, either explanation can account for the trend in BDEs.

Another factor that needs to be considered is the electronegativity. The [Pauling definition of electronegativity](#) says that the bond dissociation energy between unequal partners is going to be dependent on the difference in electronegativities, according to the expression

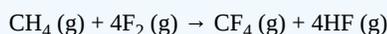
$$D_o(A-B) = \frac{D_o(A-A) + D_o(B-B)}{2} + (X_A - X_B)^2$$

where  $X_A$  and  $X_B$  are the electronegativities and the bond energies are in eV. Therefore, the variation in BDEs can be interpreted as reflecting variation in the electronegativities of the different types of alkyl fragments.

There is likely some merit in all three interpretations. Since Gronert's original publication of his alternate explanation, there have been many desperate attempts to defend the radical stability explanation.

### ? EXERCISE 6.8.1

Given that  $\Delta H^\circ$  for the reaction



is  $-1936$  kJ, use the following data to calculate the average bond energy of the C-F bonds in CF<sub>4</sub>.

Bond	Average Bond Energy
C-H	413 kJ · mol <sup>-1</sup>
F-F	155 kJ · mol <sup>-1</sup>
H-F	567 kJ · mol <sup>-1</sup>

#### Answer

Bonds broken:

$$4 \text{ mol C-H bonds} \times \frac{(413 \text{ kJ})}{(1 \text{ mol})} = 1652 \text{ kJ}$$

$$4 \text{ mol F-F bonds} \times \frac{(155 \text{ kJ})}{(1 \text{ mol})} = 620 \text{ kJ}$$

Bonds formed:

$$4 \text{ mol CF bonds} \times \frac{(x \text{ kJ})}{(1 \text{ mol})} = 4x \text{ kJ}$$

(where  $x$  = the average energy of one mole of C-F bonds in CF<sub>4</sub>, expressed in kJ)

$$4 \text{ mol H-F bonds} \times \frac{(567 \text{ kJ})}{(1 \text{ mol})} = 2268 \text{ kJ}$$

$$\Delta H^\circ = \Delta H^\circ(\text{bonds broken}) - \Delta H^\circ(\text{bonds formed}) = (1652 \text{ kJ} + 620 \text{ kJ}) - (4x + 2268 \text{ kJ}) = 1652 \text{ kJ} + 620 \text{ kJ} - 4x - 2268 \text{ kJ} = -1936 \text{ kJ}$$

Thus,

$$4x = 1936 \text{ kJ} - 2268 \text{ kJ} + 620 \text{ kJ} + 1652 \text{ kJ} \\ = 1940 \text{ kJ}$$

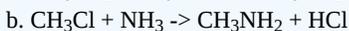
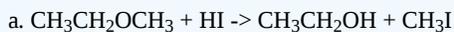
and

$$x = \frac{1940 \text{ kJ}}{4 \text{ mol}} \\ = 385 \text{ kJ} \cdot \text{mol}^{-1}$$

The average energy of a C-F bond in CF<sub>4</sub> is 385 kJ · mol<sup>-1</sup>

### ? EXERCISE 6.8.1

Calculate  $\Delta H^\circ$  for the reactions given below. [Access bond energy tables via left-hand blue column Resources > Reference Tables > Reference Tables > Thermodynamic Tables > T3: Bond Energies]



#### Answer



Reactant bonds broken	<i>D</i>		Product bonds formed	<i>D</i>
CH <sub>3</sub> CH <sub>2</sub> O—CH <sub>3</sub>	339 kJ/mol		CH <sub>3</sub> CH <sub>2</sub> O—H	438 kJ/mol
H—I	298 kJ/mol	\$\$	CH <sub>3</sub> —I	238 kJ/mol
	637 kJ/mol	\$\$		676 kJ/mol

$$\begin{aligned}\Delta H^\circ &= D_{\text{bonds broken}} + D_{\text{bonds formed}} \\ &= 637 \text{ kJ/mol} - 676 \text{ kJ/mol} \\ &= -39 \text{ kJ/mol}\end{aligned}$$



Reactant bonds broken	<i>D</i>		Product bonds formed	<i>D</i>
CH <sub>3</sub> —Cl	356 kJ/mol		CH <sub>3</sub> —NH <sub>2</sub>	364 kJ/mol
NH <sub>2</sub> —H	450 kJ/mol	\$\$	H—Cl	432 kJ/mol
	806 kJ/mol	\$\$		796 kJ/mol

$$\begin{aligned}\Delta H^\circ &= D_{\text{bonds broken}} + D_{\text{bonds formed}} \\ &= 806 \text{ kJ/mol} - 796 \text{ kJ/mol} \\ &= +10 \text{ kJ/mol}\end{aligned}$$

## REFERENCES

- Gronert, S. *J. Org. Chem.* **2006**, *13*, 1209

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## 6.9: DESCRIBING A REACTION - ENERGY DIAGRAMS AND TRANSITION STATES

### OBJECTIVES

After completing this section, you should be able to

- sketch the reaction energy diagram for a single-step reaction, given some indication of whether the reaction is fast or slow, exothermic or endothermic.
- interpret the reaction energy diagram for a single-step process (e.g., use the diagram to decide whether the reaction is exothermic or endothermic).
- suggest possible transition-state structures for simple one-step processes.
- assess the likelihood of a reaction occurring at room temperature, given the value of the activation energy  $\Delta G^\ddagger$ .

### KEY TERMS

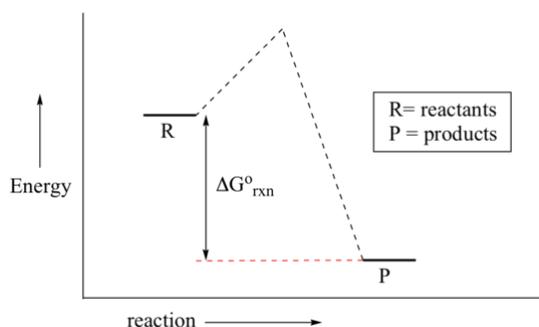
Make certain that you can define, and use in context, the key terms below.

- activation energy,  $\Delta G^\ddagger$
- reaction energy diagram
- transition state

### STUDY NOTES

You may have been taught to use the term “activated complex” rather than “transition state,” as the two are often used interchangeably. Similarly, the activation energy of a reaction is often represented by the symbol  $E_{\text{act}}$  or  $E_a$ .

You may recall from general chemistry that it is often convenient to describe chemical reactions with energy diagrams. In an energy diagram, the vertical axis represents the overall energy of the reactants, while the horizontal axis is the ‘**reaction coordinate**’, tracing from left to right the progress of the reaction from starting compounds to final products. The energy diagram for a typical one-step reaction might look like this:



Despite its apparent simplicity, this energy diagram conveys some very important ideas about the thermodynamics and kinetics of the reaction. Recall that when we talk about the **thermodynamics** of a reaction, we are concerned with the difference in energy between reactants and products, and whether a reaction is ‘downhill’ (exergonic, energy releasing) or ‘uphill’ (endergonic, energy absorbing). When we talk about **kinetics**, on the other hand, we are concerned with the *rate* of the reaction, regardless of whether it is uphill or downhill thermodynamically.

First, let’s review what this energy diagram tells us about the thermodynamics of the reaction illustrated by the energy diagram above. The energy level of the products is *lower* than that of the reactants. This tells us that the change in standard Gibbs Free Energy for the reaction ( $\Delta G^\circ_{\text{rxn}}$ ) is negative. In other words, the reaction is exergonic, or ‘downhill’. Recall that the  $\Delta G^\circ_{\text{rxn}}$  term encapsulates both  $\Delta H^\circ_{\text{rxn}}$ , the change in enthalpy (heat) and  $\Delta S^\circ_{\text{rxn}}$ , the change in entropy (disorder):

$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$$

where T is the absolute temperature in Kelvin. For chemical processes where the entropy change is small ( $\sim 0$ ), the enthalpy change is essentially the same as the change in Gibbs Free Energy. Energy diagrams for these processes will often plot the enthalpy (H) instead of Free Energy for simplicity.

The standard Gibbs Free Energy change for a reaction can be related to the reaction's equilibrium constant ( $K_{eq}$ ) by a simple equation:

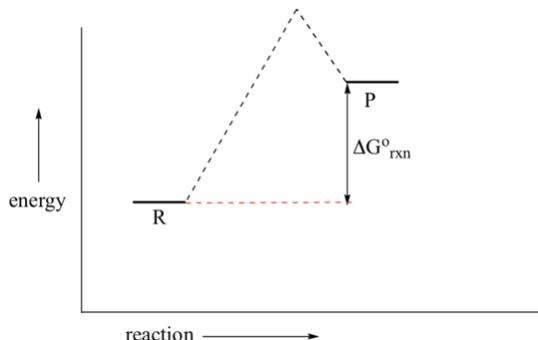
$$\Delta G^\circ = -RT \ln K_{eq}$$

where:

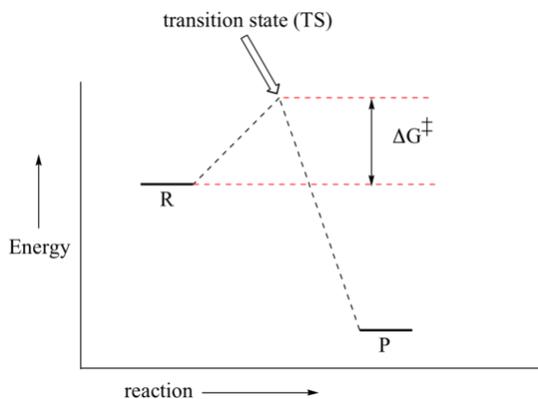
- $K_{eq} = [\text{product}] / [\text{reactant}]$  at equilibrium
- $R = 8.314 \text{ J} \times \text{K}^{-1} \times \text{mol}^{-1}$  or  $1.987 \text{ cal} \times \text{K}^{-1} \times \text{mol}^{-1}$
- $T = \text{temperature in Kelvin (K)}$

If you do the math, you see that a negative value for  $\Delta G^\circ_{rxn}$  (an exergonic reaction) corresponds - as it should by intuition - to  $K_{eq}$  being greater than 1, an equilibrium constant which favors product formation.

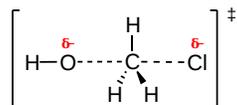
In a hypothetical endergonic (energy-absorbing) reaction the products would have a higher energy than reactants and thus  $\Delta G^\circ_{rxn}$  would be positive and  $K_{eq}$  would be less than 1, favoring reactants.



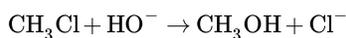
Now, let's move to kinetics. Look again at the energy diagram for exergonic reaction: although it is 'downhill' overall, it isn't a straight downhill run.



First, an 'energy barrier' must be overcome to get to the product side. The height of this energy barrier, you may recall, is called the '**activation energy**' ( $\Delta G^\ddagger$ ). The activation energy is what determines the kinetics of a reaction: the higher the energy hill, the slower the reaction. At the very top of the energy barrier, the reaction is at its **transition state (TS)**, which is the point at which the bonds are in the process of breaking and forming. The transition state is an '**activated complex**': a transient and dynamic state that, unlike more stable species, does not have any definable lifetime. It may help to imagine a transition state as being analogous to the exact moment that a baseball is struck by a bat. Transition states are drawn with dotted lines representing bonds that are in the process of breaking or forming, and the drawing is often enclosed by brackets. Here is a picture of a likely transition state for a substitution reaction between hydroxide and chloromethane:



Note that this species is absent from the chemical equation (that is it is neither a reactant nor product)



This reaction involves a collision between *two* molecules: for this reason, we say that it has **second order kinetics**. The **rate expression** for this type of reaction is:

$$\text{rate} = k[\text{reactant 1}][\text{reactant 2}]$$

... which tells us that the rate of the reaction depends on the **rate constant**  $k$  as well as on the concentration of *both* reactants. The rate constant can be determined experimentally by measuring the rate of the reaction with different starting reactant concentrations. The rate constant depends on the activation energy, of course, but also on temperature: a higher temperature means a higher  $k$  and a faster reaction, all else being equal. This should make intuitive sense: when there is more heat energy in the system, more of the reactant molecules are able to get over the energy barrier.

Here is one more interesting and useful expression. Consider a simple reaction where the reactants are A and B, and the product is AB (this is referred to as a **condensation reaction**, because two molecules are coming together, or condensing). If we know the rate constant  $k$  for the forward reaction and the rate constant  $k_{\text{reverse}}$  for the reverse reaction (where AB splits apart into A and B), we can simply take the quotient to find our equilibrium constant  $K_{\text{eq}}$ :



with

$$K_{\text{eq}} = \frac{[AB]}{[A][B]} = \frac{k_{\text{forward}}}{k_{\text{reverse}}}$$

This too should make some intuitive sense; if the forward rate constant is higher than the reverse rate constant, equilibrium should lie towards products.

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## 6.10: DESCRIBING A REACTION- INTERMEDIATES

### OBJECTIVES

After completing this section, you should be able to

- explain the difference between a transition state and an intermediate.
- draw a reaction energy diagram for a given multistep process.
- interpret the reaction energy diagram of a multistep process (e.g., determine which of the steps is rate-determining).

### KEY TERMS

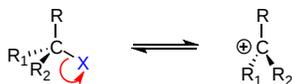
Make certain that you can define, and use in context, the key term below.

- reaction intermediate

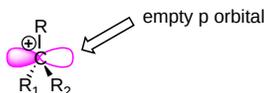
### STUDY NOTES

Each step in a multistep reaction has its own activation energy. The overall activation energy is the difference in energy between the reactants and the transition state of the slowest (rate-determining) step. The rate-determining step, that is, the one that controls the overall rate of reaction, is the step with the highest activation energy.

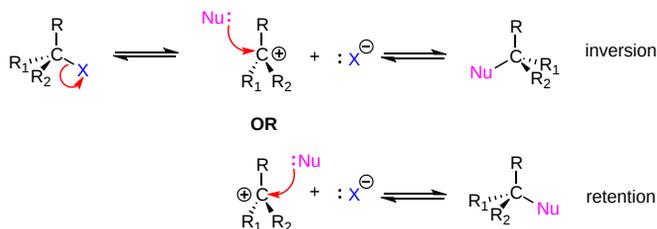
A second model for a nucleophilic substitution reaction is called the '**dissociative**', or '**S<sub>N</sub>1**' mechanism: in this picture, the C-X bond breaks *first*, before the nucleophile approaches:



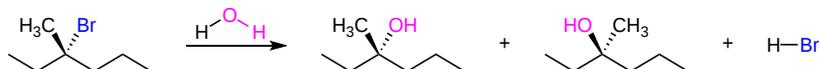
This results in the formation of a carbocation: because the central carbon has only three bonds, it bears a formal charge of +1. Recall that a carbocation should be pictured as  $sp^2$  hybridized, with trigonal planar geometry. Perpendicular to the plane formed by the three  $sp^2$  hybrid orbitals is an empty, unhybridized  $p$  orbital.



In the second step of this two-step reaction, the nucleophile attacks the empty, 'electron hungry'  $p$  orbital of the carbocation to form a new bond and return the carbon to tetrahedral geometry.



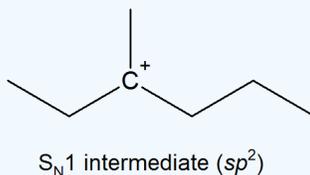
We saw that  $S_N2$  reactions result specifically in inversion of stereochemistry at the electrophilic carbon center. What about the stereochemical outcome of  $S_N1$  reactions? In the model  $S_N1$  reaction shown above, the leaving group dissociates completely from the vicinity of the reaction before the nucleophile begins its attack. Because the leaving group is no longer in the picture, the nucleophile is free to attack from either side of the planar,  $sp^2$ -hybridized carbocation electrophile. This means that about half the time the product has the same stereochemical configuration as the starting material (retention of configuration), and about half the time the stereochemistry has been inverted. In other words, *racemization* has occurred at the carbon center. As an example, the tertiary alkyl bromide below would be expected to form a racemic mix of *R* and *S* alcohols after an  $S_N1$  reaction with water as the incoming nucleophile.



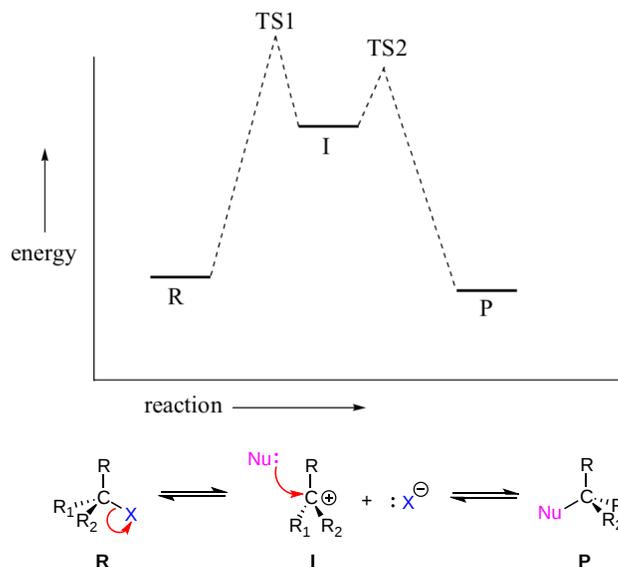
### ? EXERCISE 6.10.1

Draw the structure of the intermediate in the two-step nucleophilic substitution reaction above.

Answer



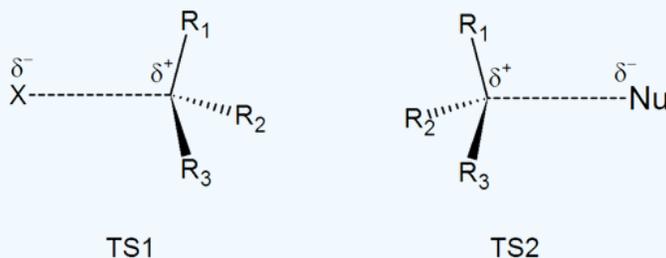
The  $S_N1$  reaction we see an example of a **reaction intermediate**, a very important concept in the study of organic reaction mechanisms that was introduced earlier in the module on organic reactivity. Recall that many important organic reactions do not occur in a single step; rather, they are the sum of two or more discrete bond-forming / bond-breaking steps, and involve transient intermediate species that go on to react very quickly. In the  $S_N1$  reaction, the carbocation species is a reaction intermediate. A potential energy diagram for an  $S_N1$  reaction shows that the carbocation **intermediate** can be visualized as a kind of valley in the path of the reaction, higher in energy than both the reactant and product but lower in energy than the two transition states.



### ? EXERCISE 6.10.2

Draw structures representing TS1 and TS2 in the reaction above. Use the solid/dash wedge convention to show three dimensions.

Answer

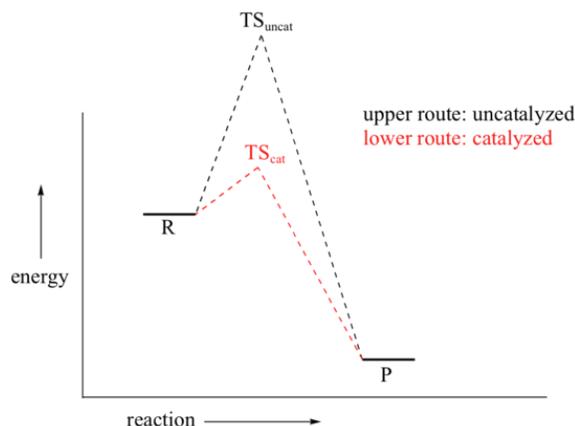


Recall that the first step of the reaction above, in which two charged species are formed from a neutral molecule, is much the slower of the two steps, and is therefore rate-determining. This is illustrated by the energy diagram, where the activation energy for the first step is higher

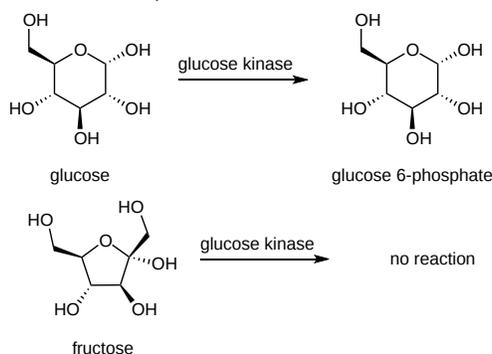
than that for the second step. Also recall that an  $S_N1$  reaction has *first order* kinetics, because the rate determining step involves one molecule splitting apart, not two molecules colliding

We come now to the subject of catalysis. Our hypothetical bowl of sugar (from [section 6.2](#)) is still stubbornly refusing to turn into carbon dioxide and water, even though by doing so it would reach a much more stable energy state. There are, in fact, two ways that we could speed up the process so as to avoid waiting several millennia for the reaction to reach completion. We could supply enough energy, in the form of heat from a flame, to push some of the sugar molecules over the high energy hill. Heat would be released from the resulting exothermic reaction, and this energy would push more molecules over their energy hills, and so on - the sugar would literally burn up.

A second way to make the reaction go faster is to employ a **catalyst**. You probably already know that a catalyst is an agent that causes a chemical reaction to go faster by lowering its activation energy.



How might you catalyze the conversion of sugar to carbon dioxide and water? It's not too hard – just eat the sugar, and let your digestive enzymes go to work catalyzing the many biochemical reactions involved in breaking it down. Enzymes are proteins, and are very effective catalysts. 'Very effective' in this context means very specific, and very fast. Most enzymes are very selective with respect to reactant molecules: they have evolved over millions of years to catalyze their specific reactions. An enzyme that attaches a phosphate group to glucose, for example, will not do anything at all to fructose (the details of these reactions are discussed in [section 10.2B](#)).



Glucose kinase is able to find and recognize glucose out of all of the other molecules floating around in the 'chemical soup' of a cell. A different enzyme, fructokinase, specifically catalyzes the phosphorylation of fructose.

We have already learned ([section 3.9](#)) that enzymes are very specific in terms of the stereochemistry of the reactions that they catalyze. Enzymes are also highly **regiospecific**, acting at only one specific part of a molecule. Notice that in the glucose kinase reaction above only one of the alcohol groups is phosphorylated.

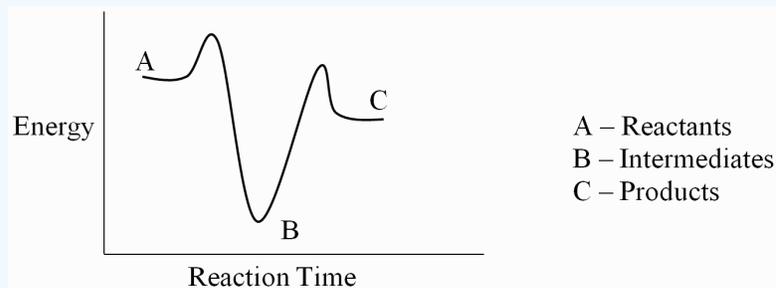
Finally, enzymes are capable of truly amazing rate acceleration. Typical enzymes will speed up a reaction by anywhere from a million to a billion times, and the most efficient enzyme currently known to scientists is believed to accelerate its reaction by a factor of about  $10^{17}$  (see *Chemical and Engineering News*, March 13, 2000, p. 42 for an interesting discussion about this enzyme, orotidine monophosphate decarboxylase).

We will now begin an exploration of some of the basic ideas about how enzymes accomplish these amazing feats of catalysis, and these ideas will be revisited often throughout the rest of the text as we consider various examples of enzyme-catalyzed organic reactions. But in order to begin to understand how enzymes work, we will first need to learn (or review, as the case may be) a little bit about protein structure.

? EXERCISE 6.10.3

Draw an energy diagram with a exergonic first step and an endergonic second step. Label the diagram.

Answer



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## 6.11: A COMPARISON BETWEEN BIOLOGICAL REACTIONS AND LABORATORY REACTIONS

### OBJECTIVES

- No objectives have been identified for this section

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

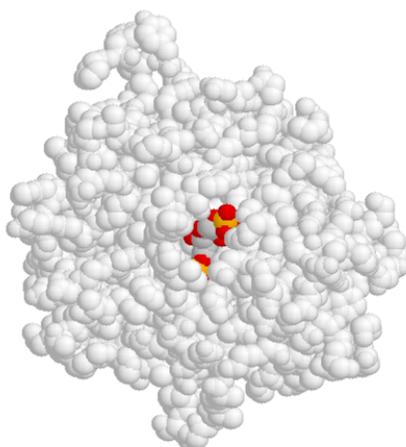
- enzyme

### STUDY NOTES

This section is a brief (but perhaps interesting) overview of some of the key differences between reactions performed in the lab and those in living systems. At this point, do not concern yourself with memorizing large biological molecules and reactions.

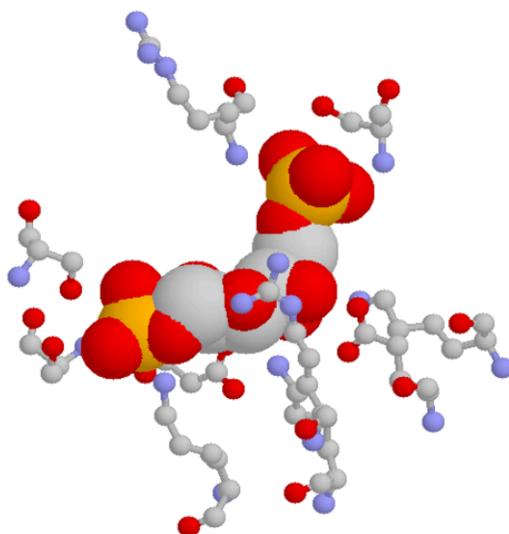
### THE ACTIVE SITE

A critical element in the three-dimensional structure of any enzyme is the presence of an '**active site**', which is a pocket, usually located in the interior of the protein, that serves as a docking point for the enzyme's **substrate(s)** ('substrate' is the term that biochemists use for a reactant molecule in an enzyme-catalyzed reaction). It is inside the active site pocket that enzymatic catalysis occurs. Shown below is an image of the glycolytic enzyme fructose-1,6-bisphosphate aldolase, with its substrate bound inside the active site pocket.

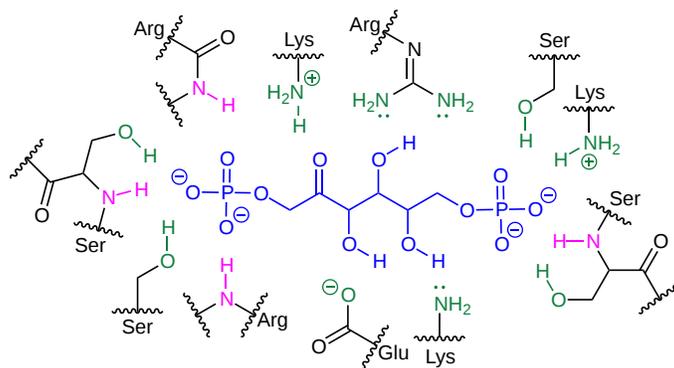


When the substrate binds to the active site, a large number of noncovalent interactions form with the amino acid residues that line the active site. The shape of the active site, and the enzyme-substrate interactions that form as a result of substrate binding, are *specific to the substrate-enzyme pair*: the active site has evolved to 'fit' one particular substrate and to catalyze one particular reaction. Other molecules do not fit in this active site nearly so well as fructose 1,6-bisphosphate.

Here are two close-up views of the same active site pocket, showing some of the specific hydrogen-bonding interactions between the substrate and active site amino acids. The first image below is a three-dimensional rendering directly from the crystal structure data. The substrate is shown in 'space-filling' style, while the active site amino acids are shown in the 'ball and stick' style. Hydrogens are not shown. The color scheme is grey for carbon, red for oxygen, blue for nitrogen, and orange for phosphorus.



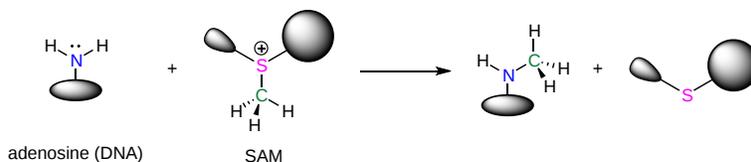
Below is a two-dimensional picture of the substrate (colored blue) surrounded by hydrogen-bonding active site amino acids. Notice that both main chain and side chain groups contribute to hydrogen bonding: in this figure, main chain H-bonding groups are colored purple, and side chain H-bonding groups are colored green.



Looking at the last three images should give you some appreciation for the specific manner in which a substrate fits inside its active site.

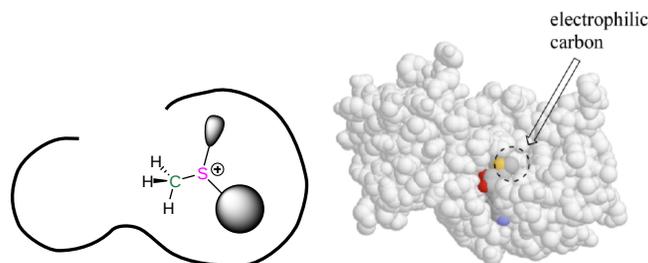
### TRANSITION STATE STABILIZATION

One of the most important ways that an enzyme catalyzes any given reaction is through entropy reduction: by bringing order to a disordered situation (remember that entropy is a component of Gibbs Free Energy, and thus a component of the activation energy). Let's turn again to our previous example (from section 6.1C) of a biochemical nucleophilic substitution reaction, the methylation of adenosine in DNA. The reaction is shown below with non-reactive sections of the molecules depicted by variously shaped 'bubbles' for the sake of simplicity.



In order for this reaction to occur, the two substrates (reactants) must come into contact in precisely the right way. If they are both floating around free in solution, the likelihood of this occurring is very small – the entropy of the system is simply too high. In other words, this reaction takes place very slowly without the help of a catalyst.

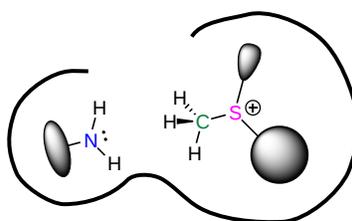
Here's where the enzyme's active site pocket comes into play. It is lined with various functional groups from the amino acid main and side chains, and has a very specific three-dimensional architecture that has evolved to bind to both of the substrates. If the SAM molecule, for example, diffuses into the active site, it can replace its (favorable) interactions with the surrounding water molecules with (even more favorable) new interactions with the functional groups lining the active site.



Depiction of SAM bound in active site of enzyme

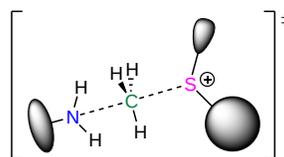
In a sense, SAM is moving from one solvent (water) to another 'solvent' (the active site), where many new energetically favorable interactions are possible. Remember: these new contacts between SAM and the active site groups are *highly specific* to SAM and SAM alone – no other molecule can 'fit' so well in this precise active site environment, and thus no other molecule will be likely to give up its contacts to water and bind to the active site.

The second substrate also has a specific spot reserved in the active site. (Because in this case the second substrate is a small segment of a long DNA molecule, the DNA-binding region of the active site is more of a 'groove' than a 'pocket').



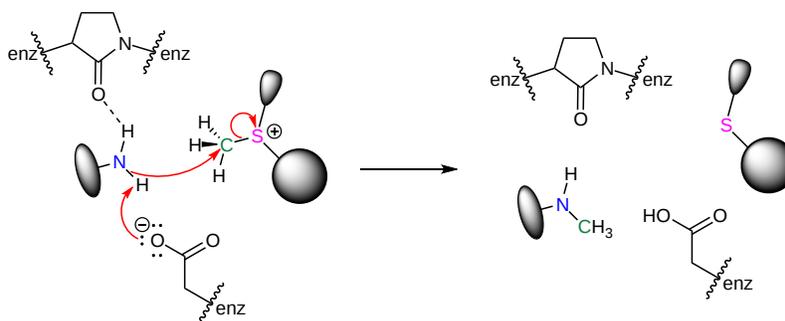
So now we have both substrates bound in the active site. But they are not just bound in any random orientation – they are specifically positioned relative to one another so that the nucleophilic nitrogen is held very close to the electrophilic carbon, with a free path of attack. What used to be a very disordered situation – two reactants diffusing freely in solution – is now a very highly ordered situation, with everything set up for the reaction to proceed. This is what is meant by entropy reduction: the entropic component of the energy barrier has been lowered.

Looking a bit deeper, though, it is not really the noncovalent interaction between enzyme and *substrate* that are responsible for catalysis. Remember: all catalysts, enzymes included, accelerate reactions by lowering the energy of the *transition state*. With this in mind, it should make sense that the primary job of an enzyme is to maximize favorable interactions with the transition state, *not* with the starting substrates. This does not imply that enzyme-substrate interactions are not strong, rather that enzyme-TS interactions are far *stronger*, often by several orders of magnitude. Think about it this way: if an enzyme were to bind to (and stabilize) its substrate(s) more tightly than it bound to (and stabilized) the transition state, it would actually *slow down* the reaction, because it would be *increasing* the energy difference between starting state and transition state. **The enzyme has evolved to maximize favorable noncovalent interactions to the transition state:** in our example, this is the state in which the nucleophilic nitrogen is already beginning to attack the electrophilic carbon, and the carbon-sulfur bond has already begun to break.

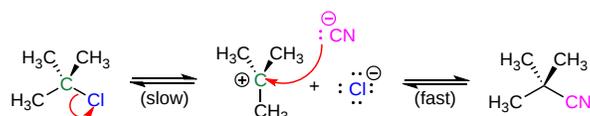


enzyme binds best to the transition state

In many enzymatic reactions, certain active site amino acid residues contribute to catalysis by *increasing the reactivity of the substrates*. Often, the catalytic role is that of acid and/or base. In our DNA methylation example, the nucleophilic nitrogen is deprotonated by a nearby aspartate side chain as it begins its nucleophilic attack on the methyl group of SAM. We will study nucleophilicity in greater detail in chapter 8, but it should make intuitive sense that deprotonating the amine increases the electron density of the nitrogen, making it *more nucleophilic*. Notice also in the figure below that the main chain carbonyl of an active site proline forms a hydrogen bond with the amine, which also has the effect of increasing the nitrogen's electron density and thus its nucleophilicity (*Nucleic Acids Res.* **2000**, *28*, 3950).



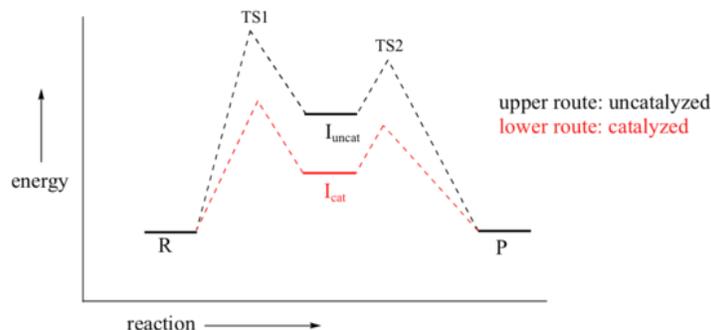
How does our picture of enzyme catalysis apply to multi-step reaction mechanisms? Although the two-step nucleophilic substitution reaction between 2-chloro-2-methylpropane and the cyanide anion is not a biologically relevant process, let's pretend just for the sake of illustration that there is a hypothetical enzyme that catalyzes this reaction.



The same basic principles apply here: the enzyme binds best to the transition state. But therein lies the problem: there are two transition states! To which TS does the enzyme maximize its contacts?

Recall that the first step – the loss of the chloride leaving group to form the carbocation intermediate – is the slower, rate-limiting step. It is this step that our hypothetical enzyme needs to accelerate if it wants to accelerate the overall reaction, and it is thus the energy of TS1 that needs to be lowered.

By Hammond's postulate, we also know that the intermediate I is a close approximation of TS1. So the enzyme, by stabilizing the intermediate, will also stabilize TS1 (as well as TS2) and thereby accelerate the reaction.



If you read scientific papers about enzyme mechanisms, you will often see researchers discussing how an enzyme stabilizes a reaction intermediate. By virtue of Hammond's postulate, they are, at the same time, talking about how the enzyme lowers the energy of the transition state.

An additional note: although we have in this section been referring to SAM as a 'substrate' of the DNA methylation reaction, it is also often referred to as a **coenzyme**, or **cofactor**. These terms are used to describe small (relative to protein and DNA) biological organic molecules that bind specifically in the active site of an enzyme and help the enzyme to do its job. In the case of SAM, the job is methyl group donation. In addition to SAM, we will see many other examples of coenzymes in the coming chapters, a number of which - like ATP (adenosine triphosphate), coenzyme A, thiamine, and flavin - you have probably heard of before. The full structures of some common coenzymes are shown in table 6 in the tables section.

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## 6.S: AN OVERVIEW OF ORGANIC REACTIONS (SUMMARY)

### CONCEPTS & VOCABULARY

#### 6.1: Kinds of Organic Reactions

- **Addition** reactions increase the number of sigma bonds in a molecule.
- **Elimination** reactions reduce the number of sigma bonds in a molecule.
- **Substitution** reactions incorporate replacement of an atom or group with another.
- **Rearrangement** reactions cause a molecule to be converted to a constitutional isomer without gaining or losing any atoms.

#### 6.2: How Organic Reactions Occur: Mechanisms

- A reaction **mechanism** describes movement of electrons by using curved arrows to show bonds that are breaking and forming.
- **Homolysis** occurs when a bond breaks with each atom keeping one electron.
- **Heterolysis** occurs when a bond breaks and both electrons remain with one of the atoms.
- Some reactions occur in more than one step with a **reactive intermediate** formed briefly on the way to the new product.
- **Reactive intermediates** can be charged species such as carbocations and carbanions or uncharged species such as **radicals**.
- In organic chemistry Lewis acids are more often referred to as **electrophiles**, having an affinity for an electron pair.
- In organic chemistry Lewis bases are more often referred to as **nucleophiles**, having an electron pair that is available to bond to an **electrophile**.
- **Ionic** reactions involve charged species.
- **Polar** reactions involve bonds with unequally shared electrons.

#### 6.3: Radical Reactions

- **Radical chain reactions** have three distinct phases: initiation, propagation and termination.
  - Initiation causes radicals to be created from non-radical species.
  - During the Propagation phase, radicals react with stable molecules to form new radicals.
  - Termination occurs when two radicals react together to form a stable molecule.

#### 6.4: Polar Reactions

- Carbon when bonded to a halogen, oxygen, nitrogen, sulfur, or metal has a partial positive charge. This allows these carbons to react with many **nucleophiles**.
- For carbonyl groups bond polarity is reinforced by resonance making the carbon even more positive than in other molecules. This makes carbonyl groups prone to addition and substitution reactions with **nucleophiles**.
- **Nucleophiles** have electron rich atoms that are able to donate a pair of electrons.
- In nucleophilic substitution reactions, the **electrophile** is typically carbon bonded to a more electronegative atom.

#### 6.5: An Example of a Polar Reaction: Addition of HBr to Ethylene

- Alkene addition reaction with HBr occurs through the pi bond reacting as a nucleophile and abstracting a proton from the acid. This creates a carbocation intermediate which reacts with the bromide ion to form the final product.
- Reaction rates for this alkene addition reaction increase with larger halogens and more substituted alkenes.
- Markovnikov's Rule states that addition reactions of unsymmetrical alkenes yield the more substituted product.

#### 6.6: Using Curved Arrows in Polar Reaction Mechanisms

- Curved arrows in mechanism drawings always represent electrons moving, starting at either a bond or lone pair of electrons.
- Electrons flow from electron rich to electron poor.

#### 6.7: Describing a Reaction: Equilibria, Rates, and Energy Changes

- **Exergonic reactions** have a negative free energy meaning they are thermodynamically favorable and give off energy.
- **Endergonic reactions** have a positive free energy and require energy from the surroundings to occur.

#### 6.8: Describing a Reaction: Bond Dissociation Energies

- **Bond dissociation energy** for a molecule is the difference in enthalpy of formation (**homolytic**) for the products and reactants.
- **Bond dissociation energies** are independent of path of reaction, so they do not give direct information on mechanisms. However, they can be used to evaluate the results of individual steps of a mechanism.
- **Bond dissociation energies** show that sigma bonds formed with sp hybridized carbon are stronger than sp<sup>2</sup> which are stronger than bonds formed with sp<sup>3</sup> carbons.
- **Bond dissociation energies** show that carbon-hydrogen bonds on primary carbons are stronger than secondary, which are stronger than tertiary.

### 6.9: Describing a Reaction: Energy Diagrams and Transition States

- **Reaction coordinate** diagrams are a special type of energy diagram that has the reaction coordinate (or reaction progress) on the x-axis.
- **Thermodynamics** of a reaction is conveyed on a reaction coordinate diagram by the difference in energy between the reactants and products.
- **Activation energy** is the energy barrier to a reaction occurring.
- A **transition state** is the highest energy point during the process of bonds forming and breaking in a reaction step.
- **Kinetics** of a reaction is conveyed on a **reaction coordinate** diagram by the difference in energy between the reactants and transition state.
- A **rate expression** relates rate to the **rate constant** and concentration of reactants.

### 6.10: Describing a Reaction: Intermediates

- A **reaction intermediate** is a short-lived species that goes on to react in a subsequent reaction step.
- **Reaction intermediates** appear as a local minimum (or valley) on a reaction coordinate diagram.
- **Catalysts** cause reaction rates to increase by lowering activation energy.

### 6.11: A Comparison between Biological Reactions and Laboratory Reactions

- An enzyme **active site** is the location where the enzyme interacts with its **substrate** and where **catalysis** occurs.
- **Substrates** are reactant molecules in enzymatic reactions.

## SKILLS TO MASTER

- Skill 6.1 Identify organic reactions by type (addition, elimination, substitution, rearrangement).
- Skill 6.2 Draw homolytic and heterolytic bond breaking as part of reaction mechanisms.
- Skill 6.3 Identify radical and ionic reactions.
- Skill 6.4 Identify and write out steps in a typical radical substitution reaction (initiation, propagation, termination).
- Skill 6.5 Identify polarity of bonds in organic molecules.
- Skill 6.6 Use curved arrows to indicate movement of electrons in resonance and reaction mechanisms.
- Skill 6.7 Predict whether a chemical species will act as an electrophile or nucleophile.
- Skill 6.8 Write an equilibrium expression for a reaction.
- Skill 6.9 Determine the direction of a reaction based on the equilibrium constant.
- Skill 6.10 Explain how rate and equilibrium are related to  $\Delta G^\circ$  and  $K_{eq}$ .
- Skill 6.11 Calculate bond dissociation energy given enthalpies of formation for reactants and products.
- Skill 6.12 Describe order of bond strength based on bond dissociation energy.
- Skill 6.13 Explain activation energy, kinetics, thermodynamics and transition states based on energy diagrams (reaction coordinate diagrams).
- Skill 6.14 Predict possible transition state structures for single reaction steps.
- Skill 6.15 Differentiate between transition states and intermediates.
- Skill 6.16 Draw a reaction coordinate diagram for a given multi-step process.
- Skill 6.17 Interpret a reaction coordinate diagram for a multi-step process.
- Skill 6.18 Briefly explain how enzymes catalyze reactions.

## MEMORIZATION TASKS

MT 6.1 Memorize that arrows in reaction mechanisms always define movement of electrons.

MT 6.2 Memorize the relative electronegativities of common atoms (necessary for determining polarity of bonds).

MT 6.3 Memorize the equations that relate equilibrium, free energy, enthalpy and entropy.

$$\Delta G^\circ = -RT \ln K$$

$$\Delta G^\circ = \Delta H^\circ - T\Delta S^\circ$$

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## CHAPTER OVERVIEW

### 7: ALKENES - STRUCTURE AND REACTIVITY

#### LEARNING OBJECTIVES

After you have completed Chapter 7, you should be able to

1. fulfill all of the detailed objectives listed under each individual section.
2. describe the importance of alkenes to the chemical industry.
3. use the concept of “degree of unsaturation” in determining chemical structures.
4. describe the electronic structure and geometry of alkenes.
5. describe the factors that influence alkene stability, and determine the relative stability of a number of given alkenes.
6. write the IUPAC name of a given alkene, and draw the structure of any alkene, given its IUPAC name.
7. determine whether a given alkene has an *E* configuration or a *Z* configuration.
8. explain why alkenes are more reactive than alkanes.
9. describe the reaction between an alkene and a hydrogen halide, and explain why one product is formed rather than another. Base your explanation on the concepts of carbocation stability and the Hammond postulate.
10. define, and use in context, the key terms introduced in this chapter.

This, the first of two chapters devoted to the chemistry of alkenes, describes how certain alkenes occur naturally, then shows the industrial importance of ethylene and propylene (the simplest members of the alkene family). The electronic structure of alkenes is reviewed, and their nomenclature discussed in detail. After dealing with the question of cis-trans isomerism in alkenes, Chapter 7 introduces the reactivity of the carbon-carbon double bond. The chapter then focuses on one specific reaction—the addition of hydrogen halides to alkenes—to raise a number of important concepts, including carbocation stability and the Hammond postulate.

[7.0: Chapter Objectives](#)

[7.1: Introduction to Alkenes](#)

[7.2: Industrial Preparation and Use of Alkenes](#)

[7.3: Calculating Degree of Unsaturation](#)

[7.4: Naming Alkenes](#)

[7.5: Cis-Trans Isomerism in Alkenes](#)

[7.6: Sequence Rules - The E,Z Designation](#)

[7.7: Stability of Alkenes](#)

[7.8: Electrophilic Addition Reactions of Alkenes](#)

[7.9: Orientation of Electrophilic Additions - Markovnikov's Rule](#)

[7.10: Carbocation Structure and Stability](#)

[7.11: The Hammond Postulate](#)

[7.12: Evidence for the Mechanism of Electrophilic Additions - Carbocation Rearrangements](#)

[7.S: Alkenes- Structure and Reactivity \(Summary\)](#)

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## 7.0: CHAPTER OBJECTIVES

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Alkenes are a fundamental class of organic compounds characterized by the presence of a carbon-carbon double bond, which gives them unique structural and reactivity properties. In this introduction to Alkenes, we'll delve into their structure and reactivity, exploring the foundational concepts that define their behavior in chemical reactions.

**Structure of Alkenes:** At the heart of alkenes is the carbon-carbon double bond, consisting of one sigma ( $\sigma$ ) bond and one pi ( $\pi$ ) bond. This double bond imparts significant structural rigidity and planarity to alkenes. Due to the presence of this double bond, alkenes often adopt a trigonal planar geometry around the carbon atoms involved in the double bond.

**Naming Alkenes:** In the IUPAC nomenclature system, alkenes are named by identifying the longest continuous carbon chain containing the double bond. The suffix "-ene" is added to the end of the alkane name corresponding to the same number of carbon atoms, and the position of the double bond is indicated by the lowest numbered carbon atom involved in the double bond.

**Reactivity of Alkenes:** Alkenes exhibit rich reactivity owing to the presence of the  $\pi$  bond. The  $\pi$  bond is relatively weak compared to the  $\sigma$  bond, making it susceptible to various types of reactions, including addition reactions, polymerization, and elimination reactions.

**Addition Reactions:** One of the most common reactions involving alkenes is addition reactions, where atoms or groups are added to the carbon atoms of the double bond. Examples include hydrogenation, halogenation, hydration, and hydrohalogenation.

**Polymerization:** Alkenes readily undergo polymerization, a process where monomers containing double bonds join together to form long-chain polymers. This process is crucial in the production of various synthetic materials, such as plastics and synthetic rubbers.

**Elimination Reactions:** Elimination reactions involve the removal of atoms or groups from adjacent carbon atoms of a molecule, resulting in the formation of a double bond. The most common elimination reaction involving alkenes is the dehydrohalogenation of alkyl halides to form alkenes.

Understanding the structure and reactivity of alkenes is foundational in organic chemistry, as they serve as important intermediates and reactants in numerous synthetic pathways. In the subsequent discussions, we will explore these concepts in greater detail, elucidating the mechanisms and applications of various reactions involving alkenes.

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## 7.1: INTRODUCTION TO ALKENES

### OBJECTIVE

After completing this section, you should be able to give an example of a naturally occurring compound that contains at least one double bond.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- olefin

### STUDY NOTES

Alkenes are a class of **hydrocarbons** (i.e., containing only carbon and hydrogen). They are unsaturated compounds with at least one carbon-to-carbon double bond. The double bond makes Alkenes more reactive than alkanes. Olefin is another term used to describe alkenes.

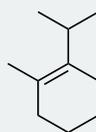
The graphic shows three alkenes. The more complex alkene is commonly known as 1-menthene, but its full proper IUPAC name is 1-methyl-2-(1-methylethyl)-cyclohexene.



ethene



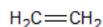
1,3-cyclopentadiene



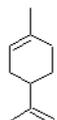
1-menthene  
(peppermint fragrance)

## ALKENES

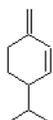
Alkenes are a class of **hydrocarbons** (i.e., containing only carbon and hydrogen). They are unsaturated compounds with at least one carbon-to-carbon double bond. The double bond makes alkenes more reactive than alkanes. Olefin is another term used to describe alkenes. The alkene group can also be called a vinyl group and the carbons sharing the double bond can be called vinyl carbons.



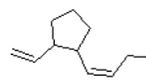
fruit ripening hormone



oil of eucalyptus



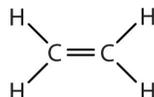
limonene



sex attractant of brown algae

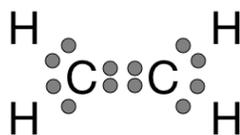
## STRUCTURE OF ETHENE - THE SIMPLEST ALKENE

Ethene is often written as  $\text{CH}_2=\text{CH}_2$  which stands for:

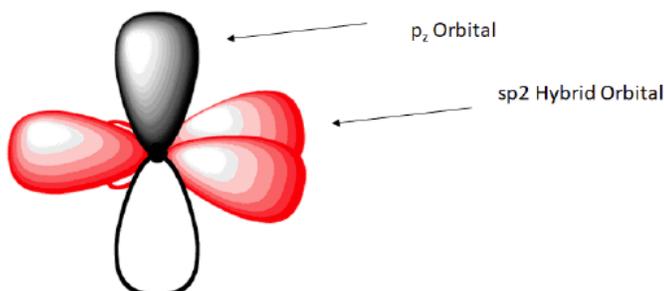


The double bond is shared by the two carbon atoms and does not involve the hydrogen atoms, although the condensed formula does not make this point obvious. Note that the molecular formula for ethene is  $\text{C}_2\text{H}_4$ , whereas that for ethane is  $\text{C}_2\text{H}_6$ .

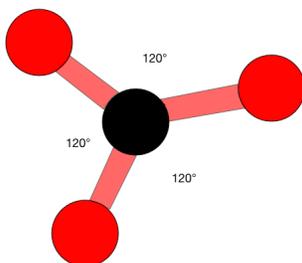
Ethene is not a very complicated molecule. It is made up of four  $1s^1$  hydrogen atoms and two  $2s^2 2p_x^1 2p_y^1$  carbon atoms. These carbon atoms already have four electrons, but they each want to get four more so that they have a full eight (octet) in the valence shell. Having eight valence electrons around carbon gives the atom the same electron configuration as neon, a noble gas. Carbon wants to have the same configuration as neon because when it has eight valence electrons carbon is at its most stable, lowest energy state.



This forms a total of three bonds to each carbon atom, giving them an  $sp^2$  hybridization. Since the carbon atom is forming three sigma bonds instead of the four that it can, it only needs to hybridize three of its outer orbitals, instead of four. It does this by using the  $2s$  electron and two of the  $2p$  electrons, leaving the other unchanged. This new orbital is called an  $sp^2$  hybrid because it is made from one  $s$  orbital and two  $p$  orbitals.



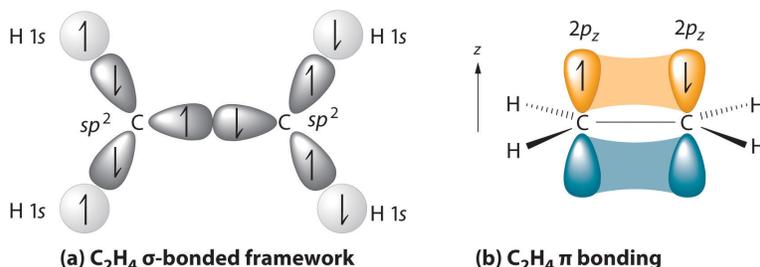
When atoms use  $sp^2$  hybrids they have a trigonal planar structure. These structures are very similar to a 'peace' sign, there is a central atom with three atoms around it, all on one plane. Trigonal planar molecules have an ideal bond angle of  $120^\circ$  on each side.



The H-C-H bond angle is  $117^\circ$ , which is very close to the ideal  $120^\circ$  of a carbon with  $sp^2$  hybridization. The other two angles (H-C=C) are both  $121.5^\circ$ .

### RIGIDITY IN ETHENE

There is rigidity in the ethene molecule due to the double-bonded carbons. A double bond consists of one sigma bond formed by overlap of  $sp^2$  hybrid orbitals and one pi bond formed by overlap of parallel  $2p$  orbitals. In ethene there is no free rotation about the carbon-carbon sigma bond because these two carbons also share a  $\pi$  bond. A  $\pi$  bond is only formed when there is adequate overlap between both top and bottom  $p$ -orbitals. Rotation of the  $p$ -orbitals causes them to be  $90^\circ$  from each other breaking the  $\pi$  bond because there would be no overlap. There is a much larger energy barrier to rotation than there is about a carbon-carbon sigma bond.



(a) The  $\sigma$ -bonded framework is formed by the overlap of two sets of singly occupied carbon  $sp^2$  hybrid orbitals and four singly occupied hydrogen  $1s$  orbitals to form electron-pair bonds. This uses 10 of the 12 valence electrons to form a total of five  $\sigma$  bonds (four C-H bonds and one C-C bond).

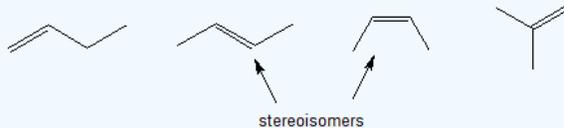
(b) One singly occupied unhybridized  $2p_z$  orbital remains on each carbon atom to form a carbon-carbon  $\pi$  bond. (Note: by convention, in planar molecules the axis perpendicular to the molecular plane is the  $z$ -axis.)

## ? EXERCISE

1. Although there is only one alkene with the formula  $C_2H_4$  (ethene) and only one with the formula  $C_3H_6$  (propene), there are several alkenes with the formula  $C_4H_8$ . Draw all of the possible bond line structures for alkenes with the formula  $C_4H_8$  including all possible structural and stereoisomers.

### Answer

1. There are three possible structural isomers. 2-butene can exist as two different stereoisomers.



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## 7.2: INDUSTRIAL PREPARATION AND USE OF ALKENES

### OBJECTIVES

After completing this section, you should be able to

1. discuss the industrial importance of ethylene (ethene) and propylene (propene).
2. describe, briefly, the industrial process known as thermal cracking.

### STUDY NOTES

Among the most important and most abundant organic chemicals produced worldwide are the two simple alkenes, ethylene and propylene. They are used as the starting materials to synthesize numerous valuable compounds.

#### Produced from ethylene (ethene)

Chemical	Uses
ethanol	solvent; constituent of cleaning preparations; in synthesis of esters
acetaldehyde	slug killer, in the form of methaldehyde (CH <sub>3</sub> CHO) <sub>4</sub>
acetic acid	manufacture of vinyl acetate polymers, ethyl acetate solvent and cellulose acetate polymers
ethylene oxide	“cellosolves” (industrial solvents)
ethylene glycol	anti-freeze; production of DacronOR
ethylene dichloride	solvent; production of vinyl chloride
vinyl chloride	manufacture of poly (vinyl chloride)—PVC
vinyl acetate	manufacture of poly (vinyl acetate) used in paint emulsions, plywood adhesives and textiles
polyethylene	“plastic” bags; toys; packaging

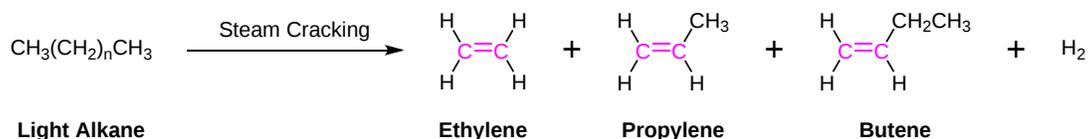
#### Produced from propylene (propene)

Chemical	Uses
isopropyl alcohol	rubbing alcohol; cosmetics; synthesis of acetone
propylene oxide	manufacture of polyurethanes; polyesters
cumene	industrial preparation of phenol and acetone
polypropylene	molded articles (e.g., kitchenware); fibres for indoor-outdoor carpeting

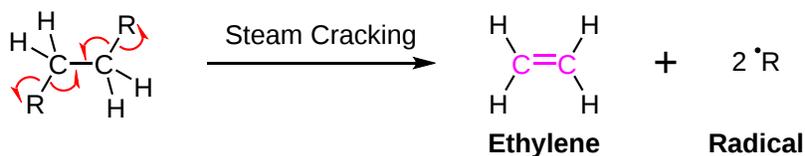
### INDUSTRIAL PREPARATION OF ETHYLENE AND PROPYLENE

Ethene (CH<sub>2</sub>CH<sub>2</sub>) and propene (CH<sub>3</sub>CHCH<sub>2</sub>), are most often called by their common names—ethylene and propylene. Ethylene is a major commercial chemical. The US chemical industry produces about 25 billion kilograms of ethylene annually, more than any other synthetic organic chemical. More than half of this ethylene goes into the manufacture of polyethylene, one of the most familiar plastics. Propylene is also an important industrial chemical. It is converted to plastics, isopropyl alcohol, and a variety of other products. Both ethylene and propylene are the feedstock for the industrial synthesis of a wide variety of small organic molecules.

Ethylene, propylene, and butylene (CH<sub>3</sub>CH<sub>2</sub>CHCH<sub>2</sub>) are typically industrially synthesized through the steam cracking of light alkanes (C < 8) obtained from fractional distillation of crude oil. Cracking is the name given to a number of petroleum refining processes which break up large hydrocarbon molecules into smaller fragment. Steam cracking is achieved without a catalyst by using high temperatures (~900 °C) and produces a mixtures of products containing high proportions of hydrocarbons with double bonds. There is not any single unique reaction happening during steam cracking. The hydrocarbon molecules are broken up in a fairly random way but the process can be generically represented by the reaction below.

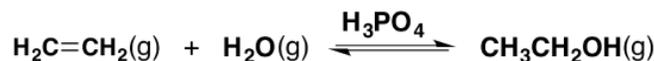


The mechanism of the steam cracking is complex and believed to involve the formation of free radicals. The high temperatures of steam cracking is enough to cause the homolytic cleavage of C-C and C-H bonds in the starting material. The cleavage of C-C bonds inherently creates smaller hydrocarbons as represented below.



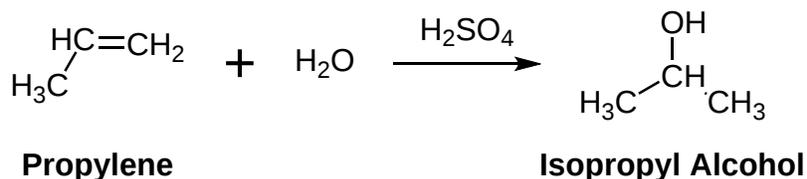
## INDUSTRIAL PREPARATIONS OF ALCOHOLS FROM ETHYLENE AND PROPYLENE

Ethanol is manufactured by reacting ethylene with steam. The catalyst used is solid silicon dioxide coated with phosphoric acid. The reaction is reversible.

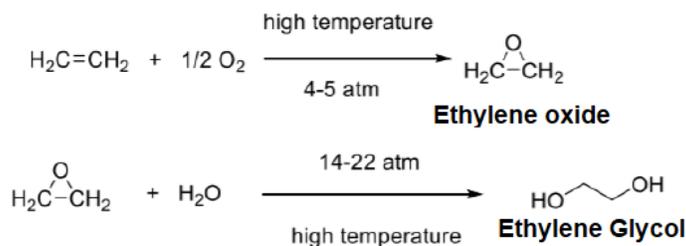


Only 5% of the ethylene is converted into ethanol at each pass through the reactor. By removing the ethanol from the equilibrium mixture and recycling the ethylene, it is possible to achieve an overall 95% conversion.

Isopropyl alcohol is synthesized on an industrial scale using a similar reaction with propylene.



Ethylene is also used in the industrial synthesis of ethylene glycol. Ethylene glycol is a major industrial compound with a wide range of applications. The traditional method to synthesize ethylene glycol is a two step process in which ethylene is directly oxidized to ethylene oxide. Next the three-membered ring of ethylene oxide is opened using water at high temperatures and pressures to form ethylene glycol.



## INDUSTRIAL PREPARATIONS OF PLASTICS FROM ETHYLENE AND PROPYLENE

One of the primary uses of industrial synthesized ethylene is the production of the polymer **polyethylene (PE)**. Polyethylene is the most common plastic. As of 2017, over 100 million tons of polyethylene resins are produced annually, accounting for 34% of the total plastics market. Its primary use is in packaging (plastic bags, plastic films, geomembranes, containers including bottles, etc.). Many kinds of polyethylene are known, with most having the chemical formula  $(\text{C}_2\text{H}_4)_n$ .

It is the simplest polymer, consisting of random-length (but generally very long) chains made up of two-carbon units. During the polymerization process many ethylene molecules are linked together to form the carbon backbone of polyethylene. In the polyethylene structures are represented below. The squiggly lines at the ends of the long structure indicate that the same pattern extends indefinitely. The more compact notation on the right shows the minimal repeating unit enclosed in brackets; this means the same thing and is the preferred way of depicting polymer structures.

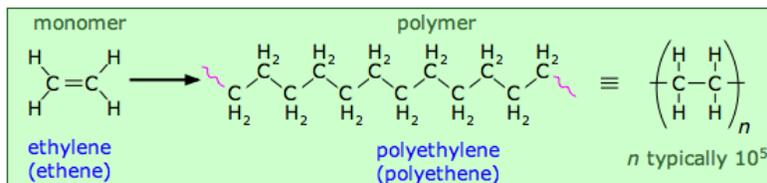


Figure 7.2.1 Polyethylene.

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## 7.3: CALCULATING DEGREE OF UNSATURATION

### OBJECTIVES

After completing this section, you should be able to

- determine the degree of unsaturation of an organic compound, given its molecular formula, and hence determine the number of double bonds, triple bonds and rings present in the compound.
- draw all the possible isomers that correspond to a given molecular formula containing only carbon (up to a maximum of six atoms) and hydrogen.
- draw a specified number of isomers that correspond to a given molecular formula containing carbon, hydrogen, and possibly other elements, such as oxygen, nitrogen and the halogens.

### KEY TERMS

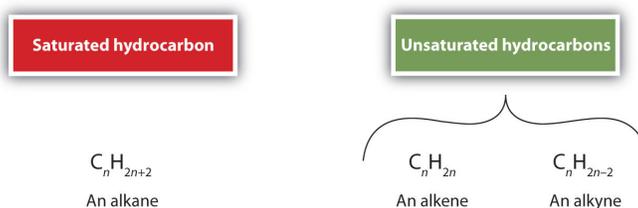
Make certain that you can define, and use in context, the key terms below.

- degree of unsaturation
- saturated
- unsaturated

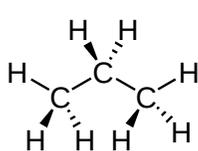
There are many ways one can go about determining the structure of an unknown organic molecule. Although, nuclear magnetic resonance (NMR) and infrared radiation (IR) are the primary ways of determining molecular structures, calculating the degrees of unsaturation is useful information because it easily provides information about molecular structure.

### SATURATED AND UNSATURATED MOLECULES

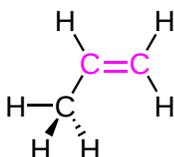
Because alkanes have the maximum number of H atoms possible according to the rules of covalent bonds, alkanes are also referred to as **saturated hydrocarbons**. The presence of a double bond causes alkenes to have less hydrogens than an alkane with the same number of carbons. Likewise, compounds containing a carbon-to-carbon triple bonds (R-C≡C-R) called alkynes (**Discussed in Chapter 9**), also have fewer hydrogens than the corresponding alkane. Collectively, compounds which have fewer hydrogen atoms than an alkane with the same number of carbon atoms are called **unsaturated hydrocarbons**. The relationship between the number of carbons (n) and hydrogens in the molecular formula for alkanes, alkenes, and alkynes are listed below.



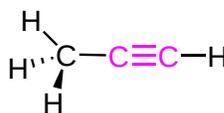
For example, the three carbon alkane, propane has the molecular formula of  $C_3H_8$ . While the unsaturated compounds propene ( $C_3H_6$ ) and propyne ( $C_3H_4$ ) both have fewer hydrogens. Also, it is important to note that cycloalkanes with one ring have a general molecular formula of  $C_nH_{2n}$  just like alkenes. Because they also have fewer than maximum number of hydrogens possible, cyclic compounds are also considered unsaturated.



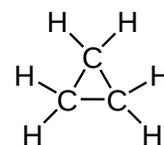
**Propane**  
**( $C_3H_8$ )**  
**Saturated**



**Propene**  
**( $C_3H_6$ )**  
**Unaturated**



**Propyne**  
**( $C_3H_4$ )**  
**Unaturated**



**Cyclopropane**  
**( $C_3H_6$ )**  
**Unaturated**

## IDENTIFYING DEGREES OF UNSATURATION

Every ring or pi bond in a compound is said to represent one degree of unsaturation. Being able to determine the degrees of unsaturation in a given compound is an important skill. Each of the following compounds are isomers of  $C_5H_8$  and contain two degrees of unsaturation.



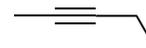
**1,3-Pentadiene**  
(Two double bonds)



**Cyclopentene**  
(One double bond  
and one ring)



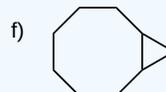
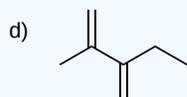
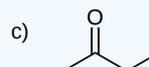
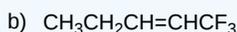
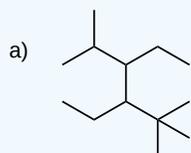
**Bicyclo[2.1.0]pentane**  
(Two rings)



**2-Pentyne**  
(One triple bond)

### ? EXERCISE 7.3.1

How many degrees of unsaturation do the following compounds have?



#### Answer

- a) 0
- b) 1
- c) 1
- d) 2
- e) 2
- f) 2

## CALCULATING THE DEGREE OF UNSATURATION (DOU)

As noted above, every degree of unsaturation causes the loss of two hydrogens from a compound's molecular formula when compared to an alkane with the same number of carbons. Understanding this relationship allows for the degrees of unsaturation of a compound to be calculated from its molecular formula. First, the maximum number of hydrogens possible for a given compound ( $2C + 2$ ) is calculated and then the actual number of hydrogens present in the compound (**H**) is subtracted. If this difference is then divided by 2 the answer will be equal to the degrees of unsaturation for the compound.

For a compound which only contains carbon and hydrogen:

$$DoU = (2C + 2) - H / 2$$

As an example, for the molecular formula  $C_3H_4$  the number of actual hydrogens needed for the compound to be saturated is 8 [ $2C+2=(2 \times 3)+2=8$ ]. Because the compound only has 4 hydrogens in its molecular formula, it would have to gain 4 more hydrogens in order to be fully saturated ( $8-4 = 4$ ). Degrees of unsaturation is equal to half the number of hydrogens the molecule needs to be fully saturated. This compound has 2 degrees of unsaturation ( $4/2 = 2$ ).

The DoU of compounds containing elements other than carbon and hydrogen can also be calculated in a similar fashion. However, different elements can affect the formula used to calculate DoU.

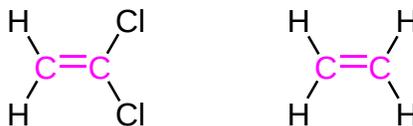
For a compound which contains elements other than carbon and hydrogen:

$$DoU = \frac{2C + 2 + N - X - H}{2} \quad (7.2.1)$$

- $C$  is the number of carbons
- $N$  is the number of nitrogens

- $X$  is the number of halogens (F, Cl, Br, I)
- $H$  is the number of hydrogens

A halogen ( $X$ ) replaces a hydrogen in a compound because both form one single bond. Therefore the DoU formula subtracts the number of halogens ( $X$ ) present in a compound. For instance, 1,1-dichloroethene ( $C_2H_2Cl_2$ ) has two fewer hydrogens than ethene ( $C_2H_4$ ) yet they both have one degree of unsaturation.

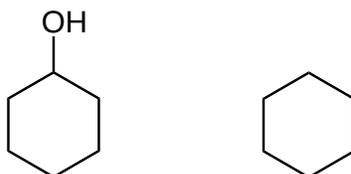


**1,1-Dichloroethene**  
( $C_2H_2Cl_2$ )

**Ethene**  
( $C_2H_4$ )

### One Degree of Unsaturation

Oxygen and sulfur are not included in the DoU formula because saturation is unaffected by these elements. The inclusion of an alcohol or sulfur in a compound does not change the number of hydrogens to obtain saturation. As seen in alcohols, the number of hydrogens in cyclohexanol ( $C_6H_{12}O$ ) matches the number of hydrogens in cyclohexane ( $C_6H_{12}$ ) and they both have one degree of unsaturation.



**Cyclohexanol**  
( $C_6H_{12}O$ )

**Cyclohexane**  
( $C_6H_{12}$ )

### One Degree of Unsaturation

When a nitrogen is present in a compound one more hydrogen is required to reach saturation. Therefore, we add the number of nitrogens ( $N$ ). Propyl amine ( $C_3H_9N$ ) has one more hydrogen compared to propane ( $C_3H_8$ ) both of which are saturated compounds with 0 DoU.



**Propyl Amine**  
( $C_3H_9N$ )

**Propane**  
( $C_3H_8$ )

### Zero Degrees of Unsaturation

With the degrees of unsaturation comes information about the possible number of rings and multiple bonds in a given compound. Remember, the degrees of unsaturation only gives the sum of pi bonds and/or rings.

- One degree of unsaturation is equivalent to 1 ring or 1 double bond (1  $\pi$  bond).
- Two degrees of unsaturation is equivalent to 2 double bonds, 1 ring and 1 double bond, 2 rings, or 1 triple bond (2  $\pi$  bonds).

#### ✓ EXAMPLE 7.3.1: BENZENE

What is the Degree of Unsaturation for Benzene?

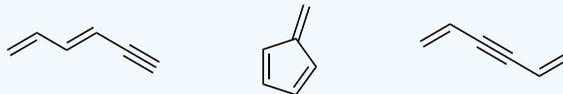
#### Solution

The molecular formula for benzene is  $C_6H_6$ . Thus,

DoU = 4, where C=6, N=0, X=0, and H=6. 1 DoB can equal 1 ring or 1 double bond. This corresponds to benzene containing 1 ring and 3 double bonds.

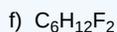
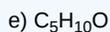
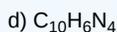
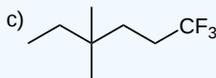
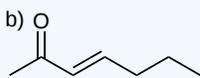
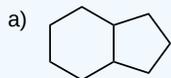


However, when given the molecular formula  $C_6H_6$ , benzene is only one of many possible structures (**isomers**). The following structures all have DoB of 4 and have the same molecular formula as benzene.



### ? EXERCISE 7.3.2

Determine whether the following molecules are saturated or unsaturated.



**Answer**

a) **unsaturated** (Even though the rings only contain single bonds, rings are considered unsaturated.)

b) **unsaturated**

c) **saturated**

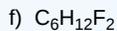
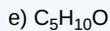
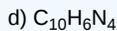
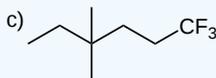
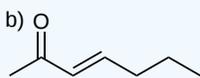
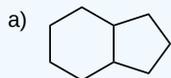
d) **unsaturated**

e) **unsaturated**

f) **saturated**

### ? EXERCISE 7.3.3

Determine the degrees of unsaturation for each of the following compounds.



**Answer**

If the molecular structure is given, the easiest way to solve is to count the number of double bonds, triple bonds and/or rings. However, you can also determine the molecular formula and solve for the degrees of unsaturation by using the formula.

a) **2** (2 rings)

b) **2** (one double bond and the double bond from the carbonyl)

c) **0** (no double bonds or rings)

d) **10**  $(2(10) + 2 + 4 - 0 - 6)/2 = 10$

e) **1**  $(2(5) + 2 + 0 - 0 - 10)/2 = 1$

f) **0**  $(2(6) + 2 + 0 - 2 - 12)/2 = 0$

### ? EXERCISE 7.3.4

Calculate the degrees of unsaturation for the following molecular formulas:

a)  $C_9H_{20}$  b)  $C_7H_8$  c)  $C_5H_7Cl$  d)  $C_9H_9NO_4$

#### Answer

*Use the formula to solve (O not involved in the formula)*

(a.)  $0 \quad (2(9) + 2 - 20)/2 = 0$

(b.)  $4 \quad (2(7) + 2 - 8)/2 = 4$

(c.)  $2 \quad (2(5) + 2 - 1 - 7)/2 = 2$

(d.)  $6 \quad (2(9) + 1 - 7)/2 = 6$

## REFERENCES

1. Vollhardt, K. P.C. & Shore, N. (2007). *Organic Chemistry* (5<sup>th</sup>Ed.). New York: W. H. Freeman. (473-474)
2. Shore, N. (2007). *Study Guide and Solutions Manual for Organic Chemistry* (5<sup>th</sup> Ed.). New York: W.H. Freeman. (201)

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## 7.4: NAMING ALKENES

### OBJECTIVES

After completing this section, you should be able to

- provide the correct IUPAC name for an acyclic or cyclic alkene, given its Kekulé, condensed or shorthand structure.
- draw the Kekulé, condensed or shorthand structure of an alkene (cyclic or acyclic), given its IUPAC name.
- give the IUPAC equivalent of the following trivial names: ethylene, propylene, isobutylene and isoprene.
- draw the structure of a vinyl (ethenyl) and allyl (2-propenyl) group, and use these names in alkene nomenclature.

### STUDY NOTES

This course uses IUPAC nomenclature; therefore, you need not usually memorize a large number of trivial names. However, you will encounter some trivial names so frequently in books and articles that they soon become familiar.

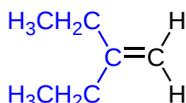
An alkene that can exhibit geometric isomerism has not been properly named unless its name specifies whether the double bond (or bonds) is (or are) *cis* or *trans*. The most effective way of giving this information is discussed, and more details of *cis* and *trans* follow in [Section 7.4](#).

Alkenes contain carbon-carbon double bonds and are **unsaturated** hydrocarbons with the molecular formula is  $C_nH_{2n}$ ; this is also the same molecular formula as cycloalkanes. The parent chain of an alkene is the longest chain containing both carbon atoms of the double bond. Alkenes are named by dropping the **-ane** ending of the parent and adding **-ene**. Also, the position of double bond in the parent chain of the alkene is indicated with a number.

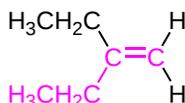
### THE BASIC RULES FOR NAMING ALKENES

For straight chain alkenes, it is the same basic rules as nomenclature of alkanes apply except the **-ane** suffix is changed to **-ene**.

1) Find the longest carbon chain that contains both carbons of the double bond.

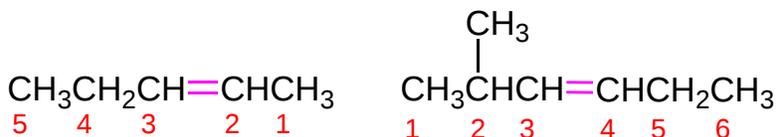


This compound is **NOT**  
named as an pentene



This compound is named  
as a butene because the  
double bond is contained in  
the four carbon chain

2) Start numbering from the end of the parent chain which gives the lowest possible number to the double bond. If the double bond is equidistant from both ends of the parent chain, number from the end which gives the substituents the lowest possible number. The double bond in cycloalkenes do not need to number because it is understood that they are in the one position.

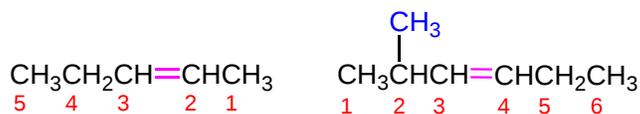


3) Place the location number of the double bond directly before the parent name. The location number indicates the position of the first carbon of the double bond. Add substituents and their position to the alkene as prefixes. Remember substituents are written in alphabetical order.

The presence of multiple double bonds is indicated by using the appropriate suffix such as **-diene**, **-triene**, ect. Each of the multiple bonds receives a location number. Also, only **-ne** is removed from the parent alkane chain name leaving an "a" in the name.

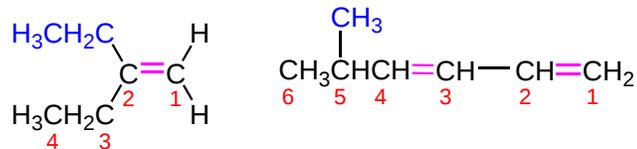
Overall, the name of an alkene should look like:

(Location number of substituent)-(Name of substituent)-(Location number of double bond)-(Name of parent chain) + ene



**2-Pentene**

**2-Methyl-3-hexene**

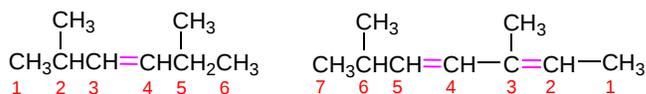


**3-Ethyl-1-butene**

**5-Methyl-1,3-hexadiene**

## NEWER IUPAC NOMENCLATURE

In 1993 IUPAC updated their naming recommendation to place the location number of the double bond before the -ene suffix of alkene names. This provides names such as hex-2-ene rather than 2-hexene. The newer system is slowly being accepted so it may occasionally be encountered.



**Older Numbering System:** 2,5-Dimethyl-3-hexene

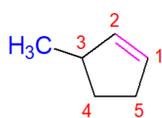
**5-Methyl-1,3-hexadiene**

**Newer Numbering System:** 2,5-Dimethylhex-3-ene

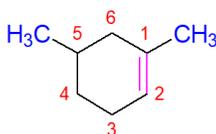
**5-Methylhexa-1,3-diene**

## NAMING CYCLOALKENES

Because there are no chain ends in cycloalkenes, the double bond is assumed to be numbered C1 and C2 and its location number is not required in the name. The direction of the numbering is determined by which will give the substituent closest to the double bond the lowest number. If multiple double bonds are present, it may be necessary to include their location numbers in the name. One of the double bonds will be numbered C1 and C2 and the numbering direction is determined by which gives the remaining double bonds the lowest possible number.



**3-Methylcyclopent-1-ene**



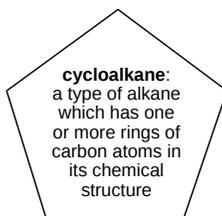
**1,5-Dimethylcyclohexene**



**1,3-Cyclohexadiene**  
(New: Cyclohexa-1,3-diene)

## ENDOCYCLIC VS. EXOCYCLIC ALKENES

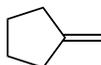
Endocyclic double bonds have both carbons in the ring and exocyclic double bonds have only one carbon as part of the ring.



Cyclopentene is an example of an endocyclic double bond.



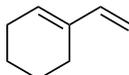
Methylenecyclopentane is an example of an exocyclic double bond.



Name the following compounds...



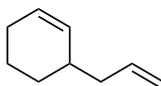
1-methylcyclobutene. The methyl group places the double bond. It is correct to also name this compound as 1-methylcyclobut-1-ene.



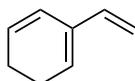
1-ethenylcyclohexene, the methyl group places the double bond. It is correct to also name this compound as 1-ethenylcyclohex-1-ene. A common name would be 1-vinylcyclohexene.

Try to draw structures for the following compounds...

- 3-allylcyclohex-1-ene

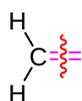


- 2-vinyl-1,3-cyclohexadiene

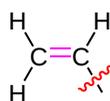


## COMMON NAMES OF ALKENE FRAGMENTS

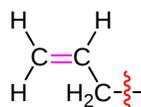
Some alkene containing fragments have common names which should be recognized. These common names can be used to simplify naming much the alkyl fragments discussed in **Section: 3.3**. Some of these fragments are the methylene group ( $\text{H}_2\text{C}=\text{}$ ), the vinyl group ( $\text{H}_2\text{C}=\text{CH}-$ ), and the allyl group ( $\text{H}_2\text{C}=\text{CH}-\text{CH}_2-$ ).



Methylene Group

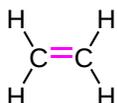


Vinyl Group



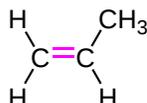
Allyl Group

In addition, the common name some small alkene compounds are still accepted by IUPAC. It is important to be able to identify them.



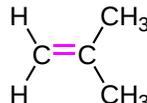
Common Name: Ethylene

IUPAC Name: Ethene



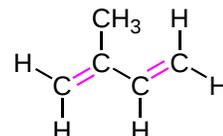
Common Name: Propylene

IUPAC Name: Propene



Common Name: Isobutylene

IUPAC Name: 2-Methylpropene

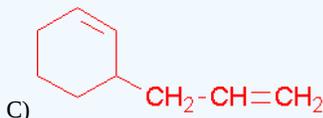
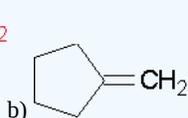
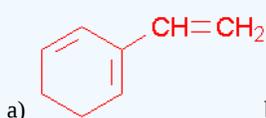


Common Name: Isoprene

IUPAC Name: 2-Methyl-1,3-butadiene

### ? EXERCISE 7.4.1

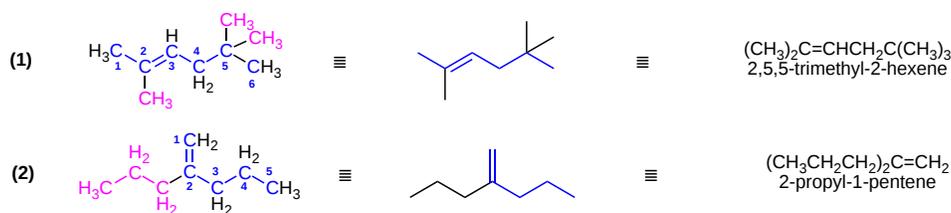
Name the following compounds using common fragment names.



Answer

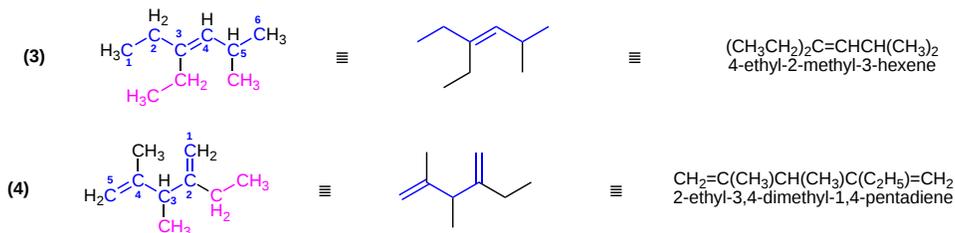
- a) 2-Vinyl-cyclohexa-1,3,3-diene
- b) Methylenecyclopentane
- c) 3-Allylcyclohex-1-ene

## EXAMPLES



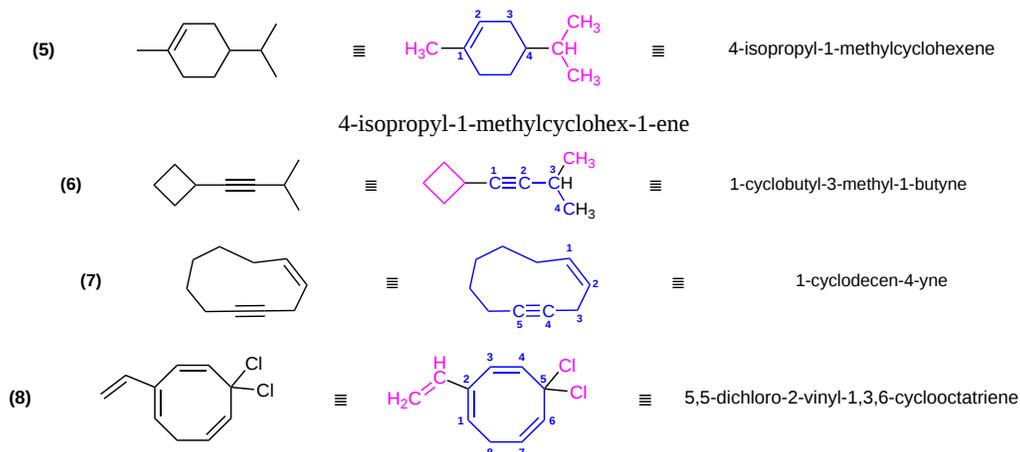
Both these compounds have double bonds, making them alkenes. In example (1) the longest chain consists of six carbons, so the root name of this compound will be **hexene**. Three methyl substituents (colored red) are present. Numbering the six-carbon chain begins at the end nearest the double bond (the left end), so the methyl groups are located on carbons 2 & 5. The IUPAC name is therefore: **2,5,5-trimethyl-2-hexene**.

In example (2) the longest chain incorporating both carbon atoms of the double bond has a length of five. There is a seven-carbon chain, but it contains only one of the double bond carbon atoms. Consequently, the root name of this compound will be **pentene**. There is a propyl substituent on the inside double bond carbon atom (#2), so the IUPAC name is: **2-propyl-1-pentene**.



The double bond in example (3) is located in the center of a six-carbon chain. The double bond would therefore have a locator number of 3 regardless of the end chosen to begin numbering. The right hand end is selected because it gives the lowest first-substituent number (2 for the methyl as compared with 3 for the ethyl if numbering were started from the left). The IUPAC name is assigned as shown.

Example (4) is a diene (two double bonds). Both double bonds must be contained in the longest chain, which is therefore five- rather than six-carbons in length. The second and fourth carbons of this 1,4-pentadiene are both substituted, so the numbering begins at the end nearest the alphabetically first-cited substituent (the ethyl group).

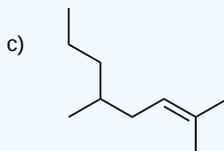
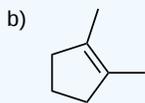
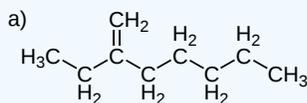


These examples include rings of carbon atoms as well as some carbon-carbon triple bonds. Example (6) is best named as an alkyne bearing a cyclobutyl substituent. Example (7) is simply a ten-membered ring containing both a double and a triple bond. The double bond is cited first in the IUPAC name, so numbering begins with those two carbons in the direction that gives the triple bond carbons the lowest locator numbers. Because of the linear geometry of a triple bond, a ten-membered ring is the smallest ring in which this functional group is easily accommodated. Example (8) is a cyclooctatriene (three double bonds in an eight-membered ring). The numbering must begin with one of the end carbons of the conjugated diene moiety (adjacent double bonds), because in this way the double bond carbon atoms are assigned the

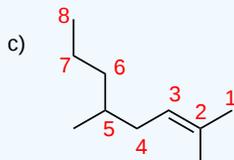
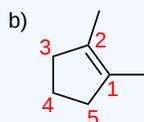
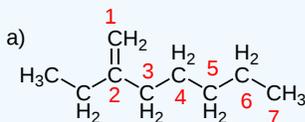
smallest possible locator numbers (1, 2, 3, 4, 6 & 7). Of the two ways in which this can be done, we choose the one that gives the vinyl substituent the lower number.

### ? EXERCISE 7.4.2

Name the following alkenes.



**Answer**



a) 2-ethylhept-1-ene or 2-ethyl-1-heptene

b) 1,2-dimethylcyclohept-1-ene

c) 2,5-dimethyloct-2-ene or 2,5-dimethyl-1-octene

### ? EXERCISE 7.4.3

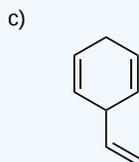
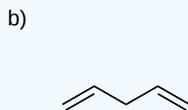
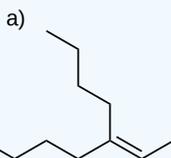
Draw structures for the following compounds from the given names.

a. 3-butylhept-2-ene (3-butyl-2-heptene)

b. 1,4-pentadiene (penta-1,4-diene)

c. 3-vinyl-1,4-cyclohexadiene (cyclohexa-1,4-diene)

**Answer**



## REFERENCES

1. Vollhardt, Peter, and Neil E. Schore. Organic Chemistry: Structure and Function. 5th Edition. New York: W. H. Freeman & Company, 2007.

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## 7.5: CIS-TRANS ISOMERISM IN ALKENES

### OBJECTIVES

After completing this section, you should be able to

- discuss the formation of carbon-carbon double bonds using the concept of  $sp^2$  hybridization.
- describe the geometry of compounds containing carbon-carbon double bonds.
- compare the molecular parameters (bond lengths, strengths and angles) of a typical alkene with those of a typical alkane.
- explain why free rotation is not possible about a carbon-carbon double bond.
- explain why the lack of free rotation about a carbon-carbon double bond results in the occurrence of cis-trans isomerism in certain alkenes.
- decide whether or not cis-trans isomerism is possible for a given alkene, and where such isomerism is possible, draw the Kekulé structure of each isomer.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- cis-trans stereoisomers

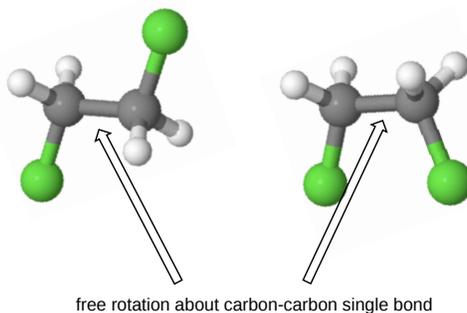
### STUDY NOTES

Your previous studies in chemistry may have prepared you to discuss the nature of a carbon-carbon double bond. If not, you should review Section 1.8 of this course before beginning the present section. It is particularly important that you make molecular models of some simple alkenes to gain insight into the geometry of these compounds.

Geometric isomerism (also known as cis-trans isomerism or E-Z isomerism) is a form of stereoisomerism. Isomers are molecules that have the same molecular formula, but have a different arrangement of the atoms in space. That excludes any different arrangements which are simply due to the molecule rotating as a whole, or rotating about particular bonds. Where the atoms making up the various isomers are joined up in a different order, this is known as structural isomerism. **Structural isomerism** is **not** a form of stereoisomerism, and is dealt with in a separate Module.

### GEOMETRIC (CIS / TRANS) ISOMERISM

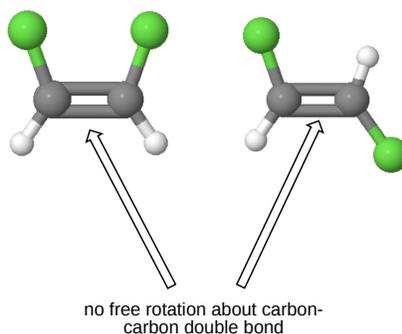
These isomers occur where you have restricted rotation somewhere in a molecule. At an introductory level in organic chemistry, examples usually just involve the carbon-carbon double bond - and that's what this page will concentrate on. Think about what happens in molecules where there is **un**restricted rotation about carbon bonds - in other words where the carbon-carbon bonds are all single. The next diagram shows two possible configurations of 1,2-dichloroethane.



These two models represent exactly the same molecule. You can get from one to the other just by twisting around the carbon-carbon single bond. These molecules are *not* isomers. If you draw a structural formula instead of using models, you have to bear in mind the possibility of this free rotation about single bonds. You must accept that these two structures represent the same molecule:



But what happens if you have a carbon-carbon double bond - as in 1,2-dichloroethene?



These two molecules are not the same. The carbon-carbon double bond won't rotate and so you would have to take the models to pieces in order to convert one structure into the other one. That is a simple test for isomers. If you have to take a model to pieces to convert it into another one, then you've got isomers. If you merely have to twist it a bit, then you haven't!

Drawing structural formulae for the last pair of models gives two possible isomers:

1. In one, the two chlorine atoms are locked on opposite sides of the double bond. This is known as the **trans** isomer. (*trans* : from latin meaning "across" - as in transatlantic).
2. In the other, the two chlorine atoms are locked on the same side of the double bond. This is known as the **cis** isomer. (*cis* : from latin meaning "on this side")

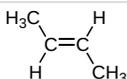


*trans*-1,2-dichloroethene

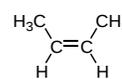


*cis*-1,2-dichloroethene

The most likely example of geometric isomerism you will meet at an introductory level is but-2-ene. In one case, the CH<sub>3</sub> groups are on opposite sides of the double bond, and in the other case they are on the same side.



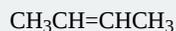
*trans*-but-2-ene



*cis*-but-2-ene

### THE IMPORTANCE OF DRAWING GEOMETRIC ISOMERS PROPERLY

It's very easy to miss geometric isomers in exams if you take short-cuts in drawing the structural formulae. For example, it is very tempting to draw but-2-ene as



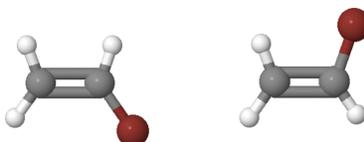
If you write it like this, you will almost certainly miss the fact that there are geometric isomers. If there is even the slightest hint in a question that isomers might be involved, always draw compounds containing carbon-carbon double bonds showing the correct bond angles (120°) around the carbon atoms at the ends of the bond. In other words, use the format shown in the last diagrams above.

### HOW TO RECOGNIZE THE POSSIBILITY OF GEOMETRIC ISOMERISM

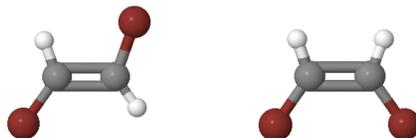
You obviously need to have restricted rotation somewhere in the molecule. Compounds containing a carbon-carbon double bond have this restricted rotation. (Other sorts of compounds may have restricted rotation as well, but we are concentrating on the case you are most likely to meet when you first come across geometric isomers.) If you have a carbon-carbon double bond, you need to think carefully about the possibility of geometric isomers.

#### What needs to be attached to the carbon-carbon double bond?

Think about this case:



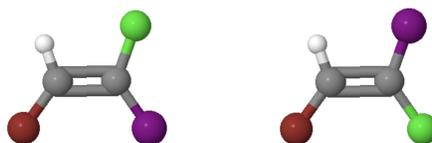
Although we've swapped the right-hand groups around, these are still the same molecule. To get from one to the other, all you would have to do is to turn the whole model over. You won't have geometric isomers if there are two groups the same on one end of the bond - in this case, the two pink groups on the left-hand end. So there must be two different groups on the left-hand carbon and two different groups on the right-hand one. The cases we've been exploring earlier are like this:



But you could make things even more different and still have geometric isomers:



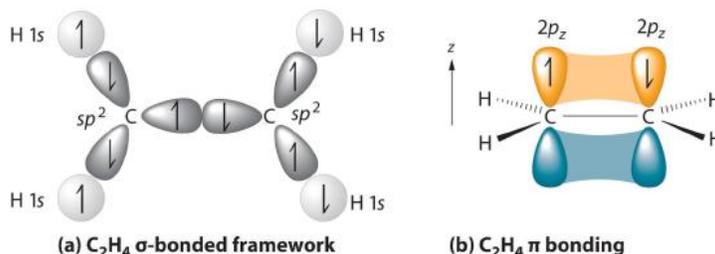
Here, the blue and green groups are either on the same side of the bond or the opposite side. Or you could go the whole hog and make everything different. You still get geometric isomers, but by now the words *cis* and *trans* are meaningless. This is where the more sophisticated *E-Z* notation comes in.



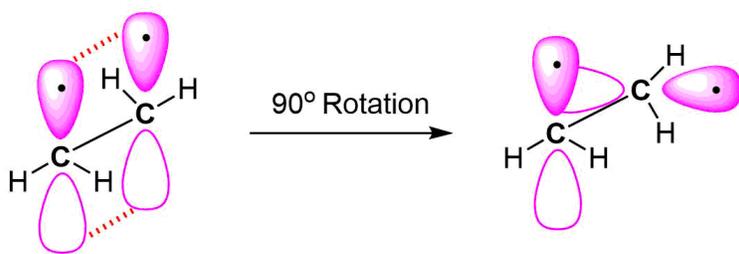
## RIGIDITY OF C=C BONDS

As discussed in Section 1.8 the double bond in the molecule ethene ( $\text{H}_2\text{C}=\text{CH}_2$ ) is created by the overlap of two different sets of orbitals.

The C-C  $\sigma$  bond is formed when an  $sp^2$  orbital from each carbon atom overlaps end to end. Also, the C-C pi bond is created by the side-to-side overlap of a  $p_z$  orbital from each carbon atom.



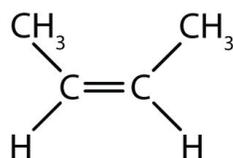
Because they are the result of side-by-side overlap (rather than end-to-end overlap like a sigma bond), *pi bonds are not free to rotate*. If rotation about this bond were to occur, it would involve disrupting the side-by-side overlap between the two  $2p_z$  orbitals that make up the pi bond. If free rotation were to occur the p-orbitals would have to go through a phase where they are  $90^\circ$  from each other, which would break the pi bond because there would be no overlap. Since the pi bond is essential to the structure of ethene it must not break, so there can be no free rotation about the carbon-carbon sigma bond. The presence of the pi bond thus 'locks' the six atoms of ethene into the same plane.



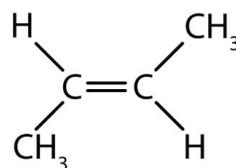
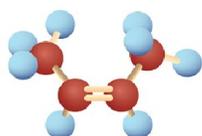
**p orbitals are parallel  
and overlap to form a  
 $\pi$  bond**

**p orbitals are perpendicular  
and  $\pi$  bond is broken**

Restricted rotation about the double bond means that the relative positions of substituent groups above or below the double bond become significant. This leads to a special kind of isomerism in double bonds. Consider the alkene with the condensed structural formula  $\text{CH}_3\text{CH}=\text{CHCH}_3$ . We could name it 2-butene, but there are actually two such compounds due to this isomerism. The isomer in which the two methyl ( $\text{CH}_3$ ) groups lie on the same side of the molecule is called the *cis* isomer (Latin *cis*, meaning “on this side”) and is named *cis*-2-butene. The isomer with the two ( $\text{CH}_3$ ) groups on opposite sides of the molecule is the *trans* isomer (Latin *trans*, meaning “across”) and is named *trans*-2-butene. These two compounds are *cis-trans* isomers (or geometric isomers), compounds that have different configurations (groups permanently in different places in space) because of the presence of a rigid structure in their molecule. In general these isomers have different physical, chemical, and physiological properties.



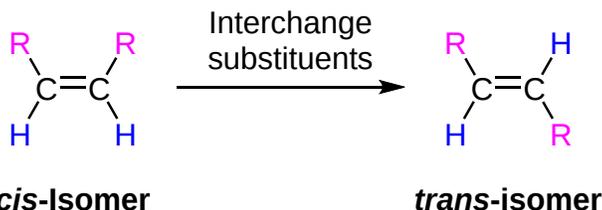
*cis*-2-butene



*trans*-2-butene



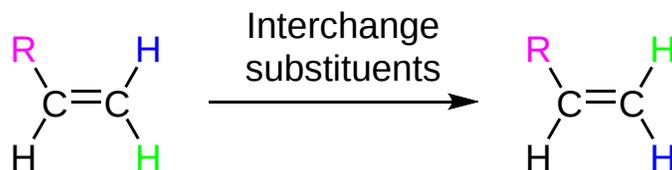
It is important to note that the presence of a double bond does **not** necessarily lead to *cis-trans* isomerism. Being able to tell if a double bond has the possibility of isomerism is a very important skill. *Cis-trans* can occur whenever both double-bond carbons are directly attached to a carbon and a hydrogen. In this case, interchanging the substituents on one of the double-bond carbons creates a different isomer.



*cis*-Isomer

*trans*-isomer

If one of the double-bond carbons of an alkene is attached to two identical groups, *cis-trans* isomerism is not possible. Here, interchanging the substituents on one of the double-bond carbons forms an identical molecule.



**These two molecules  
are identical**

### ? WORKED EXAMPLE 7.5.1

Are the following molecules *cis-trans* isomers?

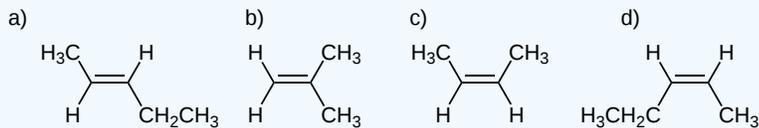


#### Answer

Although the two molecules *are seemingly* different propenes, these two structures are not really different from each other. Because the one of the double-bond carbons is attached to two identical groups (hydrogens) it is incapable of forming *cis-trans* isomers. The interchange of two substituents seen here does not create a new isomer. If either molecule were flipped over top to bottom, the two would look identical.

### ? EXERCISE 7.5.1

Classify each compound as a *cis* isomer, a *trans* isomer, or neither.



#### Answer

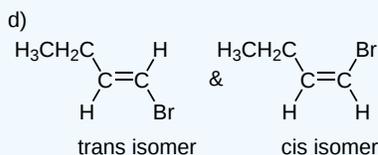
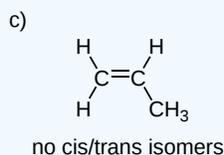
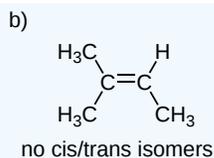
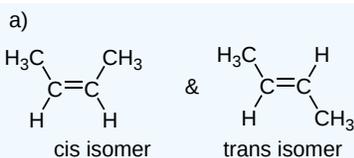
- a) *trans* isomer
- b) neither
- c) *cis* isomer
- d) *cis* isomer

### ? EXERCISE 7.5.2

Which of the following compounds could exist as *cis/trans* isomers? Draw (& label) both of the isomers for the ones that can.

- a.  $\text{CH}_3\text{CH}=\text{CHCH}_3$
- b.  $(\text{CH}_3)_2\text{C}=\text{CHCH}_3$
- c.  $\text{H}_2\text{C}=\text{CHCH}_3$
- d.  $\text{CH}_3\text{CH}_2\text{CH}=\text{CHBr}$

#### Answer

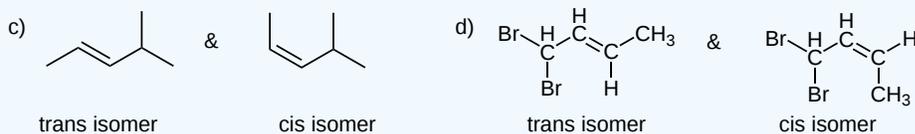
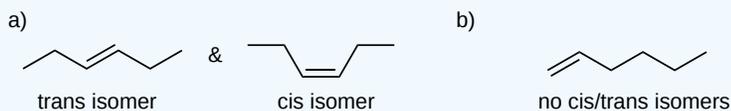


### ? EXERCISE 7.5.3

Draw (& label) the cis and trans isomer for each of the following compound names. If no cis/trans isomerism is possible, write none.

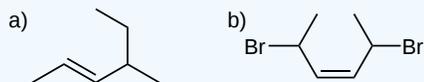
- 3-hexene
- 1-hexene
- 4-methylpent-2-ene (4-methyl-2-pentene)
- 1,1-dibromobut-2-ene (1,1-dibromo-2-butene)

#### Answer



### ? EXERCISE 7.5.4

Name the following compounds using cis/trans nomenclature



#### Answer

- trans*-4-methylhex-2-ene (*trans*-4-methyl-2-hexene)
- cis*-2,5-dibromohex-3-ene (*cis*-2,5-dibromo-3-hexene)

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## 7.6: SEQUENCE RULES - THE E,Z DESIGNATION

### OBJECTIVES

After completing this section, you should be able to

- illustrate, by means of a suitable example, the limitations of the terms *cis* and *trans* in naming isomeric alkenes.
- use the *E/Z* designation to describe the geometry of a given alkene structure.
- incorporate the *E/Z* designation into the IUPAC name of a given alkene.
- draw the correct Kekulé, condensed or shorthand structure of an alkene, given its *E/Z* designation plus other necessary information (e.g., molecular formula, IUPAC name).

### KEY TERMS

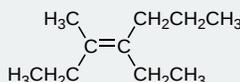
Make certain that you can define, and use in context, the key term below.

- sequence rules (Cahn-Ingold-Prelog rules)

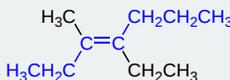
### STUDY NOTES

The limitations of the *cis/trans* system are illustrated in the examples given below.

1. From your study of the IUPAC system, you should be able to identify this compound as 4-ethyl-3-methyl-3-heptene, but is it *cis* or *trans*?



At first you might say *cis*, because it appears that two ethyl groups appear on the same side of the double bond. However, the correct answer is *trans*. The rule is that the designation *cis* or *trans* must correspond to the configuration of the *longest* carbon chain. Tracing out the seven-carbon chain in the compound shown above, you change sides as you pass through the double bond:

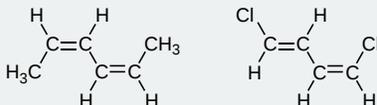


So, the full name for this compound is *trans*-4-ethyl-3methyl-3-heptene.

2. The *cis/trans* system breaks down completely in a compound such as that shown below. The *E/Z* system, which is the subject of this section, is designed to accommodate such situations.



In cases where two or more double bonds are present, you must be prepared to assign an *E* or *Z* designation to each of the double bonds. For example:

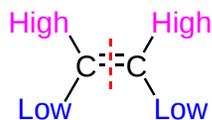


Another use for these sequence rules will be part of the discussion of optical isomerism in Section 9.5.

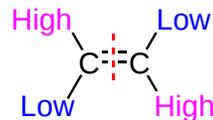
### E/Z NOMENCLATURE

When each carbon in a double bond is attached to a hydrogen and a non-hydrogen substituent, the geometric isomers can be identified by using the *cis-trans* nomenclature discussed in the previous section. However, when a double bond is attached to three or four non-hydrogen substituents there are some examples where *cis-trans* nomenclature is ineffective in describing the substituents orientation in geometric isomers. In these situations the rigorous IUPAC system for naming alkene isomers, called the *E/Z* system, is used. The *E/Z* system analyzes the two substituents attached to each carbon in the double bond and assigns each either a high or low priority. If the higher priority group on both carbons in the double bond the **same** side the alkene is said to have a **Z isomer** (from German *zusammen* = together).

You could think of Z as Zame Zide to help memorize it. If the higher priority group on **opposite** sides the alkene has an **E isomer** (from German entgegen = opposite).



**Z Configuration**



**E Configuration**

High priority substituents are on the same side of the double bond      High priority substituents are on opposite sides of the double bond

Note, if both substituents on a double bond carbon are exactly the same there is no E/Z isomerism possible. Also, if E/Z isomerism is possible, interchanging the substituents attached on double-bond carbon converts one isomer to the other.

Substituent priority for the E,Z system is assigned using the Cahn-Ingold-Prelog (CIP) sequence rules. These are the same rules used to assign R/S configurations to chiral centers in **Section 5.5**. A brief overview of using CIP rules to determine alkene configuration is given here but CIP rules are discussed in greater detail in **Section 5.5**.

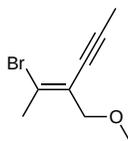
**NOTE**

The priority rules are often called the Cahn-Ingold-Prelog (CIP) rules, after the chemists who developed the system

**RULE 1: THE "FIRST POINT OF DIFFERENCE" RULE**

First, determine the two substituents on each double-bond carbon separately. Rank these substituents based on **the atom** which directly attached to the double-bond carbon. The substituent whose atom has a higher atomic number takes precedence over the substituent whose atom has a lower atomic number.

Which is higher priority, by the CIP rules: a C with an O and 2 H attached to it or a C with three C? The first C has one atom of high priority but also two atoms of low priority. How do these "balance out"? Answering this requires a clear understanding of how the ranking is done. The simple answer is that the first point of difference is what matters; the O wins.



To illustrate this, consider the molecule at the left. Is the double bond here *E* or *Z*? At the left end of the double bond, Br > C. But the right end of the double bond requires a careful analysis.

At the right hand end, the first atom attached to the double bond is a C at each position. A tie, so we look at what is attached to this first C. For the upper C, it is CCC (since the triple bond counts three times). For the lower C, it is OHH -- listed in order from high priority atom to low. OHH is higher priority than CCC, because of the first atom in the list. That is, the O of the lower group beats the C of the upper group. In other words, the O is the highest priority atom of any in this comparison; thus the O "wins".

Therefore, the high priority groups are "up" on the left end (the -Br) and "down" on the right end (the -CH<sub>2</sub>-O-CH<sub>3</sub>). This means that the isomer shown is opposite = entgegen = *E*. And what is the name? The "name" is (E)-2-Bromo-3-(methoxymethyl)hex-2-en-4-yne.

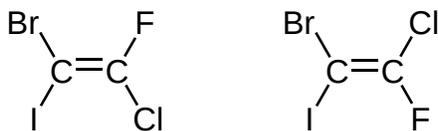
**RULE 2**

If the first atom on both substituents are the identical, then proceed along both substituent chains until the first point of difference is determined.

**RULE 3**

Remember that atoms involved in multiple bonds are considered with a specific set of rules. These atoms are treated as if they have the same number of single-bond atoms as they have attached to multiply bonded atoms.

An easy example which shows the necessity of the E/Z system is the alkene, 1-bromo-2-chloro-2-fluoro-1-iodoethene, which has four different substituents attached to the double bond. The figure below shows that there are two distinctly different geometric isomers for this molecule neither of which can be named using the *cis-trans* system.



Consider the left hand structure. On the double bond carbon on the left, the two atoms attached to the double bond are Br and I. By the CIP priority rules, I is higher priority than Br (higher atomic number). Now look at carbon on the right. The attached atoms are Cl and F, with Cl having the higher atomic number and the higher priority.

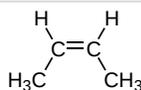
When considering the relative positions of the higher priority groups, the higher priority group is "down" on the left double bond carbon and "down" at right double bond carbon. Since the two higher priority groups are both on the **same** side of the double bond ("down", in this case), they are zusammen = together. Therefore, this is the (Z) isomer. Similarly, the right hand structure is (E).



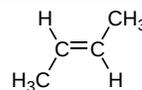
Z Configuration

E Configuration

### ✓ EXAMPLE 7.61: BUTENE



*cis*-2-butene  
(Z)-2-butene



*trans*-2-butene  
(E)-2-butene

The Figure above shows the two isomers of 2-butene. You should recognize them as *cis* and *trans*. Let's analyze them to see whether they are *E* or *Z*. Start with the left hand structure (the *cis* isomer). On C2 (the left end of the double bond), the two atoms attached to the double bond are C and H. By the CIP priority rules, C is higher priority than H (higher atomic number). Now look at C3 (the right end of the double bond). Similarly, the atoms are C and H, with C being higher priority. We see that the higher priority group is "down" at C2 and "down" at C3. Since the two priority groups are both on the **same** side of the double bond ("down", in this case), they are zusammen = together. Therefore, this is (Z)-2-butene.

Now look at the right hand structure (the *trans* isomer). In this case, the priority group is "down" on the left end of the double bond and "up" on the right end of the double bond. Since the two priority groups are on **opposite** sides of the double bond, they are entgegen = opposite. Therefore, this is (E)-2-butene.

### E/Z WILL WORK – EVEN WHEN CIS/TRANS FAILS

In simple cases, such as 2-butene, *Z* corresponds to *cis* and *E* to *trans*. However, that is **not** a rule. This section and the following one illustrate some idiosyncrasies that happen when you try to compare the two systems. The real advantage of the *E/Z* system is that it will always work. In contrast, the *cis/trans* system breaks down with many ambiguous cases.

### ✓ EXAMPLE 7.6.2

The following figure shows two isomers of an alkene with four different groups on the double bond, 1-bromo-2-chloro-2-fluoro-1-iodoethene.



(Z)-1-bromo-2-chloro-2-fluoro-1-iodoethene



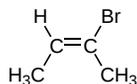
(E)-1-bromo-2-chloro-2-fluoro-1-iodoethene

It should be apparent that the two structures shown are distinct chemicals. However, it is impossible to name them as *cis* or *trans*. On the other hand, the *E/Z* system works fine... Consider the left hand structure. On C1 (the left end of the double bond), the two atoms attached to the double bond are Br and I. By the CIP priority rules, I is higher priority than Br (higher atomic number). Now look at C2. The atoms are Cl and F, with Cl being higher priority. We see that the higher priority group is "down" at C1 and "down" at C2. Since

the two priority groups are both on the **same** side of the double bond ("down", in this case), they are zusammen = together. Therefore, this is the (*Z*) isomer. Similarly, the right hand structure is (*E*).

### E/Z WILL WORK, BUT MAY NOT AGREE WITH CIS/TRANS

Consider the molecule shown below. This is 2-bromo-2-butene -- ignoring the geometric isomerism for now. *Cis* or *trans*? This molecule is clearly *cis*. The two methyl groups are on the same side. More rigorously, the "parent chain" is *cis*.



*E* or *Z*? There is a methyl at each end of the double bond. On the left, the methyl is the high priority group -- because the other group is -H. On the right, the methyl is the low priority group -- because the other group is -Br. That is, the high priority groups are -CH<sub>3</sub> (left) and -Br (right). Thus the two priority groups are on opposite sides = entgegen = *E*.

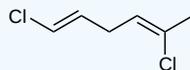
#### NOTE

This example should convince you that *cis* and *Z* are not synonyms. *Cis/trans* and *E/Z* are determined by distinct criteria. There may seem to be a simple correspondence, but it is not a rule. Be sure to determine *cis/trans* or *E/Z* separately, as needed.

### MULTIPLE DOUBLE BONDS

If the compound contains more than one double bond, then each one is analyzed and declared to be *E* or *Z*.

#### EXAMPLE 7.6.3

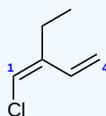


The configuration at the left hand double bond is *E*; at the right hand double bond it is *Z*. Thus this compound is (*1E,4Z*)-1,5-dichloro-1,4-hexadiene.

### THE DOUBLE-BOND RULE IN DETERMINING PRIORITIES

#### EXAMPLE 7.6.4

Consider the compound below

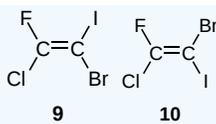


This is 1-chloro-2-ethyl-1,3-butadiene -- ignoring, for the moment, the geometric isomerism. There is no geometric isomerism at the second double bond, at 3-4, because it has 2 H at its far end.

What about the first double bond, at 1-2? On the left hand end, there is H and Cl; Cl is higher priority (by atomic number). On the right hand end, there is -CH<sub>2</sub>-CH<sub>3</sub> (an ethyl group) and -CH=CH<sub>2</sub> (a vinyl or ethenyl group). Both of these groups have C as the first atom, so we have a tie so far and must look further. What is attached to this first C? For the ethyl group, the first C is attached to C, H, and H. For the ethenyl group, the first C is attached to a C twice, so we count it twice; therefore that C is attached to C, C, H. CCH is higher than CHH; therefore, the ethenyl group is higher priority. Since the priority groups, Cl and ethenyl, are on the same side of the double bond, this is the *Z*-isomer; the compound is (*Z*)-1-chloro-2-ethyl-1,3-butadiene.

#### EXAMPLE 7.6.5

The configuration about double bonds is undoubtedly best specified by the *cis/trans* notation when there is no ambiguity involved. Unfortunately, many compounds cannot be described adequately by the *cis/trans* system. Consider, for example, configurational isomers of 1-fluoro-1-chloro-2-bromo-2-iodo-ethene, 9 and 10. There is no obvious way in which the *cis/trans* system can be used:

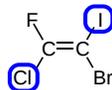


A system that is easy to use and which is based on the sequence rules already described for the *R,S* system works as follows:

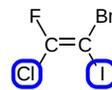
1. An order of precedence is established for the two atoms or groups attached to each end of the double bond according to the sequence rules of Section 19-6. When these rules are applied to 1-fluoro-1-chloro-2-bromo-2-iodoethene, the priority sequence is:

- at carbon atom 1,  $\text{Cl} > \text{F}$
- at carbon atom 2,  $\text{I} > \text{Br}$

2. Examination of the two configurations shows that the two priority groups- one on each end- are either on the same side of the double bond or on opposite sides:

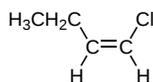


priority groups on opposite sides  
(*E*) configuration

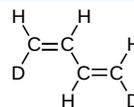


priority groups on same side  
(*Z*) configuration

The *Z* isomer is designated as the isomer in which the top priority groups are on the same side (*Z* is taken from the German word *zusammen*- together). The *E* isomer has these groups on opposite sides (*E*, German for *entgegen* across). Two further examples show how the nomenclature is used:



(*Z*)-1-chloro-1-butene



(1*Z*,3*E*)-1,3-butadiene-1,4-*d*2

## EXERCISES

### ? EXERCISE 7.6.1

Which of the following sets has a higher ranking?

- CH<sub>3</sub> or -CH<sub>2</sub>Br
- Br or -Cl
- CH=CH<sub>2</sub> or -CH=O

**Answer**

- CH<sub>2</sub>Br
- Br
- CH=O

### ? EXERCISE 7.6.2

Place the following sets of substituents in each group in order of lowest priority (1<sup>st</sup>) to highest priority (4<sup>th</sup>)

- CH(CH<sub>3</sub>)<sub>2</sub>, -CH<sub>2</sub>CH<sub>3</sub>, -C(CH<sub>3</sub>)<sub>3</sub>, -CH<sub>3</sub>
- NH<sub>2</sub>, -F, -Br, -CH<sub>3</sub>
- SH, -NH<sub>2</sub>, -F, -H

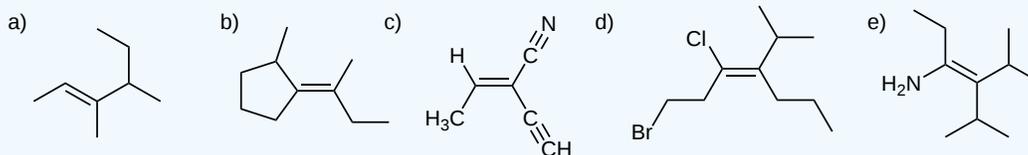
**Answer**

- (lowest priority) -CH<sub>3</sub> < -CH<sub>2</sub>CH<sub>3</sub> < -CH(CH<sub>3</sub>)<sub>2</sub> < -C(CH<sub>3</sub>)<sub>3</sub> (highest priority)
- (lowest priority) -CH<sub>3</sub> < -NH<sub>2</sub> < -F < -Br (highest priority)

c) (lowest priority)  $-H < -NH_2 < -F < -SH$  (highest priority)

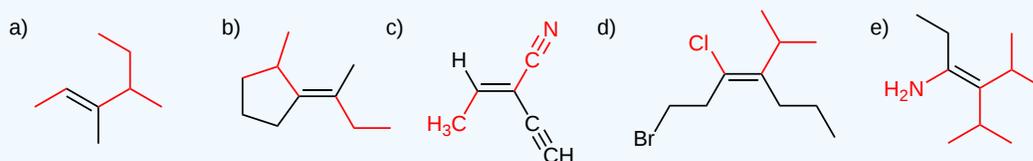
### ? EXERCISE 7.6.3

Label the following alkenes as E, Z, or neither.



### Answer

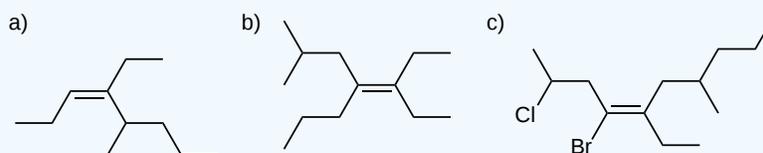
The higher priority group is highlighted in red.



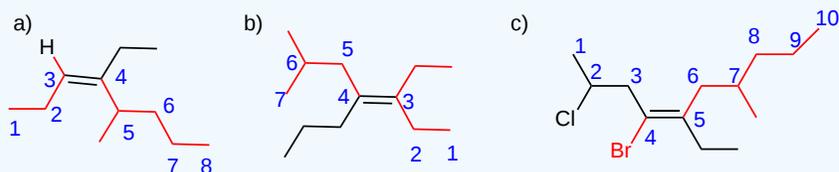
- a) E  
 b) E  
 c) E  
 d) Z  
 e) neither (both isopropyls on the right have the same priority)

### ? EXERCISE 7.6.4

Name the following alkenes.



### Answer



The higher priority group is highlighted in red.

- a. (Z)-4-ethyl-5-methyloct-3-ene or (Z)-4-ethyl-5-methyl-3-octene  
 b. 3-ethyl-6-methyl-4-propylhept-3-ene or 3-ethyl-6-methyl-4-propyl-3-heptene  
 c. (E)-4-bromo-2-chloro-5-ethyl-7-methyldec-4-ene or (E)-4-bromo-2-chloro-5-ethyl-7-methyl-4-decene

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## 7.7: STABILITY OF ALKENES

### OBJECTIVES

After completing this section, you should be able to

- explain why *cis* alkenes are generally less stable than their *trans* isomers.
- explain that catalytic reduction of a *cis* alkene produces the same alkane as the catalytic reduction of the *trans* isomer.
- explain how heats of hydrogenation ( $\Delta H^\circ_{\text{hydrog}}$ ) can be used to show that *cis* alkenes are less stable than their *trans* isomers, and discuss, briefly, the limitations of this approach.
- arrange a series of alkenes in order of increasing or decreasing stability.
- describe, briefly, two of the hypotheses proposed to explain why alkene stability increases with increased substitution. [Note: This problem is a typical example of those instances in science where there is probably no single “correct” explanation for an observed phenomenon.]

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- catalytic hydrogenation
- heat of hydrogenation ( $\Delta H^\circ_{\text{hydrog}}$ )
- hyperconjugation

### STUDY NOTES

The two alkenes, *cis*-CH<sub>3</sub>CH=CHCH<sub>3</sub> and (CH<sub>3</sub>)<sub>2</sub>C=CH<sub>2</sub> have similar heats of hydrogenation (−120 kJ/mol and −119 kJ/mol, respectively), and are therefore of similar stability. However, they are both less stable than *trans*-CH<sub>3</sub>CH=CHCH<sub>3</sub> (−116 kJ/mol).

You may wonder why an *sp*<sup>2</sup>-*sp*<sup>3</sup> bond is stronger than an *sp*<sup>3</sup>-*sp*<sup>3</sup> bond. Bond strength depends on the efficiency with which orbitals can overlap. In general, *s* orbitals overlap more efficiently than do *p* orbitals; therefore, the *s-s* bond in the hydrogen molecule is stronger than the *p-p* bond in fluorine. In hybrid orbitals, the greater the *s* character of the orbital, the more efficiently it can overlap: an *sp*<sup>2</sup> orbital, which has a 33% *s* character, can overlap more effectively than an *sp*<sup>3</sup> orbital, with only 25% *s* character.

## HYDROGENATION

Alkene hydrogenation is the addition of hydrogen gas (H<sub>2</sub>) to an alkene which saturates the bond and forms an alkane. Alkene hydrogenation reactions require a transition metal catalyst, such as Pt or Pd, to speed up the reaction. The hydrogenation reaction is used in this section to investigate the stability of alkenes, however, it will be discussed in greater detail in **Section 8.7**. Hydrogenation reactions are exothermic and the enthalpy change in this reaction is called the heat of hydrogenation ( $\Delta H^\circ_{\text{hydrog}}$ ). Since the double bond is breaking in this reaction, the energy released during hydrogenation is proportional to the energy in the double bond of the molecule. By comparing the heat of hydrogenations from a series of alkenes that produce the same alkane, a quantitative measure of relative alkene stabilities can be produced. These experiments will lead to an general understanding of structural features which tend to stabilize or destabilize alkenes.

## THE CATALYST

A **catalyst** increases the reaction rate by lowering the activation energy of the reaction. Although the catalyst is not consumed in the reaction, it is required to accelerate the reaction sufficiently to be observed in a reasonable amount of time. Catalysts commonly used in alkene hydrogenation are: platinum, palladium, and nickel. The metal catalyst acts as a surface on which the reaction takes place. This increases the rate by putting the reactants in close proximity to each other, facilitating interactions between them. With this catalyst present, the sigma bond of H<sub>2</sub> breaks, and the two hydrogen atoms instead bind to the metal (see #2 in the figure below). The  $\pi$  bond of the alkene weakens as it also interacts with the metal (see #3 below).

Since both the reactants are bound to the metal catalyst, the hydrogen atoms can easily add, one at a time, to the previously double-bonded carbons (see #4 and #5 below). The position of both of the reactants bound to the catalyst makes it so the hydrogen atoms are only exposed to one side of the alkene. This explains why the hydrogen atoms add to same side of the molecule, called *syn*-addition.

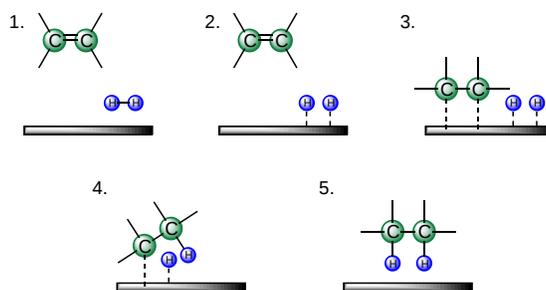


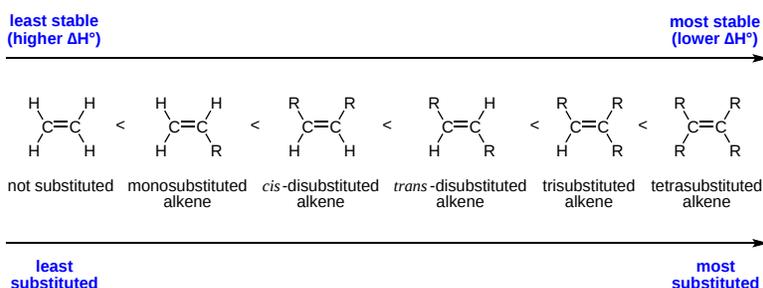
Figure 7.7.1: Hydrogenation takes place in the presence of a metal catalyst.

#### NOTE

The catalyst remains intact and unchanged throughout the reaction.

### HEATS OF HYDROGENATION

The stability of alkene can be determined by measuring the amount of energy associated with the hydrogenation of the molecule. Since the double bond is breaking in this reaction, the energy released in hydrogenation is proportional to the energy in the double bond of the molecule. This is a useful tool because heats of hydrogenation can be measured very accurately. The  $\Delta H^\circ$  is usually around  $-30$  kcal/mol for alkenes. Stability is simply a measure of energy. Lower energy molecules are more stable than higher energy molecules. More substituted alkenes are more stable than less substituted ones due to [hyperconjugation](#). They have a lower heat of hydrogenation. The following illustrates stability of alkenes with various substituents:



### CIS/TRANS ISOMERS

Between *cis* and *trans* isomers of an alkene, the *cis* isomer tends to be less stable due to the molecular crowding created nonbonding interaction between two alky groups on the same side of the double bond. The crowding creates steric strain which distorts bond angles creating less effective bond orbital overlap and desabilizing the molecule. Steric strain has previously been seen in *gauche* interactions in Newman projections ([Section 3.7](#)) and 1,3-diaxial interactions in substituted cyclohexanes ([Section 4.7](#)). Steric strain is directly related to the size of the species being crowded. The difference in energy between *cis* and *trans* 2-butene is 5 kJ/mol, however, this difference would be greater if larger group were being held in the *cis* position. Two *cis-tert*-butyl group can create over 40 kJ/mol of steric strain.

See the following isomers of butene:



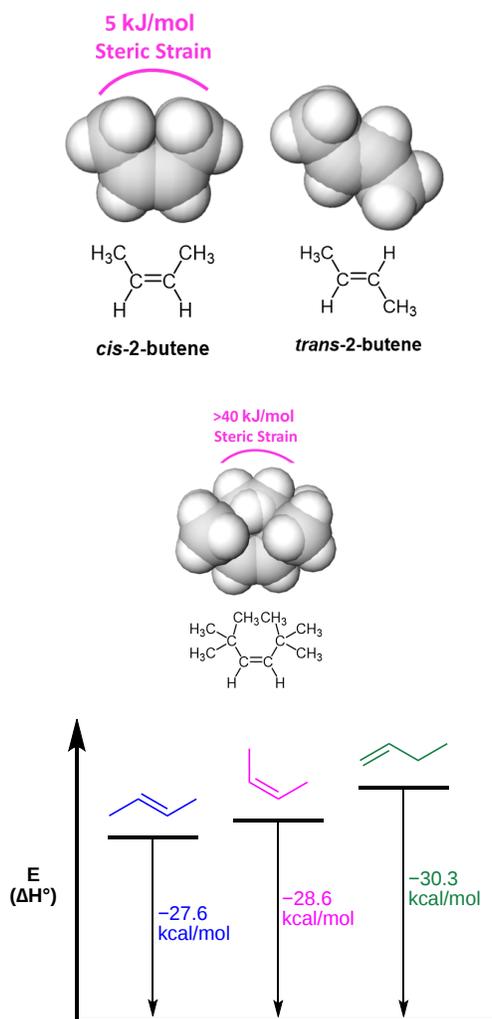


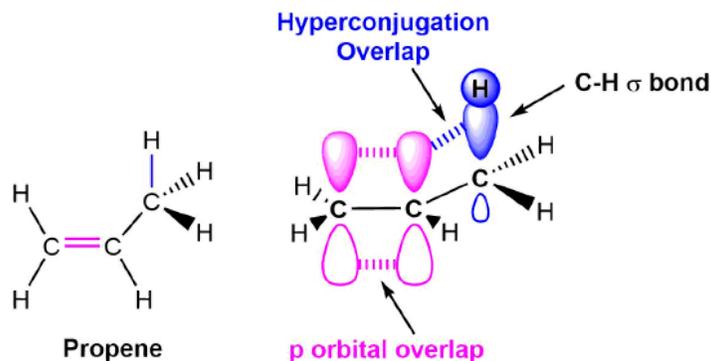
Figure 7.7.2: *Trans*-2-butene is the most stable because it has the lowest heat of hydrogenation.

## ALKENE STABILIZATION BY ALKYL SUBSTITUENTS

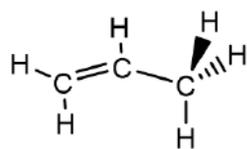
In general, the stability of an alkene increases with the number of alkyl substituents. This effect is due by the combination of two factors:

### HYPERCONJUGATION

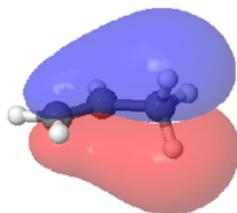
In classical valence-bond theory, electron delocalization can only occur by the parallel overlap of adjacent p orbitals. According to hyperconjugation theory, electron delocalization could also occur by the parallel overlap of p orbitals with adjacent hybridized orbitals participating in sigma bonds. This electron delocalization serves to stabilize the alkene. As the number of alkyl substituents increases, the number of sigma bonds available for hyperconjugation increases, and the alkene tends to become more stabilized. In the example of propene shown below, a p orbital from a  $sp^2$  hybridized carbon involved in the double bond interacts with a  $sp^3$  hybridized orbital participating in an adjacent C-H sigma bond.



In a molecular orbital description of hyperconjugation, the electrons in sigma molecular orbitals (C-H or C-C) of alkyl substituents, interact with adjacent unpopulated non-bonding or antibonding molecular orbitals from the double bond. The interaction creates a bonding molecular orbital which extends over the four atom chain (C=C-C-H) involved in hyperconjugation. The expanded molecular orbital helps to stabilize the double bond.



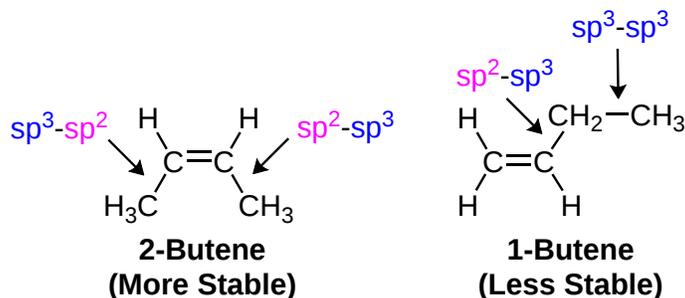
Propene



Extended Bonding MO  
created by hyperconjugation

### BOND STABILITY

Bond strengths play an important part in determining the overall stability of a molecule. A C-C bond between a  $sp^3$  carbon and a  $sp^2$  carbon is slightly stronger than a C-C bond between two  $sp^3$  carbons. Increasing the number alkyl substituents of a double bond also increases the number of  $sp^3$ - $sp^2$  C-C bonds making the alkene more stable. This idea can be clearly seen when comparing the isomers 1-butene and 2-butene. The molecule 1-butene is monosubstituted and contains a  $sp^3$ - $sp^3$  C-C and a  $sp^3$ - $sp^2$  C-C bond. The disubstituted, 2-butene, contains 2  $sp^3$ - $sp^2$  C-C bonds which contributes to its greater stability.



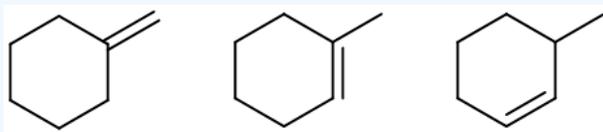
### NOTE

In cycloalkenes smaller than cyclooctene, the cis isomers are more stable than the trans as a result of ring strain.

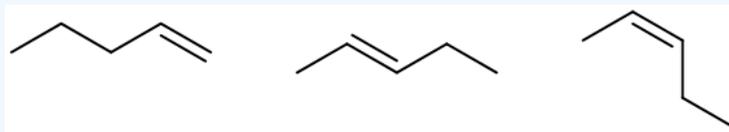
### EXERCISE 7.7.1

Of the three following isomers which would be expected to be the most stable?

a)



b)

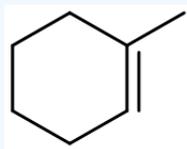


c)

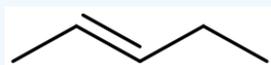


Answer

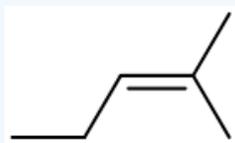
- 1)  
a)



b)

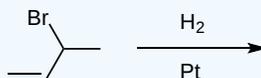


c)



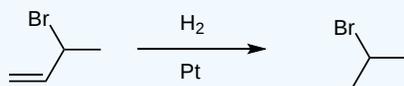
### ? EXERCISE 7.7.2

3-Bromobut-1-ene reacts with hydrogen gas in the presence of a platinum catalyst. What is the name of the product?



Answer

2-Bromobutane (numbering changes when alkene is no longer present)



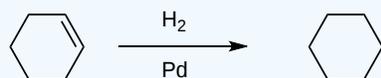
### ? EXERCISE 7.7.3

Cyclohexene reacts with hydrogen gas in the presence of a palladium catalyst. What is the name of the product?



Answer

Cyclohexane



### ? EXERCISE 7.7.4

What is the stereochemistry (syn or anti addition) of an alkene hydrogenation reaction?

**Answer**

Syn-addition

### ? EXERCISE 7.7.5

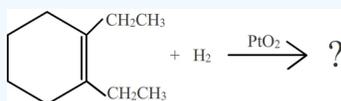
When looking at their heats of hydrogenation, is the cis or the trans isomer generally more stable?

**Answer**

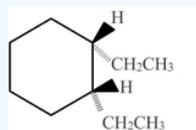
Trans

### ? EXERCISE 7.7.6

Show the product for the following



**Answer**



## REFERENCES

1. Fox, Marye Anne, and James K. Whitesell. Organic Chemistry. 3rd ed. Sudbury, MA: Janes and Bartlett Publishers, 2004.
2. Hanson, James R. Functional Group Chemistry. Bristol, UK: The Royal Society of Chemistry, 2001.
3. Streitwieser, Andrew Jr., and Clayton H. Heathcock. Introduction to Organic Chemistry. 2nd ed. New York, NY: Macmillan Publishing Co., Inc., 1981.
4. Vollhardt, Peter C., and Neil E. Schore. Organic Chemistry: Structure and Function. 5th ed. New York, NY: W.H. Freeman and Company, 2007.
5. Zlatkis, Albert, Eberhard Breitmaier, and Gunther Jung. A Concise Introduction to Organic Chemistry. New York: McGraw-Hill Book Company, 1973.

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## 7.8: ELECTROPHILIC ADDITION REACTIONS OF ALKENES

### OBJECTIVES

After completing this section, you should be able to

- explain the term “electrophilic addition reaction,” using the reaction of a protic acid, HX, with an alkene as an example.
- write the mechanism for the reaction of a protic acid, HX, with an alkene.
- sketch a reaction energy diagram for the electrophilic addition of an acid, HX, to an alkene.

### KEY TERMS

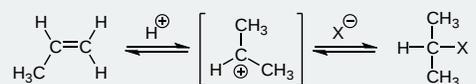
Make certain that you can define, and use in context, the key terms below.

- carbocation (carbonium ion)
- electrophilic addition reaction

### STUDY NOTES

An *electrophilic addition reaction* is a reaction in which a substrate is initially attacked by an electrophile, and the overall result is the addition of one or more relatively simple molecules across a multiple bond.

The mechanism for the addition of hydrogen halide to propene shown in the reading is quite detailed. Normally, an organic chemist would write the reaction scheme as follows:



However, the more detailed mechanism shown in the reading does allow you to see the exact fate of all the electrons involved in the reaction.

In your previous chemistry course, you were probably taught the importance of balancing chemical equations. It may come as a surprise to you that organic chemists usually do not balance their equations, and often represent reactions using a format which is quite different from the carefully written, balanced equations encountered in general chemistry courses. In fact, organic chemists are rarely interested in the inorganic products of their reactions; furthermore, most organic reactions are non-quantitative in nature.

In many of the reactions in this course, the percentage yield is indicated beneath the products: you are not expected to memorize these figures. The question of yield is very important in organic chemistry, where two, five, ten or even twenty reactions may be needed to synthesize a desired product. For example, if a chemist wishes to prepare compound D by the following reaction sequence:



and each of the individual steps gives only a 50% yield, one mole of A would give only

$$1 \text{ mol} \times \frac{50\%}{100\%} \times \frac{50\%}{100\%} \times \frac{50\%}{100\%} = 0.125 \text{ mol of D}$$

You will gain first-hand experience of such situations in the laboratory component of this course.

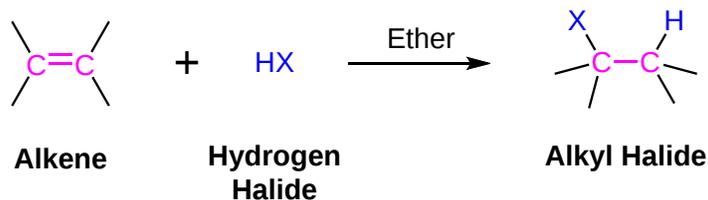
### INTRODUCTION

One of the most important reactions for alkenes is called electrophilic addition. In this chapter several variations of the electrophilic addition reaction will be discussed. Each case will have aspects common among all electrophilic addition. In this section, the electrophilic addition reaction will be discussed in general to provide a better understanding of subsequent alkene reactions.

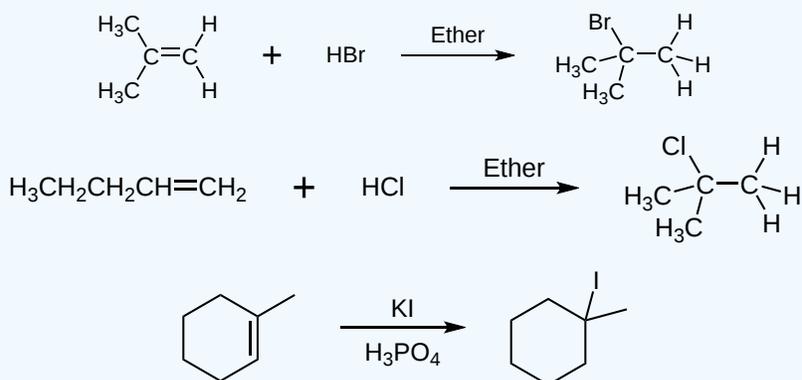
As discussed in Section 6-5, the double bond in alkenes is electron rich due to the presence of 4 electrons instead of the two in a single bond. Also, the pi electrons are positioned above and below the double bond making them more accessible for reactions. Overall, double bonds can easily donate lone pair electrons to act like a nucleophile (nucleus-loving, electron rich, a Lewis acid). During an electrophilic addition reactions double bonds donate lone pair electrons to an electrophile (Electron-loving, electron poor, a Lewis base). There are many types of electrophilic addition, but this section will focus on the addition of hydrogen halides (HX). Many of the basic ideas discussed will be applicable to subsequent electrophilic addition reactions.

## GENERAL REACTION

Overall during this reaction the pi bond of the alkene is broken to form two single, sigma bonds. As shown in the reaction mechanism, one of these sigma bonds is connected to the H and the other to the X of the hydrogen halide. This reaction works well with HBr and HCl. HI can also be used but is usually generated during the reaction by reacting potassium iodide (KI) with phosphoric acid (H<sub>3</sub>PO<sub>4</sub>).



### ✓ EXAMPLE 7.8.1



## ADDITION TO SYMMETRICAL ALKENES

### What happens?

All alkenes undergo addition reactions with the hydrogen halides. A hydrogen atom joins to one of the carbon atoms originally in the double bond, and a halogen atom to the other.

For example, with ethene and hydrogen chloride, you get chloroethane:



Figure 7.8.1 Electrophilic addition of HCl to ethene.

With but-2-ene you get 2-chlorobutane:

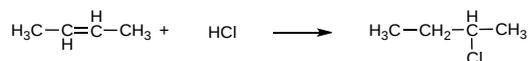


Figure 7.8.2 Electrophilic addition of HCl to but-2-ene.

What happens if you add the hydrogen to the carbon atom at the right-hand end of the double bond, and the chlorine to the left-hand end? You would still have the same product. The chlorine would be on a carbon atom next to the end of the chain - you would simply have drawn the molecule flipped over in space. That would be different if the alkene was unsymmetrical - that's why we have to look at them separately.

## MECHANISM

### Step 1) Electrophilic Attack

During the first step of the mechanism, the 2 pi electrons from the double bond attack the H in the HBr electrophile which is shown by a curved arrow. The two pi electrons form a C-H sigma bond between the hydrogen from HBr and a carbon from the double bond. Simultaneously the electrons from the H-X bond move onto the halogen to form a halide anion. The removal of pi electrons from the double bond makes one of the carbons become an electron deficient carbocation intermediate. This carbon is sp<sup>2</sup> hybridized and the positive charge is contained in an unhybridized p orbital.

## Step 2) Nucleophilic attack by halide anion

The formed carbocation now can act as an electrophile and accept an electron pair from the nucleophilic halide anion. The electron pair becomes a X-C sigma bond to create the neutral alkyl halide product of electrophilic addition.

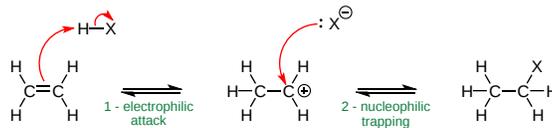


Figure 7.8.3 Mechanism of Electrophilic Addition of Hydrogen Halide to Ethene

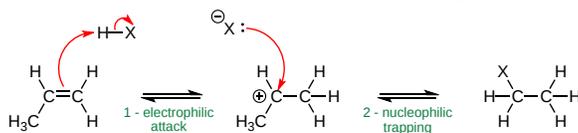
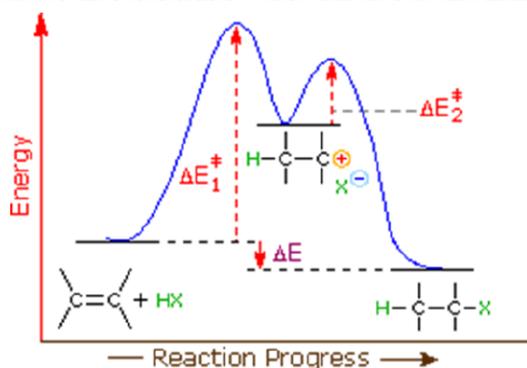


Figure 7.8.4 Mechanism of Electrophilic Addition of Hydrogen Halide to Propene

All of the halides (HBr, HCl, HI, HF) can participate in this reaction and add on in the same manner. Although different halides do have different rates of reaction, due to the H-X bond getting weaker as X gets larger (poor overlap of orbitals)s.

## REACTION ENERGY DIAGRAM

An energy diagram for the two-step electrophilic addition mechanism is shown below. The energy diagram has two peaks which represent the transition state for each mechanistic step. The peaks are separated by a valley which represents the high energy carbocation reaction intermediate. Because the energy of activation for the first step of the mechanism ( $\Delta E_1^\ddagger$ ) is much larger than the second ( $\Delta E_2^\ddagger$ ), the first step of the mechanism is the rate-determining step. Both the alkene and the hydrogen halide are reactants in the first step of the mechanism, this electrophilic addition is a second order reaction and the rate law expression can be written  $\text{rate} = k[\text{Alkene}][\text{HX}]$ . Also, any structural feature which can stabilize the transition state between the reactants the carbocation intermediate will lower  $\Delta E_1^\ddagger$  and thereby increase the reaction rate. Overall, the alkyl halide product of this reaction more stable than the reactants making the reaction exothermic.



## REACTION RATES

### Variation of rates when you change the halogen

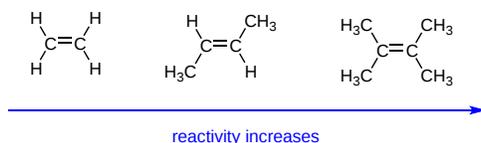
Reaction rates increase in the order HF - HCl - HBr - HI. Hydrogen fluoride reacts much more slowly than the other three, and is normally ignored in talking about these reactions.

When the hydrogen halides react with alkenes, the hydrogen-halogen bond has to be broken. The bond strength falls as you go from HF to HI, and the hydrogen-fluorine bond is particularly strong. Because it is difficult to break the bond between the hydrogen and the fluorine, the addition of HF is bound to be slow.

### Variation of rates when you change the alkene

This applies to unsymmetrical alkenes as well as to symmetrical ones. For simplicity the examples given below are all symmetrical ones- but they don't have to be.

Reaction rates increase as the alkene gets more complicated - in the sense of the number of alkyl groups (such as methyl groups) attached to the carbon atoms at either end of the double bond. For example:

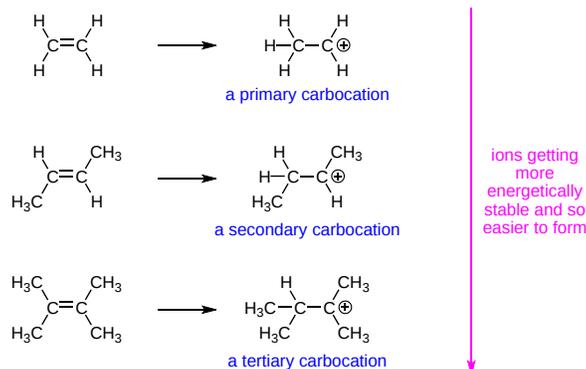


There are two ways of looking at the reasons for this - both of which need you to know about the mechanism for the reactions.

Alkenes react because the electrons in the pi bond attract things with any degree of positive charge. Anything which increases the electron density around the double bond will help this.

Alkyl groups have a tendency to "push" electrons away from themselves towards the double bond. The more alkyl groups you have, the more negative the area around the double bonds becomes. The more negatively charged that region becomes, the more it will attract molecules like hydrogen chloride.

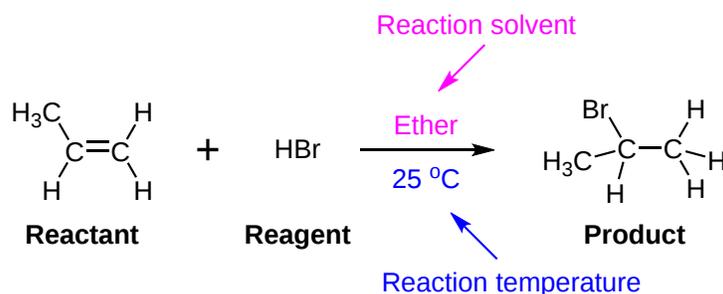
The more important reason, though, lies in the stability of the intermediate ion formed during the reaction. The three examples given above produce these carbocations (carbonium ions) at the half-way stage of the reaction:



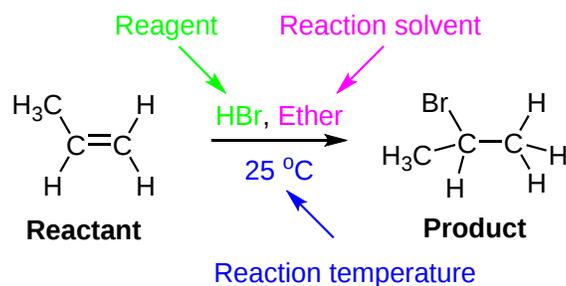
The stability of the intermediate ions governs the activation energy for the reaction. As you go towards the more complicated alkenes, the activation energy for the reaction falls. That means that the reactions become faster.

## REPRESENTING ORGANIC REACTIONS

Organic reaction equations are often written in one of two ways. The reactant for the reaction is written to the left of the reaction arrow. The products are written to the right of the arrow. The reagent for the reaction is written above the arrow. Other reaction conditions such as the solvent or the temperature can be written above or below the reaction arrow.



Alternatively the reactant and reagent can both be written to the left of the reaction arrow. This is typically done to highlight the importance of the reactant. The solvent and reaction temperature are still written above or below the reaction arrow. The reaction products are still written to the right of the reaction arrow.



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## 7.9: ORIENTATION OF ELECTROPHILIC ADDITIONS - MARKOVNIKOV'S RULE

### OBJECTIVES

After completing this section, you should be able to

- use Markovnikov's rule to predict the product formed when a protic acid, HX, reacts with an alkene.
- identify the protic acid, HX, and the alkene that must be reacted together to produce a given alkyl halide. [**Note:** Special conditions are needed if an alkyl iodide is to be produced.]
- distinguish among primary, secondary and tertiary carbocations.

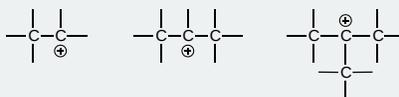
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- Markovnikov's rule
- regioselective (regiospecific)

### STUDY NOTES

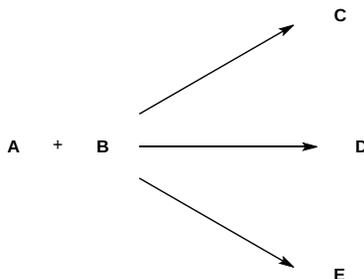
Recall the definitions of primary, secondary and tertiary hydrogen atoms given in Section 3.3. It follows that a "primary carbocation" is a carbocation in which the carbon atom carrying the positive charge is bonded to only one other carbon atom, a "secondary carbocation" is one in which the carbon atom carrying the positive charge is bonded to two other carbon atoms, and so on.



### REGIOSELECTIVITY

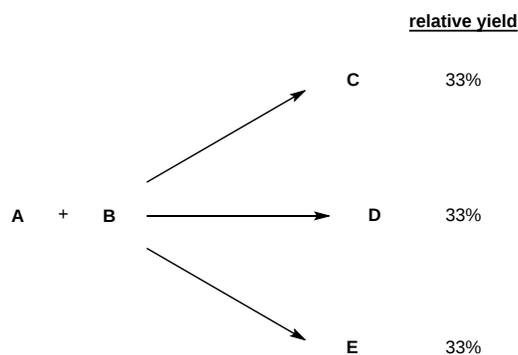
If more than one reaction could occur between a set of reactants under the same conditions giving products that are constitutional isomers and if one product forms in greater amounts than the others, the overall reaction is said to be regioselective.

Say three reactions could occur between the hypothetical reactants **A** and **B** under the same conditions giving the constitutionally isomeric products **C**, **D**, and **E**.



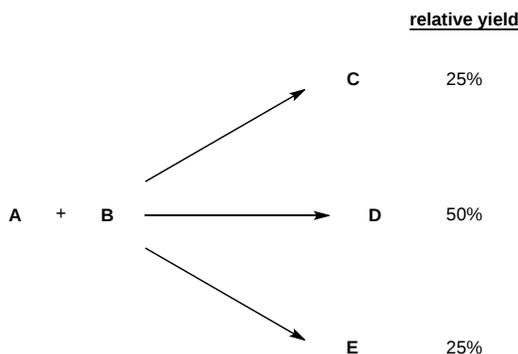
There are two possibilities:

1. The three products form in equal amounts, i.e., of the total product 33% is **C**, another 33% **D**, the remaining 33% **E**. (These percentages are called relative yields of the products.)



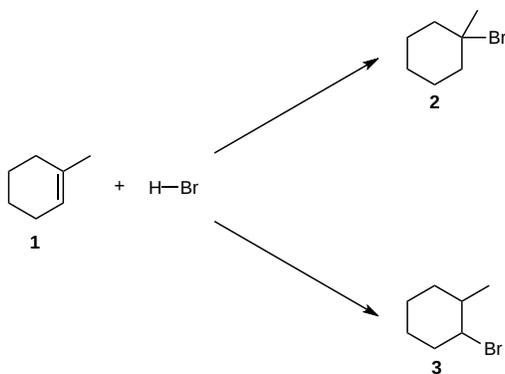
If this is what is observed, the overall reaction between **A** and **B** is not regioselective.

2. One product forms in greater amounts than the others. Say, for example, the relative yields of **C**, **D**, and **E** are 25%, 50%, and 25%, respectively.



If this is what is observed, the overall reaction between **A** and **B** is regioselective.

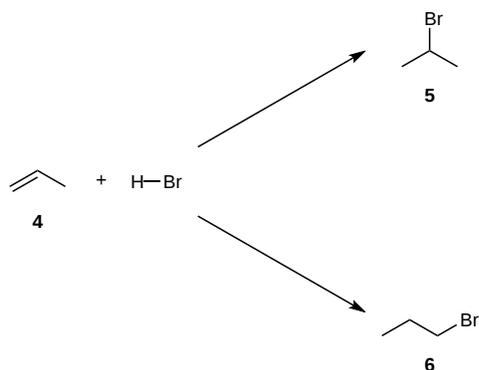
eg:



Experimentally, **2** is the major product; **3** is the minor product. Thus, the overall reaction between **1** and HBr is regioselective toward **2**.

If more than one reaction could occur between a set of reactants under the same conditions giving products that are constitutional isomers and if only one product is observed, the overall reaction is said to be 100% regioselective or regiospecific.

eg:

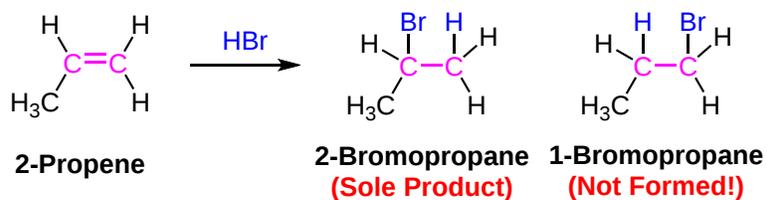


The only observed product is 5. (Relative yields of 5 and 6 are 100% and 0%, respectively.) Thus the overall reaction between 4 and HBr is regiospecific toward 5.

Regiospecificity is merely the limiting case of regioselectivity. All regiospecific reactions are regioselective, but not all regioselective reactions are regiospecific.

#### ADDITION TO UNSYMMETRICAL ALKENES

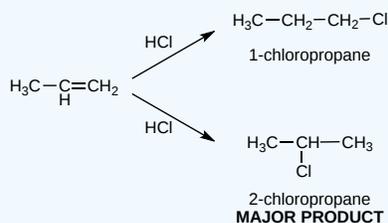
During the electrophilic addition of HX to an alkene, the halide (X) could attach to either carbon in the double bond producing two different isomers as products. But, when an unsymmetrically alkyl substituted alkene undergoes an electrophilic addition with HX a single isomer is typically produced. For example, if propene were reacted with HBr, two products could possibly form: 2-bromopropane and 1-bromopropane. However, 2-bromopropane is produced as the reaction's only product. Reactions are called regiospecific when only one of multiple possible isomers is exclusively formed.



#### ✓ EXAMPLE 7.9.1

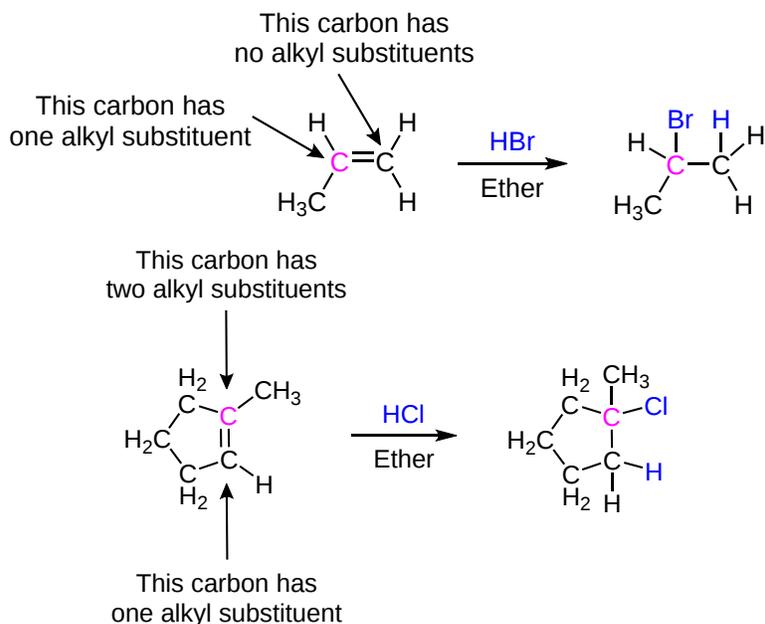
If HCl adds to an unsymmetrical alkene like propene what will the major product be?

#### Solution

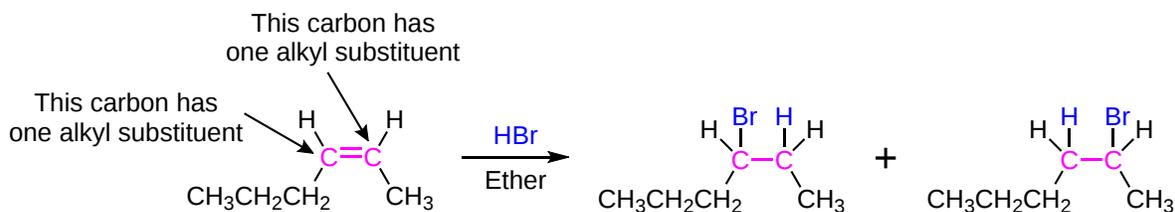


The regiospecificity of electrophilic additions to alkenes is commonly known as **Markovnikov's rule**, after the Russian chemist Vladimir Markovnikov who proposed it in 1869. The electrophilic addition of HX to an alkene is said to follow Markovnikov's rule.

**Markovnikov's rule:** During the electrophilic addition of HX to an alkene, the H adds to the carbon of the double bond with the fewest number of alkyl substituents. The halide (X) adds to the double bond carbon with the most alkyl substituents. Although Markovnikov's rule has been specifically stated for the electrophilic addition of HX, later in this chapter many more reaction will be shown to also follow Markovnikov's rule.

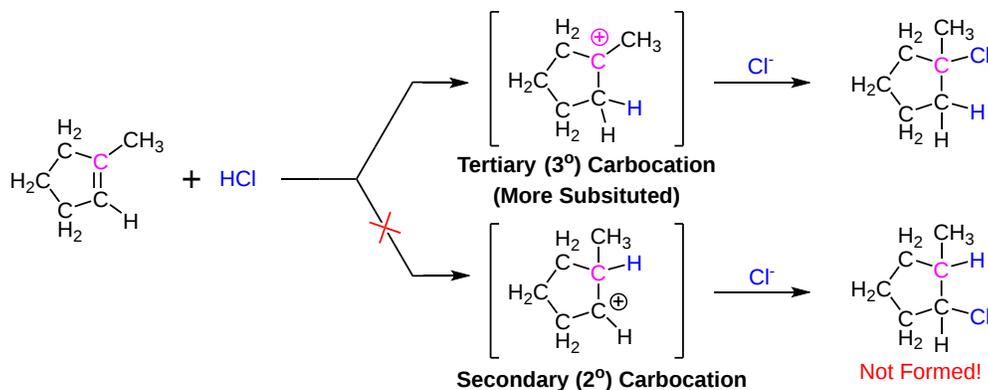


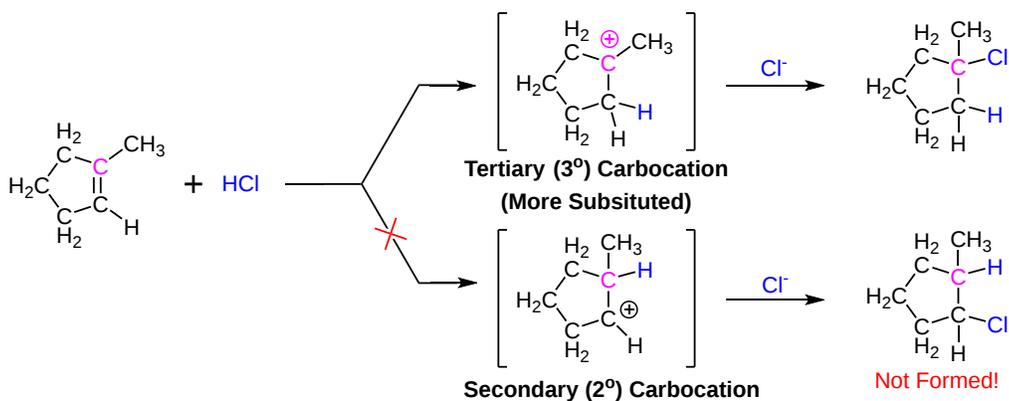
It is important to point out that Markovnikov's rule only truly applies when there is a difference between the number of alkyl groups attached to each carbon in the double bond. When both carbon of the double bond have the same degree of alkyl substitution, Markovnikov's rule becomes void and a mixture of both possible isomers is produced.



To consider an explanation for why Markovnikov's rule holds true, the mechanism of the reaction needs to be considered. As seen in the previous section, the mechanism starts with the addition of H to a carbon in the double bond. This in turn caused the other double bond carbon to become a carbocation intermediate. In the second step of the mechanism the halide ion attacks the carbocation to form a C-X. Because Markovnikov's rule says that the halogen adds to the carbon in the double bond with the most alkyl substituents, it can be said the carbocation also prefers to form on the carbon with the most alkyl substituents. The reason for this holds the explanation for Markovnikov's rule. To simplify this idea, Markovnikov's rule can be restated in a different form.

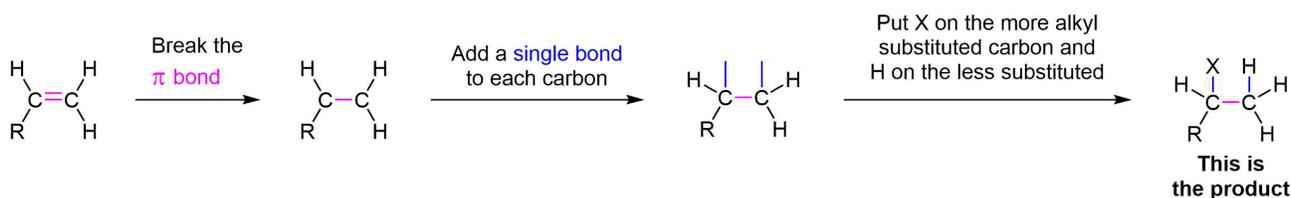
**Markovnikov's rule:** During the electrophilic addition of HX to an alkene, the carbocation intermediate forms on the double bond carbon with the greatest number of alkyl substituents.





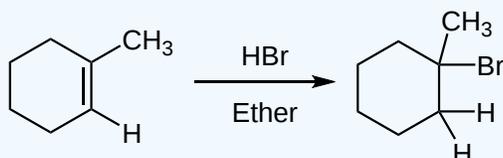
## PREDICTING THE PRODUCT OF AN ELECTROPHILIC ADDITION WITH HX

Overall, during the electrophilic addition of HX to an alkene there are two major changes in the bonding. First, the pi bond of the alkene is broken. Second, a single bond is formed on each carbon that was originally in the double bond. The two single bonds will become attached to and H and an X. If the alkene is unsymmetrically alkyl substituted, Markovnikov's rule is followed and the X will be bonded to the more alkyl substituted carbon and the H to the less substituted. If the alkene is symmetrically alkyl substituted a mixture of isomers will be produced in the product.



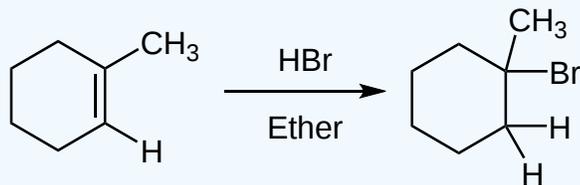
### ? WORKED EXAMPLE 7.9.1

Please draw the product of the following reaction:



#### Answer

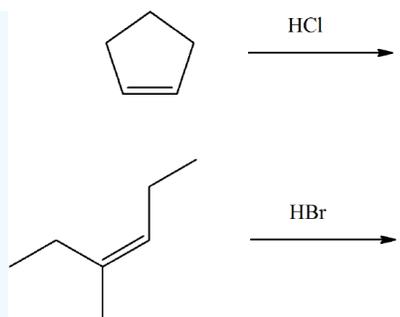
In answering these types of questions it is always important to first determine which reaction is occurring. Because an alkene is the reactant and HBr is the product this reaction is an electrophilic addition. Overall, the double bond will be broken as H and Br are added. The next step is to determine if Markovnikov's rule needs to be applied. In the reactant's double bond the upper carbon has two alkyl substituents and the lower carbon has only two. Markovnikov's rule says that Br will attach to the upper carbon and H to the lower.



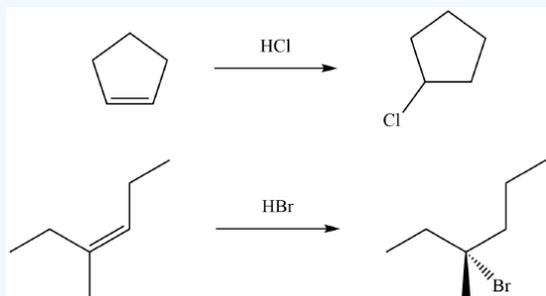
## PLANNING THE SYNTHESIS OF AN ALKYL HALIDE USING ELECTROPHILIC ADDITION

Understanding the starting material and reaction required for the synthesis a specific target molecule is an important concept in organic chemistry. The preferred method for answering these types of questions is to work backwards from the target molecule. Often there will be



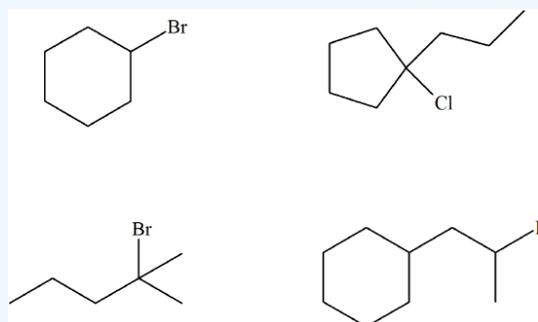


Answer

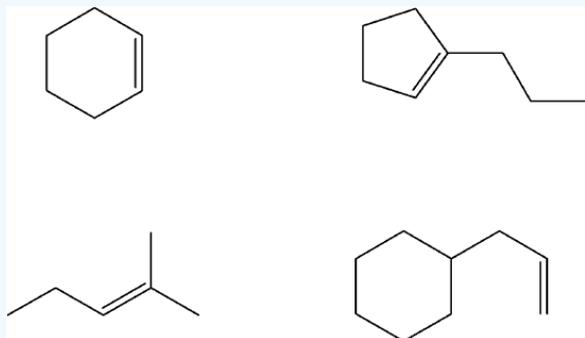


### ? EXERCISE 7.9.2

In each case, suggest an alkene that would give the product shown.

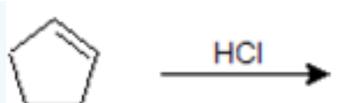


Answer



### ? EXERCISE 7.9.3

Give the IUPAC name for the product of the following reaction.



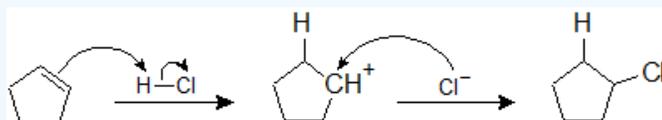
Answer



### ? EXERCISE 7.9.4

Draw the reaction mechanism of the previous problem

Answer



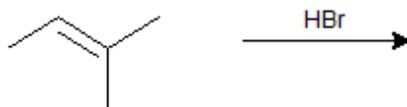
### ? EXERCISE 7.9.5

Identify the products of the following reactions.

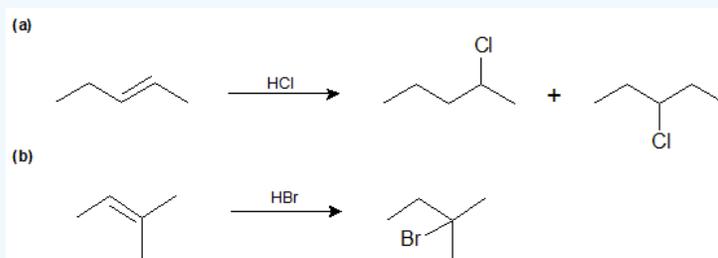
(a)



(b)



Answer



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## 7.10: CARBOCATION STRUCTURE AND STABILITY

### OBJECTIVES

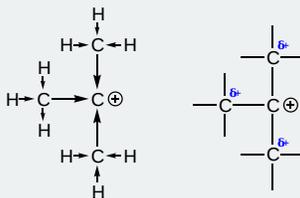
After completing this section, you should be able to

- describe the geometry of a given carbocation.
- arrange a given series of carbocations in order of increasing or decreasing stability.
- explain the relative stability of methyl, primary, secondary and tertiary carbocations in terms of hyperconjugation and inductive effects.

### STUDY NOTES

Although [hyperconjugation](#) can be used to explain the relative stabilities of carbocations, this explanation is certainly not the only one, and is by no means universally accepted. A more common explanation, involving the concept of an inductive effect, is given below.

It is a general principle in chemistry that the more a charge is dispersed, the more stable is the species carrying the charge. Put simply, a species in which a positive charge is shared between two atoms would be more stable than a similar species in which the charge is borne wholly by a single atom. In a tertiary carbocation, the positively charged carbon atom attracts the bonding electrons in the three carbon-carbon sigma ( $\sigma$ ) bonds, and thus creates slight positive charges on the carbon atoms of the three surrounding alkyl groups (and, indeed, on the hydrogen atoms attached to them). Chemists sometimes use an arrow to represent this inductive release:



**Note:** These diagrams do not reflect the geometry of the carbocation. The overall charge on the carbocation remains unchanged, but some of the charge is now carried by the alkyl groups attached to the central carbon atom; that is, the charge has been dispersed.

In the tertiary carbocation shown above, the three alkyl groups help to stabilize the positive charge. In a secondary carbocation, only two alkyl groups would be available for this purpose, while a primary carbocation has only one alkyl group available. Thus the observed order of stability for carbocations is as follows:

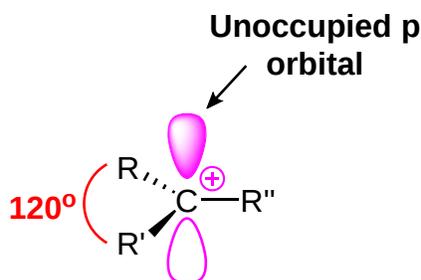
tertiary > secondary > primary > methyl.

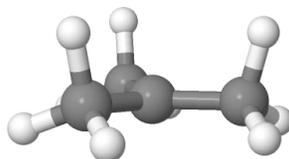
### STABILITY OF CARBOCATION INTERMEDIATES

The next step in understanding why Markovnikov's rule is often followed in electrophilic additions, involves understanding the structure and stability of the carbocation intermediate formed during the mechanism.

### CARBOCATION STRUCTURE

Carbocations typically have three substituents which makes the carbon  $sp^2$  hybridized and gives the overall molecule a trigonal planar geometry. The carbocation's substituents are all in the same plane and have a bond angle of  $120^\circ$  between them. The carbon atom in the carbocation is electron deficient; it only has six valence electrons which are used to form three sigma covalent bonds with the substituents. The carbocation carbon has an unoccupied p orbital which is perpendicular to the plane created by the substituents. The p orbital can easily accept electron pairs during reactions making carbocations excellent Lewis acids.

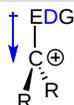




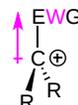
## STABILITY OF CARBOCATION INTERMEDIATES

By being a reactive intermediate of the electrophilic addition mechanism, the stability of a carbocation has a direct effect on the reaction. The critical question now becomes, *what stabilizes a carbocation?*

A positively charged species such as a carbocation is very electron-poor, and thus anything which donates electron density will help to stabilize it. Conversely, a carbocation will be *destabilized* by an electron withdrawing group.



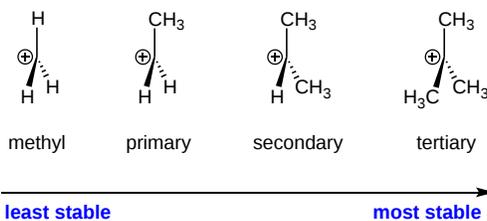
electron **donating** group stabilizes a carbocation



electron **withdrawing** group destabilizes a carbocation

Extensive experimental evidence has shown that a carbocation becomes more stable as the number of alkyl substituents increases. Carbocations can be given a designation based on the number of alkyl groups attached to the carbocation carbon. Three alkyl groups is called a tertiary ( $3^\circ$ ) carbocation, 2 alkyl groups is called secondary ( $2^\circ$ ), and 1 alkyl group is called primary ( $1^\circ$ ). No alkyl groups are attached (3 hydrogen substituents) is called a methyl carbocation.

The overall order of stability is as follows:

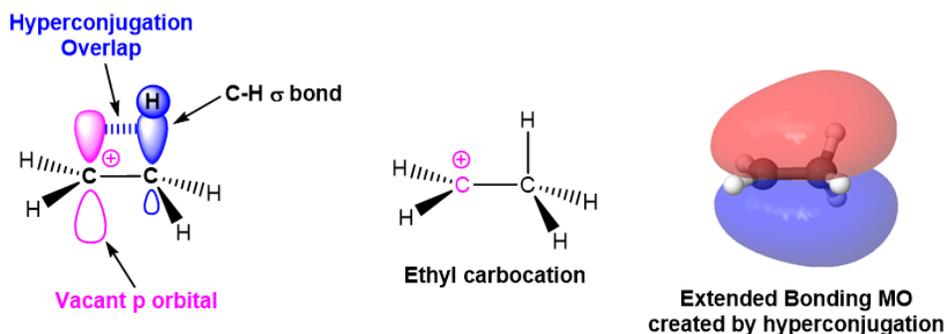


Alkyl groups stabilize carbocations for two reasons. The first is through inductive effects. As discussed in **Section 2-1**, inductive effects occur when the electrons in covalent bonds are shifted towards a nearby atom with a higher electronegativity. In this case, the positively charged carbocation draws in electron density from the surrounding substituents thereby gaining stabilization by slightly reducing its positive charge. Alkyl groups are more effective at inductively donating electron density than a hydrogen because they are larger, more polarizable, and contain more bonding electrons. As more alkyl groups are attached to the carbocation more inductive electron donation occurs and the carbocation becomes more stable.

The second reason alkyl groups stabilize carbocations is through hyperconjugation. As previously discussed in **Section 7.6**, hyperconjugation is an electron donation that occurs from the parallel overlap of p orbitals with adjacent hybridized orbitals participating in sigma bonds. This electron donation serves to stabilize the carbocation. As the number of alkyl substituents increases, the number of sigma bonds available for hyperconjugation increases, and the carbocation tends to become more stabilized.

In the example of ethyl carbocation shown below, the p orbital from a  $sp^2$  hybridized carbocation carbon involved interacts with a  $sp^3$  hybridized orbital participating in an adjacent C-H sigma bond. Electron density from the C-H sigma bond is donated into carbocation's p orbital providing stabilization.

The molecular orbital of the ethyl carbocation shows the interaction of electrons in methyl group's C-H sigma bonds with the adjacent empty p orbital from the carbocation. The interaction creates a bonding molecular orbital which extends over the three atom chain (C-C-H) involved in hyperconjugation. The expanded molecular orbital helps to stabilize the carbocation.



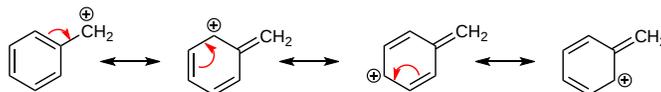
It is not accurate to say, however, that carbocations with higher substitution are *always* more stable than those with less substitution. Just as electron-donating groups can stabilize a carbocation, electron-withdrawing groups act to destabilize carbocations. Carbonyl groups are electron-withdrawing by inductive effects, due to the polarity of the C=O double bond. It is possible to demonstrate in the laboratory that carbocation A below is more stable than carbocation B, even though A is a primary carbocation and B is secondary.



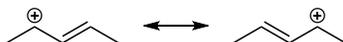
The difference in stability can be explained by considering the electron-withdrawing inductive effect of the ester carbonyl. Recall that inductive effects - whether electron-withdrawing or donating - are relayed through covalent bonds and that the strength of the effect decreases rapidly as the number of intermediary bonds increases. In other words, the effect decreases with distance. In species B the positive charge is closer to the carbonyl group, thus the destabilizing electron-withdrawing effect is stronger than it is in species A.

In the next chapter we will see how the carbocation-destabilizing effect of electron-withdrawing fluorine substituents can be used in experiments designed to address the question of whether a biochemical nucleophilic substitution reaction is  $S_N1$  or  $S_N2$ .

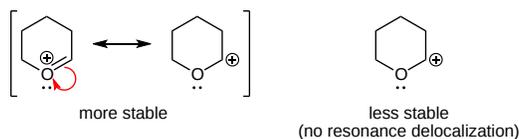
Stabilization of a carbocation can also occur through resonance effects, and as we have already discussed in the acid-base chapter, resonance effects as a rule are more powerful than inductive effects. Consider the simple case of a **benzylic** carbocation:

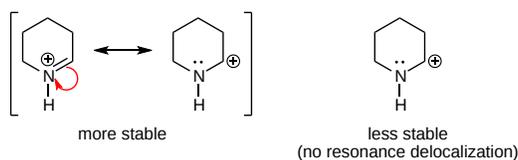


This carbocation is comparatively stable. In this case, electron donation is a resonance effect. Three additional resonance structures can be drawn for this carbocation in which the positive charge is located on one of three aromatic carbons. The positive charge is not isolated on the benzylic carbon, rather it is delocalized around the aromatic structure: this delocalization of charge results in significant stabilization. As a result, benzylic and **allylic** carbocations (where the positively charged carbon is conjugated to one or more non-aromatic double bonds) are significantly more stable than even tertiary alkyl carbocations.



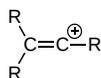
Because heteroatoms such as oxygen and nitrogen are more electronegative than carbon, you might expect that they would by definition be electron withdrawing groups that destabilize carbocations. In fact, the opposite is often true: if the oxygen or nitrogen atom is in the correct position, the overall effect is carbocation stabilization. This is due to the fact that although these heteroatoms are electron *withdrawing* groups by induction, they are electron *donating* groups by resonance, and it is this resonance effect which is more powerful. (We previously encountered this same idea when considering the relative acidity and basicity of phenols and aromatic amines in [section 7.4](#)). Consider the two pairs of carbocation species below:





In the more stable carbocations, the heteroatom acts as an electron donating group by resonance: in effect, the lone pair on the heteroatom is available to delocalize the positive charge. In the less stable carbocations the positively-charged carbon is more than one bond away from the heteroatom, and thus no resonance effects are possible. In fact, in these carbocation species the heteroatoms actually *destabilize* the positive charge, because they are electron withdrawing by induction.

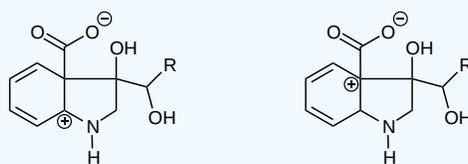
Finally, **vinylic** carbocations, in which the positive charge resides on a double-bonded carbon, are very unstable and thus unlikely to form as intermediates in any reaction.



a vinylic carbocation (very unstable)

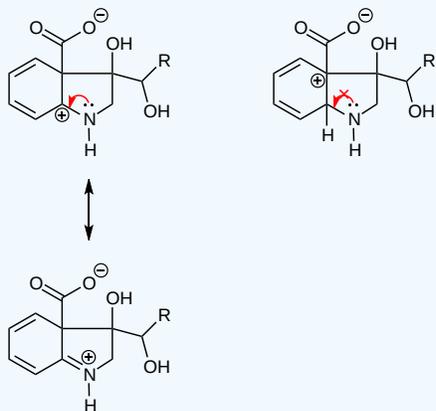
### ✓ EXAMPLE 7.10.1

In which of the structures below is the carbocation expected to be more stable? Explain.



#### Answer

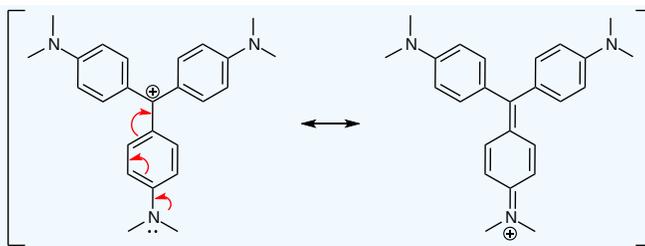
In the carbocation on the left, the positive charge is located in a position relative to the nitrogen such that the lone pair of electrons on the nitrogen can be donated to fill the empty orbital. This is not possible for the carbocation species on the right.



### ✓ EXAMPLE 7.10.2

Draw a resonance structure of the crystal violet cation in which the positive charge is delocalized to one of the nitrogen atoms.

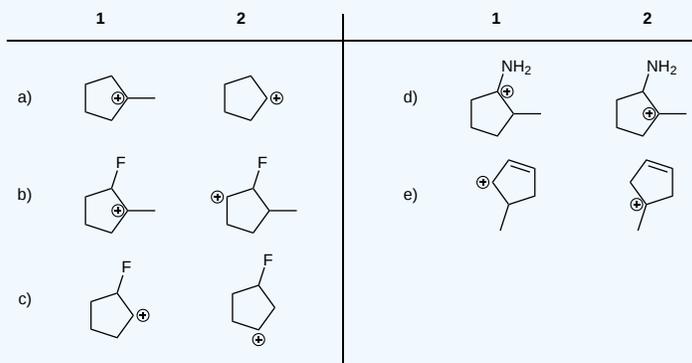
#### Answer



When considering the possibility that a nucleophilic substitution reaction proceeds *via* an  $S_N1$  pathway, it is critical to evaluate the stability of the hypothetical carbocation intermediate. If this intermediate is not sufficiently stable, an  $S_N1$  mechanism must be considered unlikely, and the reaction probably proceeds by an  $S_N2$  mechanism. In the next chapter we will see several examples of biologically important  $S_N1$  reactions in which the positively charged intermediate is stabilized by inductive and resonance effects inherent in its own molecular structure.

### ✓ EXAMPLE 7.10.3

State which carbocation in each pair below is more stable, or if they are expected to be approximately equal. Explain your reasoning.



#### Answer

- 1 (tertiary vs. secondary carbocation)
- 1 (tertiary vs. secondary carbocation)
- 2 (positive charge is further from electron-withdrawing fluorine)
- 1 (lone pair on nitrogen can donate electrons by resonance)
- 1 (allylic carbocation – positive charge can be delocalized to a second carbon)

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## 7.11: THE HAMMOND POSTULATE

### OBJECTIVE

After completing this section, you should be able to use the Hammond postulate to explain the formation of the most stable carbocation during the addition of a protic acid, HX, to an alkene.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- Hammond postulate

So far in this chapter the following points have been made about the electrophilic addition of HX to a double bond.

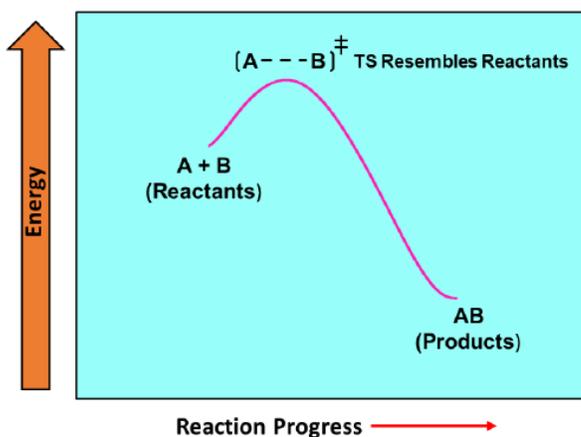
- The reaction takes place through a two step mechanism which forms a carbocation intermediate.
- During electrophilic addition the carbocation intermediate, and the subsequent H-X bond, forms on the double bond carbon with the most alkyl substituents (**Markovnikov's rule**)
- Carbocations become more stable as the number of alkyl substituents increases.

It appears that the stability of the carbocation reactive intermediate has a direct effect on the products of a reaction. However, it is the activation energy required to reach the transition state of the reaction's rate determine step which determines which determines which product is produced. This implies that there is a relationship between the transition state and the carbocation reactive intermediate in the mechanism of electrophilic addition.

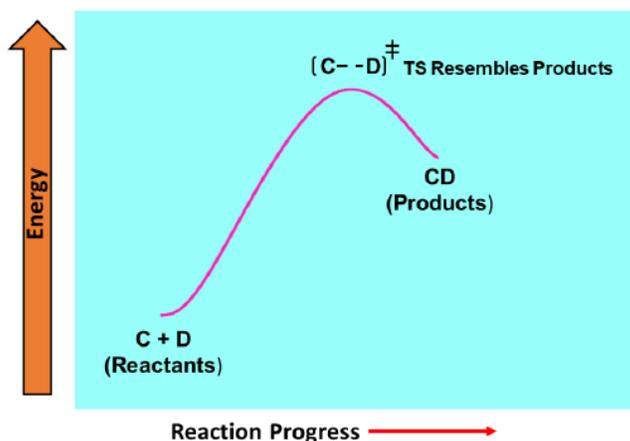
### THE HAMMOND POSTULATE

Chemists are often very interested in the structures of the transition states in a reaction's mechanism. In particular, the transition state for a mechanism's rate determining step directly determines the energy of activation barrier and thereby the rate for the overall reaction. Understanding the structure of a transition state allows chemists to consider structural features which might stabilize or destabilize the transition state causing a corresponding change in the rate of reaction. However, transition state structures cannot be directly observed because they are highly unstable activated complexes which instantly convert to a more stable species. In order to gain some insight into the structure of particular transition state, chemists often invoke the **Hammond postulate**, which states that *a transition state resembles the structure of the nearest stable species* (reactant, intermediate or product).

For an exergonic reaction, the transition state is closer in energy to the reactants. Therefore, the structure of the transition state can assumed to resemble the reactants more than the products. Shown below is a hypothetical exergonic reaction between reactant compounds A and B to form the product AB. The Hammond postulate would theorize that the distance between A and B in the transition state would be relatively large thus resembling the reactants where A and B are two isolated species.

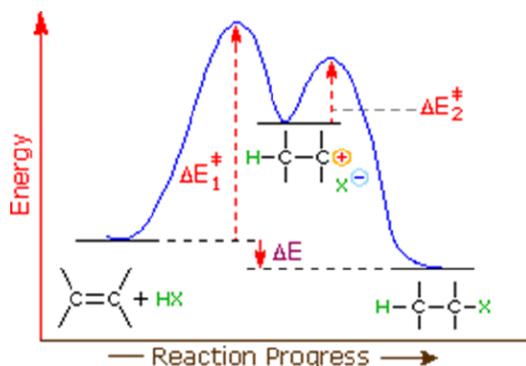


For an endergonic reaction, the transition state is closer in energy to the product. Therefore, the structure of the transition state can assumed to resemble the products more than the reactants. In the hypothetical endergonic reaction shown below, reactant compounds C and D react to form the product CD. The Hammond postulate would predict that the distance between C and D in the transition state would be relatively small thus resembling the products where C and D are bonded together as a single product CD.

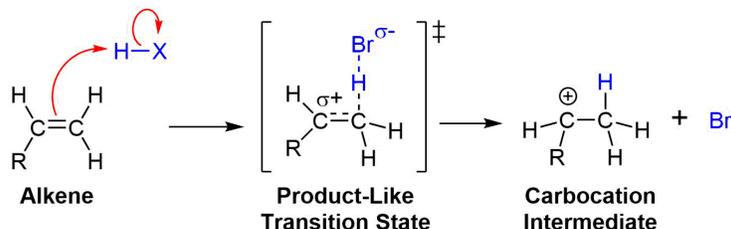


### THE HAMMOND POSTULATE AND ELECTROPHILIC ADDITION

By applying the Hammond postulate and other ideas cultivated in this chapter the reason why electrophilic additions tend to follow Markovnikov's rule. When the energy diagram of an electrophilic addition was discussed in **Section 7.2**, it was noted the first step of the mechanism was the rate determining step. The first step of the mechanism also is endergonic and results in the formation of a carbocation intermediate.

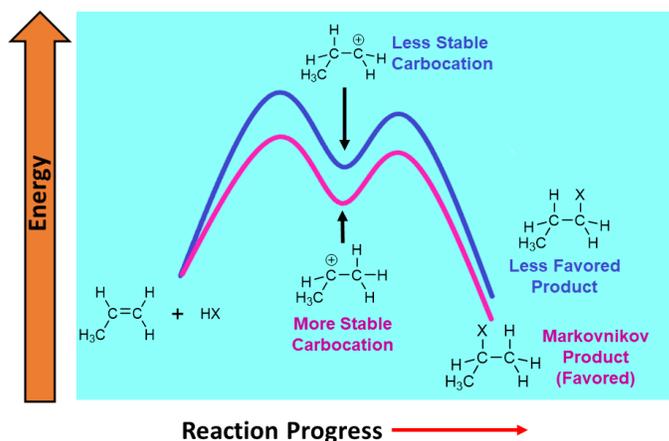
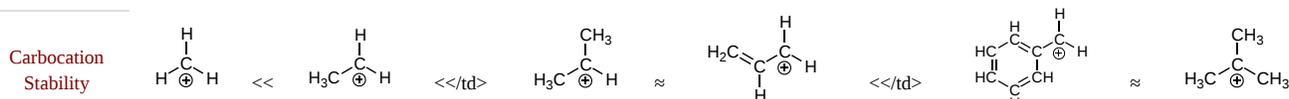


The Hammond postulate suggests that the transition state structure for the first step of the mechanism resembles that of the carbocation intermediate because they are the closest in energy. A transition state, seen below, is typically drawn as a theoretical structure part way between the reactants and the product. For this transition state the pi bonds and the H-Br bond are in the process of being broken and are represented with a dashed line. The C-H bond is in the process of being formed so it also represented with a dashed line. The bromine is shown with a partial negative charge ( $\sigma^-$ ) because it is becoming a bromide ion ( $\text{Br}^-$ ) which has a full negative charge. Most importantly, the carbon is in the process of becoming a carbocation so it is shown to have a partial positive charge ( $\sigma^+$ ).



Because the Hammond postulate predicts this transition state closely resembles the carbocation intermediate, the partial positive charge can be said to closely resemble the full positive charge of the carbocation. Consequently, any structural feature that stabilized the carbocation intermediate will also stabilize the transition state. The partial positive charge of the transition state is stabilized by adjacent alkyl groups through inductive effects and hyperconjugation much like the carbocation intermediate. Adding more alkyl substituents to the partially positive charged carbon stabilizes the transition state, causing it to become lower in energy. This in turn, decreases the energy of activation and increases the rate of the reaction. In short, during an electrophilic addition, the double bond carbon with the most alkyl substituents will form a carbocation intermediate and therefore its C-X bond faster than the double bond carbon with fewer alkyl substituents. These effects

cause electrophilic additions to follow Markovnikov's rule and place the halogen (X) group on the more substituted carbon of asymmetrically alkyl substituted double bond.

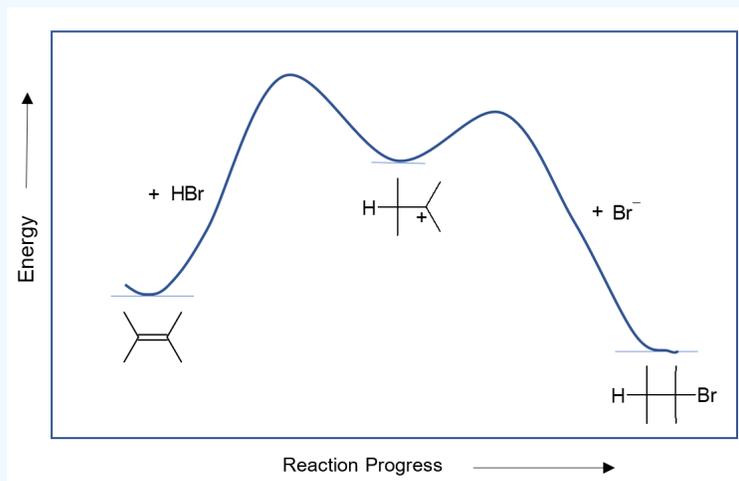


### ? EXERCISE 7.11.1

Consider the second step in the electrophilic addition of HBr to an alkene. Is this step exergonic or endergonic and does the transition state represent the product or the reactant (cation)? Draw out an energy diagram of this step reaction.

#### Answer

Exergonic and the transition state (second step) represents the reactant (cation). As shown to go from intermediate cation to final product the step is exergonic.

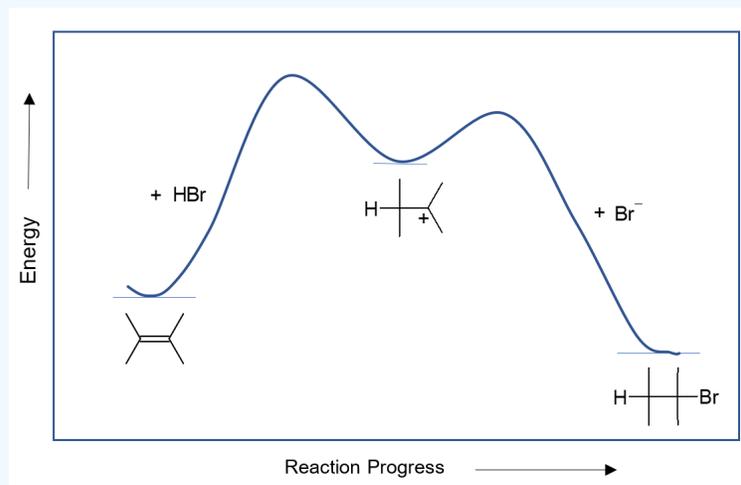


### ? EXERCISE 7.11.2

Consider the second step in the electrophilic addition of HBr to an alkene. Is this step exergonic or endergonic and does the transition state represent the product or the reactant (cation)? Draw out an energy diagram of this step reaction.

### Answer

Exergonic and the transition state (second step) represents the reactant (cation). As shown to go from intermediate cation to final product the step is exergonic.



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## 7.12: EVIDENCE FOR THE MECHANISM OF ELECTROPHILIC ADDITIONS - CARBOCATION REARRANGEMENTS

### OBJECTIVE

After completing this section, you should be able to explain the “unusual” products formed in certain reactions in terms of the rearrangement of an intermediate carbocation.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- alkyl shift
- hydride shift

### STUDY NOTES

Whenever possible, carbocations will rearrange from a less stable isomer to a more stable isomer. This rearrangement can be achieved by either a hydride shift, where a hydrogen atom migrates from one carbon atom to the next, taking a pair of electrons with it; or an alkyl shift, in which an alkyl group undergoes a similar migration, again taking a bonding pair of electrons with it. These migrations usually occur between neighbouring carbon atoms, and hence are termed 1,2-hydride shifts or 1,2-alkyl shifts.

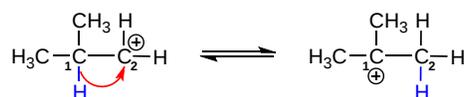
[A hydride ion consists of a proton and two electrons, that is,  $[H:]^-$ . Hydride ions exist in compounds such as sodium hydride, NaH, and calcium hydride,  $CaH_2$ .]

An electrophilic reaction such as HX with an alkene will often yield more than one product. This is strong evidence that the mechanism includes intermediate rearrangement steps of the cation.

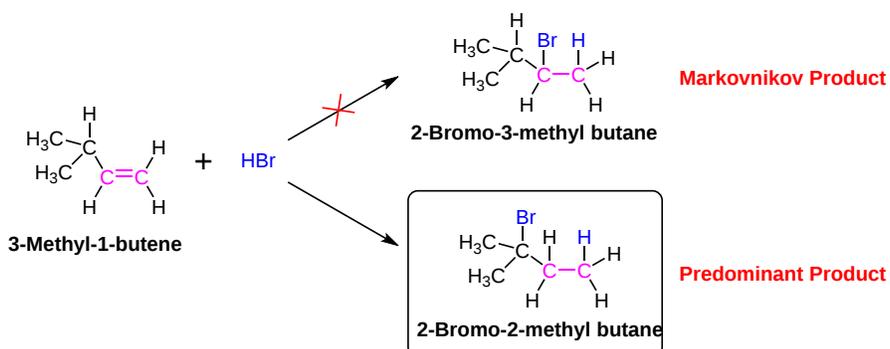
Throughout this textbook many reaction mechanisms will be presented. It is impossible to know with absolute certainty that a mechanism is correct. At best a proposed mechanism can be shown to be consistent with existing experimental data. Virtually all of the mechanisms in this textbook have been carefully studied by experiments designed to test their validity although the details are not usually discussed. An excellent example of experimental evidence which supports the carbocation based mechanism for electrophilic addition, is that structural rearrangements often occur during the reaction.

### 1,2-HYDRIDE SHIFT

A 1,2-hydride shift is a carbocation rearrangement in which a hydrogen atom in a carbocation migrates to the carbon atom bearing the formal charge of +1 (carbon 2 in the example below) from an adjacent carbon (carbon 1).

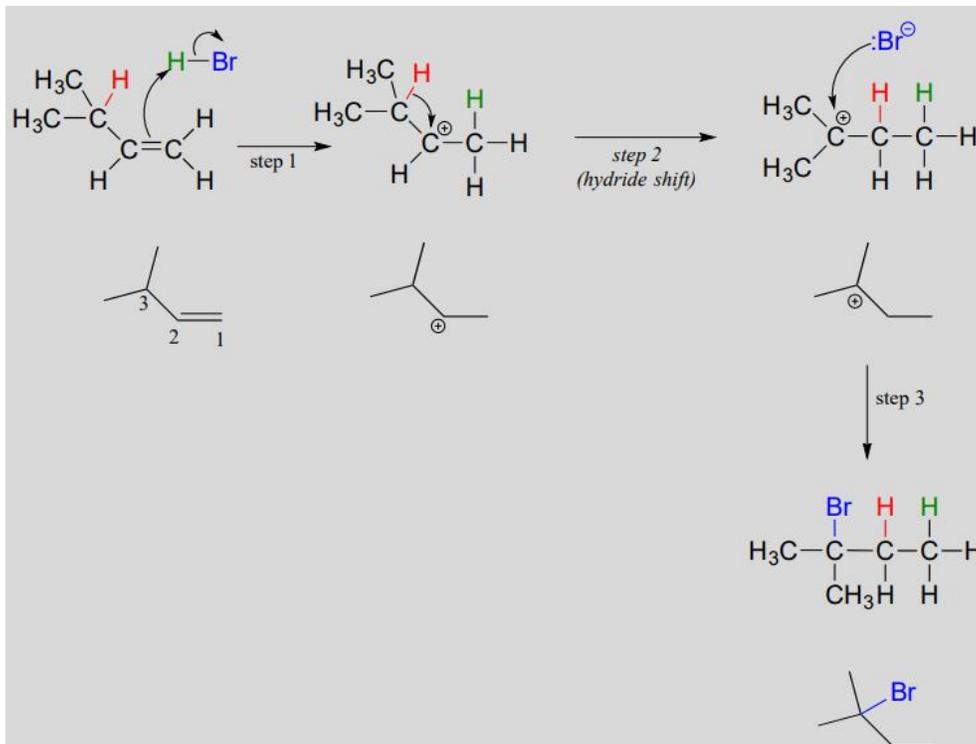


An example of this structural rearrangement occurs during the reaction of 3-methyl-1-butene with HBr. Markovnikov's rule predicts that the preferred product would be 2-bromo-3-methylbutane, however, very little of this product forms. The predominant product is actually 2-bromo-2-methylbutane.

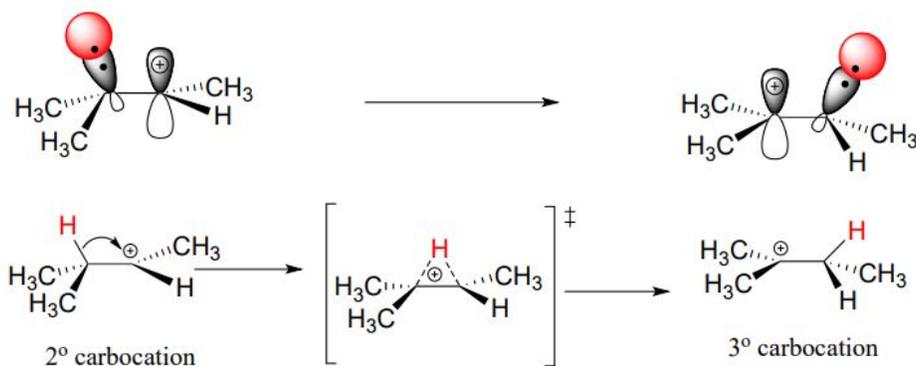


## MECHANISM OF HYDRIDE SHIFT

This result comes from a **Hydride Shift** during the reaction mechanism. The mechanism begins with protonation of the alkene which places a positive charge on the more alkyl substituted double bond carbon resulting in a secondary carbocation. In step 2, The electrons in the C-H bond on carbon #3 are attracted by the positive charge on carbon #2, and they simply shift over to fill the carbocation's empty  $p$  orbital, pulling the proton over with them. The process called a carbocation rearrangement, and more specifically, a hydride shift. A hydride ion ( $\text{H}^-$ ) is a proton plus two electrons which not to be confused with  $\text{H}^+$ , which is just a proton without any electrons. Notice that the hydride, in shifting, is not acting as an actual leaving group - a hydride ion is a very strong base and a very poor leaving group.



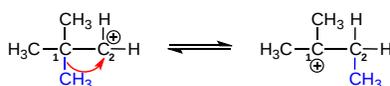
As the hydride shift proceeds, a new  $\text{C}-\text{H}$   $\sigma$  bond is formed at carbon #2, and carbon #3 is left with an empty  $p$  orbital and a positive charge.



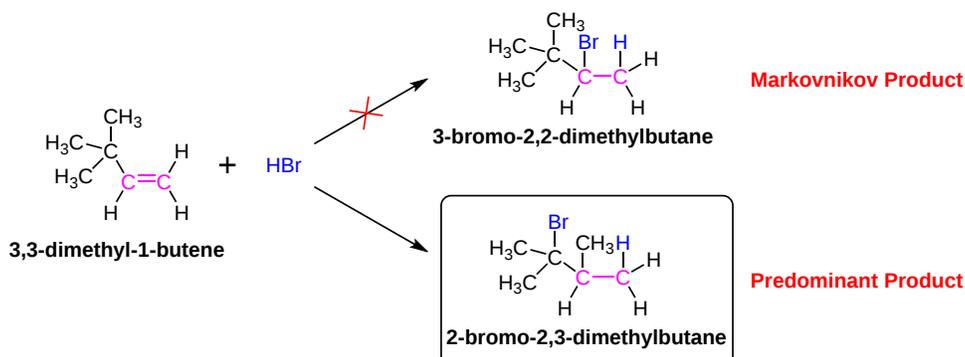
What is the thermodynamic driving force for this process? Notice that the hydride shift results in the conversion of a secondary carbocation (on carbon 2) to a (more stable) tertiary carbocation (on carbon 3) - a thermodynamically downhill step. As it turns out, the shift occurs so quickly that it is accomplished before the bromide nucleophile has time to attack at carbon #2. Rather, the bromide will attack after the hydride shift (step 3) at carbon #3 to complete the addition.

## 1,2-ALKYL SHIFT

A 1,2-alkyl shift is a carbocation rearrangement in which an alkyl group migrates to the carbon atom bearing the formal charge of +1 (carbon 2) from an adjacent carbon atom (carbon 1), e.g.



Consider another example. When HBr is added to 3,3-dimethyl-1-butene the preferred product is 2-bromo-2,3-dimethylbutane and not 3-bromo-2,2-dimethylbutane as predicted by Markovnikov's rule.

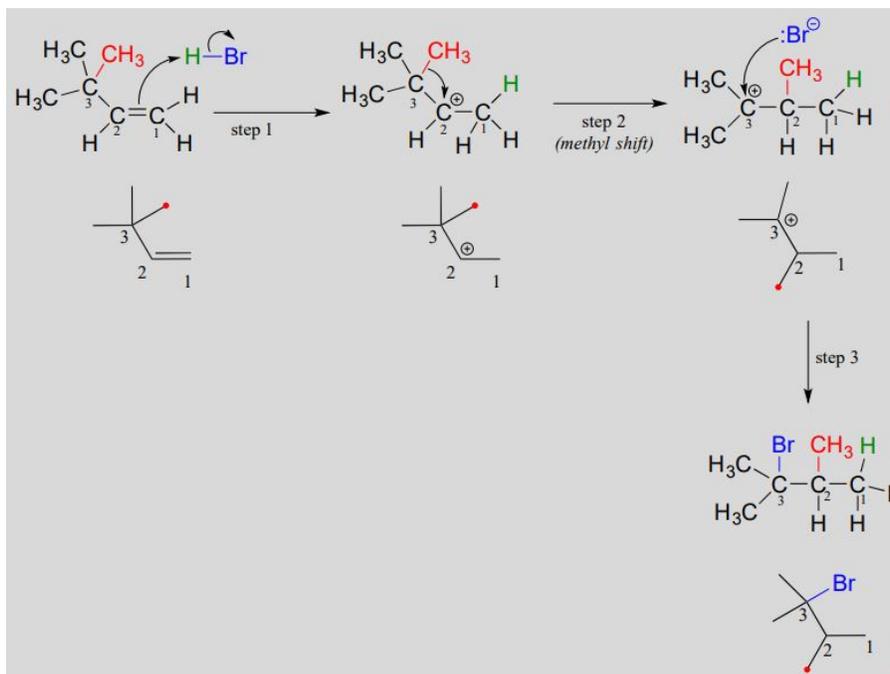


Notice that in the observed product, the carbon framework has been rearranged: a methyl carbon has been shifted. This is an example of another type of carbocation rearrangement, called an **alkyl shift** or more specifically a **methyl shift**.

### MECHANISM OF ALKYL SHIFT

Below is the mechanism for the reaction. Once again a secondary carbocation intermediate is formed in step 1. In this case, there is no hydrogen on carbon #3 available to shift over create a more stable tertiary carbocation. Instead, it is a methyl group that does the shifting, as the electrons in the carbon-carbon  $\sigma$  bond shift over to fill the empty orbital on carbon #2 (step 2 below). The methyl shift results in the conversion of a secondary carbocation to a more stable tertiary carbocation. It is this tertiary carbocation which is attacked by the bromide nucleophile to make the rearranged end product. The end result is a rearrangement of the carbon framework of the molecule.

Electrophilic addition with methyl shift:



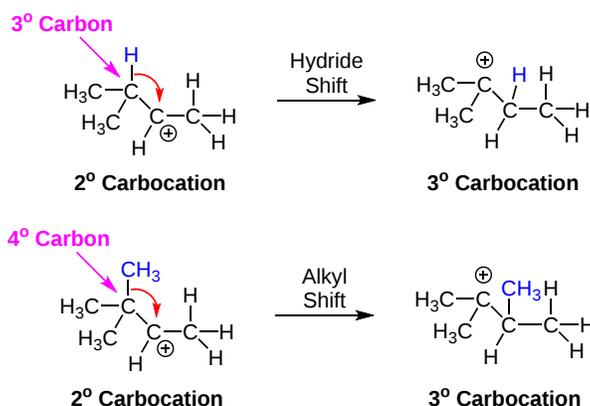
### PREDICTING THE PRODUCT OF A CARBOCATION REARRANGEMENT

Carbocation shifts occur in many more reactions than just electrophilic additions as some of which will be discussed in subsequent chapters of this textbook. Whenever a carbocation is produced in a reaction's mechanism the possibility of rearrangements should be considered. As discussed in Section 7.9, there are multiple ways to stabilize a carbocation all of which could induce a rearrangement.

The most common situation for a rearrangement to occur during electrophilic addition is:

## A 2° CARBOCATION WITH A 3° OR 4° ALKYL SUBSTITUENT

When considering the possibility of a carbocation rearrangement the most important factors are the designation of the carbocation formed and the designation of the alkyl groups attached to the carbocation. When a 2° carbocation has a 3° alkyl substituent a hydride shift will occur to create a more stable 3° carbocation. When a 2° carbocation has a 4° alkyl substituent an alkyl shift will occur to create a more stable 3° carbocation.



## DRAWING THE REARRANGED PRODUCT

First, draw the unrearranged product. Add HX to the double bond following Markovnikov's rule if necessary. Then determine if a hydride or alkyl shift is occurring by observing the designation of the alkyl substituent. The switch  $X \leftrightarrow H$  for a hydride shift and  $X \leftrightarrow CH_3$  for an alkyl shift this will produce the rearranged product.

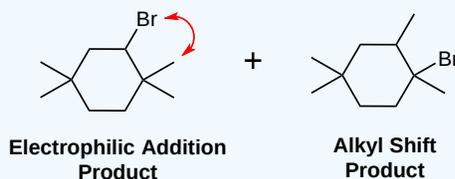


### ? EXERCISE 7.12.1

Draw the expected products of the following reaction.

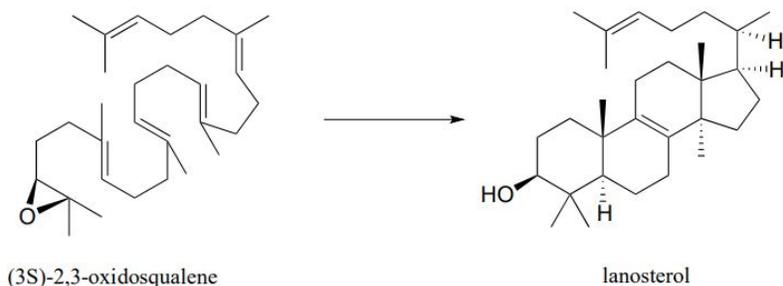


Answer



## BIOLOGICAL CARBOCATION REARRANGEMENT

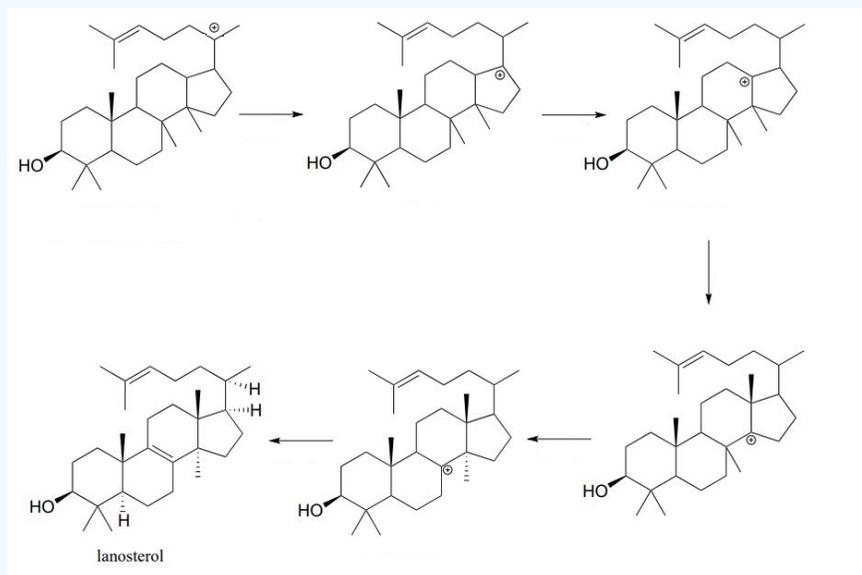
Carbocation rearrangements are involved in many known biochemical reactions. Rearrangements are particularly important in carbocation-intermediate reactions in which isoprenoid molecules cyclize to form complex multi-ring structures. For example, one of the key steps in the biosynthesis of cholesterol is the electrophilic cyclization of oxidosqualene to form a steroid called lanosterol.



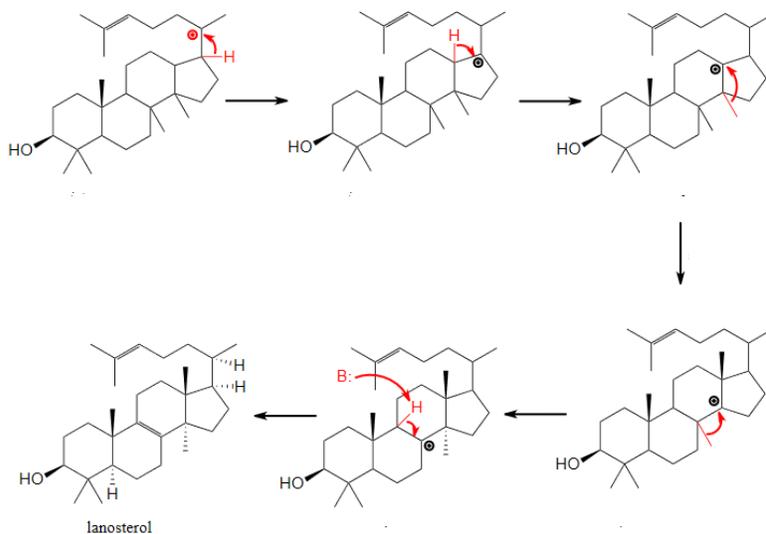
This complex but fascinating reaction has two phases. The first phase is where the actual cyclization takes place, with the formation of four new carbon-carbon bonds and a carbocation intermediate. The second phase involves a series of hydride and methyl shifts culminating in a deprotonation. In the exercise below, you will have the opportunity to work through the second phase of the cyclase reaction mechanism.

### ? EXERCISE 7.12.1

The second phase of the cyclase reaction mechanism involves multiple rearrangement steps and a deprotonation. Please supply the missing mechanistic arrows.

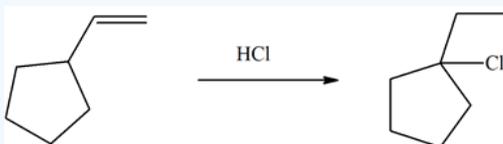


Answer

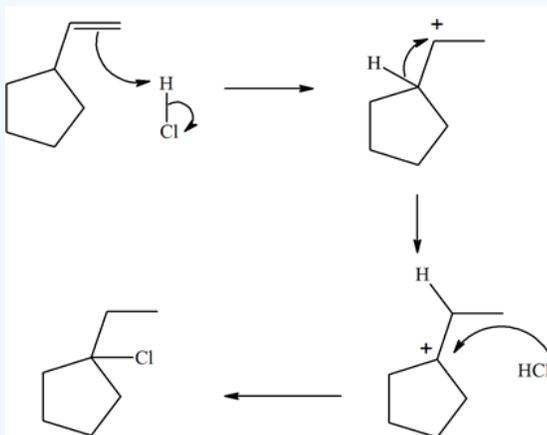


**? EXERCISE 7.12.2**

The following reaction shows a rearrangement within the mechanism. Propose a mechanism that shows this.

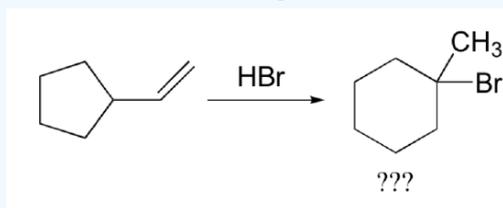


**Answer**



**? EXERCISE 7.12.1**

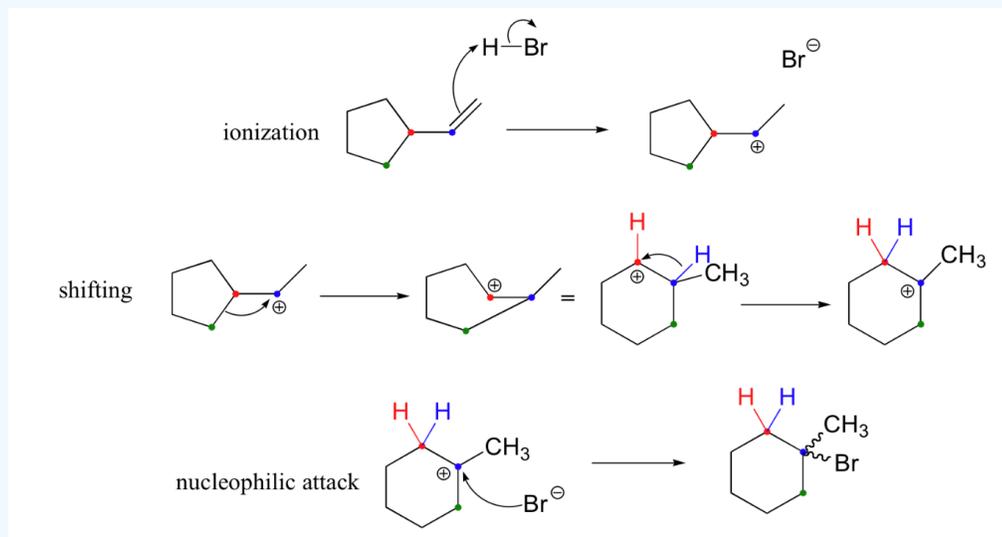
Propose a mechanism for the following reaction. It involves an electrophilic addition and the shift of a C-C and a C-H bond.



**Answer**

In most examples of carbocation rearrangements that you are likely to encounter, the shifting species is a hydride or methyl group. However, pretty much any alkyl group is capable of shifting. Sometimes, the entire side of a ring will shift over in a ring-expanding rearrangement.

The first 1,2-alkyl shift is driven by the expansion of a five-membered ring to a six-membered ring, which has slightly less ring strain. A hydride shift then converts a secondary carbocation to a tertiary carbocation, which is the electrophile ultimately attacked by the bromide nucleophile.



Once again, the driving force for this process is an increase in stability of the carbocation. Initially, there is a primary carbocation at C2, and this becomes a tertiary carbocation at C1 as a result of the (1,2)-methyl shift.

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## 7.S: ALKENES- STRUCTURE AND REACTIVITY (SUMMARY)

### CONCEPTS & VOCABULARY

#### 7.1 Industrial Preparation and Use of Alkenes

- Breaking up of large hydrocarbon molecules into smaller, useful molecules is called cracking.

#### 7.2 Calculating Degree of Unsaturation

- Saturated molecules contain only single bonds and no rings.
- Saturated hydrocarbons have the formula  $C_nH_{2n+2}$ , where  $n$  can be any integer.
- Degrees of unsaturation account for the total number of rings and pi bonds in a molecule.
- Each degree of unsaturation reduces the number of hydrogens in the molecule by 2.

#### 7.3 Naming Alkenes

- When the two largest groups are on the same side of the double bond (top or bottom) they are called cis or *Z*.
- When the two largest groups are on opposite sides of the double bond (top or bottom) they are called trans or *E*.
- Endocyclic double bonds occur when there is a pi bond within a ring.

#### 7.4 Cis-Trans Isomerism in Alkenes

#### 7.5 Alkene Stereochemistry and the E, Z Designation

- *E* and *Z* are less limited than cis and trans in naming.
- *E* and *Z* configurations use the same priority rules as R and S (CIP rules).

#### 7.6 Stability of Alkenes

- Relative stability of alkenes can be measured by using heats of hydrogenation upon reduction to the related alkane.
- More substituted alkenes are more stable than less substituted.
- Alkenes with the largest groups trans are more stable than cis.

#### 7.7 Electrophilic Addition Reactions of Alkenes

- In electrophilic addition reactions, the pi bond of the alkene acts as the nucleophile.
- Electrophilic addition reactions occur faster with larger hydrogen halides as well as more substituted alkenes.

#### 7.8 Orientation of Electrophilic Additions: Markovnikov's Rule

- The more substituted carbocation intermediate forms during electrophilic addition reactions, since more substituted carbocations are more stable. This is known as Markovnikov's rule.

#### 7.9 Carbocation Structure and Stability

- Molecules or ions that can disperse (delocalize) charge are more stable than structures with charge localized on a single atom.
- Due to inductive stabilization, carbocation stability follows the order:

tertiary > secondary > primary > methyl

- Electron donating groups stabilize carbocations.
- Electron withdrawing groups destabilize carbocations.
- Resonance effects can stabilize a carbocation (some examples include benzylic and allylic carbocations).
- Vinylic carbocations are unstable and are unlikely to form.

#### 7.10 The Hammond Postulate

- The Hammond Postulate states that transition state structure most resembles the nearest stable species.
- Based on the Hammond Postulate, transition states for exothermic reaction steps resemble reactants, while endergonic step transition states resemble products.

#### 7.11 Evidence for the Mechanism of Electrophilic Additions: Carbocation Rearrangements

- Carbocations will rearrange from less stable to more stable isomers through hydride shifts or alkyl shifts.

### SKILLS TO MASTER

- Skill 7.1 Calculate degree of unsaturation for organic molecular formulae.
- Skill 7.2 Draw isomers from a molecular formula.
- Skill 7.3 Name alkenes following IUPAC rules, including configuration (*E*, *Z*).

- Skill 7.4 Draw structures from IUPAC name.
- Skill 7.5 Describe bonding in alkenes including bond length, strength, angle and restricted rotation.
- Skill 7.6 Explain stability of alkenes.
- Skill 7.7 Rank alkenes in order of stability.
- Skill 7.8 Draw mechanism for electrophilic addition of HX to alkenes, including regiochemistry.
- Skill 7.9 Explain stability of carbocations.
- Skill 7.10 Explain transition states related to the Hammond Postulate.
- Skill 7.11 Explain products formed by carbocation rearrangements.

## MEMORIZATION TASKS

MT 7.1 Memorize formula for saturated hydrocarbons  $C_nH_{2n+2}$ .

MT 7.2 Memorize basic IUPAC naming rules.

MT 7.3 Memorize relative stability of alkenes.

MT 7.4 Memorize relative stability of carbocations.

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## CHAPTER OVERVIEW

### 8: ALKENES- REACTIONS AND SYNTHESIS

As you have seen, addition reactions dominate the chemistry of alkenes. This chapter shows how a variety of reagents can add to alkenes; how hydrogen bromide can be made to add to alkenes in a non-Markovnikov manner; and how alkene molecules can be cleaved into easily identifiable parts. First, you will examine the preparation of alkenes by elimination reactions.

#### 8.0: Chapter Objectives

#### 8.1: Preparation of Alkenes - A Preview of Elimination Reactions

#### 8.2: Halogenation of Alkenes - Addition of $X_2$

#### 8.3: Halohydrins from Alkenes - Addition of HOX

#### 8.4: Hydration of Alkenes - Addition of $H_2O$ by Oxymercuration

#### 8.5: Hydration of Alkenes - Addition of $H_2O$ by Hydroboration

#### 8.6: Reduction of Alkenes - Hydrogenation

#### 8.7: Oxidation of Alkenes - Epoxidation and Hydroxylation

#### 8.8: Oxidation of Alkenes - Cleavage to Carbonyl Compounds

#### 8.9: Addition of Carbenes to Alkenes - Cyclopropane Synthesis

#### 8.10: Radical Additions to Alkenes - Chain-Growth Polymers

#### 8.11: Biological Additions of Radicals to Alkenes

#### 8.12: Stereochemistry of Reactions - Addition of $H_2O$ to an Achiral Alkene

#### 8.13: Stereochemistry of Reactions - Addition of $H_2O$ to a Chiral Alkene

#### 8.S: Alkenes - Reactions and Synthesis (Summary)

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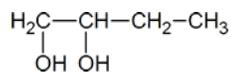
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## 8.0: CHAPTER OBJECTIVES

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After you have completed Chapter 8, you should be able to

1. fulfill all of the detailed objectives listed under each section.
2. design a relatively simple, multistep synthesis using the reactions introduced in this chapter, given the structure, name, or both, of the starting material and product. For example, show how you would convert 1-bromobutane to



3. deduce the structures of a number of compounds involved in a certain reaction sequence, given sufficient information. In other words, solve so-called road-map problems.
  4. define, and use in context, the key terms introduced in this chapter.
- 

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## 8.1: PREPARATION OF ALKENES - A PREVIEW OF ELIMINATION REACTIONS

### OBJECTIVES

After completing this section, you should be able to

- explain the relationship between an addition reaction and an elimination reaction.
- write an equation to describe the dehydrohalogenation of an alkyl halide.
- identify the reagents required to bring about dehydrohalogenation of an alkyl halide.
- write an equation to represent the dehydration of an alcohol.
- identify the reagents required to dehydrate a given alcohol.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- dehydration
- dehydrohalogenation
- elimination reaction

### STUDY NOTES

An *elimination reaction* is a reaction in which two or more atoms, one of which is usually hydrogen, are removed from adjacent atoms in the reactant, resulting in the formation of a multiple bond.

The relationship between addition reactions and elimination reactions is shown in Figure 8.1, below.

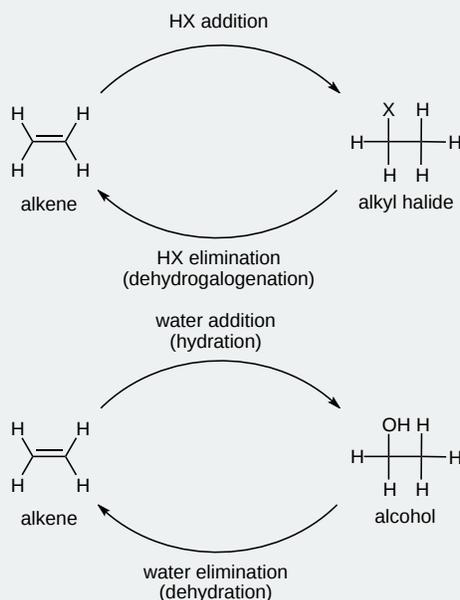
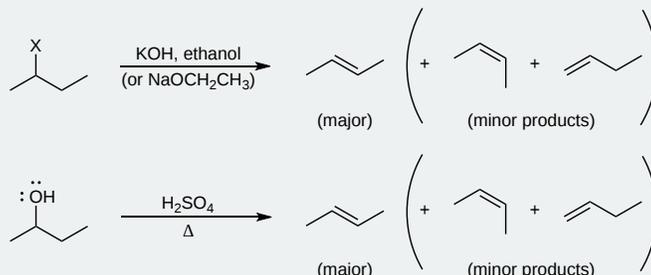


Figure 8.1: Relationship of addition and elimination reactions

Alkenes can be readily prepared from the alkylhalide ( $X = \text{Cl}, \text{Br}, \text{I}$ ) or the alcohol.

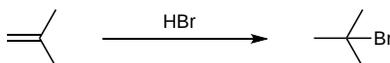


## ELECTROPHILIC ADDITION

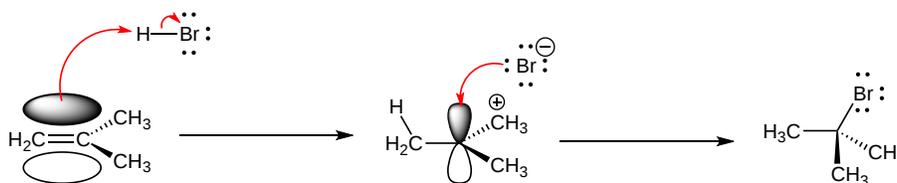
Alkenes are found throughout nature. They form the basis of many natural products, such as terpenes, which play a variety of roles in the lives of plants and insects. The C=C bonds of alkenes are very different from the C=O bonds that are also common in nature. The C=C bonds of alkenes are electron-rich and nucleophilic, in contrast to the electron-poor C=O bonds of carbohydrates, fatty acids and proteins. That difference plays a role in how terpenes form in nature.

Alkenes, or olefins, are also a major product of the petroleum industry. Reactions of alkenes form the basis for a significant portion of our manufacturing economy. Commonly used plastics such as polyethylene, polypropylene and polystyrene are all formed through the reactions of alkenes. These materials continue to find use in our society because of their valuable properties, such as high strength, flexibility and low weight.

Alkenes undergo addition reactions as you will see carbonyls do as well. Most commonly they add a proton to one end of the double bond and another group to the other end. These reactions happen in slightly different ways, however.



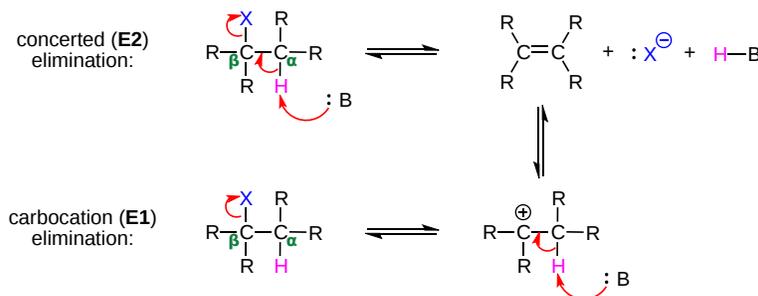
Alkenes are reactive because they have a high-lying pair of  $\pi$ -bonding electrons. These electrons are loosely held, being high in energy compared to  $\sigma$ -bonds. The fact that they are not located between the carbon nuclei, but are found above and below the plane of the double bond, also makes these electrons more accessible.



Alkenes can donate their electrons to strong electrophiles other than protons, too. Sometimes their reactivity pattern is a little different than the simple addition across the double bond, but that straightforward pattern is what we will focus on in this chapter.

## ELIMINATION REACTIONS

Elimination reactions are possible by abstraction of a proton at positions that are next to a potential leaving group. This type of elimination can be described by two model mechanisms: it can occur in a single concerted step (proton abstraction at  $C_{\alpha}$  occurring at the same time as  $C_{\beta}$ -X bond cleavage), or in two steps ( $C_{\beta}$ -X bond cleavage occurring first to form a carbocation intermediate, which is then 'quenched' by proton abstraction at the alpha-carbon).

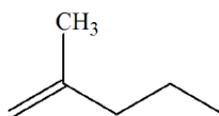
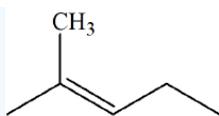


The most common elimination reactions are dehydrohalogenation and dehydration. In the mechanism above, X could be Cl, Br, or I for the dehydrohalogenation where there is a loss of HX from an alkyl halide. For dehydration, X would be an OH group in the above mechanism where the overall loss is water from an alcohol. These mechanisms, termed E2 and E1, respectively, are important in laboratory organic chemistry, but are less common in biological chemistry. As explained below, which mechanism actually occurs in a laboratory reaction will depend on the identity of the R groups (ie., whether the alkyl halide is primary, secondary, tertiary, etc.) as well as on the characteristics of the base.

### ? EXERCISE 8.1.1

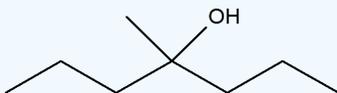
In elimination reactions there tends to have a mixture of products. What are the two possible alkene products for the reaction of 2-bromo-2-methylpentane with NaOH?

**Answer**

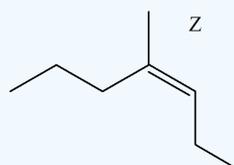
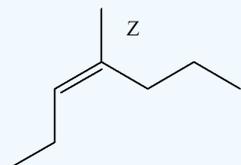
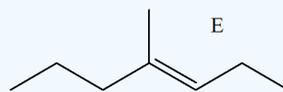
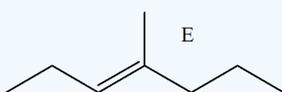


? EXERCISE 8.1.2

Predict the *E/Z* isomers for the following molecule when reacted with  $\text{H}_2\text{SO}_4$ .



Answer



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## 8.2: HALOGENATION OF ALKENES - ADDITION OF X<sub>2</sub>

### OBJECTIVES

After completing this section, you should be able to

- write the equation for the reaction of chlorine or bromine with a given alkene.
- identify the conditions under which an addition reaction occurs between an alkene and chlorine or bromine.
- draw the structure of the product formed when a given alkene undergoes an addition reaction with chlorine or bromine.
- write the mechanism for the addition reaction that occurs between an alkene and chlorine or bromine, and account for the stereochemistry of the product.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- anti stereochemistry
- bromonium ion

### STUDY NOTES

In the laboratory you will test a number of compounds for the presence of a carbon-carbon double bond. A common test is the decolourization of a reddish-brown bromine solution by an alkene.

The two-step mechanism shown in the LibreText pages gives you an idea of how the reaction between an alkene and a halogen occurs. Note the formation of the bridged bromonium ion intermediate and the anti stereochemistry of the final product because the two bromine atoms come from opposite faces of the double bond.

Additional evidence in support of the bromonium ion mechanism comes from the results obtained when an alkene (such as cyclopentene) reacts with bromine in the presence of sodium chloride (see Figure 8.2: Reaction of an alkene with bromine in the presence of sodium chloride, below).

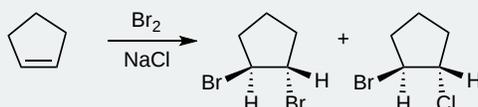


Figure 8.2: Reaction of an alkene with bromine in the presence of sodium chloride

Once formed, the bromonium ion is susceptible to attack by two nucleophiles—chloride ion and bromide ion—and, in fact, a mixture of two products (both produced by anti attack) is formed.

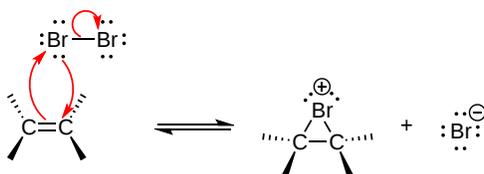
Halogens can act as **electrophiles** to which can be attacked by a pi bond from an alkene. Pi bonds represents a region of electron density and therefore function as a **nucleophiles**. How is it possible for a halogen to obtain positive charge to be an electrophile?

### INTRODUCTION

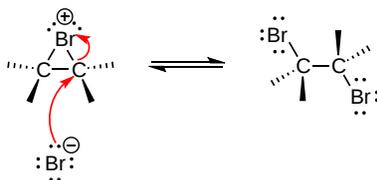
A halogen molecule, for example Br<sub>2</sub>, approaches a double bond of the alkene, electrons in the double bond repel electrons in the bromine molecule causing polarization of the halogen-halogen bond. This creates a dipole moment in the halogen-halogen bond. Heterolytic bond cleavage occurs and one of the halogens obtains a positive charge and reacts as an electrophile. The reaction of the addition is not regioselective but is stereoselective. Stereochemistry of this addition can be explained by the mechanism of the reaction. In the first step, the electrophilic halogen (with the positive charge) approaches the pi bond and 2p orbitals of the halogen bond with two carbon atoms creating a cyclic ion with a halogen as the intermediate. In the second step, the remaining halide ion (halogen with the negative charge) attacks either of the two carbons in the cyclic ion from the back side of the cycle as in the **S<sub>N</sub>2 reaction**. Therefore stereochemistry of the product is anti addition of **vicinal dihalides**.



**Step 1:** In the first step of the addition the Br-Br bond polarizes, heterolytic cleavage occurs and Br with the positive charge forms a cyclic intermediate with the two carbons from the alkene.



**Step 2:** In the second step, bromide anion attacks either carbon of the bridged bromonium ion from the back side of the ring. The bromine atom in the bromonium ion acts as a shield in a way, forcing the bromonium anion to attack from the opposite side as it. The result of this is the ring opening up with the two halogens on opposite sides as each other. This is **anti** stereochemistry, which is defined as the two bromine atoms come from opposite faces of the double bond. The product is that the bromines add on trans to each other.



Halogens that are commonly used in this type of the reaction are: *Br* and *Cl*. In thermodynamical terms *I* is too slow for this reaction because of the size of its atom, and *F* is too vigorous and explosive.

Because the halide ion can attack any carbon from the opposite side of the ring it creates a mixture of steric products. Optically inactive starting material produce optically inactive achiral products (**meso**) or a **racemic mixture**.

### ELECTROPHILIC ADDITION MECHANISM CONSISTS OF TWO STEPS.

Before constructing the mechanism let us summarize conditions for this reaction. We will use  $\text{Br}_2$  in our example for halogenation of ethylene.

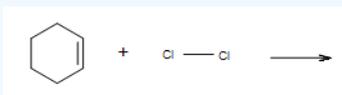
Nucleophile	Double bond in alkene
Electrophile	$\text{Br}_2, \text{Cl}_2$
Regiochemistry	not relevant
Stereochemistry	<b>ANTI</b>

### SUMMARY

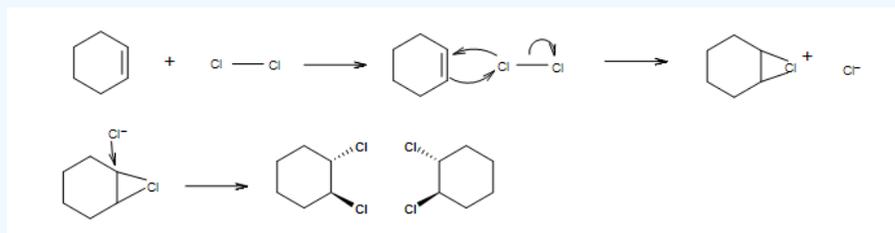
Halogens can act as electrophiles due to polarizability of their covalent bond. Addition of halogens is stereospecific and produces vicinal dihalides with anti addition.

#### ? EXERCISE 8.2.1

What is the mechanism of adding  $\text{Cl}_2$  to the cyclohexene?



Answer



### ? EXERCISE 8.2.2

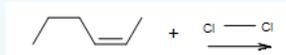
A reaction of  $\text{Br}_2$  molecule in an inert solvent with alkene follows?

- syn addition
- anti addition
- Morkovnikov rule

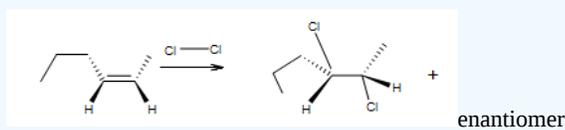
Answer

b

### ? EXERCISE 8.2.3



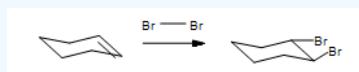
Answer



### ? EXERCISE 8.2.4



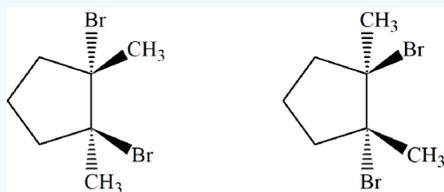
Answer



### ? EXERCISE 8.2.5

Predict the products for 1,2-dimethylcyclopentene reacting with  $\text{Br}_2$  with proper stereochemistry.

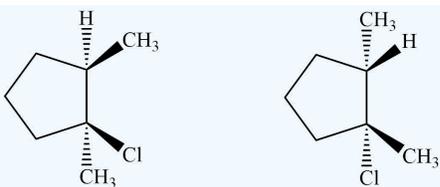
Answer



### ? EXERCISE 8.2.6

Predict the products for 1,2-dimethylcyclopentene reacting with  $\text{HCl}$ , give the proper stereochemistry. What is the relationship between the two products?

Answer



These compounds are enantiomers.

## REFERENCES

1. Vollhard, K. Peter C., and Neil E. Schore. Organic Chemistry: Structure and Function. New York: W.H. Freeman and Company 2007
2. Chemistry-A European Journal 9 (2003) :1036-1044

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## 8.3: HALOHYDRINS FROM ALKENES - ADDITION OF HOX

### OBJECTIVES

After completing this section, you should be able to

- write the equation for the formation of a halohydrin from an alkene.
- write the mechanism for the formation of a halohydrin from an alkene and a mixture of halogen and water.
- predict the mechanism of the addition reaction that occurs between a given reagent and an alkene, basing your prediction on mechanisms you have studied in this chapter.
- identify the alkene, the reagents, or both, that should be used to produce a given halohydrin by an addition reaction.
- identify N-bromosuccinimide in aqueous dimethyl sulphoxide as an alternative source of bromine for producing bromohydrins.

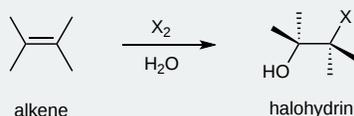
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bromohydrin
- halohydrin

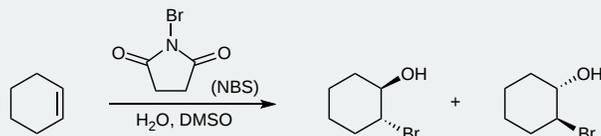
### STUDY NOTES

Bromohydrin and chlorohydrin are examples of halohydrins (where X = Br or Cl).



Chemists often abbreviate the names of frequently used chemicals: DMSO for dimethyl sulfoxide, NBS for N-bromosuccinimide, etc. You should already be familiar with some similar examples from everyday life: DDT for dichlorodiphenyltrichloroethane, PCB for polychlorinated biphenyl, and ASA for acetylsalicylic acid (aspirin). You can see how someone with a limited knowledge of chemistry could misinterpret the abbreviation NBS—it is not a compound containing nitrogen, boron and sulfur!

NBS can serve as a less dangerous and easier to handle replacement for Br<sub>2</sub> in the formation of bromohydrins.



The proton is not the only electrophilic species that initiates addition reactions to the double bond of alkenes. Lewis acids like the halogens, boron hydrides and certain transition metal ions are able to bond to the alkene pi-electrons, and the resulting complexes rearrange or are attacked by nucleophiles to give addition products. The electrophilic character of the halogens is well known as discussed in the previous section. Chlorine (Cl<sub>2</sub>) and bromine (Br<sub>2</sub>) react selectively with the double bond of alkenes, and these reactions are what we will focus on. Fluorine adds uncontrollably with alkenes, and the addition of iodine is unfavorable, so these are not useful preparative methods.

The addition of chlorine and bromine to alkenes, as shown below, proceeds by an initial electrophilic attack on the pi-electrons of the double bond (Section 8.2). Dihalo-compounds in which the halogens are bound to adjacent carbons are called vicinal, from the Latin *vicinalis*, meaning neighboring.

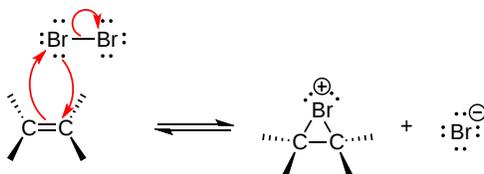


Another electrophilic addition to an alkene is the reaction of an alkene with the other halogen-containing reagents like hypohalous acids, HOX, to form halohydrins. However, halohydrins are not formed by directly adding a hypohalous acid, instead the alkene is reacted with Br<sub>2</sub> or Cl<sub>2</sub> in the presence of water. These reagents are unsymmetrical (unlike Br<sub>2</sub> or Cl<sub>2</sub>), so their addition to unsymmetrical double bonds may in principle take place in two ways. In practice, these addition reactions are regioselective, with one of the two possible constitutionally isomeric products being favored. The electrophilic moiety in both of these reagents is the halogen.



The regioselectivity of the above reactions may be explained by the same mechanism we used to rationalize the Markovnikov rule. Thus, bonding of an electrophilic species to the double bond of an alkene should result in preferential formation of the more stable (more highly substituted) carbocation, and this intermediate should then combine rapidly with a nucleophilic species to produce the addition product.

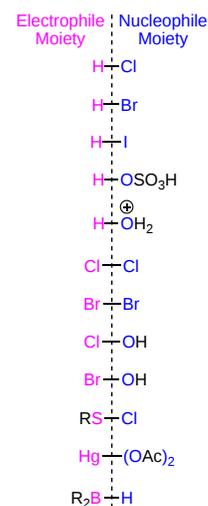
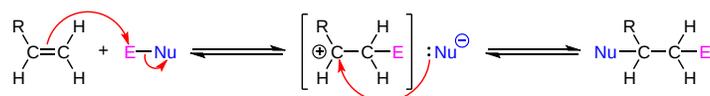
The regioselectivity of the above reactions may be explained by the same mechanism we used to rationalize the [Markovnikov rule](#). Thus, bonding of an electrophilic species to the double bond of an alkene should result in preferential formation of the more stable (more highly substituted) carbocation, and this intermediate should then combine rapidly with a nucleophilic species to produce the addition product.



Step one is the same as halogenation of alkenes. The halogen, in this case bromine, reacts with the alkene to form a cyclic bromonium ion.

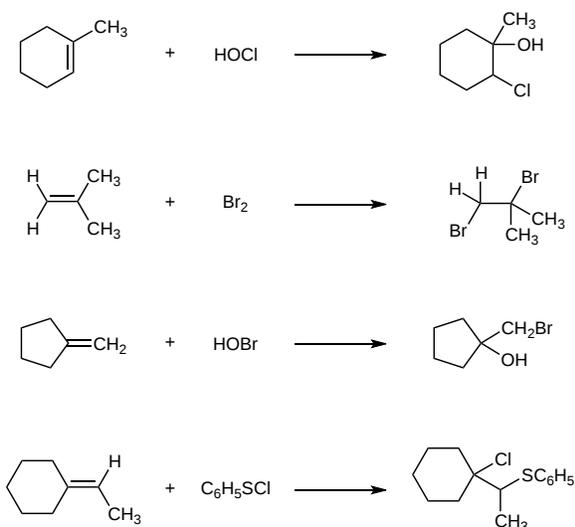
Step two does not have the bromine ion attack, but instead water acts as the nucleophile. Water is available at a higher concentration than the bromine ion, so is more likely to be available in the correct orientation for the nucleophilic attack. Water is also a better nucleophile than the bromine ion. The better a nucleophile, the more likely it is to attack. You may notice that as oxygen makes a bond it gets a positive formal charge at the completion of this step.

Step 3 is a final acid-base step, where there is a loss of a proton from the oxygen to the solvent (water) to form the neutral halohydrin. In this case, a bromohydrin is the product of the addition reaction.



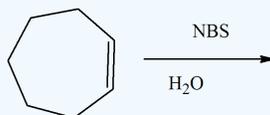
To apply this mechanism we need to determine the electrophilic moiety in each of the reagents. By using electronegativity differences we can dissect common addition reagents into electrophilic and nucleophilic moieties, **as shown on the right**. In the case of hypochlorous and hypobromous acids (HOX), these weak Brønsted acids (pKa's ~ 8) do not react as proton donors; and since oxygen is more electronegative than chlorine or bromine, the electrophile will be a halide cation. The nucleophilic species that bonds to the intermediate carbocation is then hydroxide ion, or more likely water (the usual solvent for these reagents), and the products are called halohydrins. Sulfonyl chlorides add in the opposite manner because the electrophile is a sulfur cation, RS(+), whereas the nucleophilic moiety is chloride anion (chlorine is more electronegative than sulfur).

Below are some examples illustrating the addition of various electrophilic halogen reagents to alkene groups. Notice the specific regiochemistry of the products, as explained above.

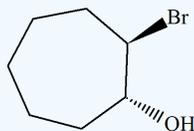


### ? EXERCISE 8.3.1

Predict the product of the following reaction:



**Answer**



### ? EXERCISE 8.3.2

When butene is treated with NBS in the presence of water, the product shows that the bromine is on the least substituted carbon, is this Markovnikov or anti-Markovnikov?

**Answer**

Since the bromine is the first addition to the alkene, this addition would be an anti-Markovnikov addition.

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## 8.4: HYDRATION OF ALKENES - ADDITION OF H<sub>2</sub>O BY OXYMERCURATION

### OBJECTIVES

After completing this section, you should be able to

- write an equation for the hydration of an alkene with sulfuric acid.
- write an equation for the formation of an alcohol from an alkene by the oxymercuration-demercuration process.
- identify the alkene, the reagents, or both, that should be used to produce a given alcohol by the oxymercuration-demercuration process.
- write the mechanism for the reaction of an alkene with mercury(II) acetate in aqueous tetrahydrofuran (THF).

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- hydration
- oxymercuration

### STUDY NOTES

*Oxymercuration* is the reaction of an alkene with mercury(II) acetate in aqueous THF, followed by reduction with sodium borohydride. The final product is an alcohol.

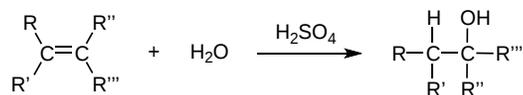
It is important that you recognize the similarity between the mechanisms of bromination and oxymercuration. Recognizing these similarities helps you to reduce the amount of factual material that you need to remember.

Mercuric acetate, or mercury(II) acetate, to give it the preferred IUPAC name, is written as Hg(OAc)<sub>2</sub>; by comparing this formula with the formula Hg(O<sub>2</sub>CCH<sub>3</sub>)<sub>2</sub>, you can equate Ac with -COCH<sub>3</sub>. In fact, Ac is an abbreviation used for the acetyl group with the structure shown below as are other similar abbreviations that you will encounter.

Ac (acetyl)	
Me (methyl)	
Et (ethyl)	
Pr <sup>n</sup> ( <i>n</i> -propyl)	
Pr <sup>i</sup> (isopropyl)	
Bu <sup>t</sup> ( <i>tert</i> -butyl)	
Ph (phenyl)	

### WHAT IS ELECTROPHILIC HYDRATION?

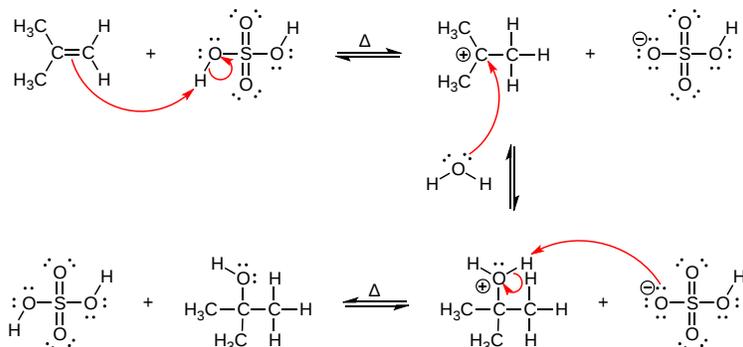
Electrophilic hydration is the act of adding an electrophilic hydrogen from a non-nucleophilic strong acid (a reusable catalyst, examples of which include sulfuric and phosphoric acid) and applying appropriate temperatures to break the alkene's double bond. After a **carbocation** is formed, water bonds with the carbocation to form a 1°, 2°, or 3° alcohol on the alkane. Electrophilic hydration is the reverse dehydration of alcohols and has practical applications in making alcohols for fuels and reagents for other reactions. The basic reaction under certain temperatures (given below) is the following:



An electrophilic hydrogen is essentially a proton: a hydrogen atom stripped of its electron. An electrophilic hydrogen is commonly used to help break double bonds or restore catalysts (see [S<sub>N</sub>2](#) for more details).

### MECHANISM FOR THE FORMATION OF A 3° ALCOHOL (1° AND 2° MECHANISMS ARE SIMILAR)

Hydration is the process where water is added to an alkene to yield an alcohol. Acid-catalyzed hydration is when a strong acid is used as a catalyst to begin the reaction, but let's look at the mechanism below and break down the steps.



Step 1: A hydrogen atom from the acid is attacked by the nucleophilic Pi-electrons in the double bond. This is similar to the other alkene reactions we have seen so far. In this process, a new C-H bond is formed at the location which creates the more stable carbocation.

Step 2: A nucleophilic water attacks or donates a lone pair to the carbocation intermediate created in the first step. A new C-O bond is formed with the O having a formal charge of +1. The product is a protonated alcohol.

Step 3: To obtain the neutral alcohol product, the final step is to deprotonate the oxygen atom with the +1 formal charge using the conjugate base of the acid catalyst. This final step regenerates the acid catalyst and yields the neutral alcohol product.

Heat is used to catalyze electrophilic hydration; because the reaction is in equilibrium with the dehydration of an alcohol, which requires higher temperatures to form an alkene, lower temperatures are required to form an alcohol. *The exact temperatures used are highly variable and depend on the product being formed.*

### WHAT IS REGIOCHEMISTRY AND HOW DOES IT APPLY?

Regiochemistry deals with where the substituent bonds on the product is formed. **Zaitsev's** and **Markovnikov's** rules address regiochemistry, but Zaitsev's rule applies when synthesizing an alkene while Markovnikov's rule describes where the substituent bond form in the product of an electrophilic addition. In the case of electrophilic hydration, Markovnikov's rule is the only rule that *directly* applies. See the following for an in-depth explanation of regiochemistry: [Markovnikov explanation: Radical Additions--Anti-Markovnikov Product Formation](#)

In the mechanism for the formation of a 3° alcohol shown above, the H is added to the least-substituted carbon in the nucleophilic double bond (it has less carbons attached to it). This means that the carbocation forms on the 3° carbon, causing it to be more stabilized by *hyperconjugation*—electrons in nearby sigma (single) bonds help fill the empty p-orbital of the carbocation, which lessens the positive charge. More substitution on a carbon means more sigma bonds are available to "help out" (by using overlap) with the positive charge, which creates greater *carbocation stability*. In other words, **carbocations form on the most substituted carbon** in the double bond.

If the carbocation does originally form on the less substituted part of the alkene, carbocation rearrangements occur to form more substituted products:

- **Hydride shifts:** a hydrogen atom bonded to a carbon atom next to the carbocation leaves that carbon to bond with the carbocation (after the hydrogen has taken both electrons from the single bond, it is known as a hydride). This changes the once neighboring carbon to a carbocation, and the former carbocation becomes a neighboring carbon atom.



- **Alkyl shifts:** if no hydrogen atoms are available for a hydride shift, an entire methyl group performs the same shift.

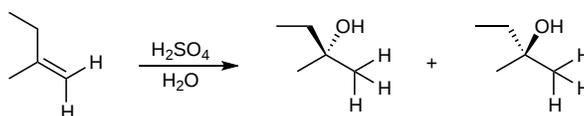


The nucleophile attacks the positive charge formed on the most substituted carbon connected to the double bond, because the nucleophile is seeking that positive charge. In the mechanism for a 3° alcohol shown above, water is the nucleophile. After one H atom is removed from the water molecule, the alcohol is attached to the most substituted carbon. Hence, **electrophilic hydration follows Markovnikov's rule**.

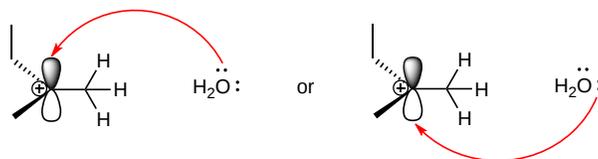
### WHAT IS STEREOCHEMISTRY AND HOW DOES IT APPLY?

**Stereochemistry deals with how the substituent bonds on the product directionally.** Dashes and wedges denote stereochemistry by showing whether the molecule or atom is going into or out of the plane of the board. Whenever the bond is a simple single straight line, the molecule that is bonded is equally likely to be found going into the plane of the board as it is out of the plane of the board. This indicates that **the product is a racemic mixture**.

Electrophilic hydration adopts a stereochemistry wherein the substituent is equally likely to bond pointing into the plane of the board as it is pointing out of the plane of the board. The 3° alcohol product of the following reaction could look like either of the following products:



There is no stereochemical control in acid-catalyzed hydration reactions. This is due to the trigonal planar,  $sp^2$  nature of the carbocation intermediate. Water can act as a nucleophile to form a bond to either face of the carbocation, resulting in a mixture of stereochemical outcomes.



Note: Whenever a straight line is used along with dashes and wedges on the same molecule, it could be denoting that the straight line bond is in the same plane as the board. Practice with a molecular model kit and attempting the practice problems at the end can help eliminate any ambiguity.

#### 📌 IS THIS A REVERSIBLE SYNTHESIS?

Electrophilic hydration is reversible because an alkene in water is in equilibrium with the alcohol product. To sway the equilibrium one way or another, the temperature or the concentration of the non-nucleophilic strong acid can be changed. For example:

- Less sulfuric or phosphoric acid and an excess of water help synthesize more alcohol product.
- Lower temperatures help synthesize more alcohol product.

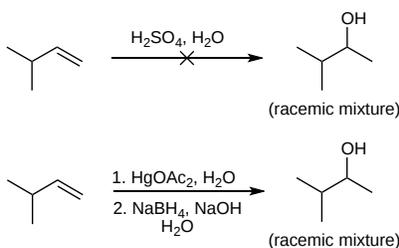
### IS THERE A BETTER WAY TO ADD WATER TO SYNTHESIZE AN ALCOHOL FROM AN ALKENE?

A more efficient pathway does exist: **Oxymercuration - Demercuration**, a special type of electrophilic addition. Oxymercuration does not allow for rearrangements, but it does require the use of mercury, which is highly toxic. Detractions for using electrophilic hydration to make alcohols include:

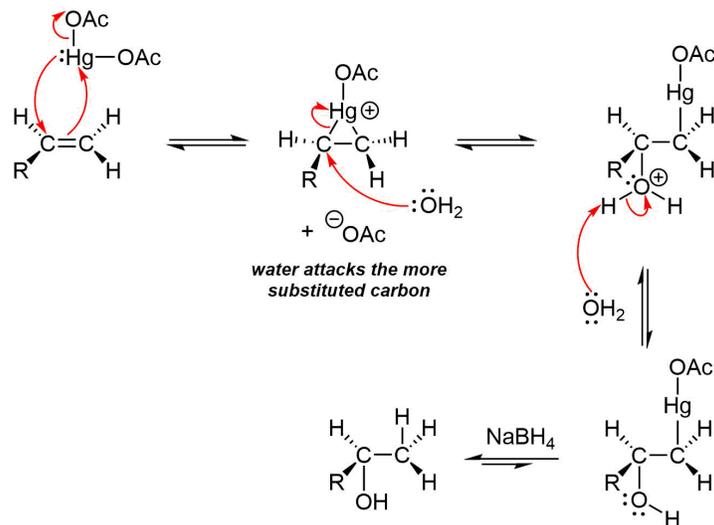
- Allowing for carbocation rearrangements
- Poor yields due to the reactants and products being in equilibrium
- Allowing for product mixtures (such as an (*R*)-enantiomer and an (*S*)-enantiomer)
- Using sulfuric or phosphoric acid

Oxymercuration is a special electrophilic addition. It is anti-stereospecific and regioselective. Regioselectivity is a process in which the substituents chooses one direction it prefers to be attached to over all the other possible directions. The good thing about this reaction is that there are no **carbocation rearrangement** due to stabilization of the reactive intermediate. Similar stabilization is also seen in **bromination** addition to alkenes.

One of the major advantages to oxymercuration is that carbocation rearrangements cannot occur under these conditions ( $Hg(OAc)_2$ ,  $H_2O$ ). Carbocation rearrangement is a process in which the carbocation intermediate can undergo a methyl or alkyl shift to form a more stable ion. Due to a possible **carbocation rearrangement**, the reaction below would not generate the product shown in high yields. In contrast, the oxymercuration reaction would proceed to form the desired product.



This reaction involves a mercury acting as a reagent attacking the alkene double bond to form a *Mercurinium Ion Bridge*. A water molecule will then attack the most substituted carbon to open the mercurium ion bridge, followed by proton transfer to solvent water molecule.



The organomercury intermediate is then reduced by sodium borohydride - the mechanism for this final step is beyond the scope of our discussion here. Notice that overall, the oxymercuration - demercuration mechanism follows Markovnikov's regioselectivity with the OH group is attached to the most substituted carbon and the H is attach to the least substituted carbon. The reaction is useful, however, because strong acids are not required, and carbocation rearrangements are avoided because no discreet carbocation intermediate forms.

It is important to note that for the mechanism shown above, the enantiomer of the product shown is also formed. This is the result of formation of the mercurium ion below the alkene in the first step.

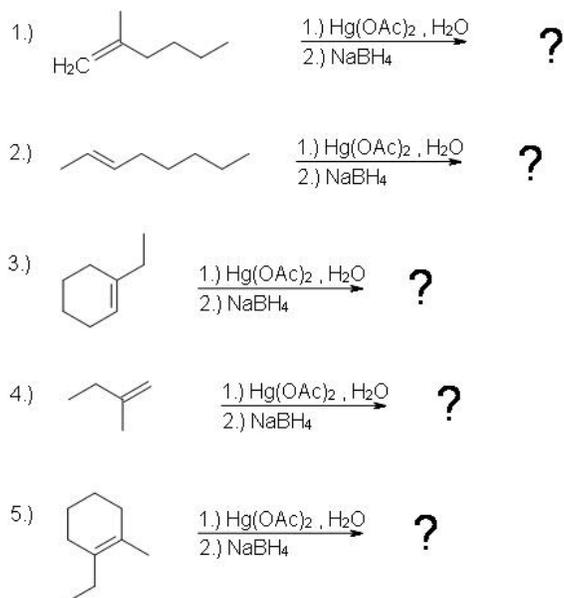
## REFERENCES

1. Vollhardt, K. Peter C. Organic chemistry structure and function. New York: W.H. Freeman, 2007.
2. Smith, Michael B., and Jerry March. March's Advanced Organic Chemistry Reactions, Mechanisms, and Structure (March's Advanced Organic Chemistry). New York: Wiley-Interscience, 2007 2007.
3. Roderic P. Quirk , Robert E. Lea, Reductive demercuration of hex-5-enyl-1-mercuric bromide by metal hydrides. Rearrangement, isotope effects, and mechanism, *J. Am. Chem. Soc.*, 1976, 98 (19), pp 5973–5978.

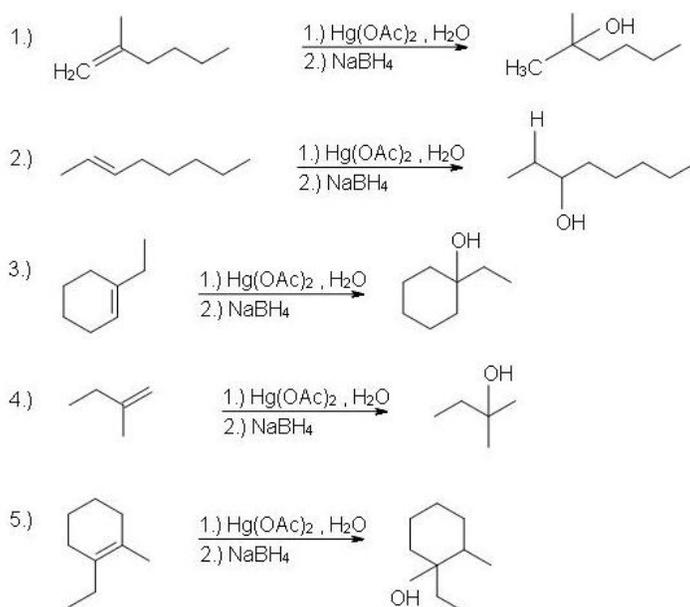
## SOME PRACTICE PROBLEMS

### ? PRACTICE PROBLEMS

What are the end products of these reactants?



Answer

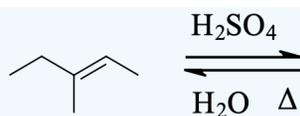


The end product to these practice problems are pretty much very similar. First, you locate where the double bond is on the reactant side. Then, you look at what substituents are attached to each side of the double bond and add the OH group to the more substituted side and the hydrogen on the less substituted side.

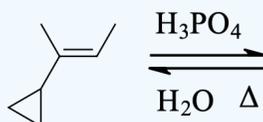
? MORE PROBLEMS

Predict the product of each reaction.

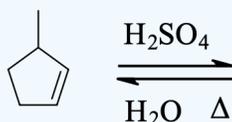
1)



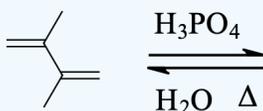
2) How does the cyclopropane group affect the reaction?



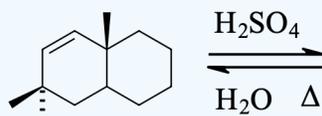
3) (Hint: What is different about this problem?)



4) (Hint: Consider stereochemistry.)

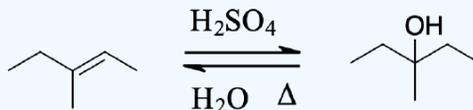


5) Indicate any shifts as well as the major product:

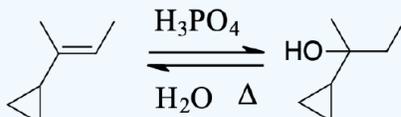


### Answer

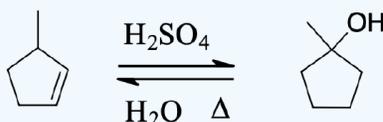
1) This is a simple electrophilic hydration.



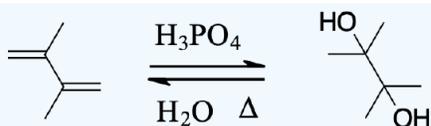
2) The answer is additional side products, but **the major product formed is still the same** (the product shown). Depending on the temperatures used, the cyclopropane may open up into a straight chain, which makes it unlikely that the major product will form (after the reaction, it is unlikely that the 3° carbon will remain as such).



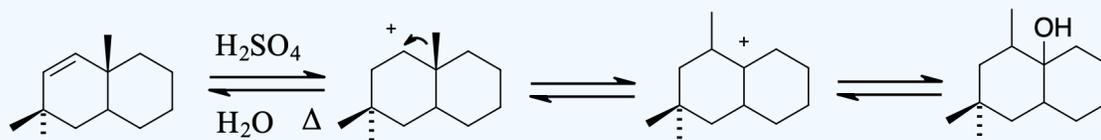
3) A hydride shift actually occurs from the 3 carbon of the 3-methylcyclopentene to where the carbocation had formed.



4) **This reaction will have poor yields due to a very unstable intermediate.** For a brief moment, carbocations can form on the two center carbons, which are more stable than the outer two carbons. The carbocations have an  $sp^2$  hybridization, and when the water is added on, the carbons change their hybridization to  $sp^3$ . This makes the methyl and alcohol groups equally likely to be found going into or out of the plane of the paper- the product is racemic.



5) In the first picture shown below, an alkyl shift occurs but a hydride shift (which occurs faster) is possible. Why doesn't a hydride shift occur? The answer is because **the alkyl shift leads to a more stable product**. There is a noticeable amount of side product that forms where the two methyl groups are, but the major product shown below is still the most significant due to the hyperconjugation that occurs by being in between the two cyclohexanes.



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## 8.5: HYDRATION OF ALKENES - ADDITION OF H<sub>2</sub>O BY HYDROBORATION

### OBJECTIVES

After completing this section, you should be able to

- identify hydroboration (followed by oxidation) as a method for bringing about the (apparently) non-Markovnikov addition of water to an alkene.
- write an equation for the formation of a trialkylborane from an alkene and borane.
- write an equation for the oxidation of a trialkylborane to an alcohol.
- draw the structure of the alcohol produced by the hydroboration, and subsequent oxidation, of a given alkene.
- determine whether a given alcohol should be prepared by oxymercuration-demercuration or by hydroboration-oxidation, and identify the alkene and reagents required to carry out such a synthesis.
- write the detailed mechanism for the addition of borane to an alkene, and explain the stereochemistry and regiochemistry of the reaction.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- hydroboration

### STUDY NOTES

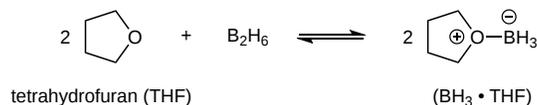
The two most important factors influencing organic reactions are polar (or electronic) effects and steric effects.

Hydroboration-oxidation is a two step pathway used to produce alcohols. The reaction proceeds in an anti-Markovnikov manner, where the hydrogen (from BH<sub>3</sub> or BHR<sub>2</sub>) attaches to the more substituted carbon and the boron attaches to the least substituted carbon in the **alkene** double bond. Furthermore, the borane acts as a Lewis acid by accepting two electrons in its empty p orbital from an alkene that is electron rich. This process allows boron to have an electron octet. A very interesting characteristic of this process is that it does not require any activation by a catalyst. The hydroboration mechanism has the elements of both hydrogenation and electrophilic addition and it is a stereospecific (**syn addition**), meaning that the hydroboration takes place on the same face of the double bond, this leads **cis** stereochemistry.

### INTRODUCTION

Hydroboration-oxidation of alkenes has been a very valuable laboratory method for the stereoselectivity and regioselectivity of alkenes. An additional feature of this reaction is that it occurs without rearrangement.

First off it is very important to understand little bit about the structure and the properties of the **borane** molecule. Borane exists naturally as a very toxic gas and it exists as dimer of the general formula B<sub>2</sub>H<sub>6</sub> (diborane). Additionally, the dimer B<sub>2</sub>H<sub>6</sub> ignites spontaneously in air. Borane is commercially available in ether and tetrahydrofuran (THF), in these solutions the borane can exist as a Lewis acid-base complex, which allows boron to have an electron octet.



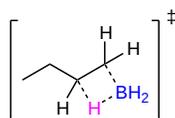
### THE MECHANISM

#### STEP 1

- Part 1: Hydroboration of the alkene. In this first step the addition of the borane to the alkene is initiated and proceeds as a concerted reaction because bond breaking and bond formation occurs at the same time. This part consists of the vacant 2p orbital of the boron electrophile pairing with the electron pair of the pi bond of the nucleophile.

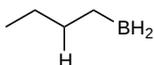


Transition state



**\* Note that a carbocation is not formed. Therefore, no rearrangement takes place.**

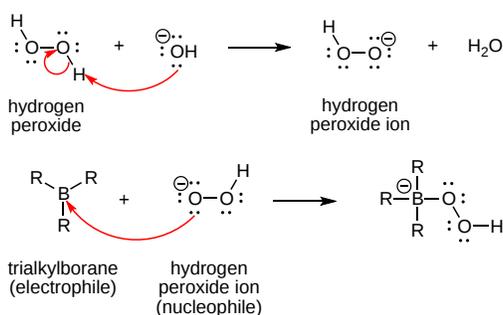
- Part 2: The Anti Markovnikov addition of Boron. The boron adds to the less substituted carbon of the alkene, which then places the hydrogen on the more substituted carbon. Both, the boron and the hydrogen add simultaneously on the same face of the double bond (syn addition).



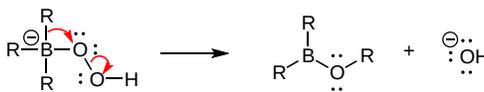
## OXIDATION OF THE TRIALKYLBORANE BY HYDROGEN PEROXIDE

### STEP 2

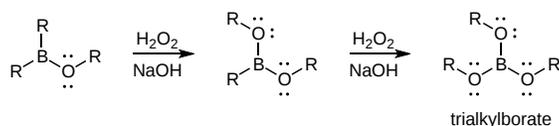
- Part 1: The first part of this mechanism deals with the donation of a pair of electrons from the hydrogen peroxide ion. the hydrogen peroxide is the nucleophile in this reaction because it is the electron donor to the newly formed trialkylborane that resulted from hydroboration.



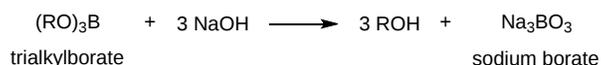
- Part 2: In this second part of the mechanism, a rearrangement of an R group with its pair of bonding electrons to an adjacent oxygen results in the removal of a hydroxide ion.



**Two more of these reactions with hydroperoxide will occur in order give a trialkylborate**



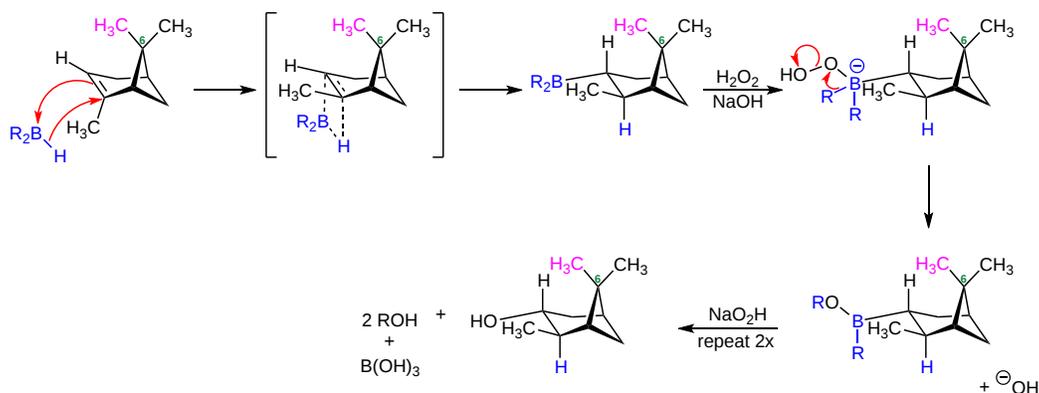
- Part 3: This is the final part of the oxidation process. In this part the trialkylborate reacts with aqueous NaOH to give the alcohol and sodium borate.



If you need additional visuals to aid you in understanding the mechanism, click on the outside links provided here that will take you to other pages and media that are very helpful as well.

## STEREOCHEMISTRY OF HYDROBORATION

The hydroboration reaction is among the few simple addition reactions that proceed cleanly in a *syn* fashion. As noted above, this is a single-step reaction. Since the bonding of the double bond carbons to boron and hydrogen is concerted, it follows that the geometry of this addition must be *syn*. Furthermore, rearrangements are unlikely inasmuch as a discrete carbocation intermediate is never formed. These features are illustrated for the hydroboration of  $\alpha$ -pinene.

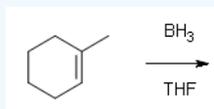


Since the hydroboration procedure is most commonly used to hydrate alkenes in an anti-Markovnikov fashion, we also need to know the stereoselectivity of the second oxidation reaction, which substitutes a hydroxyl group for the boron atom. Independent study has shown this reaction takes place with retention of configuration so the overall addition of water is also syn.

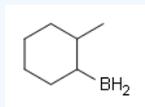
The hydroboration of  $\alpha$ -pinene also provides a nice example of steric hindrance control in a chemical reaction. In the less complex alkenes used in earlier examples the plane of the double bond was often a plane of symmetry, and addition reagents could approach with equal ease from either side. In this case, one of the methyl groups bonded to C-6 (colored purple in the equation) covers one face of the double bond, blocking any approach from that side. All reagents that add to this double bond must therefore approach from the side opposite this methyl.

### ? EXERCISE 8.5.1

What are the products of this reactions?

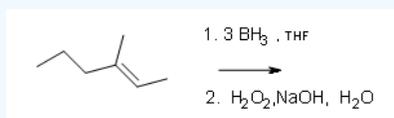


Answer

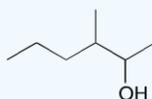


### ? EXERCISE 8.5.2

What are the products of this reactions?

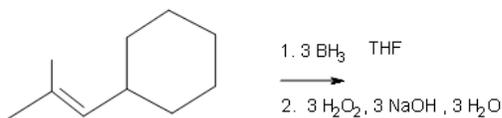


Answer

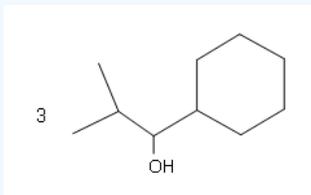


### ? EXERCISE 8.5.3

What are the products of this reactions?

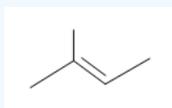


Answer

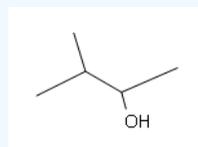


#### ? EXERCISE 8.5.4

Draw the structural formulas for the alcohols that result from hydroboration-oxidation of this alkene.



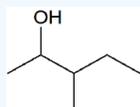
Answer



#### ? EXERCISE 8.5.5

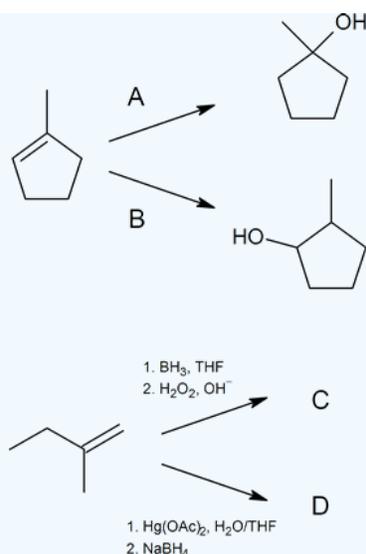
Draw the structural formulas for the alcohols that result from hydroboration-oxidation of (E)-3-methyl-2-pentene. If you need clarification or a reminder on the nomenclature of alkenes refer to the link below on naming the [alkenes](#).

Answer

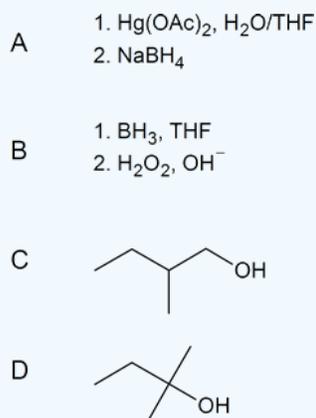


#### ? EXERCISE 8.5.6

Write out the reagents or products (A–D) shown in the following reaction schemes.



Answer



## REFERENCES

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- Ilich, Predrag-Peter; Rickertsen, Lucas S., and Becker Erienne. *Polar Addition to C=C Group: Why Is Anti-Markovnikov Hydroboration-Oxidation of Alkenes Not "Anti-"?* *Journal of Chemical Education.*, 2006, v83, n11, pg 1681-1685

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## 8.6: REDUCTION OF ALKENES - HYDROGENATION

### OBJECTIVES

After completing this section, you should be able to

- write an equation for the catalytic hydrogenation of an alkene.
- identify the product obtained from the hydrogenation of a given alkene.
- identify the alkene, the reagents, or both, required to prepare a given alkane by catalytic hydrogenation.
- describe the mechanism of the catalytic hydrogenation of alkenes.
- explain the difference between a heterogeneous reaction and a homogeneous reaction.
- recognize that other types of compounds containing multiple bonds, such as ketones, esters, nitriles and aromatic compounds, do not react with hydrogen under the conditions used to hydrogenate alkenes.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- Adams' catalyst
- hydrogenation

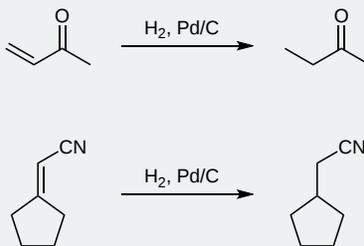
### STUDY NOTES

Chemical reactions that are heterogeneous have reactants that are in at least two different phases (e.g. gas with a solid), whereas homogeneous reactions occur in a single phase (e.g. gas with another gas).

Some confusion may arise from the description of the catalyst used in the reaction between alkenes and hydrogen. Three metals—nickel, platinum and palladium—are commonly used, but a chemist cannot simply place a piece of one of these metals in a mixture of the alkene and hydrogen and get a reaction. Each metal catalyst must be prepared in a special way:

- nickel is usually used in a finely divided form called “Raney nickel.” It is prepared by reacting a Ni-Al alloy with NaOH.
- palladium is obtained commercially “supported” on an inert substance, such as charcoal, (Pd/C). The alkene is usually dissolved in ethanol when Pd/C is used as the catalyst.
- platinum is used as PtO<sub>2</sub>, Adams' catalyst, although it is actually platinum metal that is the catalyst. The hydrogen used to add to the carbon-carbon double bond also reduces the platinum(IV) oxide to finely divided platinum metal. Ethanol or acetic acid is used as the solvent for the alkene.

Other types of compounds containing multiple bonds, such as ketones, esters, and nitriles, do not react with hydrogen under the conditions used to hydrogenate alkenes. The examples below show reduction of an alkene, but the ketone and nitrile groups present remain intact and are not reduced.



Aromatic rings are also not reduced under the conditions used to reduce alkenes, although these rings appear to contain three carbon-carbon double bonds. As you will see later, aromatic rings do not really contain any double bonds, and many chemists prefer to represent the benzene ring as a hexagon with a circle inside it



rather than as a hexagon with three alternating double bonds.



The representation of the benzene ring will be discussed further in Section 15.2.

The reaction between carbon-carbon double bonds and hydrogen provides a method of determining the number of double bonds present in a compound. For example, one mole of cyclohexene reacts with one mole of hydrogen to produce one mole of cyclohexane:



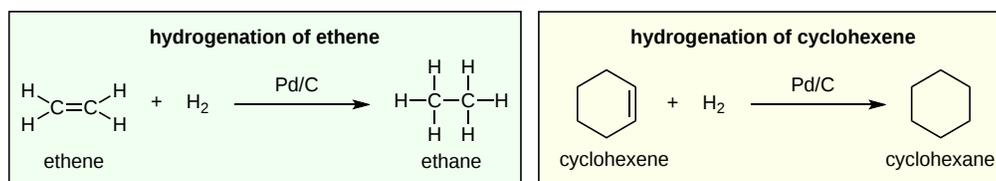
but one mole of 1,4-cyclohexadiene reacts with two moles of hydrogen to form one mole of cyclohexane:



A chemist would say that cyclohexene reacts with one equivalent of hydrogen, and 1,4-cyclohexadiene reacts with two equivalents of hydrogen. If you take a known amount of an unknown, unsaturated hydrocarbon and determine how much hydrogen it will absorb, you can readily determine the number of double bonds present in the hydrocarbon (see question 2, below).

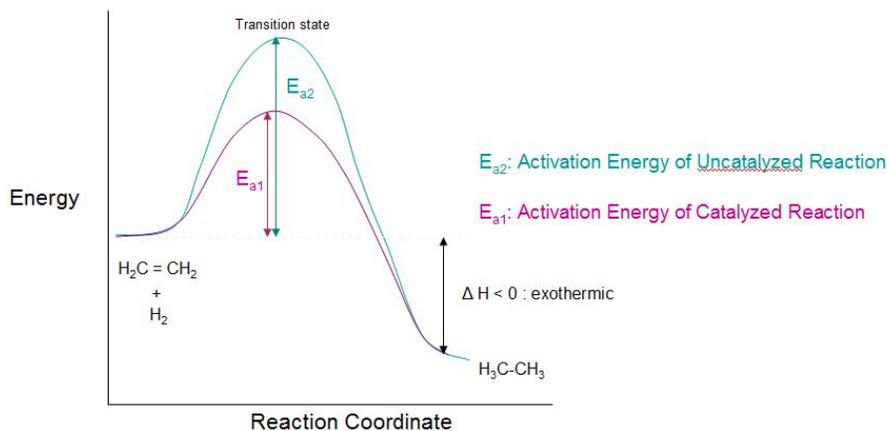
Addition of hydrogen to a carbon-carbon double bond is called hydrogenation. The overall effect of such an addition is the reductive removal of the double bond functional group. Regioselectivity is not an issue, since the same group (a hydrogen atom) is bonded to each of the double bond carbons. The simplest source of two hydrogen atoms is molecular hydrogen ( $\text{H}_2$ ), but mixing alkenes with hydrogen does not result in any discernible reaction. Although the overall hydrogenation reaction is exothermic, a high activation energy prevents it from taking place under normal conditions. This restriction may be circumvented by the use of a catalyst, as shown in the reaction coordinate diagram below.

An example of an alkene addition reaction is a process called hydrogenation. In a hydrogenation reaction, two hydrogen atoms are added across the double bond of an alkene, resulting in a saturated alkane. Hydrogenation of a double bond is a thermodynamically favorable reaction because it forms a more stable (lower energy) product. In other words, the energy of the product is lower than the energy of the reactant; thus it is exothermic (heat is released). The heat released is called the heat of hydrogenation, which is an indicator of a molecule's stability.



Catalysts are substances that changes the rate (velocity) of a chemical reaction without being consumed or appearing as part of the product. Catalysts act by lowering the activation energy of reactions, but they do not change the relative potential energy of the reactants and products. Finely divided metals, such as platinum, palladium and nickel, are among the most widely used hydrogenation catalysts. Catalytic hydrogenation takes place in at least two stages, as depicted in the diagram. First, the alkene must be adsorbed on the surface of the catalyst along with some of the hydrogen. Next, two hydrogens shift from the metal surface to the carbons of the double bond, and the resulting saturated hydrocarbon, which is more weakly adsorbed, leaves the catalyst surface. The exact nature and timing of the last events is not well understood.

## Hydrogenation Reaction Energy Diagram

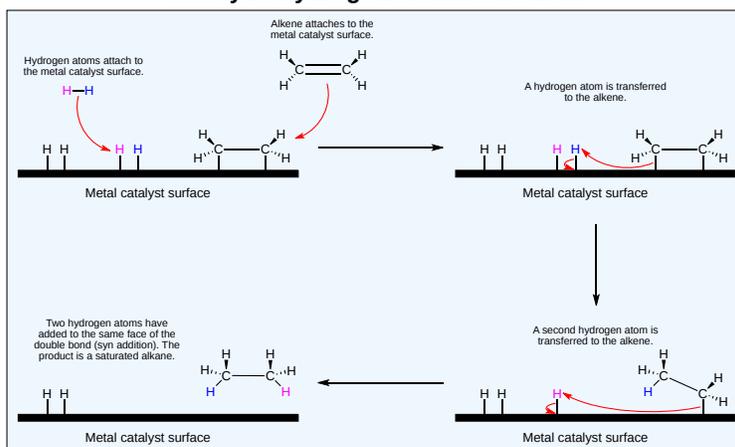


A catalyst lowers the activation energy needed for the reacting molecules to reach the transition state. The addition of a catalyst enables the hydrogenation reaction to occur, that otherwise, would not.

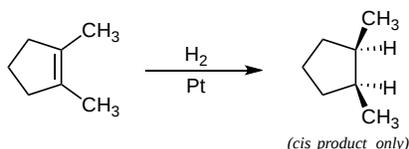
As shown in the energy diagram, the hydrogenation of alkenes is exothermic, and heat is released corresponding to the  $\Delta H$  in the diagram. This heat of reaction can be used to evaluate the thermodynamic stability of alkenes having different numbers of alkyl substituents on the double bond. For example, the following table lists the heats of hydrogenation for three  $\text{C}_5\text{H}_{10}$  alkenes which give the same alkane product (2-methylbutane). Since a larger heat of reaction indicates a higher energy reactant, these heats are inversely proportional to the stabilities of the alkene isomers. To a rough approximation, we see that each alkyl substituent on a double bond stabilizes this functional group by a bit more than 1 kcal/mole.

Alkene Isomer	$(\text{CH}_3)_2\text{CHCH}=\text{CH}_2$ 3-methyl-1-butene	$\text{CH}_2=\text{C}(\text{CH}_3)\text{CH}_2\text{CH}_3$ 2-methyl-1-butene	$(\text{CH}_3)_2\text{C}=\text{CHCH}_3$ 2-methyl-2-butene
Heat of Reaction ( $\Delta H^\circ$ )	-30.3 kcal/mole	-28.5 kcal/mole	-26.9 kcal/mole

## Catalytic Hydrogenation Mechanism



From the mechanism shown here we would expect the addition of hydrogen to occur with syn-stereoselectivity. This is often true, but the hydrogenation catalysts may also cause isomerization of the double bond prior to hydrogen addition, in which case stereoselectivity may be uncertain.



### ? EXERCISE 8.6.1

In the reaction



- 0.500 mol of ethene reacts with \_\_\_\_\_ mol of hydrogen. Thus a chemist might say that ethene reacts with one \_\_\_\_\_ of hydrogen.
- ethene is being \_\_\_\_\_; while \_\_\_\_\_ is being oxidized.
- the oxidation number of carbon in ethene is \_\_\_\_\_; in ethane it is \_\_\_\_\_.

**Answer**

- 0.500 mol of ethene reacts with 0.500 mol of hydrogen. Thus a chemist might say that ethene reacts with one equivalent of hydrogen.
- ethene is being reduced; while hydrogen is being oxidized.
- the oxidation number of carbon in ethene is -2; in ethane it is -3.

### ? EXERCISE 8.6.2

When 1.000 g of a certain triglyceride (fat) is treated with hydrogen gas in the presence of Adams' catalyst, it is found that the volume of hydrogen gas consumed at 99.8 kPa and 25.0°C is 162 mL. A separate experiment indicates that the molar mass of the fat is 914 g mol<sup>-1</sup>. How many carbon-carbon double bonds does the compound contain?

**Answer**

Amount of hydrogen consumed

$$\begin{aligned} &= n \text{ mol} \\ &= \frac{PV}{RT} \quad \quad \quad \text{mol} \\ &= \frac{99.8 \text{ kPa} \times 0.162 \text{ L}}{8.31 \text{ kPa} \cdot \text{mol}^{-1} \cdot \text{K}^{-1} \times 298 \text{ K}} = 6.53 \times 10^{-3} \text{ mol H}_2 \end{aligned}$$

Amount of fat used

$$= \frac{(1.000 \text{ g}) \times (1 \text{ mol})}{(914 \text{ g})}$$

$$= 1.09 \times 10^{-3} \text{ mol fat}$$

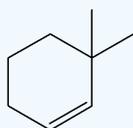
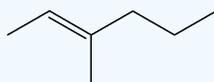
Ratio of moles of hydrogen consumed to moles of fat

$$\begin{aligned} &= 6.53 \times 10^{-3} \text{ mol H}_2 / 1.09 \times 10^{-3} \text{ mol fat} \\ &= 6 : 1 \end{aligned}$$

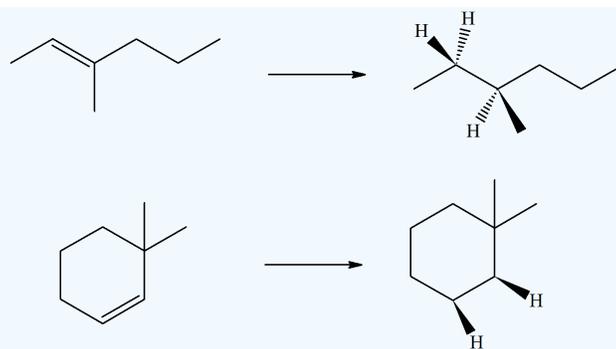
Thus, the fat contains six carbon-carbon double bonds per molecule.

### ? EXERCISE 8.6.3

Predict the products if the following alkenes were reacted with catalytic hydrogen.



**Answer**



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## 8.7: OXIDATION OF ALKENES - EPOXIDATION AND HYDROXYLATION

### OBJECTIVES

After completing this section, you should be able to

- write the equation for the epoxidation of an alkene using meta-chloroperoxybenzoic acid.
- identify the alkene, reagents, or both, that must be used to prepare a given epoxide.
- write the equation for the hydroxylation of an alkene using osmium tetroxide, and draw the structure of the cyclic intermediate.
- draw the structure of the diol formed from the reaction of a given alkene with osmium tetroxide.
- identify the alkene, the reagents, or both, that must be used to prepare a given 1,2-diol.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- diol
- glycol
- hydroxylation

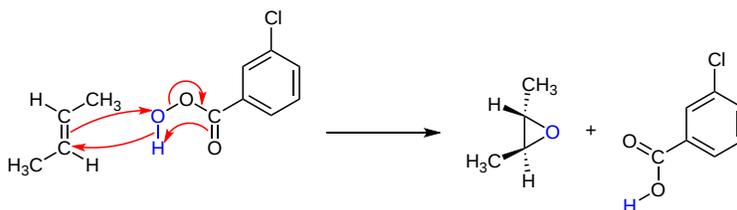
The previous section discussed the reduction of a double bond, so adding hydrogen to the the double bond. This section will discuss oxidation. In organic chemistry, this is a reaction that where the carbon atom loses electron density, which happens when new bond to a more electronegative atom occurs or when a double bond is broken between a carbon and a less electronegative atom. A simplified to say this is in organic chemistry a reduction is more bonds to hydrogen and oxidation is more bonds to oxygen often.

### OXACYCLOPROPANE SYNTHESIS BY PEROXYCARBOXYLIC ACID

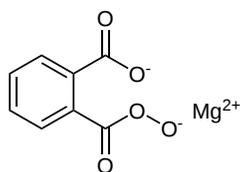
One way to oxidized a double bond is to produce an oxacyclopropane ring. Oxacyclopropane rings, also called epoxide rings, are useful reagents that may be opened by further reaction to form anti vicinal diols. One way to synthesize oxacyclopropane rings is through the reaction of an alkene with peroxycarboxylic acid. Oxacyclopropane synthesis by peroxycarboxylic acid requires an alkene and a peroxycarboxylic acid as well as an appropriate solvent. The peroxycarboxylic acid has the unique property of having an electropositive oxygen atom on the COOH group. The reaction is initiated by the electrophilic oxygen atom reacting with the nucleophilic carbon-carbon double bond. The mechanism involves a concerted reaction with a four-part, circular transition state. The result is that the originally electropositive oxygen atom ends up in the oxacyclopropane ring and the COOH group becomes COH.

### MECHANISM

Peroxydicarboxylic acids are generally unstable. An exception is meta-chloroperoxybenzoic acid, shown in the mechanism above. Often abbreviated MCPBA, it is a stable crystalline solid. Consequently, MCPBA is popular for laboratory use. However, MCPBA can be explosive under some conditions.

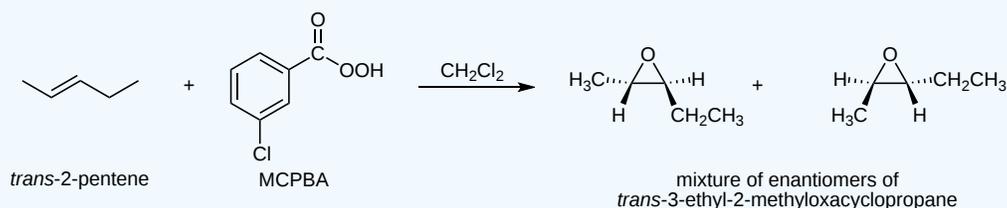


Peroxydicarboxylic acids are sometimes replaced in industrial applications by monoperphthalic acid, or the monoperoxyphthalate ion bound to magnesium, which gives magnesium monoperoxyphthalate (MMPP). In either case, a nonaqueous solvent such as chloroform, ether, acetone, or dioxane is used. This is because in an aqueous medium with any acid or base catalyst present, the epoxide ring is hydrolyzed to form a vicinal diol, a molecule with two OH groups on neighboring carbons. (For more explanation of how this reaction leads to vicinal diols, see below.) However, in a nonaqueous solvent, the hydrolysis is prevented and the epoxide ring can be isolated as the product. Reaction yields from this reaction are usually about 75%. The reaction rate is affected by the nature of the alkene, with more nucleophilic double bonds resulting in faster reactions.



magnesium monoperoxyphthalate,  
MMPP

✓ EXAMPLE 8.7.1



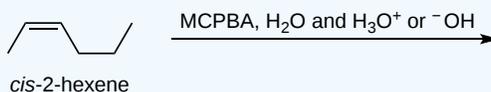
Since the transfer of oxygen is to the same side of the double bond, the resulting oxacyclopropane ring will have the same stereochemistry as the starting alkene. A good way to think of this is that the alkene is rotated so that some constituents are coming forward and some are behind. Then, the oxygen is inserted on top. (See the product of the above reaction.) One way the epoxide ring can be opened is by an acid catalyzed oxidation-hydrolysis. Oxidation-hydrolysis gives a vicinal diol, a molecule with OH groups on neighboring carbons. For this reaction, the dihydroxylation is *anti* since, due to steric hindrance, the ring is attacked from the side opposite the existing oxygen atom. Thus, if the starting alkene is *trans*, the resulting vicinal diol will have one (*S*) and one (*R*) stereocenter. But, if the starting alkene is *cis*, the resulting vicinal diol will have a racemic mixture of (*S,S*) and (*R,R*) enantiomers.

? EPOXIDATION EXERCISES

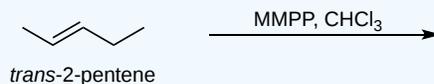
- Predict the product of the reaction of *cis*-2-hexene with MCPBA (meta-chloroperoxybenzoic acid)
  - in acetone solvent.



- in an aqueous medium with acid or base catalyst present.



- Predict the product of the reaction of *trans*-2-pentene with magnesium monoperoxyphthalate (MMPP) in a chloroform solvent.



- Predict the product of the reaction of *trans*-3-hexene with MCPBA in ether solvent.

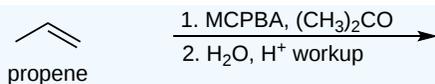


- Predict the reaction of propene with MCPBA.

- in acetone solvent



- after aqueous work-up.

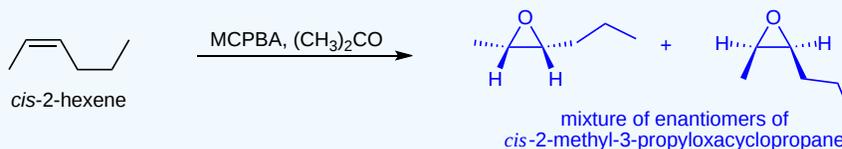


5. Predict the reaction of cis-2-butene in chloroform solvent.

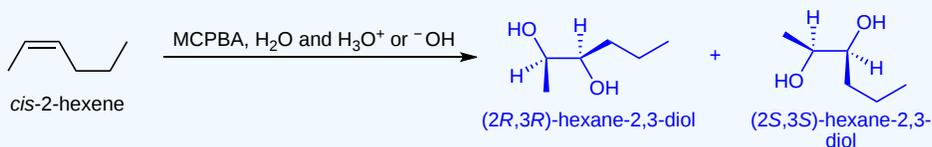


**Answers**

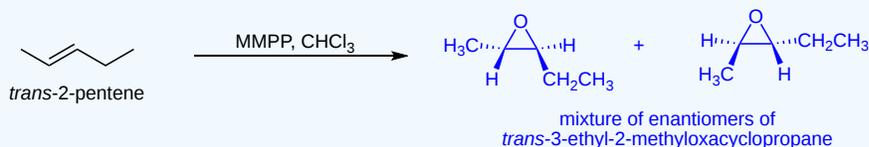
1. a) *Cis*-2-methyl-3-propyloxacyclopropane



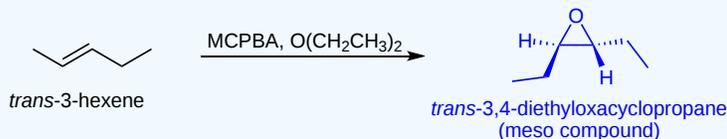
b) Racemic (2*R*,3*R*)-2,3-hexanediol and (2*S*,3*S*)-2,3-hexanediol



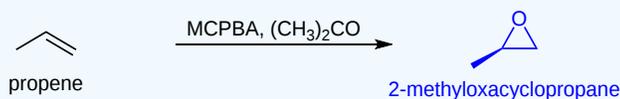
2. *Trans*-3-ethyl-2-methyloxacyclopropane.



3. *Trans*-3,4-diethyloxacyclopropane.

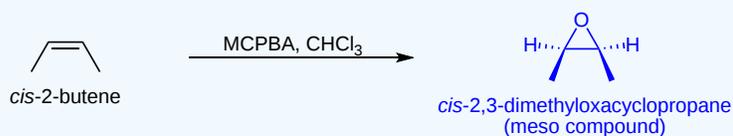


4. a) 2-methyl-oxacyclopropane



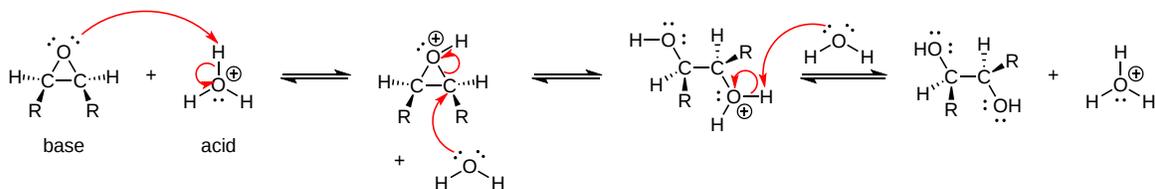
b) Racemic (2*S*)-1,2-propanediol and (2*R*)-1,2-propanediol

5. *Cis*-2,3-dimethyloxacyclopropane



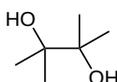
## ANTI DIHYDROXYLATION

Epoxides may be cleaved by aqueous acid to give glycols that are often diastereomeric with those prepared by the syn-hydroxylation reaction described above. Proton transfer from the acid catalyst generates the conjugate acid of the epoxide, which is attacked by nucleophiles such as water in the same way that the cyclic bromonium ion described above undergoes reaction. The result is **anti-hydroxylation** of the double bond, in contrast to the syn-stereoselectivity of the earlier method. In the following equation this procedure is illustrated for a cis-disubstituted epoxide, which, of course, could be prepared from the corresponding cis-alkene. This hydration of an epoxide does not change the oxidation state of any atoms or groups.



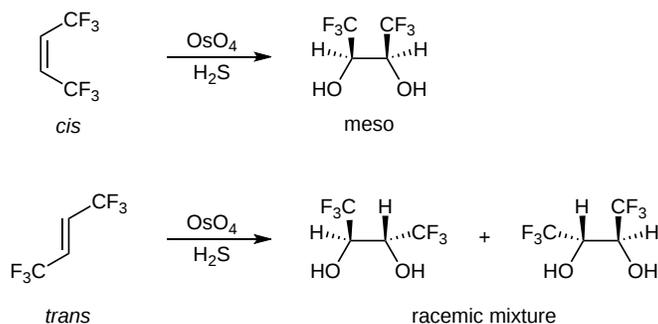
## SYN DIHYDROXYLATION

Osmium tetroxide oxidizes alkenes to give glycols through syn addition. A glycol, also known as a vicinal diol, is a compound with two -OH groups on adjacent carbons.

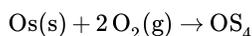


## INTRODUCTION

The reaction with  $OsO_4$  is a concerted process that has a cyclic intermediate and no rearrangements. Vicinal syn dihydroxylation complements the epoxide-hydrolysis sequence which constitutes an *anti* dihydroxylation of an alkene. When an alkene reacts with osmium tetroxide, stereocenters can form in the glycol product. Cis alkenes give **meso** products and trans alkenes give **racemic mixtures**.



$OsO_4$  is formed slowly when osmium powder reacts with gaseous  $O_2$  at ambient temperature. Reaction of bulk solid requires heating to 400 °C:



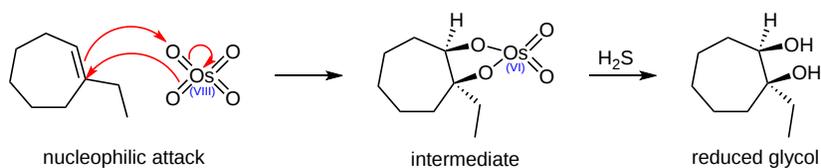
Since Osmium tetroxide is expensive and highly toxic, the reaction with alkenes has been modified. Catalytic amounts of  $OsO_4$  and stoichiometric amounts of an oxidizing agent such as hydrogen peroxide are now used to eliminate some hazards. Also, an older reagent that was used instead of  $OsO_4$  was potassium permanganate,  $KMnO_4$ . Although syn diols will result from the reaction of  $KMnO_4$  and an alkene, potassium permanganate is less useful since it gives poor yields of the product because of **overoxidation**.

## MECHANISM

- Electrophilic attack on the alkene
  - Pi bond of the alkene acts as the nucleophile and reacts with osmium (VIII) tetroxide ( $OsO_4$ )
  - 2 electrons from the double bond flows toward the osmium metal
    - In the process, 3 electron pairs move simultaneously
  - Cyclic ester with Os (VI) is produced
- Reduction
  - $H_2S$  reduces the cyclic ester
    - $NaHSO_4$  with  $H_2O$  may be used

- o Forms the syn-1,2-diol (glycol)

Example: Dihydroxylation of 1-ethyl-1-cycloheptene



## HYDROXYLATION OF ALKENES

Dihydroxylated products (glycols) are obtained by reaction with aqueous potassium permanganate ( $\text{pH} > 8$ ) or osmium tetroxide in pyridine solution. Both reactions appear to proceed by the same mechanism (shown below); the metallocyclic intermediate may be isolated in the osmium reaction. In basic solution the purple permanganate anion is reduced to the green manganate ion, providing a nice color test for the double bond functional group. From the mechanism shown here we would expect syn-stereoselectivity in the bonding to oxygen, and regioselectivity is not an issue.

When viewed in context with the previously discussed addition reactions, the hydroxylation reaction might seem implausible. Permanganate and osmium tetroxide have similar configurations, in which the metal atom occupies the center of a tetrahedral grouping of negatively charged oxygen atoms. How, then, would such a species interact with the nucleophilic pi-electrons of a double bond? A possible explanation is that an empty d-orbital of the electrophilic metal atom extends well beyond the surrounding oxygen atoms and initiates electron transfer from the double bond to the metal, in much the same fashion noted above for platinum. Back-bonding of the nucleophilic oxygens to the antibonding  $\pi^*$ -orbital completes this interaction. The result is formation of a metallocyclic intermediate, as shown above.

## CHEMICAL HIGHLIGHT

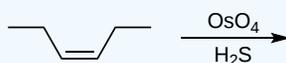
Antitumor drugs have been formed by using dihydroxylation. This method has been applied to the enantioselective synthesis of ovalicin, which is a class of fungal-derived products called antiangiogenesis agents. These antitumor products can cut off the blood supply to solid tumors. A derivative of ovalicin, TNP-470, is chemically stable, nontoxic, and noninflammatory. TNP-470 has been used in research to determine its effectiveness in treating cancer of the breast, brain, cervix, liver, and prostate.

### ? DIHYDROXYLATION EXERCISES

1. Give the major product.

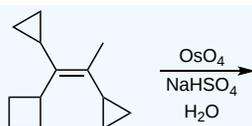


2. What is the product in the dihydroxylation of (Z)-3-hexene?

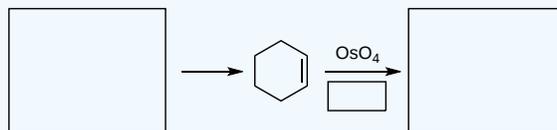


3. What is the product in the dihydroxylation of (E)-3-hexene?

4. Draw the intermediate of this reaction.

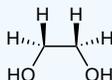


5. Fill in the missing reactants, reagents, and product.

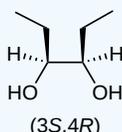


### Answers

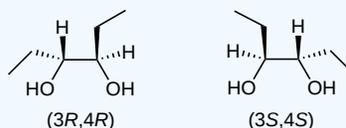
1. A syn-1,2-ethanediol is formed. There is no stereocenter in this particular reaction. The OH groups are on the same side.



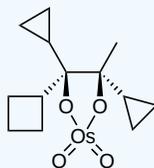
2. Meso-3,4-hexanediol is formed. There are 2 stereocenters in this reaction.



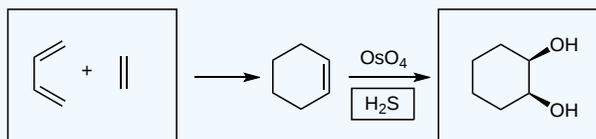
3. A racemic mixture of 3,4-hexanediol is formed. There are 2 stereocenters in both products.



4. A cyclic osmic ester is formed.



5. The [Diels-Alder cycloaddition](#) reaction is needed in the first box to form the cyclohexene. The second box needs a reagent to reduce the intermediate cyclic ester (not shown). The third box has the product: 1,2-cyclohexanediol.



### REFERENCES

- Dehestani, Ahmad et al. (2005). Ligand-assisted reduction of osmium tetroxide with molecular hydrogen via a [3+2] mechanism. *Journal of the American Chemical Society*, 2005, 127 (10), 3423-3432.
- Sorrell, Thomas, N. *Organic Chemistry*. New York: University Science Books, 2006.
- Vollhardt, Peter, and Neil E. Schore. *Organic Chemistry: Structure and Function*. 5th Edition. New York: W. H. Freeman & Company, 2007.

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## 8.8: OXIDATION OF ALKENES - CLEAVAGE TO CARBONYL COMPOUNDS

### OBJECTIVE

After completing this section, you should be able to

- write an equation to describe the cleavage of an alkene by ozone, followed by reduction of the ozonide so formed with either sodium borohydride or zinc and acetic acid.
- predict the products formed from the ozonolysis-reduction of a given alkene.
- write an equation to describe the cleavage of an alkene by potassium permanganate.
- predict the products from the oxidative cleavage of a given alkene by potassium permanganate.
- use the results of ozonolysis-reduction, or cleavage with permanganate, to deduce the structure of an unknown alkene.
- identify the reagents that should be used in the oxidative cleavage of an alkene to obtain a given product or products.
- write the equation for the cleavage of a 1,2-diol by periodic acid, and draw the structure of the probable intermediate.
- predict the product or products that will be formed from the treatment of a given 1,2-diol with periodic acid.
- use the results of hydroxylation/1,2-diol cleavage to deduce the structure of an unknown alkene.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

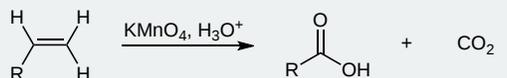
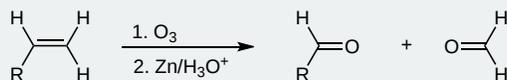
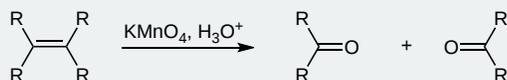
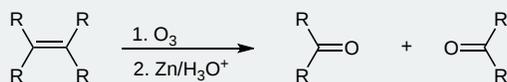
- molozonide
- ozonide
- ozonolysis

### STUDY NOTES

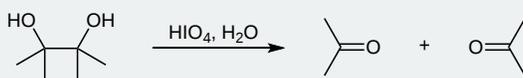
*Ozonolysis*, or ozonolysis-reduction, refers to the treatment of an alkene with ozone followed by a suitable reducing agent to break down complex double-bond-containing compounds into smaller, more easily identified products. From the identity of the products formed, it may be possible to deduce the structure of the original double-bond-containing substance. Ozonolysis will feature prominently in many of the road-map problems that you will encounter in this course.

A *molozonide* is an unstable, cyclic intermediate that is initially formed when an alkene reacts with ozone.

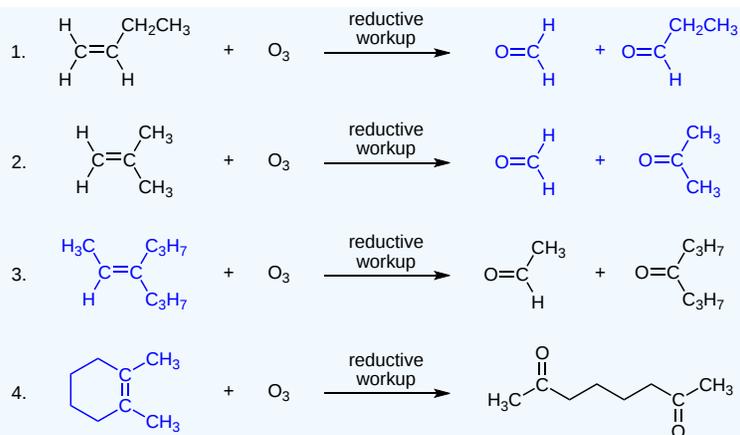
Alkenes can also be cleaved by other oxidizing agents such as potassium permanganate. However,  $\text{KMnO}_4$  will carry the oxidation further than ozonolysis, so products can be slightly different. Note within the summary of the following reactions that ozonolysis produces aldehydes and ketones, while  $\text{KMnO}_4$  can oxidize all the way to carbon dioxide and carboxylic acid.



Diol cleavage is another example of a redox reaction; periodic acid,  $\text{HIO}_4$ , is reduced to iodic acid,  $\text{HIO}_3$ .

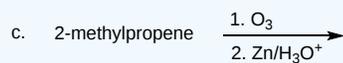
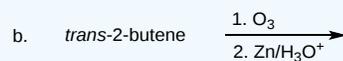




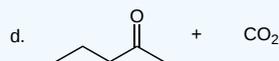
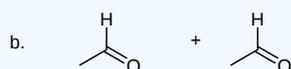
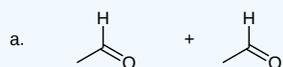


### ? EXERCISE 8.8.2

Draw the structure of the product or products obtained in each of the following reactions:



#### Answer



### ? EXERCISE 8.8.3

Exercises 1(a) and 1(b), above, indicate that it is not possible to distinguish between *cis* and *trans* isomers of alkenes using oxidative cleavage.

#### Answer

Both isomers give the same product or products.

## REFERENCES

1. Vollhardt, K., Schore, N. Organic Chemistry: Structure and Function. 5th ed. New York, NY: W. H. Freeman and Company, 2007.
2. Shore, N. Study Guide and Solutions Manual for Organic Chemistry. 5th ed. New York, NY: W.H. Freeman and Company, 2007.

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## 8.9: ADDITION OF CARBENES TO ALKENES - CYCLOPROPANE SYNTHESIS

### OBJECTIVES

After completing this section, you should be able to

- describe, and write the detailed mechanism for, the formation of a carbene, such as dichlorocarbene.
- describe the structure of a carbene in terms of the hybridization of the central carbon atom.
- write an equation for the formation of a substituted cyclopropane from an alkene and a carbene.
- identify the reagents, the alkene, or both, needed to prepare a given substituted cyclopropane by addition of a carbene to a double bond.
- identify the substituted cyclopropane formed from the reaction of a given alkene with the reagents necessary to form a carbene.

### KEY TERMS

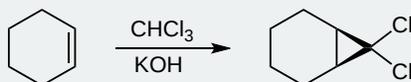
Make certain that you can define, and use in context, the key terms below.

- carbene ( $R_2C:$ )
- carbenoid
- Simmons-Smith reaction
- stereospecific

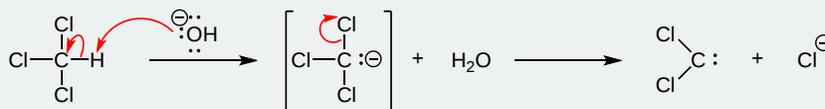
### STUDY NOTES

A *carbenoid* is best considered to be a reagent which, while not actually a carbene, behaves as if it were an intermediate of this type.

Dichlorocarbenes can also form cyclopropane structures and are created in situ from reagents such as chloroform and KOH.



The detailed mechanism of the formation of dichlorocarbene is given below. Note that the deprotonation of chloroform generates the trichloromethanide anion, which spontaneously expels the chloride anion.



The highly strained nature of cyclopropane compounds makes them very reactive and interesting synthetic targets. Additionally cyclopropanes are present in numerous biological compounds. One common method of cyclopropane synthesis is the reaction of carbenes with the double bond in alkenes or cycloalkenes. Methylene,  $H_2C$ , is simplest carbene, and in general carbenes have the formula  $R_2C$ . Other species that will also react with alkenes to form cyclopropanes but do not follow the formula of carbenes are referred to as carbenoids.

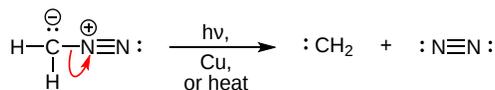
### INTRODUCTION

Carbenes were once only thought of as short lived intermediates. The reactions of this section only deal with these short lived carbenes which are mostly prepared in situ, in conjunction with the main reaction. However, there do exist so called persistent carbenes. These persistent carbenes are stabilized by a variety of methods often including aromatic rings or transition metals. In general a carbene is neutral and has 6 valence electrons, 2 of which are non bonding. These electrons can either occupy the same  $sp^2$  hybridized orbital to form a singlet carbene (with paired electrons), or two different  $sp^2$  orbitals to form a triplet carbene (with unpaired electrons). The chemistry of triplet and singlet carbenes is quite different but can be oversimplified to the statement: singlet carbenes usually retain stereochemistry while triplet carbenes do not. The carbenes discussed in this section are singlet and thus retain stereochemistry.

The reactivity of a singlet carbene is concerted and similar to that of electrophilic or nucleophilic addition whereas, triplet carbenes react like biradicals, explaining why stereochemistry is not retained. The highly reactive nature of carbenes leads to very fast reactions in which the rate determining step is generally carbene formation.

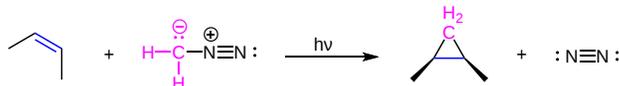
## PREPARATION OF METHYLENE

The preparation of methylene starts with the yellow gas diazomethane,  $\text{CH}_2\text{N}_2$ . Diazomethane can be exposed to light, heat or copper to facilitate the loss of nitrogen gas and the formation of the simplest carbene methylene. The process is driven by the formation of the nitrogen gas which is a very stable molecule.

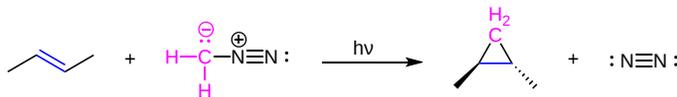


## CARBENE REACTION WITH ALKENES

A carbene such as methylene will react with an alkene which will break the double bond and result with a cyclopropane. The reaction will usually leave stereochemistry of the double bond unchanged. As stated before, carbenes are generally formed along with the main reaction; hence the starting material is diazomethane not methylene.



In the above case *cis*-2-butene is converted to *cis*-1,2-dimethylcyclopropane. Likewise, below the *trans* configuration is maintained. This shows that the reactions are stereospecific, only a single stereoisomer is obtained as the product.



## ADDITIONAL TYPES OF CARBENES AND CARBENOIDS

In addition to the general carbene with formula  $\text{R}_2\text{C}$  there exist a number of other compounds that behave in much the same way as carbenes in the synthesis of cyclopropane. **Halogenated carbenes** are formed from halomethanes. An example is dichlorocarbene,  $\text{Cl}_2\text{C}$ . The mechanism for the formation of dichlorocarbene is above in the study notes. These halogenated carbenes will form cyclopropanes in the same manner as methylene but with the interesting presence of two halogen atoms in place of the hydrogen atoms.

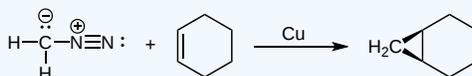
**Carbenoids** are substances that form cyclopropanes like carbenes but are not technically carbenes. One common example is the stereospecific Simmons-Smith reaction which utilizes the carbenoid -  $\text{ICH}_2\text{ZnI}$ . The (iodomethyl) zinc iodide is formed in situ via the mixing of  $\text{Zn-Cu}$  with  $\text{CH}_2\text{I}_2$ . If this  $\text{ICH}_2\text{ZnI}$  is in the presence of an alkene, a  $\text{CH}_2$  group is transferred to the double bond to create cyclopropane. Since this reacts as a carbene, the same methods can be applied to determine the product.

### ? EXERCISE 8.9.1

Knowing that cycloalkenes react much the same as regular alkenes what would be the expected structure of the product of cyclohexene and diazomethane facilitated by copper metal?

#### Answer

The product will be a bicyclic ring, Bicyclo[4.1.0]heptane.



### ? EXERCISE 8.9.2

What would be the result of a Simmons-Smith reaction that used *trans*-2-pentene as a reagent?

#### Answer

The stereochemistry will be retained making a cyclopropane with *trans* methyl and ethyl groups. *Trans*-1-ethyl-2-methylcyclopropane

### ? EXERCISE 8.9.3

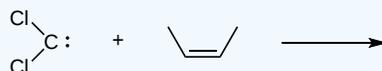
What starting material could be used to form *cis*-1,2-diethylcyclopropane?

#### Answer

The *cis* configuration will be maintained from reagent to product so we would want to start with *cis*-3-hexene. A Simmons Smith reagent, or methylene could be used as the carbene or carbenoid.

### ? EXERCISE 8.9.4

What would the following reaction yield?



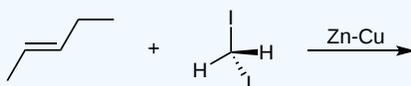
#### Answer

The halogenated carbene will react the same as methylene yielding, *cis*-1,1-dichloro-2,3-dimethylcyclopropane.



### ? EXERCISE 8.9.5

Draw the product of this reaction. What type of reaction is this?



#### Answer

This is a Simmons-Smith reaction which uses the carbenoid formed by the  $\text{CH}_2\text{I}_2$  and  $\text{Zn-Cu}$ . The reaction results in the same product as if methylene was used and retains stereospecificity. Iodine metal and the  $\text{Zn-Cu}$  are not part of the product. The product is *trans*-1,2-ethyl-methylcyclopropane.

## REFERENCES

- Vollhardt, K. Peter C. and Schore, Neil E. Organic Chemistry: Structure and Function. New York: Bleyer, Brennan, 2007.
- Abdel-Wahab, Aboel-Magd A. Ahmed, Saleh A. and Dürr, Heinz. "Carbene Formation by Extrusion of Nitrogen" in CRC Handbook of Organic Photochemistry and Photobiology. CRC Press, 2004.

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## 8.10: RADICAL ADDITIONS TO ALKENES - CHAIN-GROWTH POLYMERS

### OBJECTIVES

After completing this section, you should be able to

- write the detailed mechanism for the radical polymerization of an alkene.
- give examples of some common alkene monomers used in the manufacture of chain-growth polymers.
- identify the alkene monomer used to prepare a specific chain-growth polymer, given the structure of the polymer.

### KEY TERMS

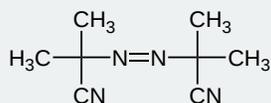
Make certain that you can define, and use in context, the key terms below.

- monomer
- polymer
- vinyl monomer

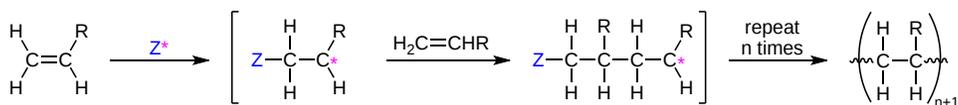
### STUDY NOTES

*Vinyl monomers* are monomers of the type  $\text{CH}_2=\text{CHX}$ . Recall that the vinyl group is  $\text{CH}_2=\text{CH}-$ .

Although benzoyl peroxide is commonly used as an initiator in free-radical polymerization reactions, an alternative reagent is azobisisobutyronitrile, shown below.



A polymer is a large molecule built of repeat units of many monomers (smaller molecules). An example of this in the biological world is cellulose, which is a polymer composed of repeating glucose monomer units. A synthetic example would be of polyethylene, which is a polymer with ethylene as the repeating unit for the monomer. The most common and thermodynamically favored chemical transformations of alkenes are addition reactions. Many of these addition reactions are known to proceed in a stepwise fashion by way of reactive intermediates, and this is the mechanism followed by most polymerizations. A general diagram illustrating this assembly of linear macromolecules, which supports the name chain growth polymers, is presented here. Since a pi-bond in the monomer is converted to a sigma-bond in the polymer, the polymerization reaction is usually exothermic by 8 to 20 kcal/mol. Indeed, cases of explosively uncontrolled polymerizations have been reported.



*Z*<sup>\*</sup> is an initiating species, where \* may be a radical, a cation or an anion

It is useful to distinguish four polymerization procedures fitting this general description.

- **Radical Polymerization** The initiator is a radical, and the propagating site of reactivity (\*) is a carbon radical.
- **Cationic Polymerization** The initiator is an acid, and the propagating site of reactivity (\*) is a carbocation.
- **Anionic Polymerization** The initiator is a nucleophile, and the propagating site of reactivity (\*) is a carbanion.
- **Coordination Catalytic Polymerization** The initiator is a transition metal complex, and the propagating site of reactivity (\*) is a terminal catalytic complex.

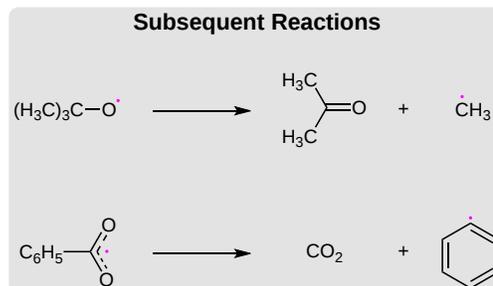
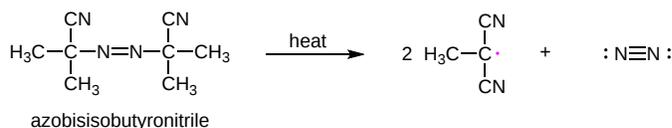
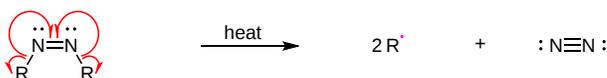
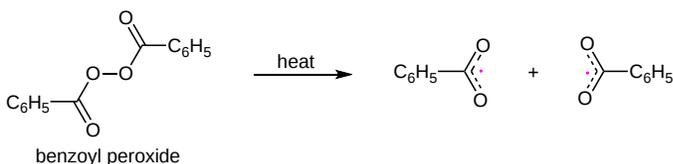
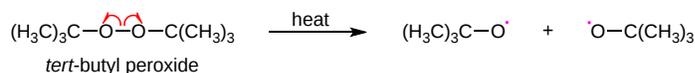
### RADICAL CHAIN-GROWTH POLYMERIZATION

Chain-growth polymers are formed in a chain-reaction process. The first step involves an initiator to add to a carbon-carbon double bond in the first monomer, which results in a reactive intermediate. This intermediate reactive intermediate then goes and reacts with a second alkene monomer to yield another reactive intermediate. This process continues to grow the polymer from one monomer to many monomers until the termination step when the radical is consumed.

Note: In radical mechanisms, the arrow showing the movement of the electron looks like a "fish hook" or half-arrow as opposed to a full arrow, which indicates the movement of an electron pair.

**Initiation:** Virtually all of the monomers described above are subject to radical polymerization. Since radical polymerization can be initiated by traces of oxygen or other minor impurities, pure samples of these compounds are often "stabilized" by small amounts of radical inhibitors to avoid unwanted reaction. When radical polymerization is desired, it must be started by using a radical initiator, such as a peroxide or certain azo compounds. The formulas of some common initiators, and equations showing the formation of radical species from these initiators are presented below.

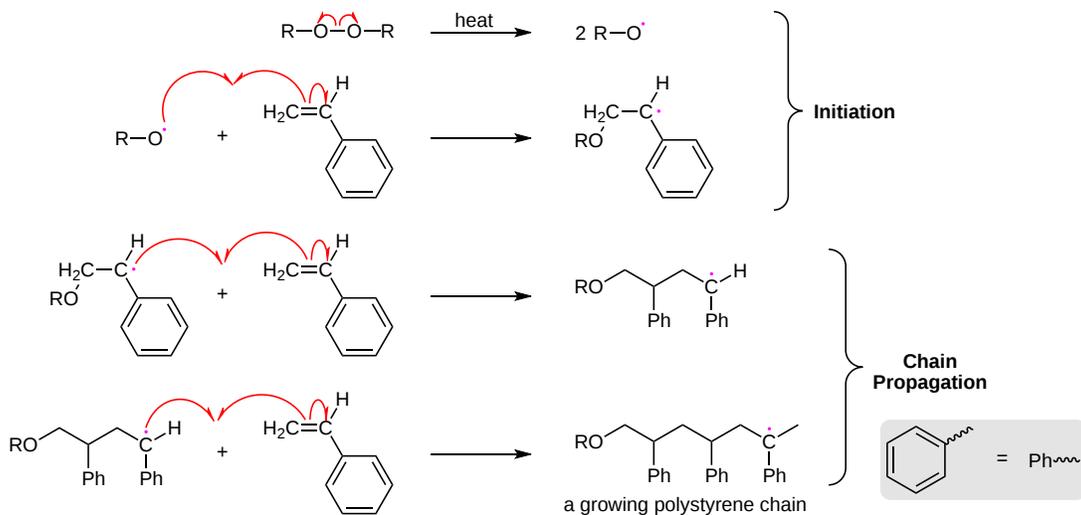
### Some Radical Initiators



**Propagation:** Once the initiator has reacted with the alkene to create the carbon radical intermediate, it adds to another alkene molecule to yield another radical. This process repeats building the polymer chain.

**Termination:** The chain growing process ends when a reaction that consumes the radical happens. The most common processes are radical combination (two growing chains combine together) and disproportionation.

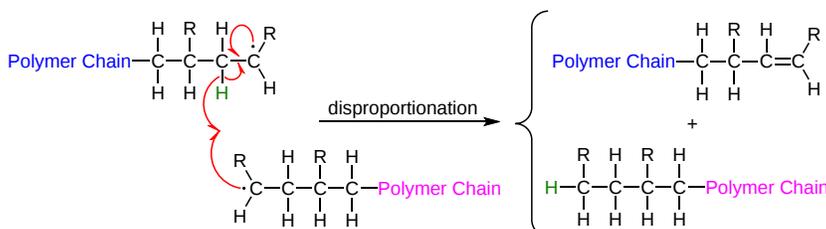
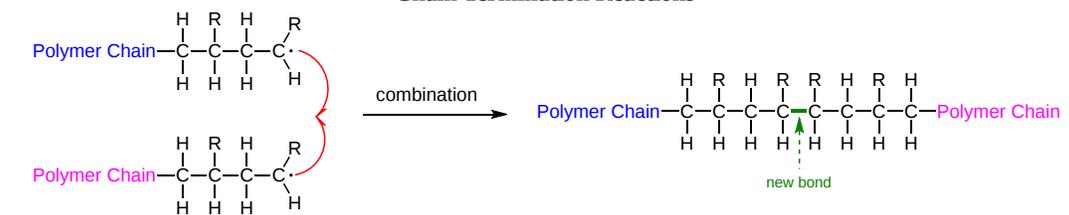
One example of this radical chain-growth polymerization is the conversion of styrene to polystyrene, shown in the following diagram. The first two equations illustrate the initiation process, and the last two equations are examples of chain propagation. Each monomer unit adds to the growing chain in a manner that generates the most stable radical. Since carbon radicals are stabilized by substituents of many kinds, the preference for head-to-tail regioselectivity in most addition polymerizations is understandable. Because radicals are tolerant of many functional groups and solvents (including water), radical polymerizations are widely used in the chemical industry.



In principle, once started a radical polymerization might be expected to continue unchecked, producing a few extremely long chain polymers. In practice, larger numbers of moderately sized chains are formed, indicating that chain-terminating reactions must be taking place. The most common termination processes are Radical Combination and Disproportionation. These reactions are illustrated by the following equations. The growing polymer chains are colored blue and red, and the hydrogen atom transferred in disproportionation is colored green. Note that in both types of termination two reactive radical sites are removed by simultaneous conversion to stable product(s).

Since the concentration of radical species in a polymerization reaction is small relative to other reactants (e.g. monomers, solvents and terminated chains), the rate at which these radical-radical termination reactions occurs is very small, and most growing chains achieve moderate length before termination.

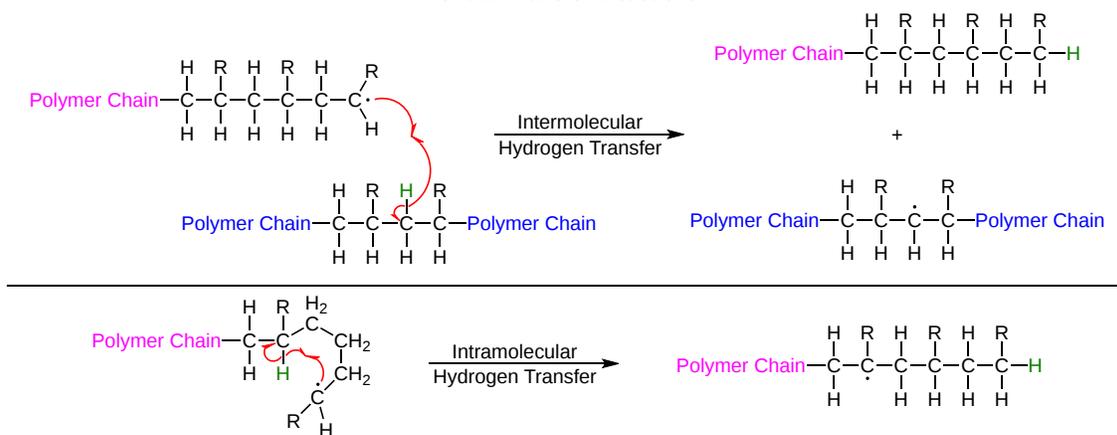
### Chain Termination Reactions



The relative importance of these terminations varies with the nature of the monomer undergoing polymerization. For acrylonitrile and styrene combination is the major process. However, methyl methacrylate and vinyl acetate are terminated chiefly by disproportionation.

Another reaction that diverts radical chain-growth polymerizations from producing linear macromolecules is called chain transfer. As the name implies, this reaction moves a carbon radical from one location to another by an intermolecular or intramolecular hydrogen atom transfer (colored green). These possibilities are demonstrated by the following equations

### Chain Transfer Reactions



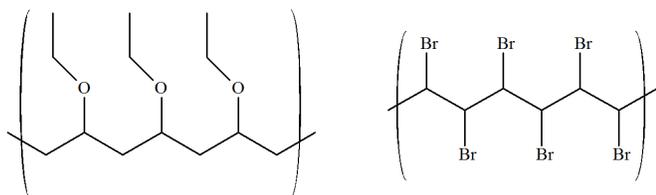
Chain transfer reactions are especially prevalent in the high pressure radical polymerization of ethylene, which is the method used to make LDPE (low density polyethylene). The  $1^\circ$ -radical at the end of a growing chain is converted to a more stable  $2^\circ$ -radical by hydrogen atom transfer. Further polymerization at the new radical site generates a side chain radical, and this may in turn lead to creation of other side chains by chain transfer reactions. As a result, the morphology of LDPE is an amorphous network of highly branched macromolecules.

## EXERCISES

### QUESTIONS

#### Q8.10.1

Propose the monomer units in the following polymers:



**SOLUTIONS**

**S8.10.1**



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## 8.11: BIOLOGICAL ADDITIONS OF RADICALS TO ALKENES

### OBJECTIVE

After completing this section, you should be able to discuss, briefly, some of the addition reactions that take place in nature, and the role of enzymes in such processes.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- enzyme
- coenzyme

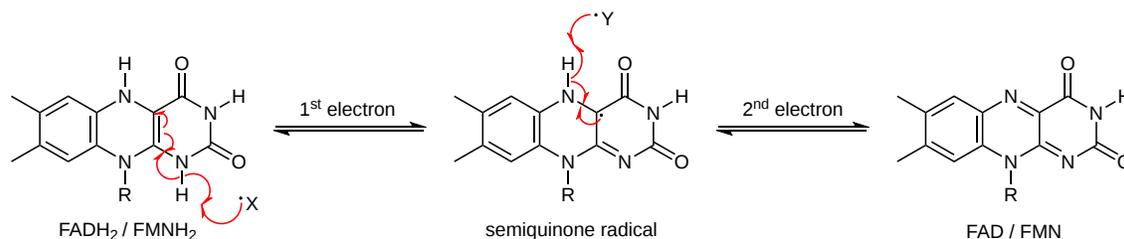
### STUDY NOTES

You need not memorize the reaction described in this section of the textbook. However, you should note how the names of enzymes are derived from the reactions they catalyze; for example, ascorbic acid oxidase is the enzyme that catalyzes the oxidation of ascorbic acid (vitamin C).

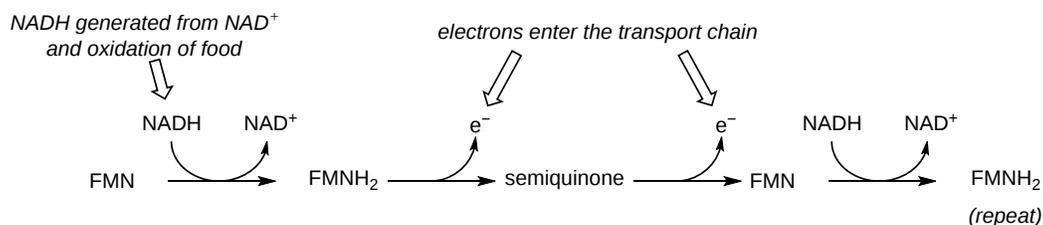
In the previous section (8-10: [Radical Additions to Alkenes: Chain-Growth Polymers](#)), very reactive carbon radical intermediates were formed for the alkene polymerizations. The high reactivity of radicals makes it hard to control, though many chemists are currently working on this. Therefore, there is a limit to the usefulness of radical polymerization. Biological reactions do not face this same issue since the enzyme controls the reaction. In an enzyme active site, one monomer at a time enters to react in the proper orientation with all the nearby groups necessary for the reaction to proceed nearby. An example of a radical chain-growth polymerization is discussed next.

### RADICAL MECHANISMS FOR FLAVIN-DEPENDENT REACTIONS

Flavin coenzymes, like their nicotinamide adenine dinucleotide (NAD) counterparts, can act as hydride acceptors and donors. In these redox reactions, two electrons are transferred together in the form of a hydride ion. Flavin, however, is also capable of mediating chemical steps in which a single unpaired electron is transferred - in other words, radical chemistry. This is due to the ability of the flavin system to form a stabilized radical intermediate called a **semiquinone**, formed when  $\text{FADH}_2$  (or  $\text{FMNH}_2$ ) donates a single electron, or when FAD (or FMN) accepts a single electron.

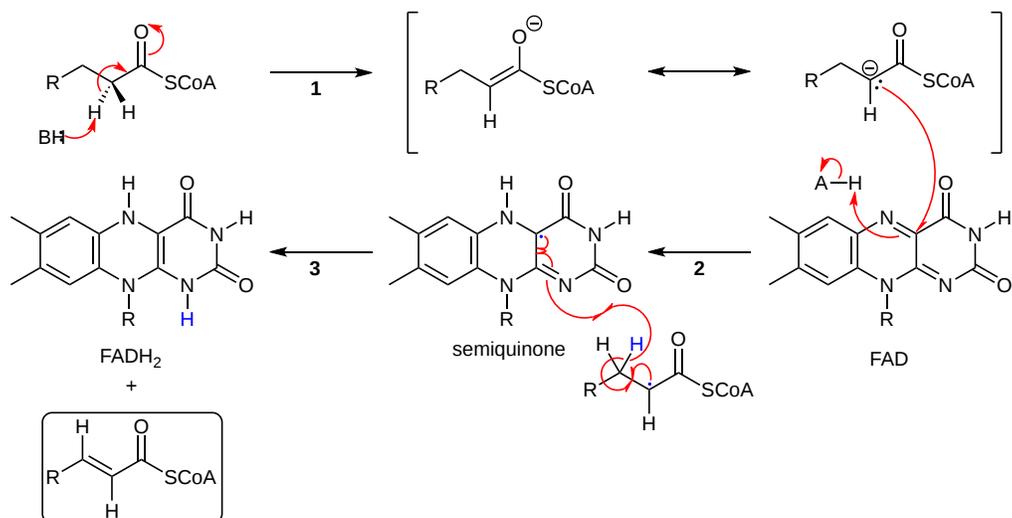


This single-electron transfer capability of flavins is critical to their metabolic role as the entry point of electrons into the electron transport phase of respiration. Electrons 'harvested' from the oxidation of fuel molecules are channeled, *one by one*, by  $\text{FMNH}_2$  into the electron transport chain, where they eventually reduce molecular oxygen. NADH is incapable of single electron transfer - all it can do is transfer *two* electrons, in the form of a hydride, to FMN; the regenerated  $\text{FMNH}_2$  is then able to continue sending single electrons into the transport chain.



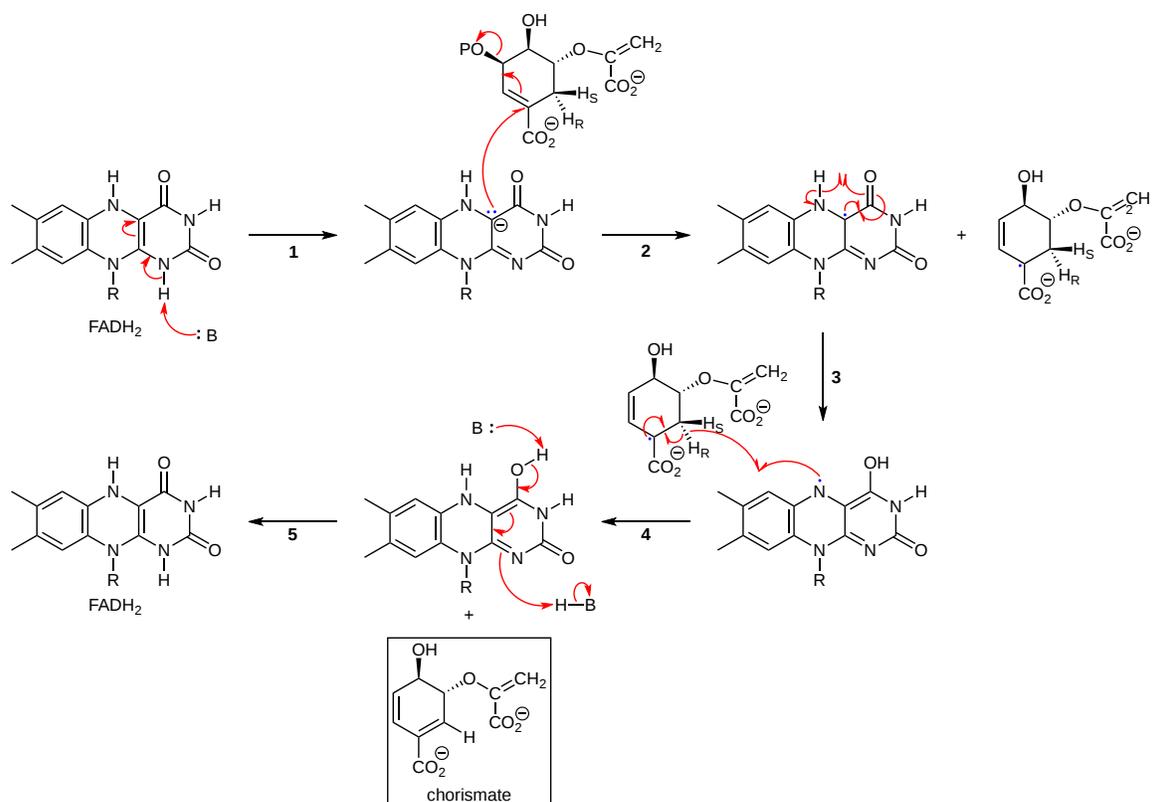
You will learn more details about this process in a biochemistry class.

Because flavins are capable of single-electron as well as two-electron chemistry, the relevant mechanisms of flavoenzyme-catalyzed reactions are often more difficult to determine. Recall the dehydrogenation reaction catalyzed by acyl-CoA dehydrogenase ([section 16.5C](#)) - it involves the transfer of two electrons and two protons (ie. a hydrogen molecule) to FAD. Both electrons could be transferred together, with the FAD coenzyme simply acting as a hydride acceptor (this is the mechanism we considered previously). However, because the oxidizing coenzyme being used is FAD rather than  $\text{NAD}^+$ , it is also possible that the reaction could proceed by a single-electron, radical intermediate process. In the alternate radical mechanism proposed below, for example, the enolate intermediate first donates a *single* electron to FAD, forming a radical semiquinone intermediate (step 2). The second electron is transferred when the semiquinone intermediate abstracts a hydrogen from  $\text{C}_\beta$  in a homolytic fashion (step 3).



Scientists are still not sure which mechanism - the hydride transfer mechanism that we saw in [section 16.5B](#) or the single electron transfer detailed above - more accurately depicts what is going on in this reaction.

The conjugated elimination catalyzed by chorismate synthase ([section 14.3B](#)) is another example of a reaction where the participation of flavin throws doubt on the question of what is the relevant mechanism. This could simply be a conjugated E1' reaction, with formation of an allylic carbocation intermediate. The question plaguing researchers studying this enzyme, however, is why FADH<sub>2</sub> is required. This is not a redox reaction, and correspondingly, FADH<sub>2</sub> is *not* used up in the course of the transformation - it just needs to be bound in the active site in order for the reaction to proceed. Given that flavins generally participate in single-electron chemistry, this is an indication that radical intermediates may be involved. Recently an alternative mechanism, involving a flavin semiquinone intermediate, has been proposed (*J. Biol. Chem* **2004**, *279*, 9451). Notice that a single electron is transferred from substrate to coenzyme in step 2, then transferred back in step 4.



## CONTRIBUTORS AND ATTRIBUTIONS

- 
- 
- [Organic Chemistry With a Biological Emphasis](#) by [Tim Soderberg](#) (University of Minnesota, Morris)
- [Lauren Reutenauer](#) (Amherst College)
- [Dr. Krista Cunningham](#)

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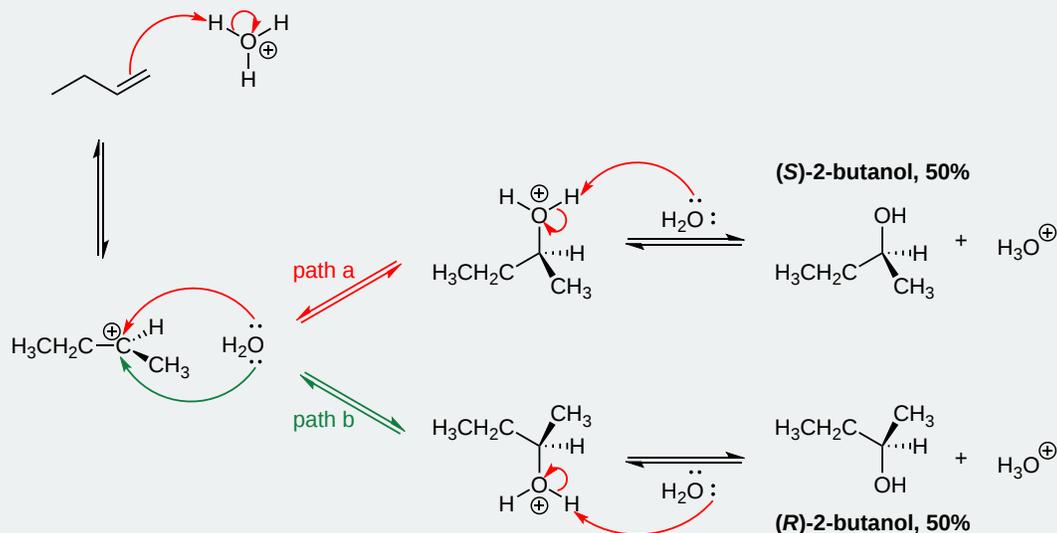
## 8.12: STEREOCHEMISTRY OF REACTIONS - ADDITION OF H<sub>2</sub>O TO AN ACHIRAL ALKENE

### OBJECTIVE

After completing this section, you should be able to account for the stereochemistry of the product of the addition of water to an alkene in terms of the formation of a planar carbocation.

### STUDY NOTES

Organic reactions in the laboratory or in living systems can produce chiral centres. Consider reaction of 1-butene with water (acid catalyzed). Markovnikov regiochemistry occurs and the OH adds to the second carbon. However, both (*R*) and (*S*) products occur giving a racemic (50/50) mixture of 2-butanol. How does this occur? The proton addition to 1-butene results in a planar carbocation intermediate. A molecule of water is then equally likely to attack from the top (path a) or the bottom (path b) of this cation to produce either (*S*)-2-butanol or (*R*)-2-butanol, respectively.



As a reminder, a chiral center is a carbon that is bonded to four different groups. Organic reactions whether taking place in the body or in the laboratory can result in the product having a chiral center. The example in the study notes uses 1-butene to yield a product that contains a carbon with four different groups attached. Does this mean we get just one stereoisomer? Do we get a mixture of enantiomers? What is the stereochemistry of the reaction?

The product formed from 1-butene acid-catalyzed hydration reaction is a racemic mixture of 2-butanol. So, both *R* and *S* enantiomers are present. For more clarity, we can look into the mechanism for this reaction. The first step protonates 1-butene to yield a carbocation. The carbocation has an sp<sup>2</sup>-hybridized carbon in a trigonal planar geometry. The planarity of the carbocation allows the nucleophilic water to attack from either side of the plane equally. Many refer to this as top and bottom attack.

One thing to consider is you cannot create chirality from something that is achiral. 1-butene is achiral as is the carbocation intermediate. Therefore, our product must also be achiral and to do this with a molecule that does contain a chiral center means that both enantiomers must be present. In other words, the product is formed as a racemic mixture. While this may be true in the laboratory, biological reactions can give a single enantiomeric product. This is because the enzyme catalyzing the reaction itself is chiral and can therefore yield a chiral product. An example of this is *cis*-aconitate, which is achiral, to (2*R*,3*S*)-isocitrate, which is chiral. In this case, aconitase is the enzyme that holds the *cis*-aconitate in a chiral environment, which does create a chemically distinct way for the addition to occur yielding the chiral product, (2*R*,3*S*)-isocitrate.

### CONTRIBUTORS AND ATTRIBUTIONS

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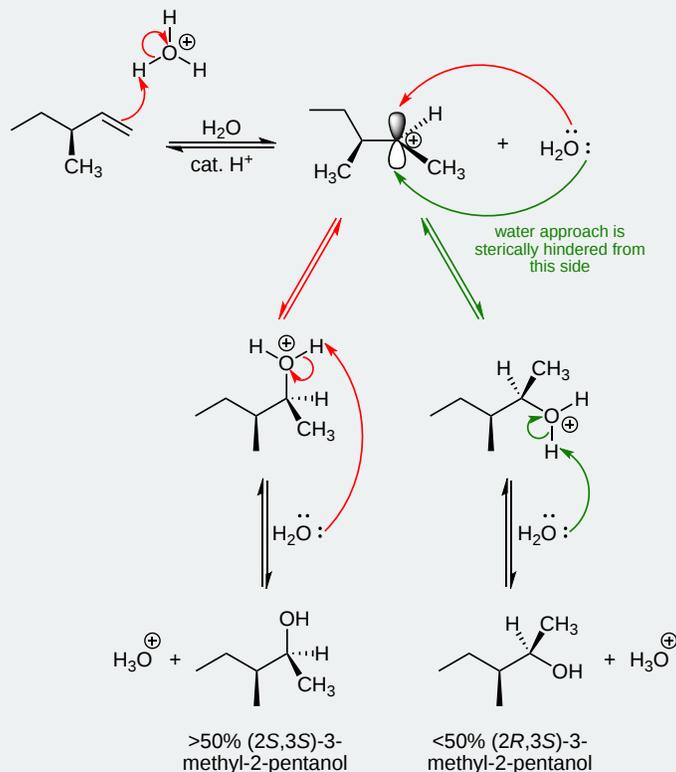
## 8.13: STEREOCHEMISTRY OF REACTIONS - ADDITION OF H<sub>2</sub>O TO A CHIRAL ALKENE

### OBJECTIVE

After completing this section, you should be able to explain why the addition of H<sub>2</sub>O to a chiral alkene leads to unequal amounts of diastereomeric products.

### STUDY NOTES

In the previous section, the addition of water to the achiral alkene produced a racemic mixture of two enantiomeric alcohols. They are produced in equal amounts so the mixture is optically inactive. What would occur if we carried out a similar reaction on a chiral alkene? Consider (*S*)-3-methyl-1-pentene reacting with water (acid catalyzed). Proton addition produces a carbocation intermediate that is chiral (\* denotes stereogenic centre). That intermediate does not have a plane of symmetry and therefore attack by water is not equal from the top and bottom. This ultimately produces *R* and *S* products in a non 50:50 ratio.



In the previous section (8.12), an achiral alkene yielded a racemic mixture product. In this section, the starting alkene is chiral. If we consider (*S*)-3-methyl-1-pentene, it contains a chiral center, thus is optically active. When (*S*)-3-methyl-1-pentene undergoes acid-catalyzed hydration, it creates a second chiral center. There is the possibility of four products. Do they all form? They do not. Let's see why.

In the reaction, only one site is being reacted at. The *S*-configuration at C3 just goes along for the ride never participating in the actual mechanism. If you do not react at that site, then the stereochemistry will remain unchanged, so it will stay with the *S*-configuration. However, at C2 we are reacting and water can still approach the planar carbocation from either side. Therefore at the C2 site, there will be some *R*-configuration and some *S*-configuration. The final product will be a mixture of enantiomers of 2-pentanol. Since the carbocation does not have a plane of symmetry (as was the case in the previous section), there will not be equal attack on either face. One of the faces may be slightly hindered due to sterics, which would result in a little less nucleophilic attack. Instead of obtaining a 50:50 diastereomeric mixture of products, it would have a slightly different ratio of *R* to *S* due to this unequal attack.

### EXERCISES

### QUESTIONS

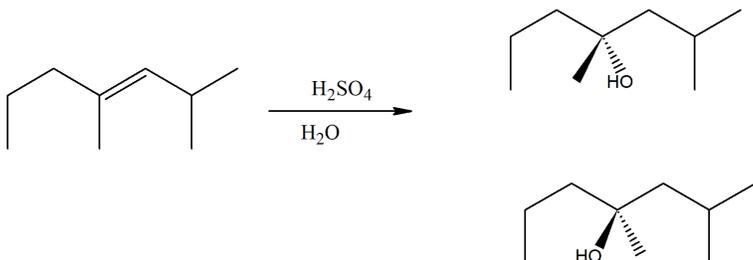
#### Q8.13.1

Predict the products of the following reaction showing stereochemistry.



### SOLUTIONS

#### S8.13.1



The products (Markovnikov) are diastereomers of one another.

### CONTRIBUTORS AND ATTRIBUTIONS

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- Lauren Reutenauer (Amherst College)
- Dr. Krista Cunningham

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## 8.S: ALKENES - REACTIONS AND SYNTHESIS (SUMMARY)

### CONCEPTS & VOCABULARY

#### 8.1 Preparing Alkenes: A Preview of Elimination Reactions

- Alkenes can be prepared by either E1 or E2 elimination reactions of alkyl halides.

#### 8.2 Halogenation of Alkenes: Addition of X<sub>2</sub>

- Halogen molecules can react as **electrophiles** due to polarization of the halogen-halogen bond.
- During **electrophilic addition** of halogens to pi bonds, an intermediate halonium ion is formed.
- During electrophilic halogenation, ring opening of the halonium intermediate causes **anti** stereochemistry of the halogen atoms in the dihalide product.

#### 8.3 Halohydrins from Alkenes: Addition of HOX

- Halohydrins** have a halogen and a hydroxide on adjacent carbon atoms. Bromohydrin and chlorohydrin are the specific types of halohydrins where the halogen is bromine or chlorine respectively.
- In **halohydrin** formation a carbocation intermediate is formed on the more substituted carbon (when available). This causes the hydroxide to be added to the more substituted carbon of the original alkene and the halogen to add to the less substituted carbon.

#### 8.4 Hydration of Alkenes: Addition of H<sub>2</sub>O by Oxymercuration

- Electrophilic hydration is the addition of water to an alkene with one carbon adding a hydrogen and the other carbon a hydroxide.
- The mechanism begins with addition of a proton, yielding the more substituted **carbocation**.
- Carbocations can undergo **hydride shifts** and **alkyl shifts** to form a more stable **carbocation** when possible.
- Markovnikov** addition through acid and water or oxymercuration-demercuration yields the more substituted alcohol product (when the two sides of the alkene are not equally substituted).
- Oxymercuration-demercuration avoids carbocation rearrangements through mercurinium ion bridge.

#### 8.5 Hydration of Alkenes: Addition of H<sub>2</sub>O by Hydroboration

- Hydroboration-oxidation proceeds through anti-**Markovnikov** addition of water to an alkene, yielding the less substituted alcohol.

#### 8.6 Reduction of Alkenes: Hydrogenation

- Hydrogenation reactions increase the number of carbon-hydrogen bonds, therefore are reduction reactions.
- Addition of hydrogen to carbon-carbon pi bonds is called hydrogenation.
- Hydrogenation requires a catalyst to lower the activation energy allowing the reaction to proceed (commonly nickel, palladium or platinum).
- Hydrogenation reactions occur primarily with syn addition of the two hydrogen atoms, though potential for isomerization makes this uncertain.

#### 8.7 Oxidation of Alkenes: Epoxidation and Hydroxylation

- Epoxidation** can be carried out by reacting an alkene with a peroxy acid such as MCPBA.
- Anti **dihydroxylation** is achieved by ring opening an epoxide with water under acidic or basic conditions.
- Vicinal diols have hydroxy groups on adjacent carbon atoms.
- Syn dihydroxylation occurs through reaction with osmium tetroxide, followed by reduction of the intermediate with sulfur compounds.

#### 8.8 Oxidation of Alkenes: Cleavage to Carbonyl Compounds

- Ozonolysis is the cleavage of an alkene resulting in carbonyls at each carbon of the alkene.
- Alkenes can be cleaved by potassium permanganate, which also results in carbonyls at each alkene carbon, though potassium permanganate will oxidize every carbon-hydrogen bond on the alkene to a carbon-oxygen bond.

#### 8.9 Addition of Carbenes to Alkenes: Cyclopropane Synthesis

- Organic molecules that have a carbon with only two bonds and a lone pair of electrons are called carbenes.
- Most carbenes are highly reactive and short-lived and are often created *in situ*.
- Carbenes can be formed from diazo compounds by reacting with a copper catalyst.
- Carbenes will react with alkenes to form cyclopropane rings.

#### 8.10 Radical Addition to Alkenes: Chain-Growth Polymers

- Monomers are units that repeat to form a polymer.
- In radical polymerization, the polymer chain reaction is initiated by a radical.

- Polymer chain reactions occur through a series of steps beginning with **initiation**, continuing through **propagation**, and ending in **termination**.

### 8.11 Biological Additions of Radicals to Alkenes

### 8.12 Reaction Stereochemistry: Addition of H<sub>2</sub>O to an Achiral Alkene

- Since addition of water to an alkene proceeds through a planar carbocation intermediate, achiral alkenes lead to a racemic mixture of alcohol products.

### 8.13 Reaction Stereochemistry: Addition of H<sub>2</sub>O to a Chiral Alkene

- Addition of water to alkenes which also contain a stereocenter does not lead to a 50:50 mixture of R and S products as the chiral center can reduce reactivity from one side of the carbocation. The products of this type of reaction will be diastereomers, since the original stereocenter will not change and the product will have an additional stereocenter.

## SKILLS TO MASTER

- Skill 8.1 Draw accurate Electrophilic Addition Mechanisms incorporating halonium intermediates.
- Skill 8.2 Draw accurate Electrophilic Addition Mechanisms incorporating carbocation intermediates.
- Skill 8.3 Draw Markovnikov products of alkene additions based on the most substituted carbocation intermediate.
- Skill 8.4 Draw hydrogenation products of alkenes.
- Skill 8.5 Draw appropriate epoxidation products including stereochemistry.
- Skill 8.6 Describe how to prepare syn and anti diols from alkenes.
- Skill 8.7 Draw products of oxidative cleavage reactions.
- Skill 8.8 Describe radical chain reactions to form polymers.

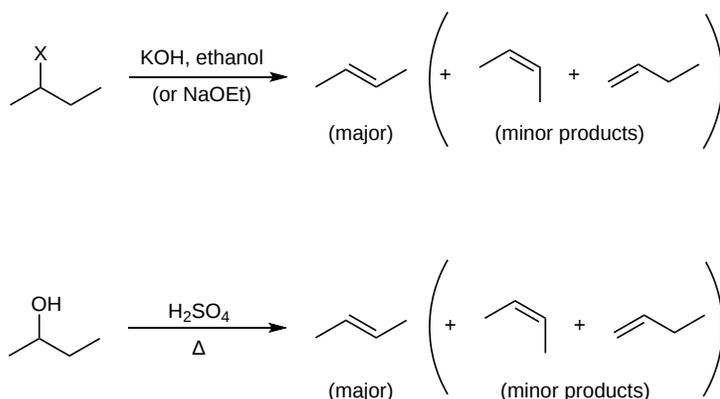
## MEMORIZATION TASKS

MT 8.1 Memorize reagents for alkene reactions.

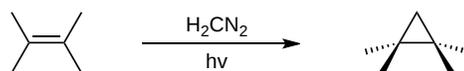
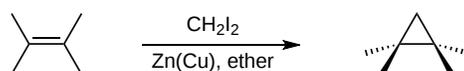
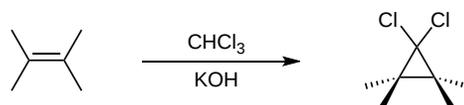
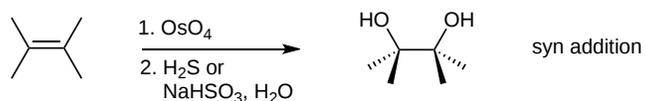
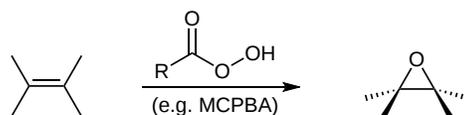
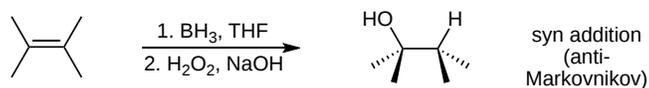
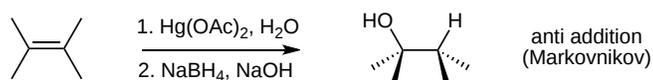
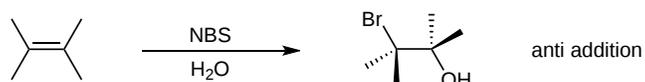
MT 8.2 Memorize stability order of carbocations.

## SUMMARY OF REACTIONS

### Preparation of Alkenes



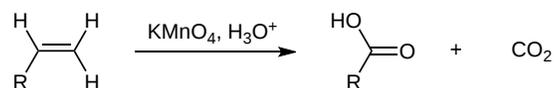
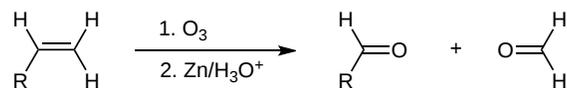
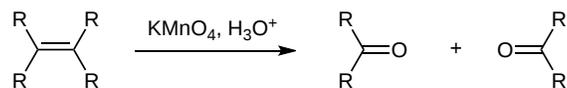
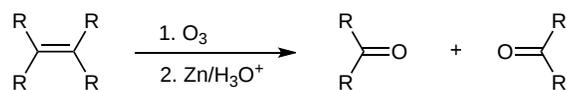
### Addition Reactions



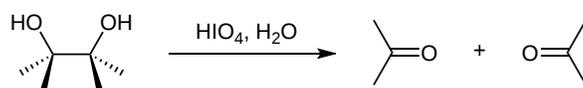
### Anti-hydroxylation



### Oxidative Cleavage



### Diol Cleavage



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## CHAPTER OVERVIEW

### 9: ALKYNES - AN INTRODUCTION TO ORGANIC SYNTHESIS

#### LEARNING OBJECTIVES

After you have completed Chapter 9, you should be able to

1. fulfill all of the detailed objectives listed under each individual section.
2. solve road-map problems involving any of the reactions introduced to this point.
3. design multistep syntheses using any of the reactions introduced to this point, and determine the viability of a given synthesis.
4. define, and use in context, the key terms introduced.

Addition reactions not only dominate the chemistry of alkenes, they are also the major class of reaction you will encounter. This chapter discusses an important difference between (terminal) alkynes and alkenes, that is, the acidity of the former; it also addresses the problem of devising organic syntheses. Once you have completed this chapter you will have increased the number of organic reactions in your repertoire, and should be able to design much more elaborate multistep syntheses. As you work through Chapter 9, you should notice the many similarities among the reactions described here and those in Chapters 7 and 8.

[9.0: Chapter Objectives](#)

[9.1: Naming Alkynes](#)

[9.2: Preparation of Alkynes - Elimination Reactions of Dihalides](#)

[9.3: Reactions of Alkynes - Addition of HX and X<sub>2</sub>](#)

[9.4: Hydration of Alkynes](#)

[9.5: Reduction of Alkynes](#)

[9.6: Oxidative Cleavage of Alkynes](#)

[9.7: Alkyne Acidity - Formation of Acetylide Anions](#)

[9.8: Alkylation of Acetylide Anions](#)

[9.9: An Introduction to Organic Synthesis](#)

[9.S: Alkynes - An Introduction to Organic Synthesis \(Summary\)](#)

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## 9.0: CHAPTER OBJECTIVES

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## 9.1: NAMING ALKYNES

### OBJECTIVES

After completing this section, you should be able to

- provide the correct IUPAC name of an alkyne, given its Kekulé, condensed or shorthand structure.
- provide the correct IUPAC name of a compound containing both double and triple bonds, given its Kekulé, condensed or shorthand structure.
- draw the structure of a compound containing one or more triple bonds, and possibly one or more double bonds, given its IUPAC name.
- name and draw the structure of simple alkynyl groups, and where appropriate, use these names as part of the IUPAC system of nomenclature.

### STUDY NOTES

Simple alkynes are named by the same rules that are used for alkenes (see Section 7.3), except that the ending is *-yne* instead of *-ene*. Alkynes cannot exhibit *E,Z* (cis-trans) isomerism; hence, in this sense, their nomenclature is simpler than that of alkenes.

Alkynes are organic molecules made of the functional group carbon-carbon triple bonds and are written in the empirical formula of  $C_nH_{2n-2}$ . They are unsaturated hydrocarbons. Like alkenes have the suffix *-ene*, alkynes use the ending *-yne*; this suffix is used when there is only one alkyne in the molecule.



### INTRODUCTION

Here are the molecular formulas and names of the first ten carbon straight chain alkynes.

Name	Molecular Formula
Ethyne	$C_2H_2$
Propyne	$C_3H_4$
1-Butyne	$C_4H_6$
1-Pentyne	$C_5H_8$
1-Hexyne	$C_6H_{10}$
1-Heptyne	$C_7H_{12}$
1-Octyne	$C_8H_{14}$
1-Nonyne	$C_9H_{16}$
1-Decyne	$C_{10}H_{18}$

The more commonly used name for ethyne is acetylene, which used industrially.

### NAMING ALKYNES

Like previously mentioned, the IUPAC rules are used for the naming of alkynes.

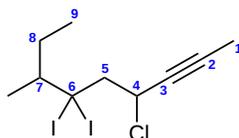
#### RULE 1

Find the longest carbon chain that includes both carbons of the triple bond.

#### RULE 2

Number the longest chain starting at the end closest to the triple bond. A 1-alkyne is referred to as a terminal alkyne and alkynes at any other position are called internal alkynes.

For example:

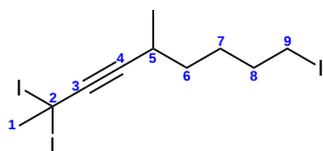


4-chloro-6,6-diiodo-7-methylnon-2-yne

### RULE 3

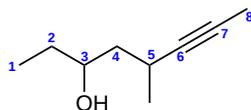
After numbering the longest chain with the lowest number assigned to the alkyne, label each of the substituents at its corresponding carbon. While writing out the name of the molecule, arrange the substituents in alphabetical order. If there are more than one of the same substituent use the prefixes di, tri, and tetra for two, three, and four substituents respectively. These prefixes are not taken into account in the alphabetical order.

For example:



2,2,9-triiodo-5-methylnon-3-yne

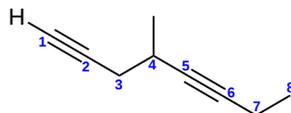
If there is an alcohol present in the molecule, number the longest chain starting at the end closest to it, and follow the same rules. However, the suffix would be *-ynol*, because the alcohol group takes priority over the triple bond.



5-methyl-6-octyn-3-ol

When there are two triple bonds in the molecule, find the longest carbon chain including both the triple bonds. Number the longest chain starting at the end closest to the triple bond that appears first. The suffix that would be used to name this molecule would be *-diyne*.

For example:

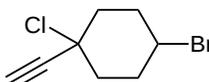


4-methyl-1,5-octadiyne

### RULE 4

Substituents containing a triple bond are called alkynyl.

For example:



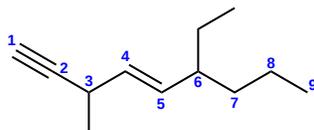
4-bromo-1-chloro-1-ethynylcyclohexane

Here is a table with a few of the alkynyl substituents:

Name	Molecule
Ethynyl	$-\text{C}\equiv\text{CH}$
2-Propynyl	$-\text{CH}_2\text{C}\equiv\text{CH}$
2-Butynyl	$-\text{CH}_3\text{C}\equiv\text{CH}_2\text{CH}_3$

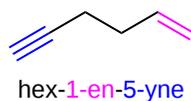
### RULE 5

A molecule that contains both double and triple bonds is called an alkenyne. The chain can be numbered starting with the end closest to the functional group that appears first. For example:



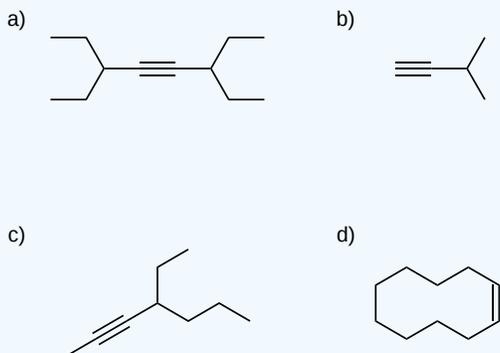
(*E*)-6-ethyl-3-methyl-non-4-en-1-yne

If both functional groups are the exact same distance from the ending of the parent chain, the alkene takes precedence in the numbering.



? EXERCISE 9.1.1

Name the following compounds:



Answer

- a. 3,6-diethyl-4-octyne
- b. 3-methylbutyne
- c. 4-ethyl-2-heptyne
- d. cyclodecyne

? EXERCISE 9.1.2

How many isomers are possible for  $C_5H_8$ ? Draw them.

Answer

2 possible isomers

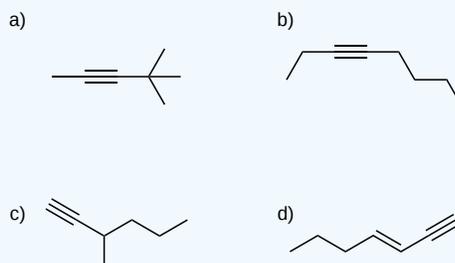


? EXERCISE 9.1.3

Draw the following compounds:

- a. 4,4-dimethyl-2-pentyne
- b. 3-octyne
- c. 3-methyl-1-hexyne
- d. *trans* 3-hepten-1-yne

Answer



### ? EXERCISE 9.1.4

Do alkynes show cis-trans isomerism? Explain.

#### Answer

No. A triply bonded carbon atom can form only one other bond and has linear electron geometry so there are no "sides". Alkenes have two groups attached to each vinyl carbon with a trigonal planar electron geometry that creates the possibility of cis-trans isomerism.

### REFERENCE

1. Vollhardt, Peter, and Neil E. Schore. Organic Chemistry: Structure and Function. 5th Edition. New York: W. H. Freeman & Company, 2007.

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## 9.2: PREPARATION OF ALKYNES - ELIMINATION REACTIONS OF DIHALIDES

### OBJECTIVES

After completing this section, you should be able to

- write an equation to describe the preparation of an alkyne by the dehydrohalogenation of a vicinal dihalide or vinylic halide.
- identify the alkyne produced from the dehydrohalogenation of a given vicinal dihalide or vinylic halide.
- write a reaction sequence to show how the double bond of an alkene can be transformed into a triple bond.
- identify the vicinal dihalide (or vinylic halide) needed to synthesize a given alkyne by dehydrohalogenation.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

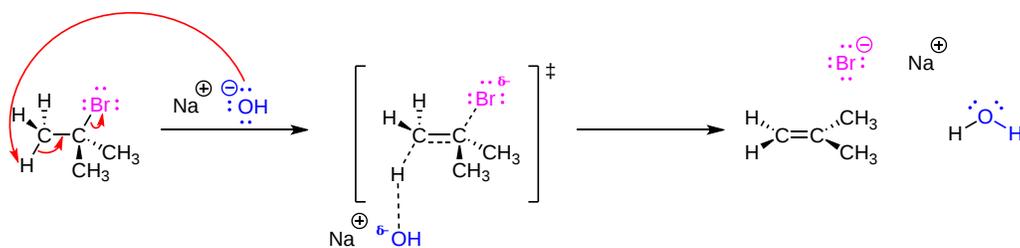
- vicinal dihalide
- vinylic halide

Alkynes can be a useful functional group to synthesize due to some of their antibacterial, antiparasitic, and antifungal properties. One simple method for alkyne synthesis is by double elimination from a dihaloalkane.

### E2 MECHANISM

**Section 8.2** discussed that alkenes can be formed through an elimination reaction. In particular, the synthesis of alkynes will utilize the E2 elimination reaction. During the mechanism of an E2 reaction, a strong base removes a hydrogen adjacent to a halogen. The electrons from the broken C-H bond move to form the C=C double bond. Doing this causes the halogen to be ejected from the compound. Overall, a hydrogen and a halogen are eliminated from the compound to form an alkene. During this mechanism there is a stereoelectronic requirement that the adjacent hydrogen and the halogen be adjacent to each other.

E2 reaction will be discussed in greater detail in **Section 11.10**.

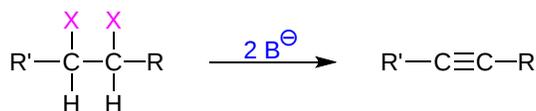


1. The base will deprotonate the haloalkane.
2. The leaving group will depart from the molecule.
3. The deprotonated carbon will rehybridize from  $sp^3$  to  $sp^2$ .

### ALKYNE FORMATION THROUGH DIHALOALKANE ELIMINATION

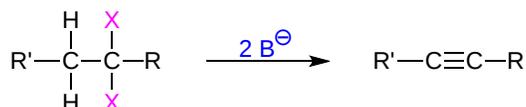
Alkynes are frequently prepared through a double E2 reaction using 2 halides that are vicinal (meaning on adjacent carbons) or geminal (meaning on the same carbon). Because the E2 reaction takes place twice 2  $\pi$  bonds are formed thus creating an Alkyne. Although hydroxide and alkoxide bases could be used for the strong base required for an E2 reaction, their used opens the possibility of position rearrangement in the alkyne product. Because of this, the stronger base sodium amide in ammonia ( $\text{NaNH}_2/\text{NH}_3$ ) is commonly used.

### GENERAL REACTION



Vicinal dihalide converted to an alkyne

or

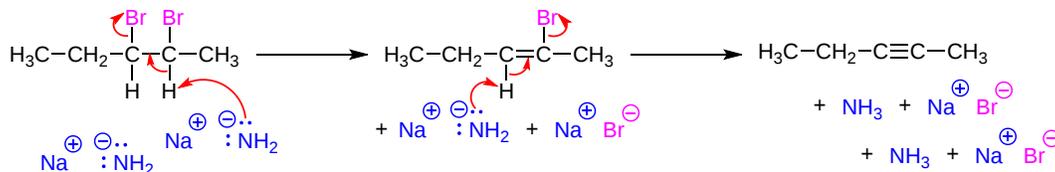


### Geminal dihalide converted to an alkyne

Note! If a terminal alkyne is formed during the reaction, 3 equivalents of base are required instead of 2 as discussed below.

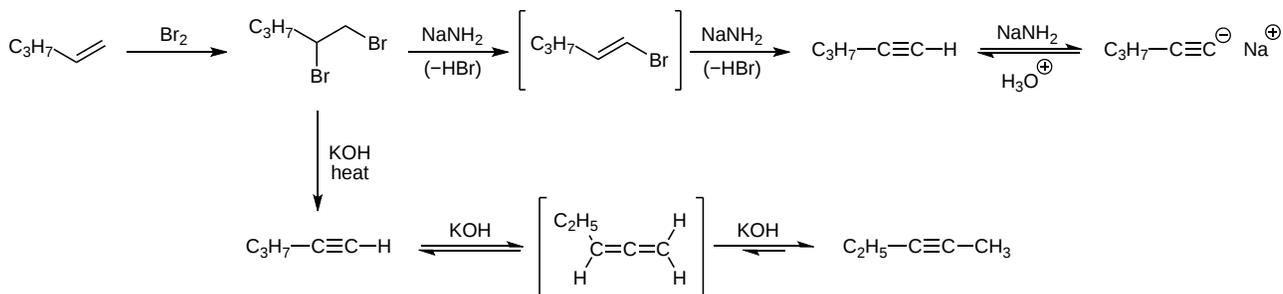
#### MECHANISM

The following mechanism represents the reaction between 2,3-Dibromopentane with sodium amide in liquid ammonia to form pent-2-yne. During this mechanism an intermediate alkene is formed. Notice that in the alkene intermediate, the remaining hydrogen and halogen are anti to each other due to the stereoelectronic requirements of the E2 mechanism. The intermediate alkene is converted to an alkyne by a second E2 elimination of a hydrogen and halogen.



#### TERMINAL ALKYNES

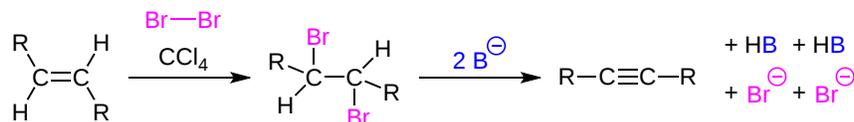
The acidity of terminal alkynes also plays a role in product determination when vicinal (or geminal) dihalides undergo base induced dielimination reactions. The following example illustrates eliminations of this kind starting from 1,2-dibromopentane, prepared from 1-pentene by addition of bromine. The initial elimination presumably forms 1-bromo-1-pentene, since base attack at the more acidic and less hindered 1°-carbon should be favored. The second elimination then produces 1-pentyne. If the very strong base such as sodium amide is used, the terminal alkyne is trapped as its sodium salt, from which it may be released by mild acid treatment. However, if the weaker base KOH with heat is used for the elimination, the terminal alkyne salt is not formed, or is formed reversibly, and the initially generated 1-pentyne rearranges to the more stable 2-pentyne via an allene intermediate. Even though terminal alkynes can be generated using sodium amide as a base, most chemists will prefer to use S<sub>N</sub>2 nucleophilic substitution instead of elimination when trying to form a terminal alkyne.



#### PREPARATION OF ALKYNES FROM ALKENES

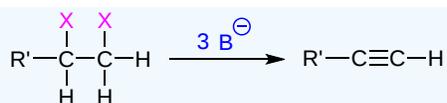
An simple method for the preparation of alkynes utilizes alkenes as starting material. The process begins with the electrophilic addition of a halogen to the alkene bond to form the dihaloalkane. Then the double E2 elimination process is used to form the 2 π bonds of an alkyne.

This first process is gone over in much greater detail in the page on halogenation of an alkene. In general, chlorine or bromine is used with an inert halogenated solvent like chloromethane to create a vicinal dihalide from an alkene. The vicinal dihalide formed is the reactant needed to produce the alkyne using double elimination, as covered previously on this page.



#### ? EXERCISE 9.2.1

Why would we need three bases for every terminal dihaloalkane instead of 2 in order to form an alkyne?



### Answer

Remember that hydrogen atoms on terminal alkynes make the alkyne acidic. One of the base molecules will pull off the terminal hydrogen instead of one of the halides like we want.

### ? EXERCISE 9.2.2

What are the major products of the following reactions:

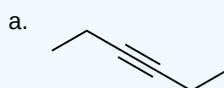
- 1,2-Dibromopentane with sodium amide in liquid ammonia
- 1-Pentene first with  $\text{Br}_2$  and chloromethane, followed by sodium ethoxide ( $\text{Na}^+ \text{O}^-\text{CH}_2\text{CH}_3$ )

### Answer

- 1-Pentyne
- 1-Pentyne

### ? EXERCISE 9.2.3

What would be good starting molecules for the synthesis of the following molecules:

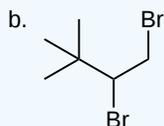
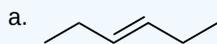


from an alkene



from a dihaloalkane

### Answer

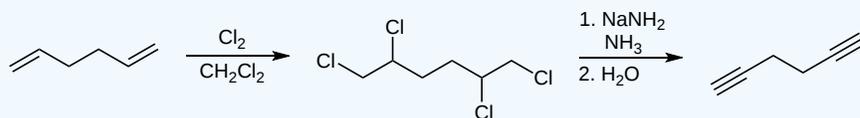


### ? EXERCISE 9.2.4

Use a 6 carbon diene to synthesize a 6 carbon molecule with 2 terminal alkynes.

### Answer

Bromine or chlorine can be used with different inert solvents for the halogenation. This can be done using many different bases. Liquid ammonia is used as a solvent and needs to be followed by an aqueous work-up.



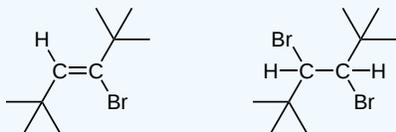
### ? EXERCISE 9.2.5

Identify the vinyl halide or halides and the vicinal dihalide or dihalides that could be used in the synthesis of:

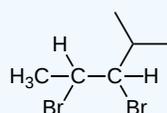
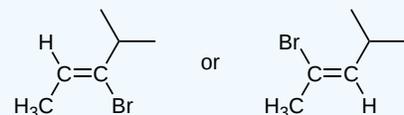
- 2,2,5,5-Tetramethyl-3-hexyne.
- 4-Methyl-2-hexyne.

### Answer

a)



b)



### REFERENCES

1. Vollhardt, Peter, and Neil Shore. Organic Chemistry: Structure and Function. 5th. New York: W.H. Freeman and Company, 2007.
2. Daley, Richard, and Sally Daley. "13.8 Elimination of Organohalogenes." Organic Chemistry. Daley. 5 July 2005. 21 Feb. 2009. <<https://studylib.net/doc/8721401/13-elimination-reactions>>.

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## 9.3: REACTIONS OF ALKYNES - ADDITION OF HX AND X<sub>2</sub>

### OBJECTIVES

After completing this section, you should be able to

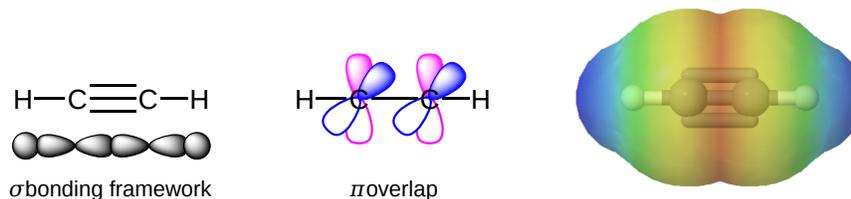
- describe the bonding and geometry of the carbon-carbon triple bond in terms of the *sp*-hybridization of the carbon atoms involved.
- explain the reactivity of alkynes based on the known strengths of carbon-carbon single, double and triple bonds.
- write equations for the reaction of an alkyne with one or two equivalents of halogen (chlorine or bromine) or halogen acid (HCl, HBr or HI).
- draw the structure of the product formed when an alkyne reacts with one equivalent of the halogens and halogen acids listed in Objective 3.
- identify the alkyne which must have been used in an addition reaction with a halogen or halogen acid, given the product of such a reaction.

### STUDY NOTES

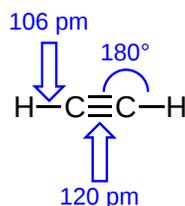
You might find it useful to review Section 1.9 before you begin work on this chapter. If necessary, construct a molecular model of a simple alkyne. Notice the similarity between the behaviour of alkenes and that of alkynes. In the laboratory, you will observe that alkynes readily decolorize a solution of bromine in dichloromethane. Section 9.7 describes a test that allows you to distinguish between a terminal alkyne (i.e., one in which the triple bond occurs between the last two carbons in the chain) and nonterminal alkynes and alkenes.

### THE ALKYNE TRIPLE BOND

As discussed in **Section 1-9**, the carbon-carbon triple bonds of alkynes are created by the overlap of orbitals on two *sp* hybridized carbon atoms. The molecule acetylene (HCCH) is said to contain three sigma bonds and two pi bonds. The C-C sigma bond of acetylene is formed by the overlap of an *sp* hybrid orbital from each of the carbon atoms. The two C-H sigma bonds are formed by the overlap of the second *sp* orbital on each carbon atom with a 1s orbital from a hydrogen. Each carbon atom still has two half-filled *p* orbitals, which are perpendicular both to each other and to the line formed by the sigma bonds. These two perpendicular pairs of *p* orbitals form two pi bonds between the carbons, resulting in a triple bond overall (one sigma bond plus two pi bonds). The electrostatic potential map of acetylene shows that the pi electrons of the triple bond form a negative belt (shown in red) around the center of the molecule.



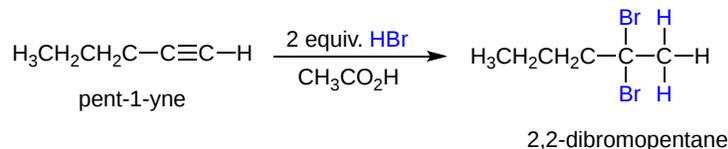
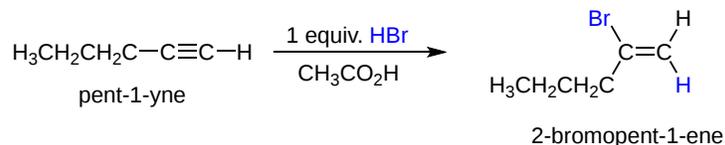
Acetylene is linear, as predicted by VSEPR, with all four atoms lying in a straight line and both H-C-C bond angles being 180°. The triple bond in acetylene is the shortest (120 pm) and the strongest (964 kJ/mol) carbon-carbon bond known.



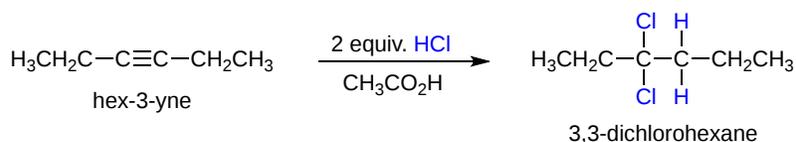
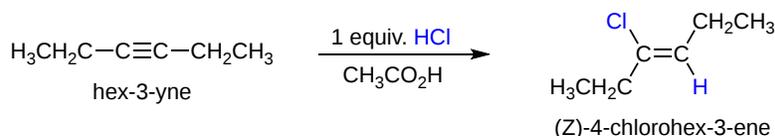
### ELECTROPHILIC ADDITION OF HX TO ALKYNES

Alkynes undergo electrophilic addition in much the same manner as alkenes, however, the presence to two pi bonds allows for the possibility of the addition happening twice. The addition of one equivalent of hydrogen chloride or hydrogen bromide converts alkynes to haloalkenes. The addition of two or more equivalents of HCl or HBr converts alkynes to geminal dihalides through an haloalkene intermediate. These additions are regioselective and follow Markovnikov's rule. The double bonds formed during the reaction with internal alkynes tend to have *Z* stereochemistry although not always.

### HBR ADDITION TO A TERMINAL ALKYNE

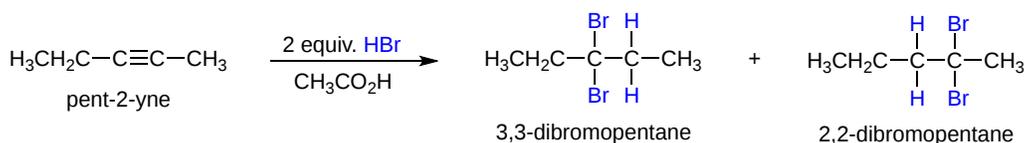
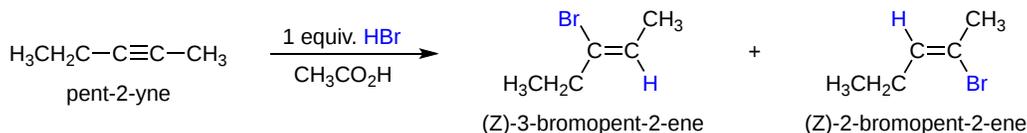


### HCL ADDITION TO A SYMMETRICAL INTERNAL ALKYNE



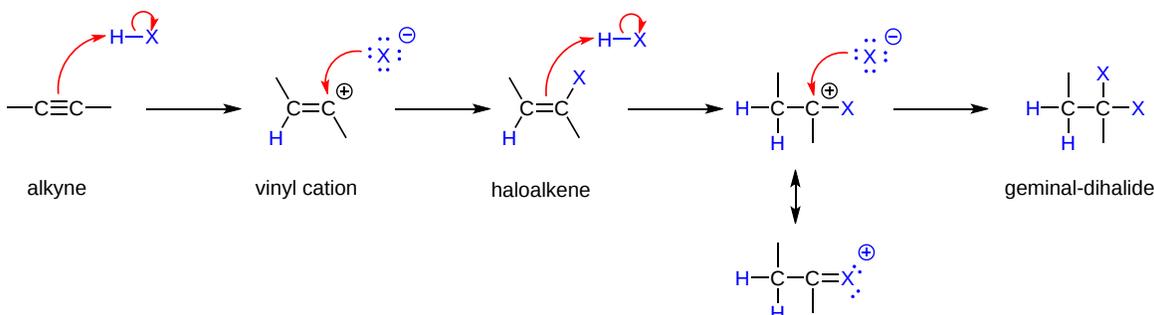
### HBR ADDITION TO AN ASYMMETRICAL INTERNAL ALKYNE

The addition of HX to an asymmetrical internal alkyne tend to make a mixture of isomers as products.



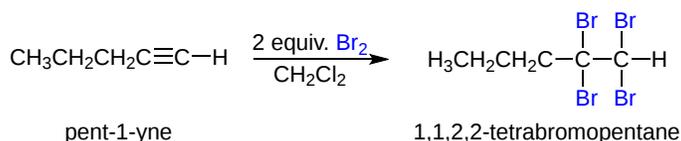
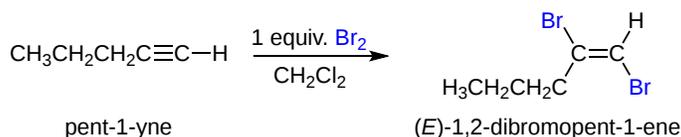
### MECHANISM

The mechanism for the electrophilic addition of HX to an alkyne is analogous to the HX addition to an alkene. The presence of two pi bonds in the alkyne allows for the addition of HX to occur twice. The addition of H<sup>+</sup> to the alkyne forms a vinyl cation which will preferably form on the more substituted side of the alkyne following Markovnikov's rule. The subsequent addition of Br<sup>-</sup> forms a haloalkene which undergoes electrophilic addition to a second H<sup>+</sup>. The carbocation form will preferably form on the carbon attached to the halogen already in place. The carbocation is stabilized by the halogen through the creation of a resonance structure which obeys the octet rule. This stabilizing effect ensures that a geminal-dihalide is the sole product and no vicinal-dihalide is formed.



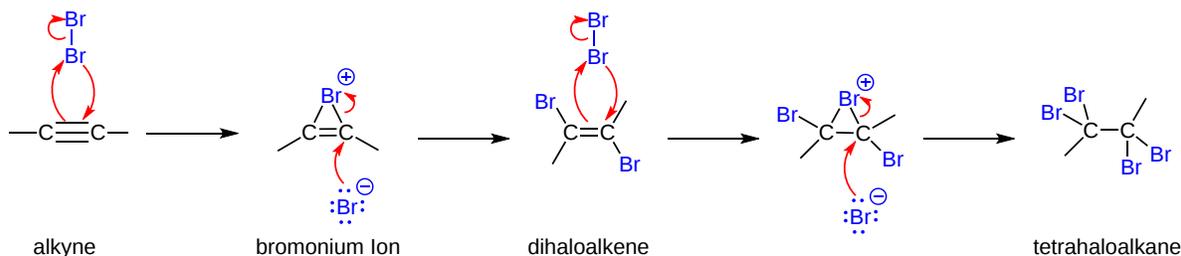
## ELECTROPHILIC ADDITION OF X<sub>2</sub> TO ALKYNES

Alkynes undergo the same type of electrophilic addition with chloride and bromine as alkenes. However, with alkynes the halogen addition can occur once or twice depending on the molar equivalents of halogen used in the reaction. If one molar equivalent of halogen is used, a dihaloalkene is formed. The anti addition of the reaction mechanism causes the halogens to be trans in the resulting alkene. The addition of two or more molar equivalents of halogen converts the alkyne to a tetrahaloalkane through a dihaloalkene intermediate.



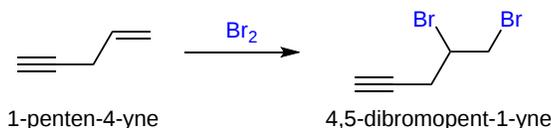
### MECHANISM

The alkyne undergoes electrophilic addition with bromine to form a bromonium ion in a three-membered ring. The ejected bromide ion performs an S<sub>N</sub>2 reaction with the bromonium ion causing the ring to open and the bromines in the resulting alkene to be in a *trans* configuration. The process is repeated with a second pi bond creating a tetrahaloalkane as a product.



### RELATIVE REACTIVITY OF ALKYNES AND ALKENES TO ELECTROPHILIC REAGENTS

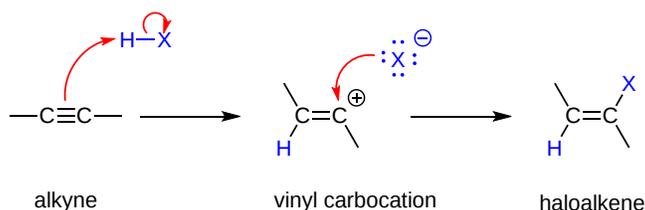
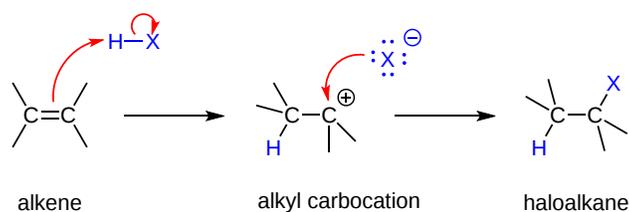
When the addition reactions of electrophilic reagents, such as strong Brønsted acids and halogens, to alkynes are studied there is a curious paradox. The reactions of alkynes are even more exothermic than the additions to alkenes, and yet the rate of addition to alkynes is slower by a factor of 100 to 1000. This concept is shown in the reaction of one equivalent of bromine with 1-penten-4-yne to produce 4,5-dibromopent-1-yne as the chief product.



Why are the reactions of alkynes with electrophilic reagents more sluggish than the corresponding reactions of alkenes? Typically, addition reactions to alkynes are more exothermic than additions to alkenes, and there would seem to be a higher π-electron density about the triple bond (two π-bonds versus one). Two factors are significant in explaining this apparent paradox. First, although there are more π-electrons associated with the triple bond, the sp-hybridized alkyne carbons are more electronegative than the sp<sup>2</sup>-hybridized alkene carbons. The alkyne carbons exert a strong attraction for their π-electrons, which are consequently bound more tightly to the functional group than are the π-electrons of a double bond. This is seen in the ionization potentials of ethylene and acetylene. Remember an **ionization potential** is the minimum energy required to remove an electron from a molecule of a compound. Since the initial interaction between an electrophile and an alkene or alkyne involves the donation of electrons, the relatively slower reactions of alkynes becomes understandable.

Acetylene	$\text{HC}\equiv\text{CH} + \text{Energy} \rightarrow [\text{HC}\equiv\text{CH}]^{\cdot(+)} + \text{e}^{(-)}$	$\Delta H = +264 \text{ kcal/mole}$
Ethylene	$\text{H}_2\text{C}=\text{CH}_2 + \text{Energy} \rightarrow [\text{H}_2\text{C}=\text{CH}_2]^{\cdot(+)} + \text{e}^{(-)}$	$\Delta H = +244 \text{ kcal/mole}$

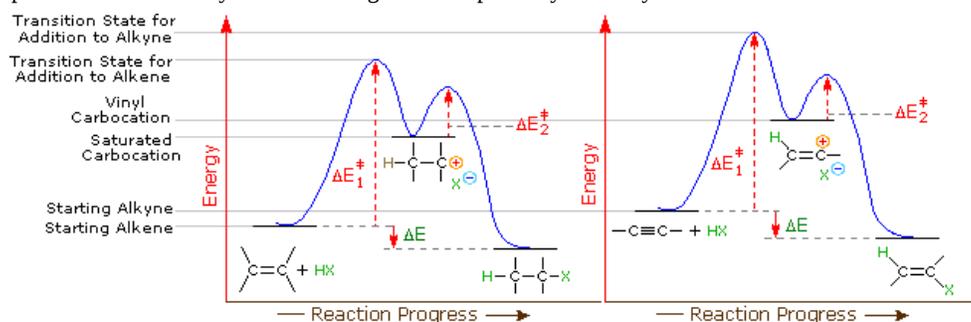
A second factor is presumed to be the stability of the carbocation intermediate generated by sigma-bonding of a proton or other electrophile to one of the triple bond carbon atoms. When comparing the mechanism for the addition of HBr to an alkene and an alkyne, the alkyne reaction creates a vinyl carbocation which is less stable than the alkyl carbocation made during the alkene reaction.



Indeed, we can modify our earlier ordering of carbocation stability to include these vinyl cations in the manner shown below.

Substitution	Methyl	1°-Vinyl	1°	2°-Vinyl	2°	1°-Allyl	3°
Stability	CH <sub>3</sub> <sup>(+)</sup>	≈ RCH=CH <sup>(+)</sup>	< RCH <sub>2</sub> <sup>(+)</sup>	≈ RCH=CR <sup>(+)</sup>	< R <sub>2</sub> CH <sup>(+)</sup>	≈ CH <sub>2</sub> =CH-CH <sub>2</sub> <sup>(+)</sup>	< R <sub>3</sub> C <sup>(+)</sup>

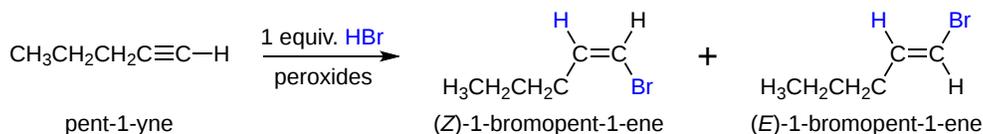
Application of the Hammond postulate indicates that the activation energy for the generation of a vinyl cation intermediate would be higher than that for a lower energy intermediate. Thus, electrophilic reactions with alkenes have a lower activation energy and process faster than the corresponding reaction with an alkyne. This is illustrated for alkenes versus alkynes by the following energy diagrams. Despite these differences, electrophilic additions to alkynes have emerged as exceptionally useful synthetic transforms.



**A Comparison of Energy Profiles for Electrophilic Addition to Alkenes and Alkynes**

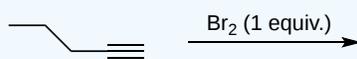
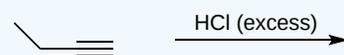
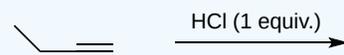
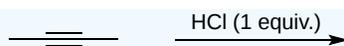
### ELECTROPHILIC ADDITION OF HX WITH PEROXIDES TO ALKYNES

When 1 equivalent of HBr is reacted with alkynes in the presence of peroxides and Anti-Markovnikov addition occurs. The use of peroxides causes the reaction to occur via a free radical mechanism. The bromine adds to the less substituted alkyne carbon while the hydrogen adds to the more substituted creating a haloalkene. Typically, H and Br are added in a syn or anti manner creating a mixture of products.

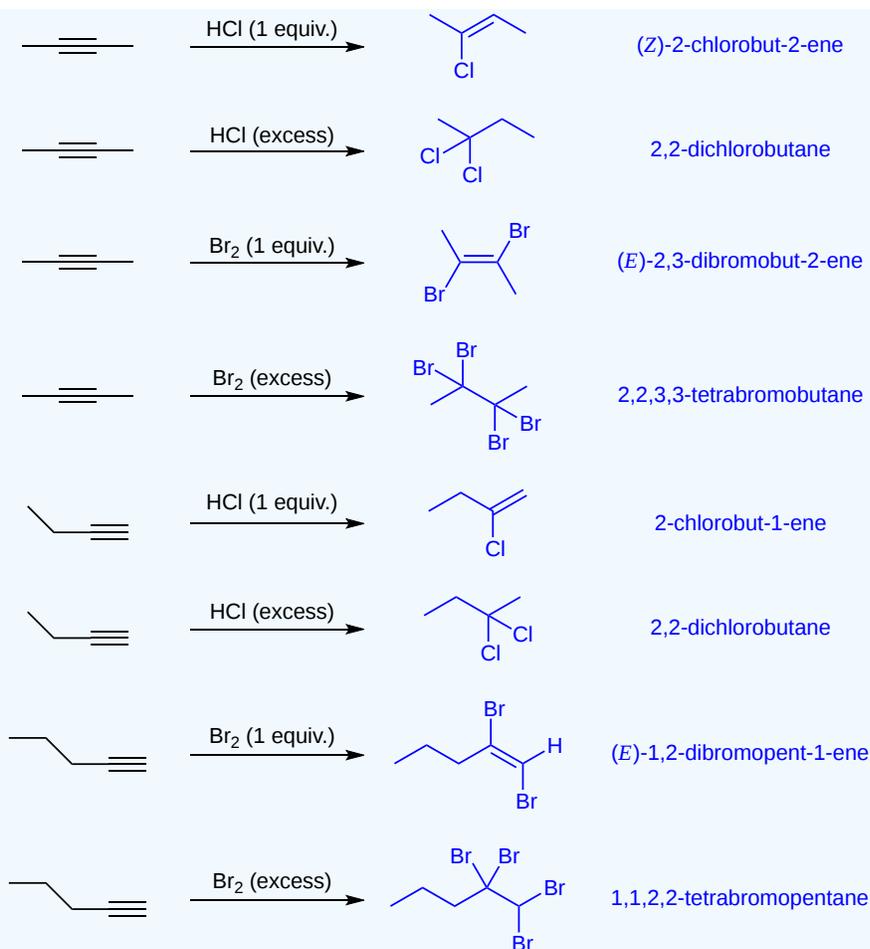


#### ? EXERCISE 9.3.1

Draw the structure and give the IUPAC name of the product formed in each of the reactions listed below:



Answer



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## 9.4: HYDRATION OF ALKYNES

### OBJECTIVES

After completing this section, you should be able to

- write the equation for the reaction of water with an alkyne in the presence of sulfuric acid and mercury(II) sulfate.
- describe keto-enol tautomerism.
- predict the structure of the ketone formed when a given alkyne reacts with sulfuric acid in the presence of mercury(II) sulfate.
- identify the reagents needed to convert a given alkyne to a given ketone.
- identify the alkyne needed to prepare a given ketone by hydration of the triple bond.
- write an equation for the reaction of an alkyne with borane.
- write the equation for the reaction of a vinylic borane with basic hydrogen peroxide or hot acetic acid.
- identify the reagents, the alkyne, or both, needed to prepare a given ketone or a given cis alkene through a vinylic borane intermediate.
- identify the ketone produced when a given alkyne is reacted with borane followed by basic hydrogen peroxide.
- identify the cis alkene produced when a given alkyne is reacted with borane followed by hot acetic acid.
- explain why it is necessary to use a bulky, sterically hindered borane when preparing vinylic boranes from terminal alkynes.
- predict the product formed when the vinylic borane produced from a terminal alkyne is treated with basic hydrogen peroxide.
- identify the alkyne needed to prepare a given aldehyde by a vinylic borane.

### KEY TERMS

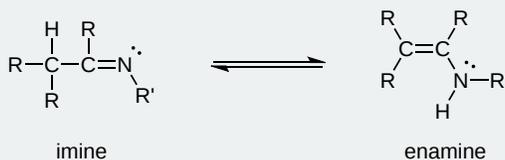
Make certain that you can define, and use in context, the key terms below.

- enol
- keto-enol tautomeric equilibrium
- tautomerism
- tautomers

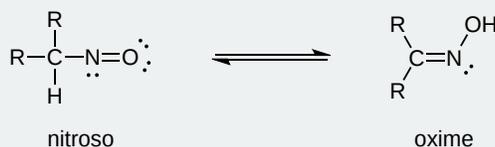
### STUDY NOTES

Rapid interconversion between tautomers is called **tautomerism**; however, as the two tautomers are in equilibrium, the term *tautomeric equilibrium* may be used. This section demonstrates the equilibrium between a ketone and an enol; hence, the term *keto-enol tautomeric equilibrium* is appropriate. The term “enol” indicates the presence of a carbon-carbon double bond and a hydroxyl (i.e., alcohol) group. Later in the course, you will see the importance of keto-enol tautomerism in discussions of the reactions of ketones, carbohydrates and nucleic acids.

It is important to note that tautomerism is not restricted to keto-enol systems. Other examples include imine-enamine tautomerism

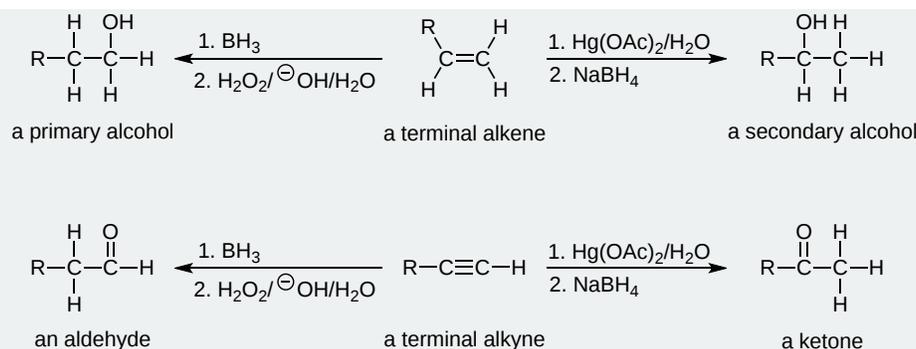


and nitroso-oxime tautomerism



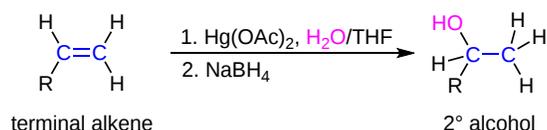
However, at the moment you need only concern yourself with keto-enol tautomerism.

Notice how hydroboration complements hydration in the chemistry of both alkenes and alkynes.



## MERCURY(II)-CATALYZED HYDRATION OF ALKYNES

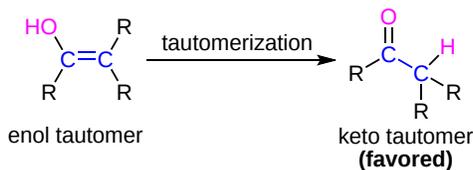
As with alkenes, hydration (addition of water) of alkynes requires a strong acid, usually sulfuric acid, and is facilitated by the mercuric ion ( $\text{Hg}^{2+}$ ). However, the hydration of alkynes gives ketone products while the hydration of alkenes gives alcohol products. Notice that the addition of oxygen in both reactions follows Markovnikov rule.



During the hydration of an alkyne, the initial product is an enol intermediate (a compound having a hydroxyl substituent attached to a double-bond), which immediately rearranges to the more stable ketone through a process called **enol-keto tautomerization**.

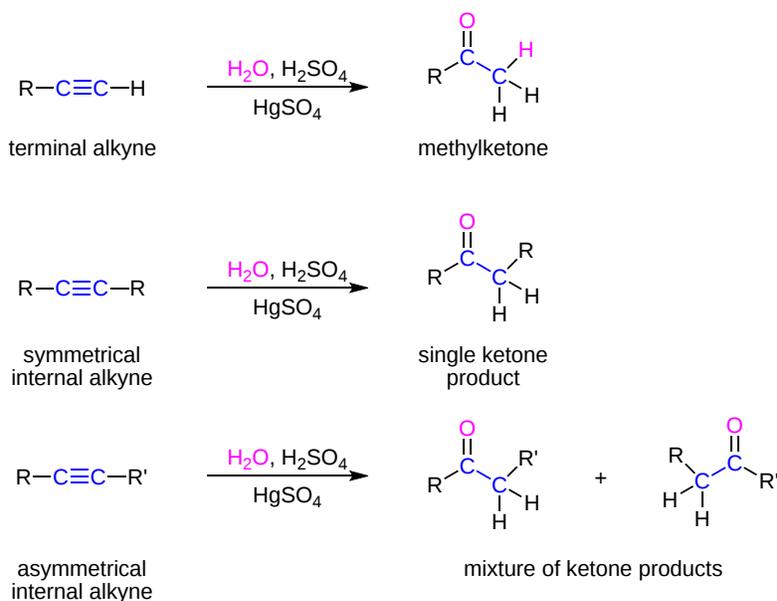


Tautomers are defined as rapidly inter-converted constitutional isomers, usually distinguished by a different bonding location for a labile hydrogen atom and a differently located double bond. The keto and enol tautomers are in equilibrium with each other and with few exceptions the keto tautomer is more thermodynamically stable and therefore favored by the equilibrium. This mechanism for tautomerization will be discussed in greater detail in **Section 22-1**.



## GENERAL REACTION

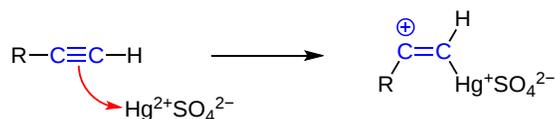
For terminal alkynes, the addition of water follows the Markovnikov rule, and the final product is a methyl ketone. For internal alkynes the addition of water is not regioselective. Hydration of symmetrical internal alkynes produces a single ketone product. However, hydration of asymmetrical alkynes, (i.e. if R & R' are not the same ) produces two isomeric ketone products.



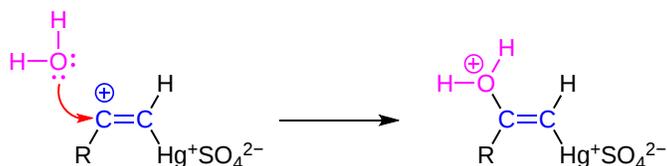
### MECHANISM

The mechanism starts with the electrophilic addition of the mercuric ion ( $\text{Hg}^{2+}$ ) to the alkyne producing a mercury-containing vinylic carbocation intermediate. Nucleophilic attack of water on the vinylic carbocation forms a C-O bond to produce a protonated enol. Deprotonation of the enol by water then produces a organomercury enol. The mercury is substituted with  $\text{H}^+$  to produce a neutral enol and regenerate the  $\text{Hg}^{2+}$  catalyst. The enol is converted to the ketone product through keto-enol tautomerization the mechanism of which is provided in **Section 22-1**.

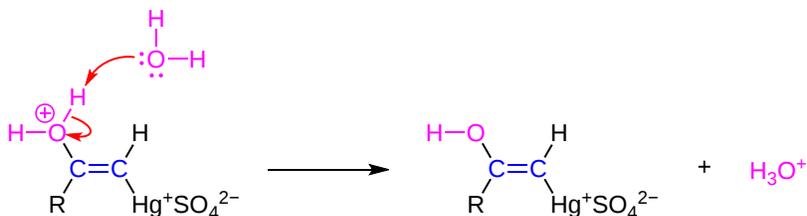
Step 1: Electrophilic addition of  $\text{Hg}^{2+}$



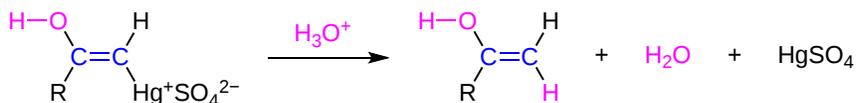
Step 2: Nucleophilic attack by water



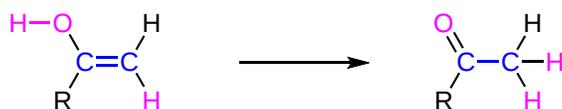
Step 3: Deprotonation



Step 4: Substitution

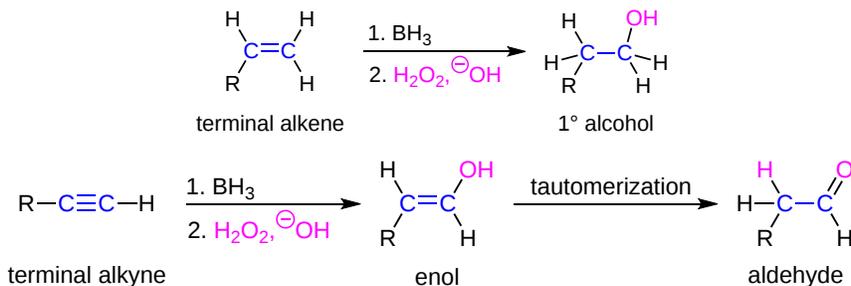


Step 5: Tautomerization

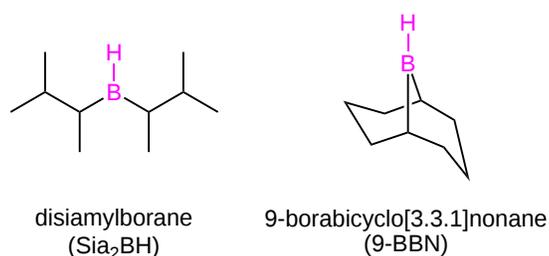


## HYDROBORATION-OXIDATION OF ALKYNES

The hydroboration-oxidation of alkynes is analogous to the reaction with alkenes. However, where alkenes form alcohol products, alkynes form aldehyde or ketone products. In both cases the addition is anti-Markovnikov and an oxygen is placed on the less alkyl substituted carbon. With the hydroboration of an alkyne the presence of a second pi bond allows the initial product to undergo tautomerization to become the final aldehyde product.

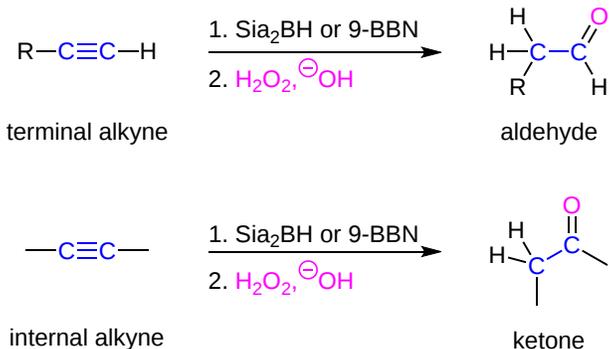


Alkynes have two pi bonds both of which are capable of reacting with borane ( $\text{BH}_3$ ). To limit the reactivity to only one alkyne pi bond, a dialkyl borane reagent ( $\text{R}_2\text{BH}$ ) is used. Replacing two of the hydrogens on the borane with alkyl groups also creates steric hindrance which enhances the anti-Markovnikov regioselectivity of the reaction. Disiamylborane ( $\text{Si}_2\text{BH}$ ) and 9-borabicyclo[3.3.1]nonane (9-BBN) are two common reagents for this hydroboration reaction. The oxidation reagents (a basic hydrogen peroxide solution) are the same for both alkenes and alkynes.



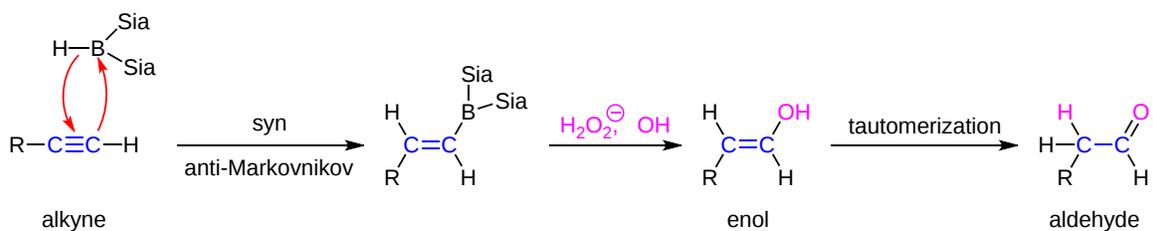
## GENERAL REACTION

The hydroboration of terminal alkynes produces aldehyde products while internal alkynes produce ketone products. The hydroboration of symmetrical alkynes produces one ketone product and asymmetrical alkynes produce a mixture of product ketones.



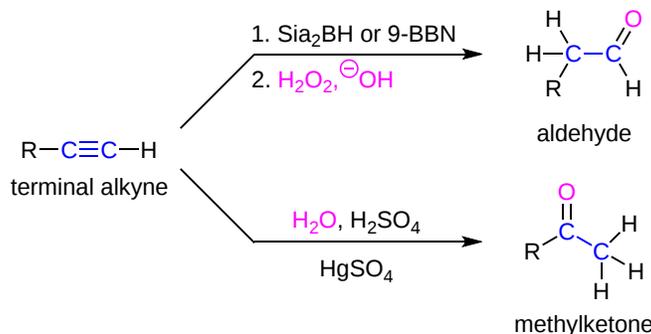
## MECHANISM

The mechanism starts with the electrophilic addition of the B-H bond of the borane. The hydrogen atom and the borane add on the same side of the alkyne creating a syn addition configuration in the alkene product. Also, the addition is anti-Markovnikov regioselective which means the borane adds to the less substituted carbon of the alkyne and the hydrogen atom adds to the more substituted. The oxidative work-up replaces the borane with a hydroxy group ( $-\text{OH}$ ) creating an enol intermediate. The enol immediately tautomerizes to the product aldehyde for terminal alkynes and the product ketone for internal alkynes.

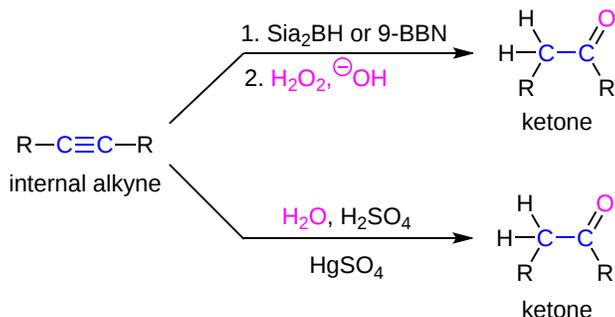


## COMPARISON OF MERCURY(II)-CATALYZED HYDRATION AND HYDROBORATION–OXIDATION OF ALKYNES

These two reactions are complementary for the reaction of a terminal alkyne because they produce distinctly different products. The mercury(II) catalyzed hydration of a terminal alkyne produces a methyl ketone, while the hydroboration-oxidation produces an aldehyde.



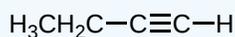
For internal alkynes, the regioselectivity of these reactions are rendered ineffective. The reactions are redundant in that they both produce the same ketone products.



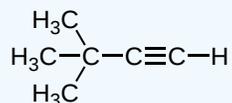
### ? EXERCISE 9.4.1

Draw the structure of the product formed when each of the substances below is treated with  $\text{H}_2\text{O}/\text{H}_2\text{SO}_4$  in the presence of  $\text{HgSO}_4$ .

a)

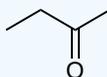


b)

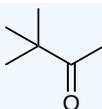


**Answer**

a)

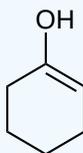


b)

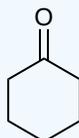


? EXERCISE 9.4.2

Draw the structure of the keto form of the compound shown below. Which form would you expect to be the most stable?



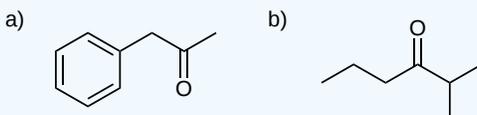
Answer



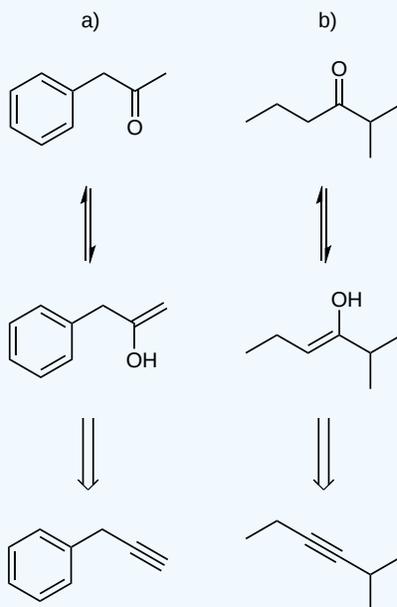
The keto form should be the most stable.

? EXERCISE 9.4.3

What alkyne would you start with to gain the following products?

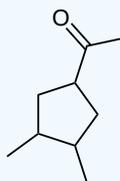


Answer

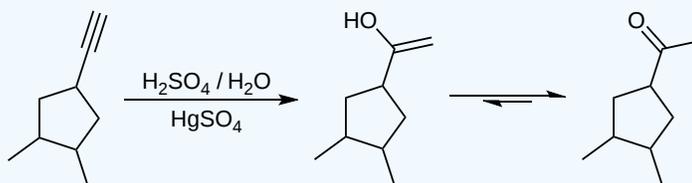


? EXERCISE 9.4.4

What alkyne would you start with to gain the following product?

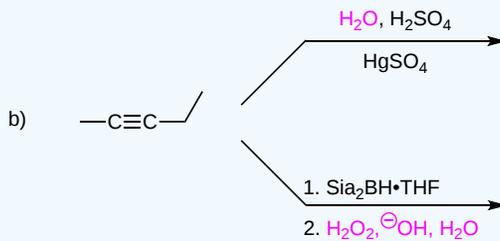
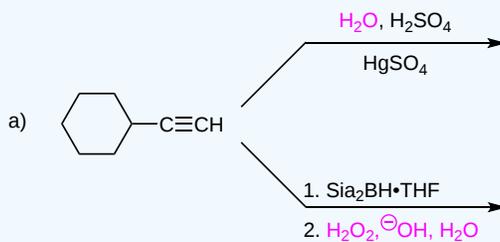


Answer

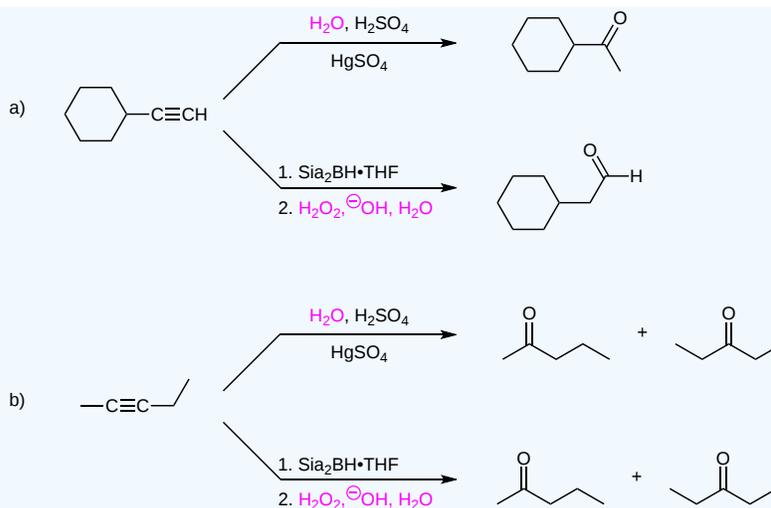


? EXERCISE 9.4.5

Draw the product(s) of the following reactions:



Answer



For internal alkynes, there is no difference in the reaction products.

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## 9.5: REDUCTION OF ALKYNES

### OBJECTIVES

After completing this section, you should be able to

1. write equations for the catalytic hydrogenation of alkynes to alkanes and *cis* alkenes.
2. identify the reagent and catalyst required to produce a given alkane or *cis* alkene from a given alkyne.
3. identify the product formed from the reaction of a given alkyne with hydrogen and a specified catalyst.
4. identify the alkyne that must be used to produce a given alkane or *cis* alkene by catalytic hydrogenation.
5. write the equation for the reduction of an alkyne with an alkali metal and liquid ammonia.
6. predict the structure of the product formed when a given alkyne is reduced with an alkali metal and liquid ammonia.
7. identify the alkyne that must be used to produce a given alkene by reduction with an alkali metal and ammonia.

### KEY TERMS

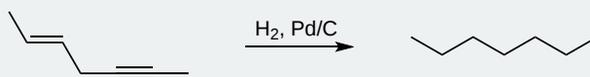
Make certain that you can define, and use in context, the key terms below.

- anion radical
- Lindlar catalyst

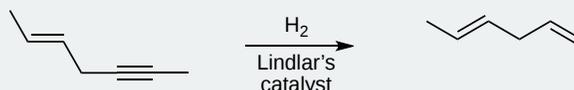
### STUDY NOTES

The Lindlar catalyst allows a chemist to reduce a triple bond in the presence of a double bond.

Thus

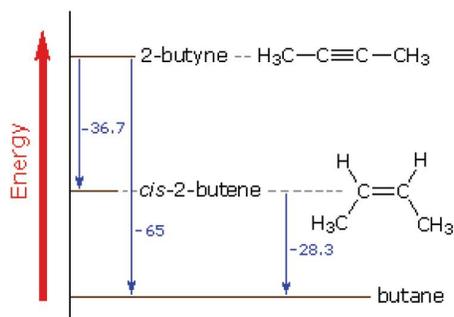


but



### HYDROGENATION AND THE RELATIVE STABILITY OF HYDROCARBONS

Like alkenes, alkynes readily undergo catalytic hydrogenation partially to *cis*-alkenes or fully to alkanes depending on the reaction employed.



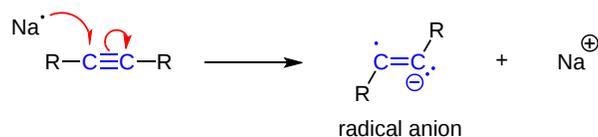
The catalytic addition of hydrogen to 2-butyne provides heat of reaction data that reflect the relative thermodynamic stabilities of these hydrocarbons, as shown above. From the heats of hydrogenation, shown in blue in units of kcal/mole, it would appear that alkynes are thermodynamically less stable than alkenes to a greater degree than alkenes are less stable than alkanes. The standard bond energies for carbon-carbon bonds confirm this conclusion. Thus, a double bond is stronger than a single bond, but not twice as strong. The difference (63 kcal/mole) may be regarded as the strength of the  $\pi$ -bond component. Similarly, a triple bond is stronger than a double bond, but not 50% stronger. Here the difference (54 kcal/mole) may be taken as the strength of the second  $\pi$ -bond. The 9 kcal/mole weakening of this second  $\pi$ -bond is reflected in the heat of hydrogenation numbers (36.7 - 28.3 = 8.4).



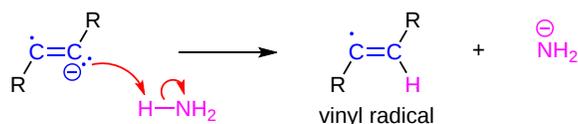
## MECHANISM

Sodium metal is a powerful reducing agent due to the presence of a  $3s^1$  electron in its valence shell. Sodium metal easily gives up this electron to become  $\text{Na}^+$ . The mechanism starts with a sodium atom donating an electron to the alkyne to create an intermediate with a negative charge and an unpaired electron called a radical anion. Next the amine solvent protonates the anion to create a vinyl radical. A second sodium atom then donates an electron to pair the radical to form a vinyl anion. This vinyl anion intermediate rapidly interconverts between *cis* and *trans* conformations and determines the stereochemistry of the reaction. The *trans*-vinyl anion is more stable due to reduced steric crowding and is preferentially formed. Finally, the protonation of the *trans*-vinyl anion creates the *trans*-alkene product.

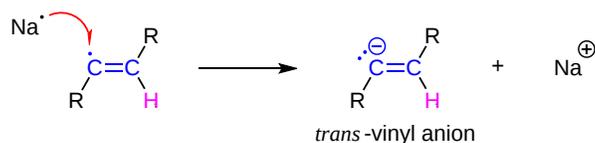
### Step 1: Electron Donation



### Step 2: Protonation



### Step 3: Electron Donation

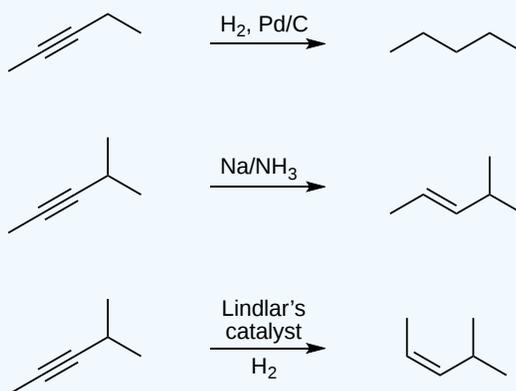


### Step 4: Protonation

## ? EXERCISE 9.5.1

Using any alkyne how would you prepare the following compounds: pentane, *trans*-4-methyl-2-pentene, *cis*-4-methyl-2-pentene.

Answer



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## 9.6: OXIDATIVE CLEAVAGE OF ALKYNES

### OBJECTIVES

After completing this section, you should be able to

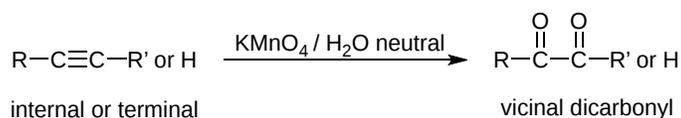
- write an equation to represent the oxidative cleavage of an alkyne with potassium permanganate or ozone.
- identify the products that result from the oxidative cleavage of a given alkyne.
- identify the reagents needed to carry out the oxidative cleavage of an alkyne.
- use the results of an oxidative cleavage to determine the identity of an alkyne of unknown structure.

### STUDY NOTES

Compare the oxidative cleavage of alkynes with the oxidative cleavage of alkenes, discussed in Section 8.8.

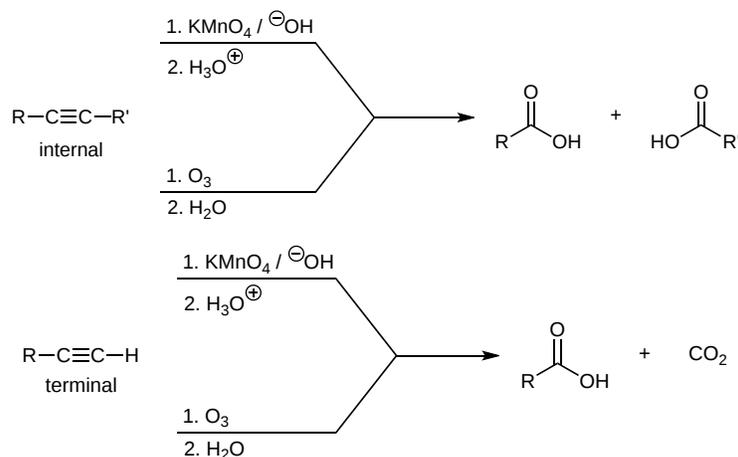
Alkynes, similar to alkenes, can be oxidized gently or strongly depending on the reaction environment. Since alkynes are less stable than alkenes, the reaction conditions can be gentler. For example, alkynes form vicinal dicarbonyls in neutral permanganate solution.

#### Gentle Alkyne Oxidation



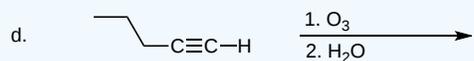
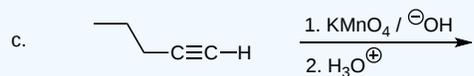
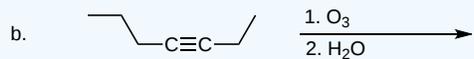
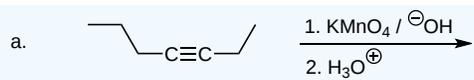
#### Strong Alkyne Oxidation - Oxidative Cleavage

During strong oxidation with ozone or basic potassium permanganate, the alkyne is cleaved into two products. Because at least one of the reaction products is a carboxylic acid, it is important to consider the acid-base chemistry of the product in the reaction solution. Carboxylic acids are deprotonated in basic solutions to carboxylates. A second reaction step is required to protonate the carboxylate to the neutral form of the carboxylic acid.

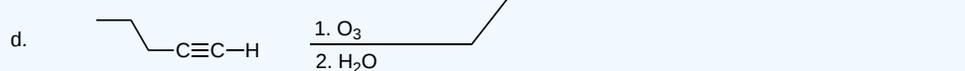
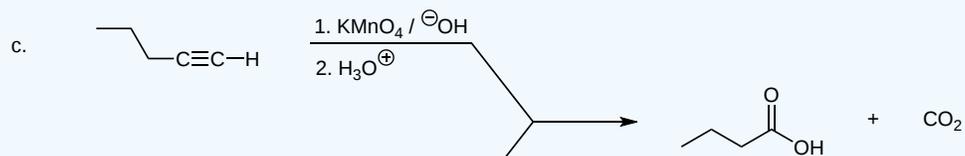
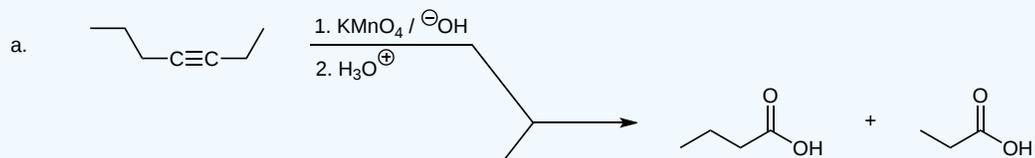


### ? EXERCISE 9.6.1

Draw the bond-line structures for the product(s) of the following reactions.



**Answer**



Oxidative cleavage of alkynes produces carboxylic acids and/or carbon dioxide. Aldehydes are not produced.

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## 9.7: ALKYNE ACIDITY - FORMATION OF ACETYLIDE ANIONS

### OBJECTIVES

After completing this section, you should be able to

1. write an equation for the reaction that occurs between a terminal alkyne and a strong base, such as sodamide,  $\text{NaNH}_2$ .
2. rank a given list of compounds, including water, acetylene and ammonia, in order of increasing or decreasing acidity.
3. rank a given list of hydrocarbons, such as acetylene, ethylene and ethane, in order of increasing or decreasing acidity.
4. describe a general method for determining which of two given compounds is the stronger acid.
5. provide an acceptable explanation of why terminal alkynes are more acidic than alkanes or alkenes.

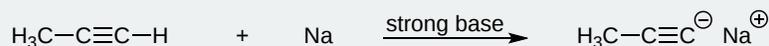
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- acetylide anion
- acidity order

### STUDY NOTES

An *acetylide anion* is an anion formed by removing the proton from the end carbon of a terminal alkyne:



An *acidity order* is a list of compounds arranged in order of increasing or decreasing acidity.

The general ideas discussed in this section should already be familiar to you from your previous exposure to chemistry and from the review in Section 2.8. A slightly different account of why terminal alkynes are stronger acids than are alkenes or alkanes is given below. However, the argument is still based on the differences between  $sp$ -,  $sp^2$ - and  $sp^3$ -hybrid orbitals.

The carbons of a triple bond are  $sp$ -hybridized. An  $sp$ -hybrid orbital has a 50%  $s$  character and a 50%  $p$  character, whereas an  $sp^2$ -hybrid orbital is 33%  $s$  and 67%  $p$ , and an  $sp^3$ -hybrid orbital is 25%  $s$  and 75%  $p$ . The greater the  $s$  character of the orbital, the closer the electrons are to the nucleus. Thus in a  $\text{C}(sp)\text{-H}$  bond, the bonding electrons are closer to the carbon nucleus than they are in a  $\text{C}(sp^2)\text{-H}$  bond. In other words, compared to a  $\text{C}(sp^2)\text{-H}$  bond (or a  $\text{C}(sp^3)\text{-H}$  bond), a  $\text{C}(sp)\text{-H}$  bond is very slightly polar:  $\text{C}^{\delta-}\text{-H}^{\delta+}$ . This slight polarity makes it easier for a base to remove a proton from a terminal alkyne than from a less polar or non-polar alkene or alkane.

As you will appreciate, the reaction between sodium amide and a terminal alkyne is an acid-base reaction. The sodium acetylide product is, of course, a salt. Terminal alkynes can also form salts with certain heavy-metal cations, notably silver(I) and copper(I). In the laboratory component of this course, you will use the formation of an insoluble silver acetylide as a method for distinguishing terminal alkynes from alkenes and non-terminal alkynes:

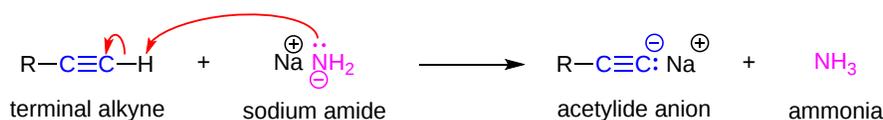


Metal acetylides are explosive when dry. They should be destroyed while still wet by warming with dilute nitric acid:

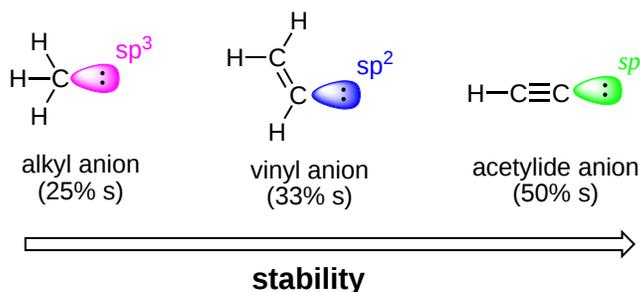


### ACIDITY OF TERMINAL ALKYNES: FORMATION OF ACETYLIDE ANIONS

Terminal alkynes are much more acidic than most other hydrocarbons. Removal of the terminal proton leads to the formation of an acetylide anion,  $\text{RC}\equiv\text{C}^{\ominus}$ .



As discussed in [Section 2.10](#), acidity typically increases with the stability of the corresponding conjugate base. The origin of the enhanced acidity of terminal alkynes can be attributed to the stability of the acetylide anion, which has the unpaired electrons in an  $sp$  hybridized orbital. The hybridization of an orbital affects its electronegativity. Within a shell, the  $s$  orbitals occupy the region closer to the nucleus than the  $p$  orbitals. Therefore, the spherical  $s$  orbitals are more electronegative than the lobed  $p$  orbitals. The relative electronegativity of hybridized orbitals increases as the percent  $s$  character increases and follows the order  $sp > sp^2 > sp^3$ .



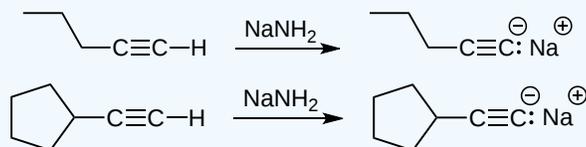
This trend indicates the  $sp$  hybridized orbitals of the acetylide anion are more electronegative and better able to stabilize a negative charge than  $sp^2$  or  $sp^3$  hybridized orbitals. There is a strong correlation between  $s$ -character in the orbital containing the non-bonding electrons in the anion and the acidity of hydrocarbons. The table below shows how orbital hybridization compares with the identity of the atom when predicting relative acidity. Remember that as the  $pK_a$  of a compound decreases its acidity increases.

Table 9.7.1: Alkynes

Compound	Conjugate Base	Hybridization	"s Character"	$pK_a$	C-H BDE (kJ/mol)
$\text{CH}_3\text{CH}_3$	$\text{CH}_3\text{CH}_2^-$	$sp^3$	25%	50	410
$\text{CH}_2\text{CH}_2$	$\text{CH}_2\text{CH}^-$	$sp^2$	33%	44	473
$\text{HCCH}$	$\text{HCC}^-$	$sp$	50%	25	523

Acetylene, with a  $pK_a$  of 25 is shown to be much more acidic than ethylene ( $pK_a = 44$ ) or ethane ( $pK_a = 50$ ). Consequently, acetylide anions can be readily formed by deprotonation of a terminal alkynes with a sufficiently strong base. The amide anion ( $\text{NH}_2^-$ ), in the form of sodium amide ( $\text{NaNH}_2$ ) is commonly used for the formation of acetylide anions.

#### ✓ EXAMPLE 9.7.1



#### ? EXERCISE 9.7.1

Given that the  $pK_a$  of water is 14.00, would you expect hydroxide ion to be capable of removing a proton from each of the substances listed below? Justify your answers, briefly.

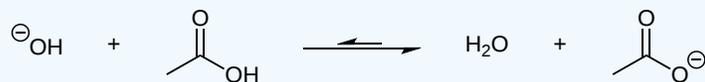
- ethanol ( $pK_a = 16$ )
- acetic acid ( $pK_a = 4.72$ )
- acetylene ( $pK_a = 25$ )

#### Answer

- No, not very well. The  $pK_a$  of ethanol is greater than that of water, thus the equilibrium lies to the left rather than to the right. Add texts here. Do not delete this text first.



b. Yes, very well. There is a difference of 11 pKa units between the pKa of water and the pKa of acetic acid. The equilibrium lies well to the right.



c. No, hardly at all. The hydroxide ion is too weak a base to remove a proton from acetylene. The equilibrium lies well to the left.



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## 9.8: ALKYLATION OF ACETYLIDE ANIONS

### OBJECTIVES

After completing this section, you should be able to

1. write an equation to describe the reaction of an acetylide ion with an alkyl halide.
2. discuss the importance of the reaction between acetylide ions and alkyl halides as a method of extending a carbon chain.
3. identify the alkyne (and hence the acetylide ion) and the alkyl halide needed to synthesize a given alkyne.
4. determine whether or not the reaction of an acetylide ion with a given alkyl halide will result in substitution or elimination, and draw the structure of the product formed in either case.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

- alkylation

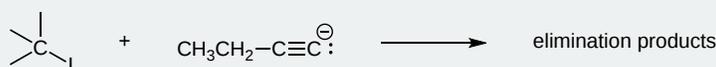
### STUDY NOTES

The alkylation of acetylide ions is important in organic synthesis because it is a reaction in which a new carbon-carbon bond is formed; hence, it can be used when an organic chemist is trying to build a complicated molecule from much simpler starting materials.

The alkyl halide used in this reaction must be primary. Thus, if you were asked for a suitable synthesis of 2,2-dimethyl-3-hexyne, you would choose to attack iodoethane with the anion of 3,3-dimethyl-1-butyne



rather than to attack 2-iodo-2-methylpropane with the anion of 1-butyne.



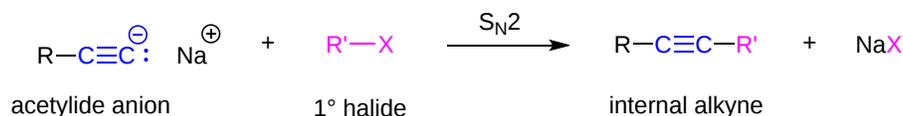
The reasons will be made clear in Chapter 11.

## NUCLEOPHILIC SUBSTITUTION REACTIONS OF ACETYLIDES

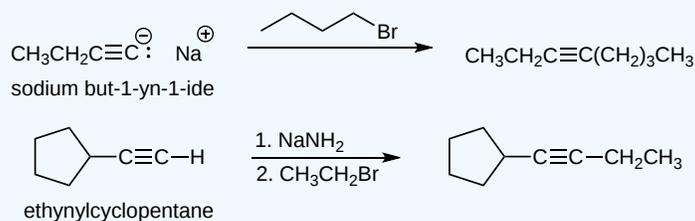
The presence of lone pair electrons and a negative charge on a carbon, makes acetylide anions are strong bases and strong nucleophiles. Therefore, acetylide anions can attack electrophiles such as alkyl halides to cause a substitution reaction. These substitution reactions will be discussed in detail in **Chapter 11**.

### MECHANISM

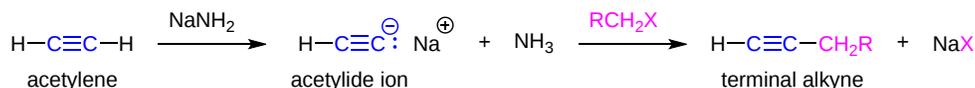
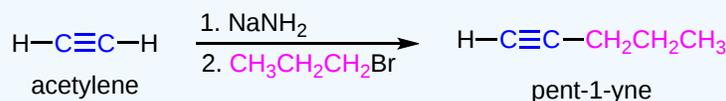
The C-X bonds in 1° alkyl halides are polarized due to the high electronegativity of the halogen. The electrons of the C-X sigma bond are shifted towards the halogen giving it a partial negative charge. This also causes electrons to be shifted away from the carbon giving it a partial positive and making it electrophilic. During this reaction, the lone pair electrons on the acetylide anion attack the electrophilic carbon in the 1° alkyl halide forming a new C-C bond. The formation of this new bond causes the expulsion of the halogen as what is called a leaving group. Overall, this reaction forms a C-C bond and converts a terminal alkyne into an internal alkyne. Because a new alkyl group is added to the alkyne during this reaction, it is commonly called an alkylation.



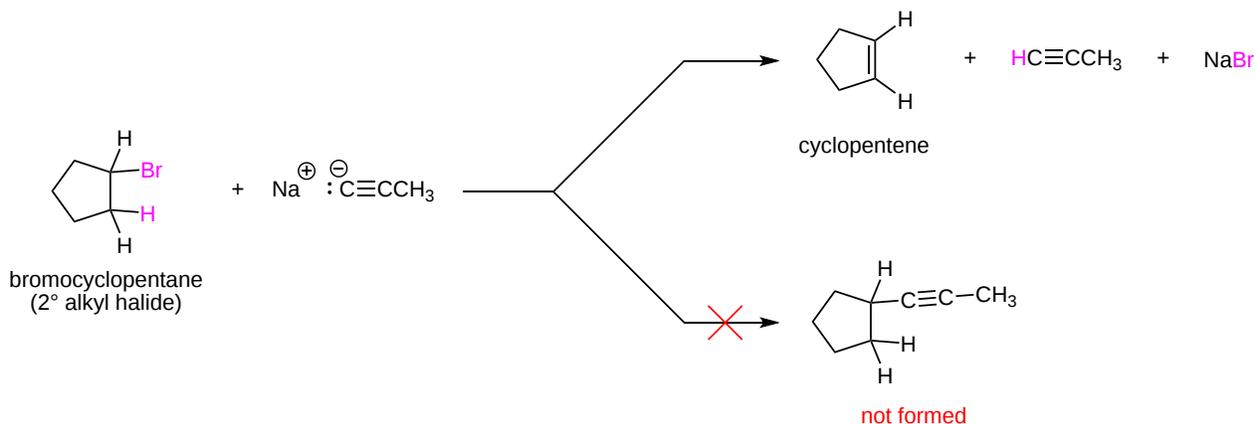
This substitution reaction is often coupled with the acetylide formation, discussed in the previous section, and shown as a single reaction.

**EXAMPLE 9.8.1**


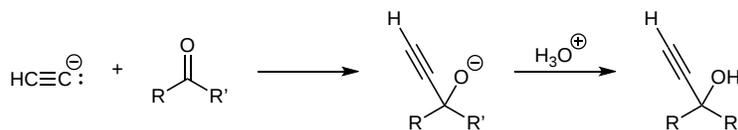
Terminal alkynes can be generated through the reaction of acetylene and a 1° alkyl halide.


**EXAMPLE 9.8.2**


Because the acetylide anion is a very strong base, this substitution reaction is most efficient with methyl or **primary halides**. Secondary, tertiary, or even bulky primary halogens will give alkenes by the E2 elimination mechanism discussed in [Section 11.10](#). An example of this effect is seen in the reaction of bromocyclopentane with a propyne anion. The reaction produces the elimination product cyclopentene rather than the substitution product 1-propynylcyclopentane.


**NUCLEOPHILIC ADDITION OF ACETYLIDES TO CARBONYLS**

Acetylide anions also add to the electrophilic carbon in **aldehydes and ketones** to form alkoxides, which, upon protonation, give propargyl alcohols. With aldehydes and non-symmetric ketones, in the absence of chiral catalyst, the product will be a racemic mixture of the two enantiomers. These types of reaction will be discussed in more detail in **Chapter 19**.


**? EXERCISE 9.8.1**

The pK<sub>a</sub> of ammonia is 35. Estimate the equilibrium constant for the deprotonation of pent-1-yne by sodium amide, as shown below.

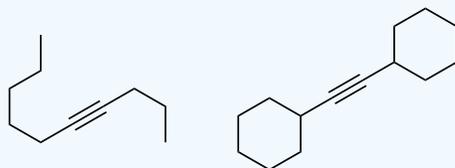


Answer

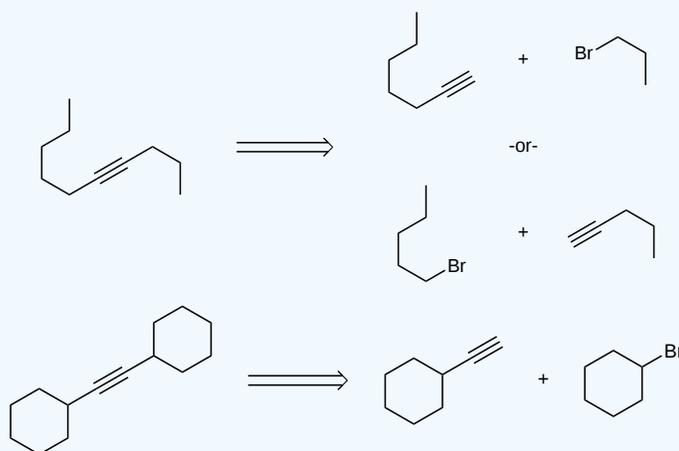
Assuming the  $pK_a$  of pent-1-yne is about 25, then the difference in  $pK_a$ s is 10. Since pentyne is more acidic, the formation of the acetylide will be favored at equilibrium, so the equilibrium constant for the reaction is about  $10^{10}$ .

### ? EXERCISE 9.8.2

Give the possible reactants which could form the following molecules by an alkylation.



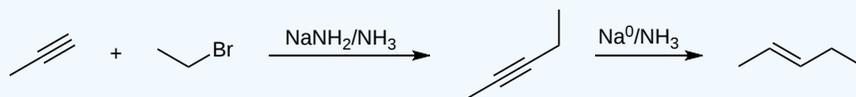
Answer



### ? EXERCISE 9.8.3

Propose a synthetic route to produce 2-pentene from propyne and an alkyl halide.

Answer



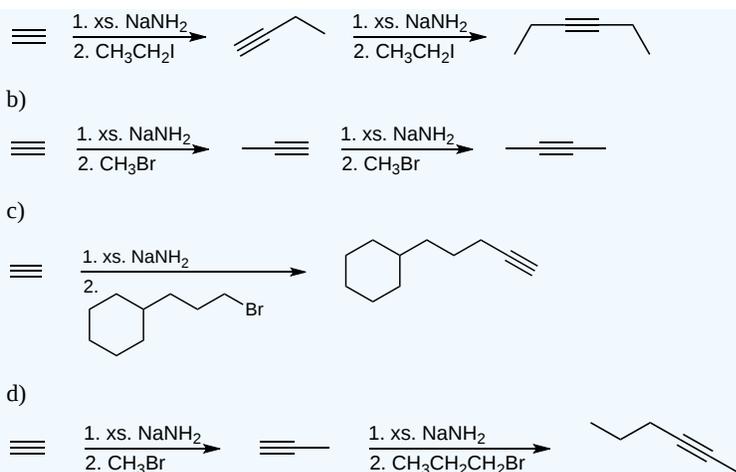
### ? EXERCISE 9.8.4

Using acetylene as the starting material, show how you would synthesize the following compounds

- a)
- b) but-2-yne
- c)
- d)

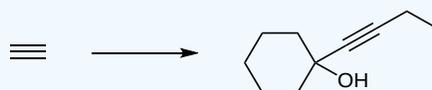
Answer

a)

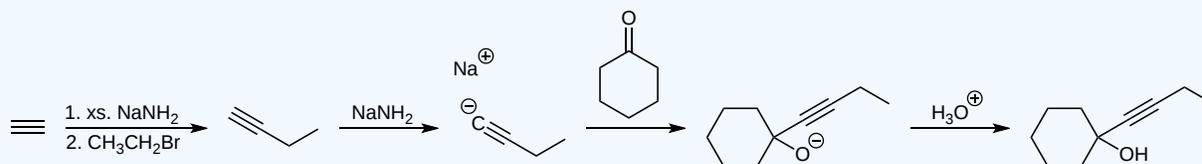


### ? EXERCISE 9.8.5

Show how you would accomplish the following synthetic transformation.



Answer



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## 9.9: AN INTRODUCTION TO ORGANIC SYNTHESIS

### OBJECTIVE

After completing this section, you should be able to design a multistep synthesis to prepare a given product from a given starting material, using any of the reactions introduced in the textbook up to this point.

### STUDY NOTES

You should have noticed that some of the assigned problems have required that you string together a number of organic reactions to convert one organic compound to another when there is no single reaction to achieve this goal. Such a string of reactions is called an “organic synthesis.” One of the major objectives of this course is to assist you in designing such syntheses. To achieve this objective, you will need to have all of the reactions described in the course available in your memory. You will need to recall some reactions much more frequently than others, and the only way to master this objective is to practise. The examples given in this chapter will be relatively simple, but you will soon see that you can devise some quite sophisticated syntheses using a limited number of basic reactions.

### INTRODUCTION

The study of organic chemistry introduces students to a wide range of interrelated reactions. Alkenes, for example, may be converted to structurally similar alkanes, alcohols, alkyl halides, epoxides, glycols and boranes; cleaved to smaller aldehydes, ketones and carboxylic acids; and enlarged by carbocation and radical additions as well as cycloadditions. Most of these reactions are shown in the Alkene Reaction Map below. All of these products may be subsequently transformed into a host of new compounds incorporating a wide variety of functional groups. Consequently, the logical conception of a multi-step synthesis for the construction of a designated compound from a specified starting material becomes one of the most challenging problems that may be posed. Functional group reaction maps like the one below for alkenes can be helpful in designing multi-step syntheses. It can be helpful to build and design your own reaction maps for each functional group studied.

### ALKENE REACTION MAP

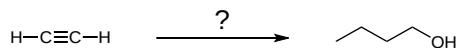
Please note: The reagents for each chemical transformation have been intentionally omitted so that this map can be used as a study tool. The answers are provided at the end of this section as part of the exercises.



### THINKING IT THROUGH WITH 3 EXAMPLES

The following three examples illustrate strategies for developing multi-step syntheses from the reactions studied in the first ten chapters of this text. It is helpful to systematically look for structural changes beginning with the carbon chain and brainstorm relevant functional group conversion reactions. Retro-synthesis is the approach of working backwards from the product to the starting material.

In the first example, we are asked to synthesize 1-butanol from acetylene.

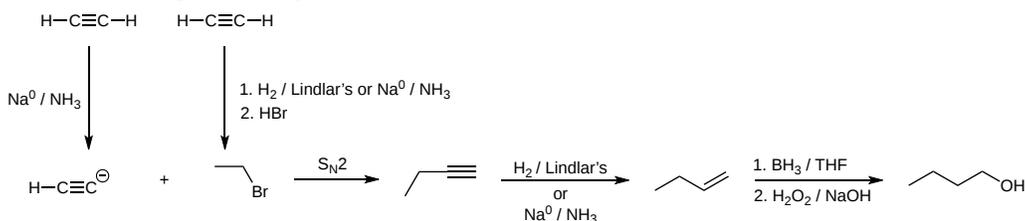


The carbon chain doubles in size indicating an acetylide  $\text{S}_{\text{N}}2$  reaction with an alkyl halide. Primary alcohol formation from an anti-Markovnikov alkene hydration reaction (hydroboration-oxidation) is more likely than a substitution reaction. Applying retro-synthesis, we work backwards from the alcohol to the alkene to the alkyne from an acetylide reaction that initially builds the carbon chain.

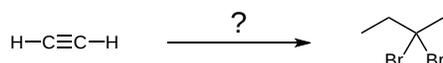
#### Retro-Synthesis



Working forwards, we specify the reagents needed for each transformation identified from the retro-synthesis. The ethylbromide must also be derived from acetylene so multiple reaction pathways are combined as shown below.

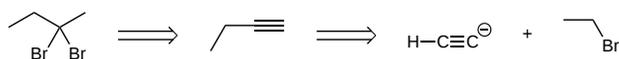


In the second example, we are asked to synthesize 1,2-dibromobutane from acetylene.

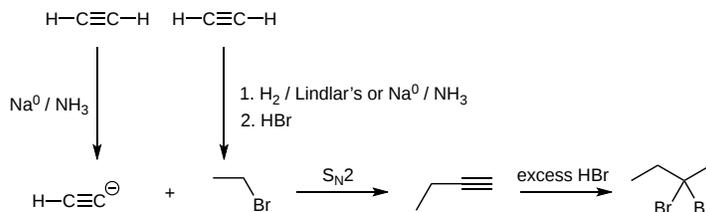


Once again there is an increase in the carbon chain length indicating an acetylide  $\text{S}_{\text{N}}2$  reaction with an alkyl halide similar to the first example. The hydrohalogenation can be subtle to discern because the hydrogen atoms are not shown in bond-line structures. Comparing the chemical formulas of 1-butyne with 1,2-dibromobutane, there is a difference of two H atoms and two Br atoms indicating hydrohalogenation and not halogenation. The addition of both bromine atoms to the same carbon atom also supports the idea that hydrohalogenation occurs on an alkyne and not an alkene. The formation of the geminal dihalide also indicates hydrohalogenation instead of halogenation because halogenation produces vicinal dihalides. With this insight, the retro-synthesis indicates the following series of chemical transformations.

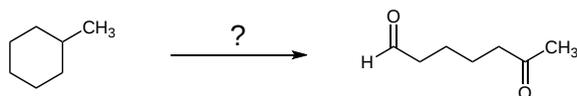
#### Retro-Synthesis



Working forwards, we specify the reagents needed for each transformation.

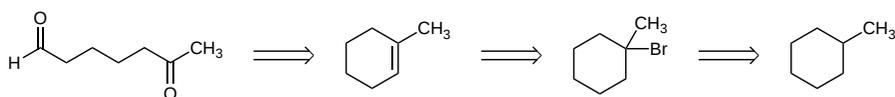


In the third example, we are asked to produce 6-oxoheptanal from methylcyclohexane.

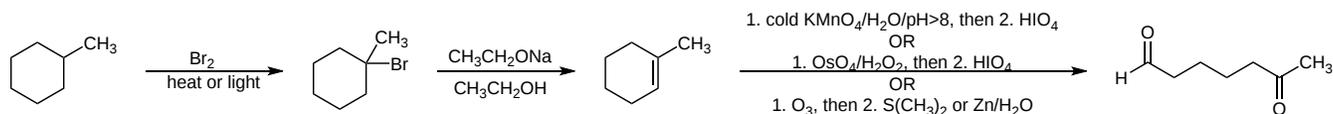


Counting the carbons, the starting material and product both contain seven carbon atoms and there is a cleavage reaction of an alkene under reductive conditions. One important missing aspect of this reaction is a good leaving group (LG). Alkanes are chemically quite boring. We can burn them as fuel or perform free-radical halogenation to create alkyl halides with excellent leaving groups. With these observations, the following retro-synthesis is reasonable.

#### Retro-Synthesis



Working forwards, we specify the reagents needed for each reaction. For the initial free-radical halogenation of the alkane, we have the option of chlorine ( $\text{Cl}_2$ ) or bromine ( $\text{Br}_2$ ). Because methylcyclohexane has several different classifications of carbons, the selectivity of  $\text{Br}_2$  is more important than the faster reactivity of  $\text{Cl}_2$ . A strong base with heat can be used for the second step to follow an E2 mechanism and form 1-methylcyclohexene. The aldehyde group on the final product indicates gentle oxidative cleavage by any of several reaction pathways. These reactions can be combined in to the following multi-step synthesis.

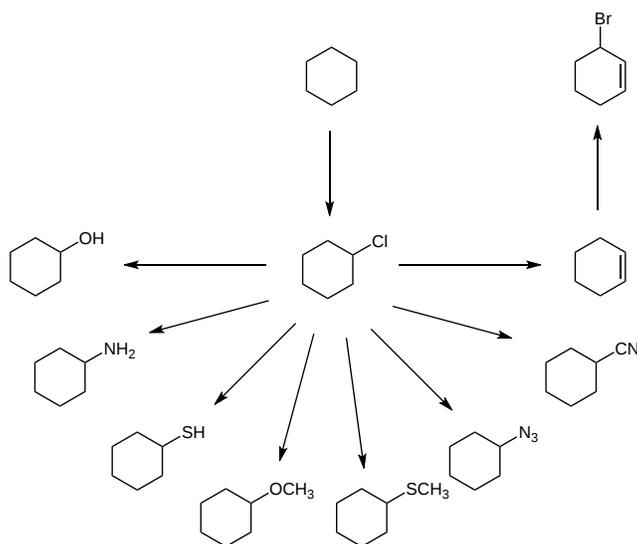


## REACTION MAPS TO BUILD FUNCTIONAL GROUP CONVERSION MASTERY

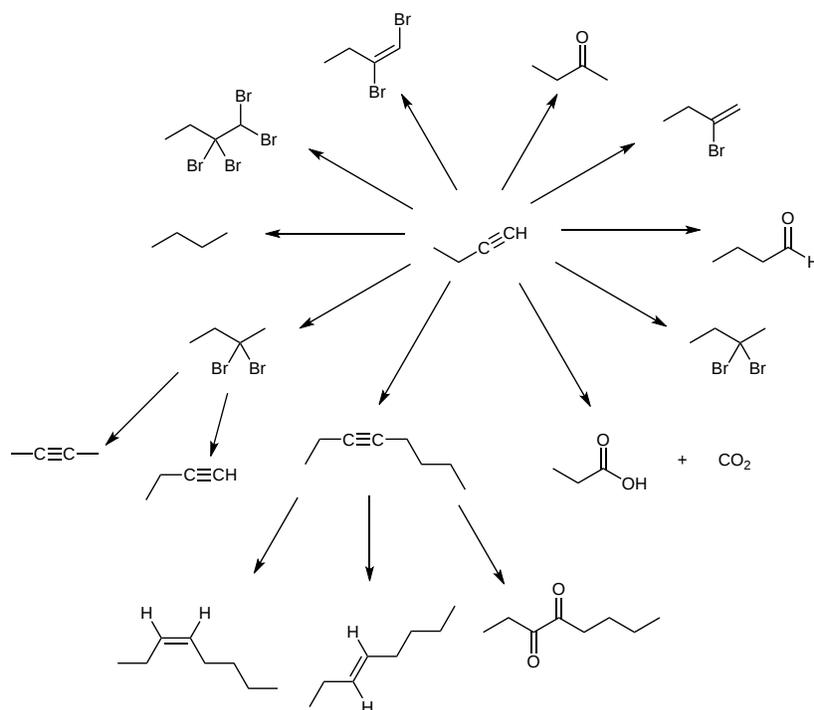
After working through the examples above, we can see how important it is to memorize all of the functional group reactions studied in the first ten chapters. We can apply the knowledge of these reactions to the wisdom of multi-step syntheses.

Please note: The reagents for each chemical transformation have been intentionally omitted so that these maps can be used as a study tools. The answers are provided at the end of this section as part of the exercises.

### ALKANE AND ALKYL HALIDE REACTION MAP



### ALKYNE REACTION MAP



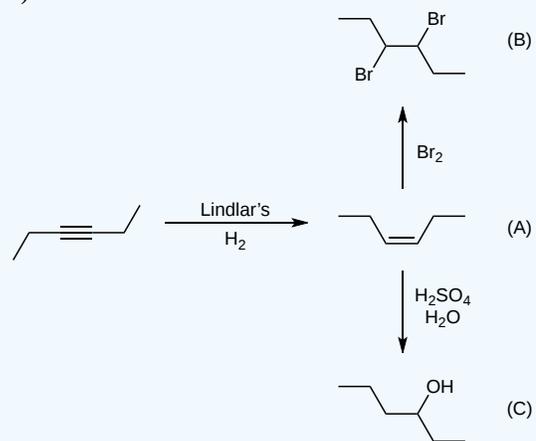
### ? EXERCISE

- Starting at 3-hexyne predict synthetic routes to achieve:
  - trans*-3-hexene
  - 3,4-dibromohexane
  - 3-hexanol.
- Starting with acetylene and any alkyl halides propose a synthesis to make
  - pentanal
  - hexane.
- Show how you would accomplish the following synthetic transformations.



**Answer**

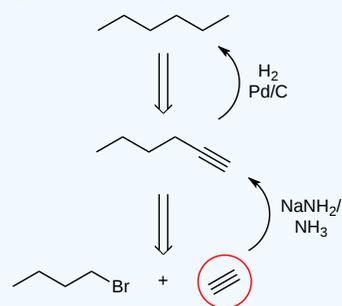
1)



2)

a)

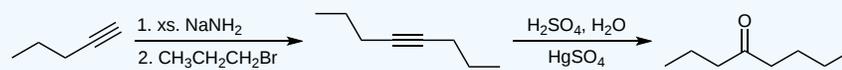
b)



3)

a)

b)



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## 9.S: ALKYNES - AN INTRODUCTION TO ORGANIC SYNTHESIS (SUMMARY)

### CONCEPTS & VOCABULARY

#### 9.1 Naming Alkynes

- Follow IUPAC rules in naming alkynes.

#### 9.2 Preparation of Alkynes - Elimination Reactions of Dihalides

- **Vicinal** describes two groups on adjacent carbon atoms.
- **Geminal** describes two groups on the same carbon atom.
- Alkynes can be prepared by two successive eliminations of HX from either **vicinal** or **geminal** dihalides.

#### 9.3 Reactions of Alkynes - Addition of HX and X<sub>2</sub>

- **Alkynes** undergo addition reactions similarly to alkenes yielding Markovnikov products.

#### 9.4 Hydration of Alkynes

- Enols have a hydroxyl group bonded to a sp<sup>2</sup> hybrid carbon (double-bonded carbon).
- Enols are usually not stable and undergo **keto-enol tautomerization** to form a ketone or aldehyde.
- Hydration of alkynes leads to an enol product which then rapidly tautomerizes into a ketone or aldehyde.

#### 9.5 Reduction of Alkynes

- Alkynes can be hydrogenated with hydrogen gas and strong catalysts to yield alkanes.
- Alkynes can be hydrogenated with hydrogen gas and Lindlar's catalyst to yield Z alkenes.
- Alkynes can be hydrogenated with sodium metal and liquid ammonia to yield E alkenes.

#### 9.6 Oxidative Cleavage of Alkynes

- Oxidative cleavage of internal alkynes forms two molecules of carboxylic acids.
- Oxidative cleavage of terminal alkynes forms one molecule of carbon dioxide and one carboxylic acid.

#### 9.7 Alkyne Acidity - Formation of Acetylide Anions

- Terminal alkynes are relatively acidic compared to alkene and alkane carbon-hydrogen bonds.
- Deprotonation of a terminal alkyne forms an acetylide ion, which is a good nucleophile.

#### 9.8 Alkylation of Acetylide Anions

- Acetylide ions can be alkylated by adding to alkyl halides and carbonyl compounds.

#### 9.9 An Introduction to Organic Synthesis

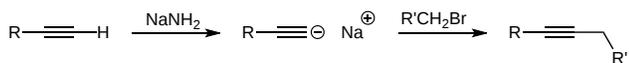
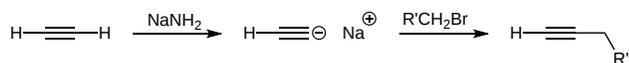
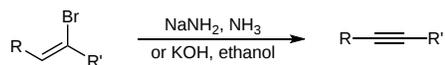
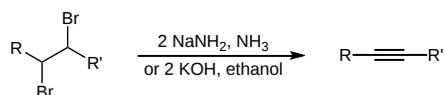
- Desired products cannot always be made from available starting materials through one reaction. Formation of these materials may require multiple reactions completed in sequence. This type of reaction sequence is termed synthesis.

### SKILLS TO MASTER

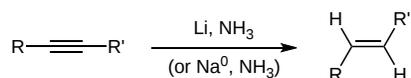
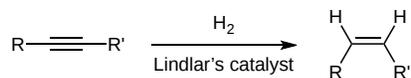
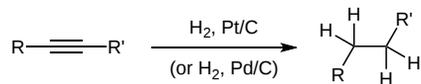
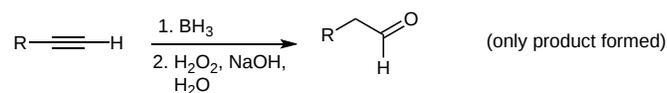
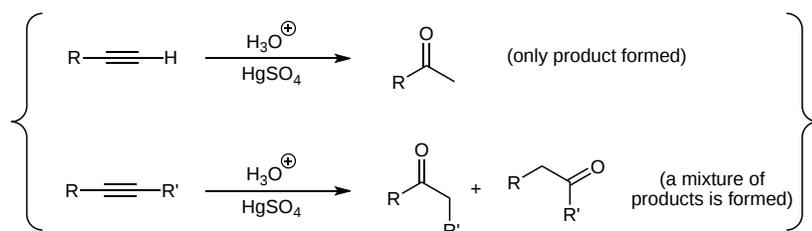
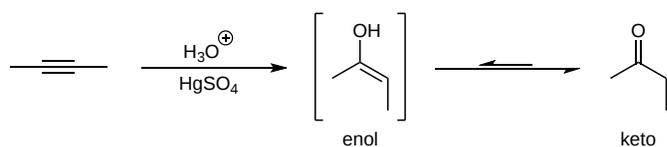
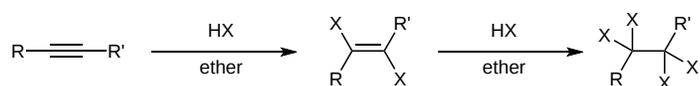
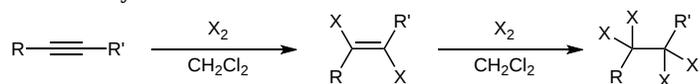
- Skill 9.1 Use IUPAC rules to accurately name alkynes.
- Skill 9.2 Draw elimination mechanisms to form alkynes.
- Skill 9.3 Draw addition mechanisms to alkynes incorporating carbocation intermediates.
- Skill 9.4 Draw addition mechanisms to alkynes incorporating halonium intermediates.
- Skill 9.5 Describe relative stability of enols to ketones and aldehydes.
- Skill 9.6 Draw keto-enol tautomerism mechanism.
- Skill 9.7 Draw products that differentiate between multiple reduction reactions of alkynes.
- Skill 9.8 Draw products of oxidative cleavage of alkynes.
- Skill 9.9 Draw mechanism for deprotonation of terminal alkynes.
- Skill 9.10 Compare acidity of terminal alkynes with other organic compounds.
- Skill 9.11 Draw reaction mechanisms using acetylide ions as nucleophiles.
- Skill 9.12 Describe schemes to accomplish synthesis of organic products given a starting material.

### SUMMARY OF REACTIONS

#### Preparation of Alkynes



### Reactions of Alkynes



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## CHAPTER OVERVIEW

### 10: ORGANOHALIDES

#### LEARNING OBJECTIVES

After you have completed Chapter 10, you should be able to

- fulfill all of the detailed objectives listed under each individual section.
- design a multistep synthesis to prepare a given compound from a given starting material using any of the reactions studied up to this point in the course, including those which involve alkyl halides.
- solve road-map problems requiring a knowledge of any of the reactions or concepts studied up to this point, including those introduced in this chapter.
- define, and use in context, the key terms introduced.

[10.0: Introduction to Organohalides](#)

[10.1: Names and Properties of Alkyl Halides](#)

[10.2: Preparing Alkyl Halides from Alkanes - Radical Halogenation](#)

[10.3: Preparing Alkyl Halides from Alkenes - Allylic Bromination](#)

[10.4: Stability of the Allyl Radical - Resonance Revisited](#)

[10.5: Preparing Alkyl Halides from Alcohols](#)

[10.6: Reactions of Alkyl Halides - Grignard Reagents](#)

[10.7: Organometallic Coupling Reactions](#)

[10.8: Oxidation and Reduction in Organic Chemistry](#)

[10.S: Organohalides \(Summary\)](#)

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## 10.0: INTRODUCTION TO ORGANOHALIDES

### OBJECTIVES

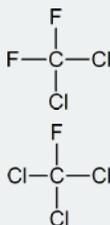
After completing this section, you should be able to

1. list the industrial uses of some important halogenated hydrocarbons including 1,1,1-trichloroethane, tetrafluoroethylene and dichlorodifluoromethane.
2. outline, briefly, how the chemistry of vinyl halides and aryl halides differs from that of the alkyl halides discussed.

### STUDY NOTES

There are several different types of halogen-substituted organic compounds, including aryl halides, acyl halides, vinyl halides and alkynyl halides. The primary focus of this chapter is on alkyl halides.

Freons™, also called fluorocarbons or chlorofluorocarbons, have been a source of concern to environmentalists since 1974, when Frank S. Rowland and Mario J. Molina suggested that these substances might be contributing to the destruction of Earth's ozone layer. The stratospheric ozone layer filters out much of the ultraviolet radiation from the sun's rays. It is believed that extensive depletion of this layer, and the consequent increase in the amount of ultraviolet radiation reaching Earth, could result in the destruction of certain crops, in climate modification, and in an increase in the incidence of skin cancer. In recent years, the manufacture and use of freons has declined sharply as the general public has become more aware of the problems that might be caused by these substances.



**Note:** "Freon" is a DuPont trademark.

Related to the freons are the halons—now used in some fire extinguishers, particularly in areas where foams or dry-chemical extinguishers cannot be used (e.g., in and around computers). If you examine such extinguishers, you will find that the halon is identified by a number; for example, halon 1301 or halon 1211. The first number represents the number of carbon atoms present, the second is the number of fluorines, the third is the number of chlorines and the fourth is the number of bromines.

Thus the halons given as examples above have the following structures:



You need not remember the names of the various freons and halons, but you should be prepared to name them by the IUPAC system according to the rules developed in the next section.

Many organic compounds are closely related to the alkanes. Alkanes react with halogens to produce halogenated hydrocarbons, the simplest of which have a single halogen atom substituted for a hydrogen atom of the alkane. Even more closely related are the cycloalkanes, compounds in which the carbon atoms are joined in a ring, or cyclic fashion.

The reactions of alkanes with halogens produce **halogenated hydrocarbons**, compounds in which one or more hydrogen atoms of a hydrocarbon have been replaced by halogen atoms:



The replacement of only one hydrogen atom gives an **alkyl halide (or haloalkane)**. A wide variety of interesting and often useful compounds have one or more halogen atoms per molecule. For example, methane ( $\text{CH}_4$ ) can react with chlorine ( $\text{Cl}_2$ ), replacing one, two,

three, or all four hydrogen atoms with Cl atoms. Several halogenated products derived from methane and ethane ( $\text{CH}_3\text{CH}_3$ ) are listed in Table 10.1, along with some of their uses.

Table 10.1: Some Halogenated Hydrocarbons

Formula	Common Name	IUPAC Name	Some Important Uses
<b>Derived from <math>\text{CH}_4</math></b>			
$\text{CH}_3\text{Cl}$	methyl chloride	chloromethane	refrigerant; the manufacture of silicones, methyl cellulose, and synthetic rubber
$\text{CH}_2\text{Cl}_2$	methylene chloride	dichloromethane	laboratory and industrial solvent
$\text{CHCl}_3$	chloroform	trichloromethane	industrial solvent
$\text{CCl}_4$	carbon tetrachloride	tetrachloromethane	dry-cleaning solvent and fire extinguishers (but no longer recommended for use)
$\text{CBrF}_3$	halon-1301	bromotrifluoromethane	fire extinguisher systems
$\text{CCl}_3\text{F}$	chlorofluorocarbon-11 (CFC-11)	trichlorofluoromethane	foaming plastics
$\text{CCl}_2\text{F}_2$	chlorofluorocarbon-12 (CFC-12)	dichlorodifluoromethane	refrigerant
<b>Derived from <math>\text{CH}_3\text{CH}_3</math></b>			
$\text{CH}_3\text{CH}_2\text{Cl}$	ethyl chloride	chloroethane	local anesthetic
$\text{ClCH}_2\text{CH}_2\text{Cl}$	ethylene dichloride	1,2-dichloroethane	solvent for rubber
$\text{CCl}_3\text{CH}_3$	methylchloroform	1,1,1-trichloroethane	solvent for cleaning computer chips and molds for shaping plastics

### TO YOUR HEALTH: HALOGENATED HYDROCARBONS

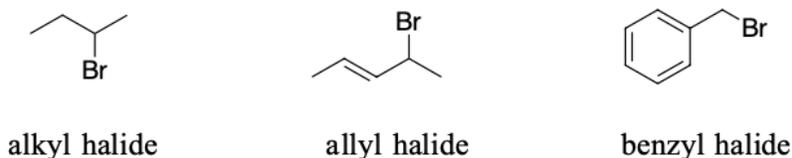
Once widely used in consumer products, many chlorinated hydrocarbons are suspected carcinogens (cancer-causing substances) and also are known to cause severe liver damage. An example is carbon tetrachloride ( $\text{CCl}_4$ ), once used as a dry-cleaning solvent and in fire extinguishers but no longer recommended for either use. Even in small amounts, its vapor can cause serious illness if exposure is prolonged. Moreover, it reacts with water at high temperatures to form deadly phosgene ( $\text{COCl}_2$ ) gas, which makes the use of  $\text{CCl}_4$  in fire extinguishers particularly dangerous.

Ethyl chloride, in contrast, is used as an external local anesthetic. When sprayed on the skin, it evaporates quickly, cooling the area enough to make it insensitive to pain. It can also be used as an emergency general anesthetic.

Bromine-containing compounds are widely used in fire extinguishers and as fire retardants on clothing and other materials. Because they too are toxic and have adverse effects on the environment, scientists are engaged in designing safer substitutes for them, as for many other halogenated compounds.

### REACTIVITY OF HALIDES

Alkyl halides have an  $sp^3$  carbon atom with a halogen attached, this is also true for allylic halides and benzylic halides. These types of halides are all reactive toward most substitution and elimination reactions. Allyl and benzyl halides tend to form carbocations more easily due to resonance stabilization.



However, halogens bonded to  $sp^2$  carbon atoms are not typically reactive. Examples of this are vinyl and aryl halides.



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## 10.1: NAMES AND PROPERTIES OF ALKYL HALIDES

### OBJECTIVES

After completing this section, you should be able to

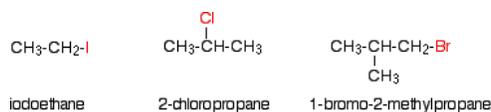
1. write the IUPAC name of a halogenated aliphatic hydrocarbon, given its Kekulé, condensed, or shorthand structure.
2. draw the Kekulé, condensed, or shorthand structure of a halogenated aliphatic hydrocarbon, given its IUPAC name.
3. write the IUPAC name and draw the Kekulé, condensed, or shorthand structure of a simple alkyl halide, given a systematic, non-IUPAC name (e.g., *sec*-butyl iodide).
4. arrange a given series of carbon-halogen bonds in order of increasing or decreasing length and strength.

### STUDY NOTES

This section contains little that is new. If you mastered the IUPAC nomenclature of alkanes, you should have little difficulty in naming alkyl halides. Notice that when a group such as  $\text{CH}_2\text{Br}$  must be regarded as a substituent, rather than as part of the main chain, we may use terms such as bromomethyl.

You will find it easier to understand the reactions of the alkyl halides if you keep the polarity of the C-X bond fixed permanently in your mind (see "[The Polar C-X Bond](#)" shown in the reading below).

Alkyl halides are also known as haloalkanes. This page explains what they are and discusses their physical properties. Alkyl halides are compounds in which one or more hydrogen atoms in an alkane have been replaced by halogen atoms (fluorine, chlorine, bromine or iodine). For example:

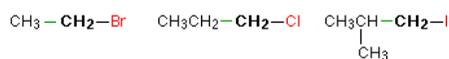


### HALIDE DESIGNATIONS

Alkyl halides fall into different classes depending on how many alkyl groups are attached to the carbon which holds the halogen. There are some chemical differences between the various types. When there are **no** alkyl groups attached to the carbon holding the halogen, these are considered methyl halides ( $\text{CH}_3\text{X}$ ).

### PRIMARY ALKYL HALIDES

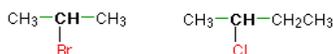
In a primary ( $1^\circ$ ) haloalkane, the carbon which carries the halogen atom is only attached to one other alkyl group. Some examples of primary alkyl halides include:



Notice that it doesn't matter how complicated the attached alkyl group is. In each case there is only one linkage to an alkyl group from the  $\text{CH}_2$  group holding the halogen. There is an exception to this:  $\text{CH}_3\text{Br}$  and the other methyl halides are often counted as primary alkyl halides even though there are **no** alkyl groups attached to the carbon with the halogen on it.

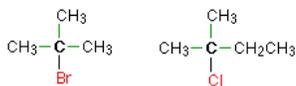
### SECONDARY ALKYL HALIDES

In a secondary ( $2^\circ$ ) haloalkane, the carbon with the halogen attached is joined directly to two other alkyl groups, which may be the same or different. Examples:



### TERTIARY ALKYL HALIDES

In a tertiary ( $3^\circ$ ) haloalkane, the carbon atom holding the halogen is attached directly to three alkyl groups, which may be any combination of same or different. Examples:



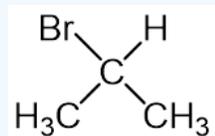
✓ EXAMPLE 10.1.1

Please indicate if the following haloalkanes are methyl, 1°, 2°, or 3°:

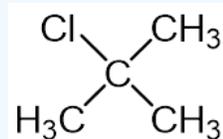
a)  $\text{CH}_3\text{I}$

b)  $\text{CH}_3\text{CH}_2\text{Br}$

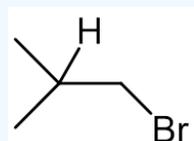
c)



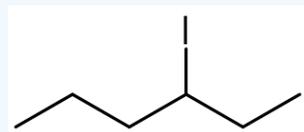
d)



e)



f)



**Solution**

a) methyl

b) 1°

c) 2°

d) 3°

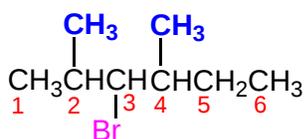
e) 1°

f) 2°

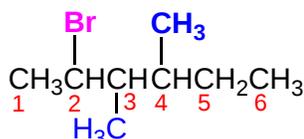
## NOMENCLATURE OF ALKYL HALIDES

Alkyl halides are systematically named as alkanes ([Section 3-4](#)) where the halogen is a substituent on the parent alkane chain. To summarize the rules discussed in detail in [Section 3-4](#), there are three basic steps to naming alkyl halides.

1. Find and name the longest carbon chain and name it as the parent chain. Remember if an alkene or alkyne is present, the parent chain must contain both carbons of the multiple bond.
2. Number the parent chain consecutively, starting at the end nearest a substituent group. Then assign each substituent a number. Remember the IUPAC system uses a prefix to indicate the halogen followed by the suffix -ide. The prefixes are *fluoro-* for fluorine, *chloro-* for chlorine, *bromo-* from bromine, and *iodo-* for iodine. The name of a halogen is preceded by a number indicating the substituent's location on the parent chain.

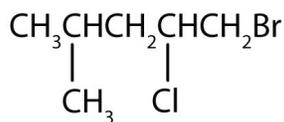


**3-Bromo-2,4-Dimethylhexane**



**2-Bromo-3,4-Dimethylhexane**





### Answer

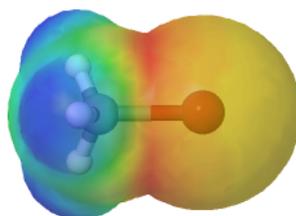
- 1) a) The alkyl group ( $\text{CH}_3\text{CH}_2\text{CH}_2-$ ) is a propyl group, and the halogen is bromine (Br). The common name is therefore propyl bromide. For the IUPAC name, the prefix for bromine (bromo) is combined with the name for a three-carbon chain (propane), preceded by a number identifying the carbon atom to which the Br atom is attached, so the IUPAC name is 1-bromopropane.
- b) The alkyl group [ $(\text{CH}_3)_2\text{CH}-$ ] has three carbon atoms, with a chlorine (Cl) atom attached to the middle carbon atom. The alkyl group is therefore isopropyl, and the common name of the compound is isopropyl chloride. For the IUPAC name, the Cl atom (prefix *chloro-*) attached to the middle (second) carbon atom of a propane chain results in 2-chloropropane.
- c) The alkyl group ( $\text{CH}_3\text{CH}_2-$ ) is an ethyl group, and the halogen is iodine (I). The common name is therefore ethyl iodide. For the IUPAC name, the prefix for iodine (iodo) is combined with the name for a two-carbon chain (ethane), preceded by a number identifying the carbon atom to which the I atom is attached, so the IUPAC name is 1-iodoethane.
- d) The alkyl group ( $\text{CH}_3\text{CH}_2\text{CH}_2\text{CH}_2-$ ) is a butyl group, and the halogen is fluorine (F). The common name is therefore butyl fluoride. For the IUPAC name, the prefix for fluorine (Fluoro) is combined with the name for a four-carbon chain (butane), preceded by a number identifying the carbon atom to which the F atom is attached, so the IUPAC name is 1-fluorobutane.
- 2) a) The parent alkane has five carbon atoms in the longest continuous chain; it is pentane. A bromo (Br) group is attached to the second carbon atom of the chain. The IUPAC name is 2-bromopentane.
- b) The parent alkane is hexane. Methyl ( $\text{CH}_3$ ) and bromo (Br) groups are attached to the second and fourth carbon atoms, respectively. Listing the substituents in alphabetical order gives the name 4-bromo-2-methylhexane.
- c) 2-Chloro-3-methylbutane
- d) 1-Bromo-2-chloro-4-methylpentane.

There is a fairly large distinction between the structural and physical properties of haloalkanes and the [structural and physical properties of alkanes](#). As mentioned above, the structural differences are due to the replacement of one or more hydrogens with a halogen atom. The differences in physical properties are a result of factors such as electronegativity, bond length, bond strength, and molecular size.

## HALOGENS AND THE CHARACTER OF THE CARBON-HALOGEN BOND

As discussed in [Section 6.4](#), halogens are more electronegative than carbon. This results in a carbon-halogen bond that is polarized with the carbon atom bearing a partial positive charge and the halogen a partial negative charge. This polarity can be distinctly seen when viewing the electrostatic potential map of a methyl halide. Electron density is shown by a red/yellow color which is almost exclusively around the halogen atom. The methyl portion of the compound lacks electron density which is shown by a blue/green color.

The Polar C-X Bond



The following image shows the relationship between the halogens and electronegativity. Notice, as we move up the periodic table from iodine to fluorine, electronegativity increases.



The following image shows the relationships between bond length, bond strength, and molecular size. As we progress down the periodic table from fluorine to iodine, molecular size increases. As a result, we also see an increase in bond length. Conversely, as molecular size increases, the bonds get longer, and the strength of those bonds decreases.

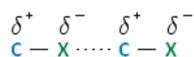
<b>Bond length</b>	C-F < C-Cl < C-Br < C-I
<b>Bond strength</b>	C-I < C-Br < C-Cl < C-F
<b>Molecular size</b>	F < Cl < Br < I

## HALOALKANES HAVE HIGHER BOILING POINTS THAN ALKANES

When comparing alkanes and haloalkanes, we will see that haloalkanes have higher boiling points than alkanes containing the same number of carbons. London dispersion forces are the first of two types of forces that contribute to this physical property. You might recall from general chemistry that London dispersion forces increase with molecular surface area. In comparing haloalkanes with alkanes, haloalkanes exhibit an increase in surface area due to the substitution of a halogen for hydrogen. The increase in surface area leads to an increase in London dispersion forces, which then results in a higher boiling point.

Dipole-dipole interaction is the second type of force that contributes to a higher boiling point. As you may recall, this type of interaction is a coulombic attraction between the partial positive and partial negative charges that exist between carbon-halogen bonds on separate haloalkane molecules. Similar to London dispersion forces, dipole-dipole interactions establish a higher boiling point for haloalkanes in comparison to alkanes with the same number of carbons.

### Dipole-Dipole Interaction



The table below illustrates how boiling points are affected by some of these properties. Notice that the boiling point increases when hydrogen is replaced by a halogen, a consequence of the increase in molecular size, as well as an increase in both London dispersion forces and dipole-dipole attractions. The boiling point also increases as a result of increasing the size of the halogen, as well as increasing the size of the carbon chain.

Boiling Points of Haloalkanes (°C)						
R	X =	H	F	Cl	Br	I
CH <sub>3</sub>		-161.7	-78.4	-24.2	3.6	42.4
CH <sub>3</sub> CH <sub>2</sub>		-88.6	-37.7	12.3	38.4	72.3
CH <sub>3</sub> (CH <sub>2</sub> ) <sub>2</sub>		-42.1	-2.5	46.6	71.0	102.5
CH <sub>3</sub> (CH <sub>2</sub> ) <sub>3</sub>		-0.5	32.5	78.4	101.6	130.5
CH <sub>3</sub> (CH <sub>2</sub> ) <sub>4</sub>		36.1	62.8	107.8	129.6	157.0
CH <sub>3</sub> (CH <sub>2</sub> ) <sub>7</sub>		125.7	142.0	182.0	200.3	225.5

## SOLUBILITY

### SOLUBILITY IN WATER

The alkyl halides are at best only slightly soluble in water. For a haloalkane to dissolve in water the attractions between the haloalkane molecules (van der Waals dispersion and dipole-dipole interactions) and hydrogen bonds between water molecules must be broken. Both of these cost energy. Energy is released when new intermolecular forces are generated between the haloalkane molecules and water molecules. These will only be dispersion forces and dipole-dipole interactions. These are not as strong as the original hydrogen bonds in the water, and so not as much energy is released as was used to separate the water molecules. The energetics of the changes are sufficiently "unprofitable" such that very little dissolves.

### SOLUBILITY IN ORGANIC SOLVENTS

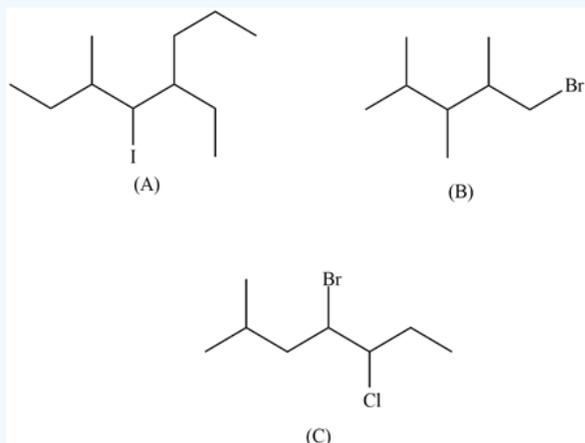
Alkyl halides tend to dissolve in organic solvents because the new intermolecular attractions have roughly the same strength as the ones being broken in the separate haloalkane and solvent.

## CHEMICAL REACTIVITY

The pattern in chemical reactivity lies in the strength of the bond between the carbon atom and the halogen atom. Previously in this section, it was noted that the trend for bond strength increases from C-I to C-Br to C-Cl with C-F bonds being the strongest. To react with the alkyl halides, the carbon-halogen bond has got to be broken. Because that gets easier as you go from fluoride to chloride to bromide to iodide, the compounds get more reactive in that order. Iodoalkanes are the most reactive and fluoroalkanes are the least. In fact, fluoroalkanes are so unreactive that we will ignore them completely from now on in this section!

## ? EXERCISE 10.1.2

1) Give the names of the following organohalides:

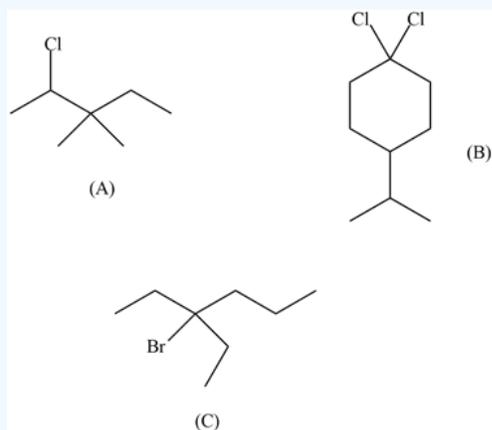


2) Draw the structures of the following compounds:

- 2-Chloro-3,3-dimethylpentane
- 1,1-Dichloro-4-isopropylcyclohexane
- 3-bromo-3-ethylhexane

**Answer**

- 5-ethyl-4-iodo-3-methyloctane
  - 1-bromo-2,3,4-trimethylpentane
  - 4-bromo-5-chloro-2-methylheptane
- 



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## 10.2: PREPARING ALKYL HALIDES FROM ALKANES - RADICAL HALOGENATION

### OBJECTIVES

After completing this section, you should be able to

1. explain why the radical halogenation of alkanes is not usually a particularly good method of preparing pure samples of alkyl halides.
2. use C–H bond energies to account for the fact that in radical chlorinations, the reactivity of hydrogen atoms decreases in the order  
tertiary > secondary > primary.
3. predict the approximate ratio of the expected products from the monochlorination of a given alkane.

### STUDY NOTES

The following terms are synonymous:

1. methyl hydrogens, primary hydrogens, and 1° hydrogens.
2. methylene hydrogens, secondary hydrogens, and 2° hydrogens.
3. methine hydrogens, tertiary hydrogens, and 3° hydrogens.

Note that in radical chlorination reactions, the reactivity of methine, methylene and methyl hydrogens decreases in the ratio of approximately 5 : 3.5 : 1. This will aid in the prediction of expected products from the monochlorination of a given alkane.

### RADICAL HALOGENATION

Alkanes (the simplest of all organic compounds) undergo very few reactions. One of these reactions is halogenation, or the substitution of a single hydrogen on the alkane for a single halogen (Cl<sub>2</sub> or Br<sub>2</sub>) to form a **haloalkane**. This reaction is very important in organic chemistry because it functionalizes alkanes which opens a gateway to further chemical reactions.

#### GENERAL REACTION



#### RADICAL CHAIN MECHANISM

The reaction proceeds through the radical chain mechanism which is characterized by three steps: **initiation**, **propagation**, and **termination**. Initiation requires an input of energy but after that the reaction is self-sustaining.

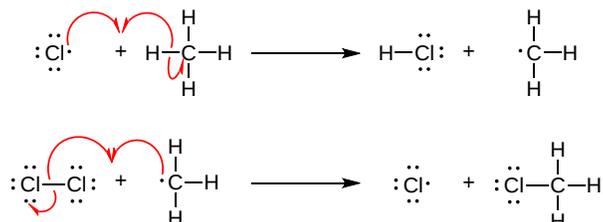
##### STEP 1: INITIATION

During the initiation step free radicals are created when ultraviolet light or heat causes the X-X halogen bond to undergo homolytic to create two halogen free radicals. It is important to note that this step is not energetically favorable and cannot occur without some external energy input. After this step, the reaction can occur continuously (as long as reactants provide) without input of more energy.



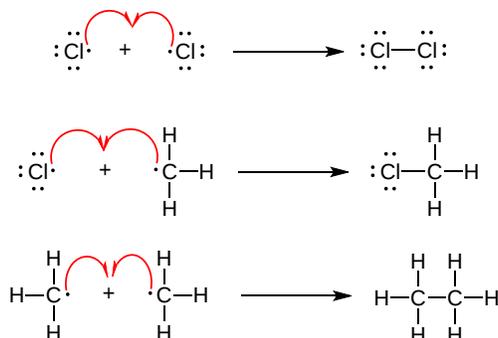
##### STEP 2: PROPAGATION

The next two steps in the mechanism are called propagation steps. In the first propagation step, a chlorine radical abstracts hydrogen atom from methane. This gives hydrochloric acid (HCl, the inorganic product of this reaction) and the methyl radical. In the second propagation step, the methyl radical reacts with more of the chlorine starting material (Cl<sub>2</sub>). One of the chlorine atoms becomes a radical and the other combines with the methyl radical to form the alkyl halide product.

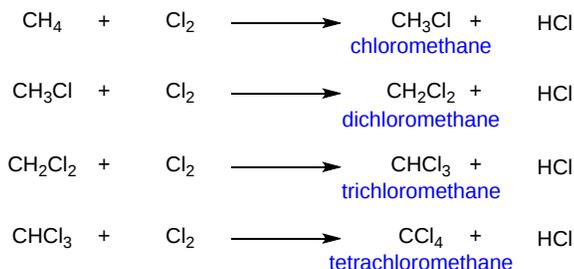


### STEP 3: TERMINATION

In the three termination steps of this mechanism, radicals produced in the mechanism undergo radical coupling to form a sigma bond. These are called termination steps because a free radical is not produced as a product, which prevents the reaction from continuing. Combining the two types of radicals produced can be combined to form three possible products. Two chlorine radicals and couple to form more halogen reactant ( $\text{Cl}_2$ ). A chlorine radical and a methyl radical can couple to form more product ( $\text{CH}_3\text{Cl}$ ). An finally, two methyl radicals can couple to form a side product of ethane ( $\text{CH}_3\text{CH}_3$ ).



This reaction is a poor synthetic method due to the formation of polyhalogenated side products. The desired product occurs when one of the hydrogen atoms in the methane has been replaced by a chlorine atom. However, the reaction doesn't stop there, and all the hydrogens in the methane can in turn be replaced by chlorine atoms to produce a mixture of chloromethane, dichloromethane, trichloromethane and tetrachloromethane.

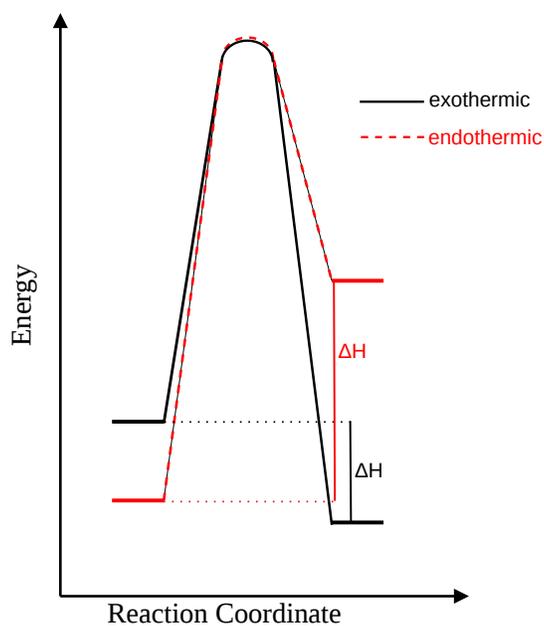


### ENERGETICS

Why do these reactions occur? Is the reaction favorable? A way to answer these questions is to look at the change in **enthalpy**  $\Delta H$  that occurs when the reaction takes place.

$$\Delta H = (\text{Energy put into reaction}) - (\text{Energy given off from reaction})$$

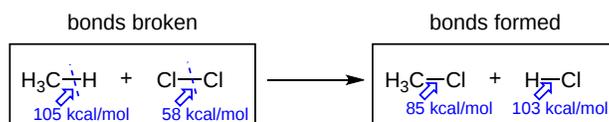
If more energy is put into a reaction than is given off, the  $\Delta H$  is positive, the reaction is endothermic and not energetically favorable. If more energy is given off in the reaction than was put in, the  $\Delta H$  is negative, the reaction is said to be exothermic and is considered favorable. The figure below illustrates the difference between endothermic and exothermic reactions.



$\Delta H$  can also be calculated using bond dissociation energies ( $\Delta H^\circ$ ):

$$\Delta H = \sum \Delta H^\circ \text{ of bonds broken} - \sum \Delta H^\circ \text{ of bonds formed}$$

Let's look at our specific example of the chlorination of methane to determine if it is endothermic or exothermic:

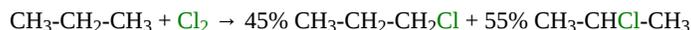


$$\begin{aligned} \text{change in enthalpy} &= (105 \text{ kcal/mol} + 58 \text{ kcal/mol}) - (85 \text{ kcal/mol} + 103 \text{ kcal/mol}) \\ &= -25 \text{ kcal/mol} \end{aligned}$$

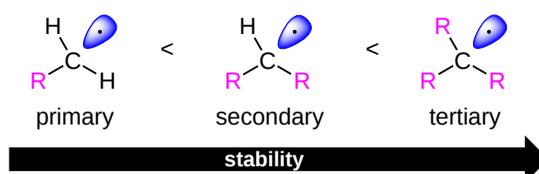
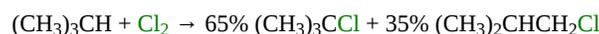
Since, the  $\Delta H$  for the chlorination of methane is negative, the reaction is exothermic. Energetically this reaction is favorable. In order to better understand this reaction we need to look at the mechanism ( a detailed step by step look at the reaction showing how it occurs) by which the reaction occurs.

## CHLORINATION OF OTHER ALKANES

When alkanes larger than ethane are halogenated, isomeric products are formed. Thus chlorination of propane gives both 1-chloropropane and 2-chloropropane as mono-chlorinated products. The halogenation of propane discloses an interesting feature of these reactions. **All the hydrogens in a complex alkane do not exhibit equal reactivity.** For example, propane has eight hydrogens, six of them being structurally equivalent **primary**, and the other two being **secondary**. If all these hydrogen atoms were equally reactive, halogenation should give a 3:1 ratio of 1-halopropane to 2-halopropane mono-halogenated products, reflecting the primary/secondary numbers. This is not what we observe. Light-induced gas phase chlorination at 25 °C gives 45% 1-chloropropane and 55% 2-chloropropane.



These results suggest strongly that 2°-hydrogens are inherently more reactive than 1°-hydrogens, by a factor of about 3.5:1. Further experiments showed that 3°-hydrogens are about 5 times more toward halogen atoms 1°-hydrogens. Thus, light-induced chlorination of 2-methylpropane gave predominantly (65%) 2-chloro-2-methylpropane, the substitution product of the sole 3°-hydrogen, despite the presence of nine 1°-hydrogens in the molecule.

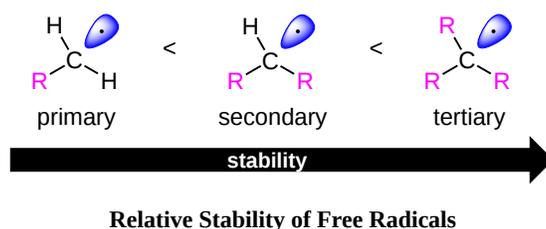


## The Relative Reactivity of Hydrogens to Radical Chlorination

This difference in reactivity can only be attributed to differences in C-H bond dissociation energies. In our previous discussion of bond energy we assumed average values for all bonds of a given kind, but now we see that this is not strictly true. In the case of carbon-hydrogen bonds, there are significant differences, and the specific dissociation energies (energy required to break a bond homolytically) for various kinds of C-H bonds have been measured. These values are given in the following table.

R (in R-H)	methyl	ethyl	i-propyl	t-butyl
Bond Dissociation Energy (kcal/mole)	103	98	95	93

This data shows that a tertiary C-H bond (93 kcal/mole) is easier to break than a secondary (95 kcal/mole) and primary (98 kcal/mole) C-H bond. These bond dissociation energies can be used to estimate the relative stability of the radicals formed after homolytic cleavage. Because a tertiary C-H bond requires less energy to undergo homolytic cleavage than a secondary or primary C-H bond, it can be inferred that a tertiary radical is more stable than secondary or primary.



### ? EXERCISE 10.2.1

Write out the complete mechanism for the chlorination of methane.

#### Answer

The answer to this problem is actually above in the initiation, propagation and termination descriptions.

### ? EXERCISE 10.2.2

Explain, in your own words, how the first propagation step can occur without input of energy if it is energetically unfavorable.

#### Answer

Since the second step in propagation is energetically favorable and fast, it drives the equilibrium toward products, even though the first step is not favorable.

### ? EXERCISE 10.2.3

Which step of the radical chain mechanism requires outside energy? What can be used as this energy?

#### Answer

Initiation step requires energy which can be in the form of light or heat.

### ? EXERCISE 10.2.4

Having learned how to calculate the change in enthalpy for the chlorination of methane apply your knowledge and using the table provided below calculate the change in enthalpy for the bromination of ethane.

Compound	Bond Dissociation Energy (kcal/mol)
CH <sub>3</sub> CH <sub>2</sub> -H	101
CH <sub>3</sub> CH <sub>2</sub> -Br	70
H-Br	87
Br <sub>2</sub>	46

### Answer

To calculate the enthalpy of reaction, you subtract the BDE of the bonds formed from the BDE of the bonds broken.

Bonds broken are C-H and Br-Br.

Bonds formed are H-Br and C-Br.

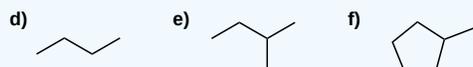
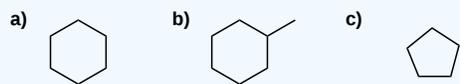
Bonds broken - bonds formed = change in enthalpy

$(101 \text{ kcal/mol} + 46 \text{ kcal/mol}) - (87 \text{ kcal/mol} + 70 \text{ kcal/mol}) = \text{change in enthalpy}$

$-10 \text{ kcal/mol} = \text{change in enthalpy for bromination of ethane.}$

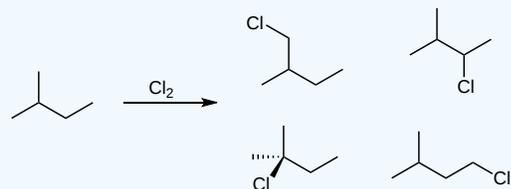
### ? EXERCISE 10.2.5

- Predict the mono-substituted halogenated product(s) of chlorine gas reacting with 2-methylbutane.
- Predict the relative amount of each mono-brominated product when 3-methylpentane is reacted with  $\text{Br}_2$ . Consider 1°, 2°, 3° hydrogen.
- For the following compounds, give all possible monochlorinated derivatives.

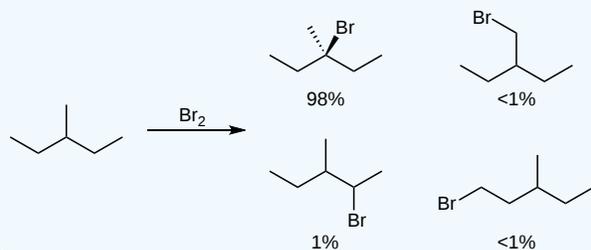


### Answer

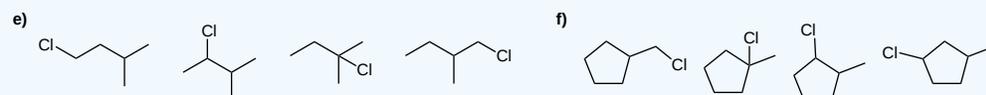
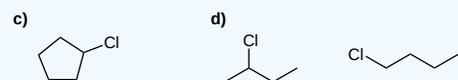
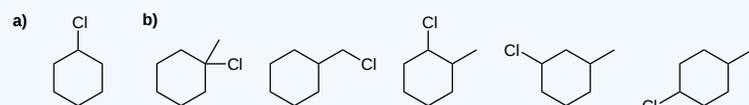
1)



2)



3)



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## 10.3: PREPARING ALKYL HALIDES FROM ALKENES - ALLYLIC BROMINATION

### OBJECTIVES

After completing this section, you should be able to

1. write the equation for the bromination of a symmetrical alkene using N-bromosuccinimide.
2. predict the product formed when a given symmetrical alkene is treated with N-bromosuccinimide.
3. identify the reagent, the symmetrical alkene, or both, needed to produce a given allyl halide by allylic bromination.
4. list the following radicals in order of increasing or decreasing stability: allyl, vinyl, primary alkyl, secondary alkyl, tertiary alkyl, methyl.
5. explain the ease of forming an allyl radical, and the difficulty of forming a vinyl radical, in terms of relative  $\text{C-H}$  bond dissociation energies.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

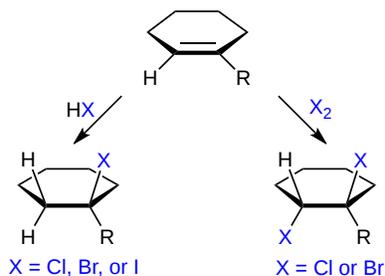
- allylic carbon

### STUDY NOTES

We have discussed the electrophilic addition of  $X_2$  and  $HX$  to alkenes as a route to forming alkyl halides (Sections 7.8 and 8.2). In this section we introduce bromination at the allylic position with N-bromosuccinimide (NBS). Notice that at the moment we are restricting our studies to the allylic bromination of symmetrical alkenes, such as cyclohexene. When we introduce an element of asymmetry, we find that more than one allyl radical can be formed; therefore, we must assess the relative stability of each radical when trying to predict which product will predominate. The method of doing this assessment is described in the next section.

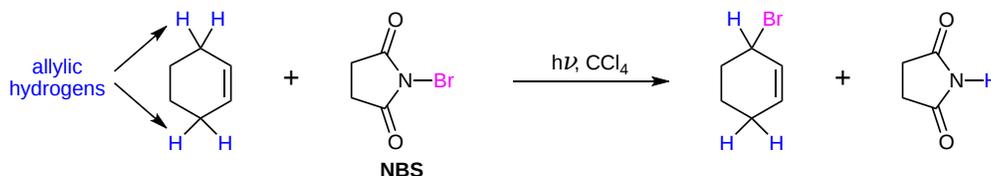
### ALLYLIC BROMINATION

Previously, alkyl halides have been produced through reactions with alkenes. Hydrogen halides ( $HCl$ ,  $HBr$ , and  $HI$ ) react with alkenes in an electrophilic addition reaction discussed in Section 7-8 to yield alkyl halides as products. Also, Bromine ( $Br_2$ ) and chlorine ( $Cl_2$ ) can react with alkenes to provide dihalogenated products as discussed in Section 8-2.



Another method for preparing alkyl halides from alkenes is with **N-bromosuccinimide (NBS)** in carbon tetrachloride ( $CCl_4$ ) solution with the presence of light. The reaction specifically causes the substitution of bromine with a hydrogen attached to a carbon adjacent to the double bond - the allylic position.

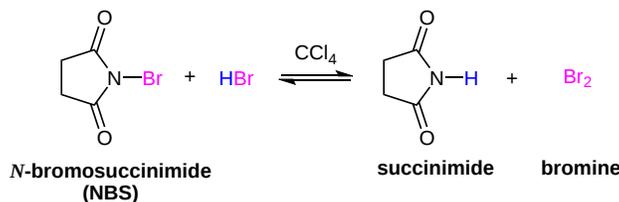
### GENERAL REACTION



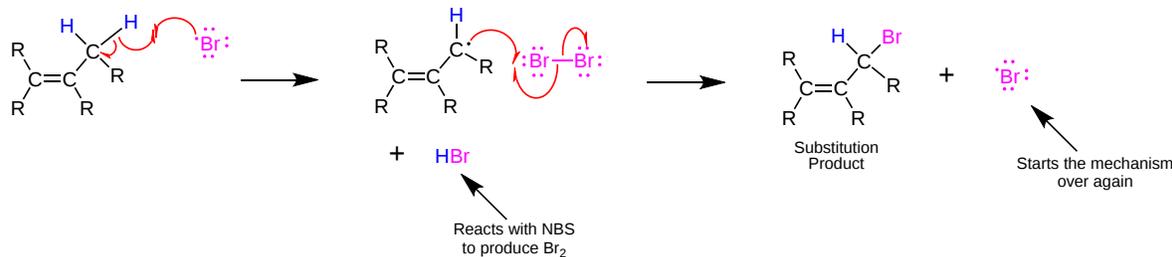
### MECHANISM

The allylic bromination with NBS is analogous to the alkane halogenation reaction (Section 10.2) since it also occurs as a radical chain reaction. NBS is the most commonly used reagent to produce low concentrations of bromine. When suspended in tetrachloride ( $CCl_4$ ), NBS

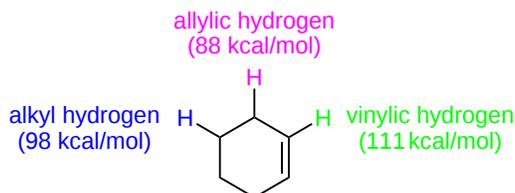
reacts very rapidly with the HBr formed during the reaction mechanism to provide bromine ( $\text{Br}_2$ ) which is required for the reaction to continue. Under the correct conditions, NBS provides a constant but very low concentration of  $\text{Br}_2$  in the reaction mixture. The low concentration of  $\text{Br}_2$  helps to prevent the formation of unwanted side-products.



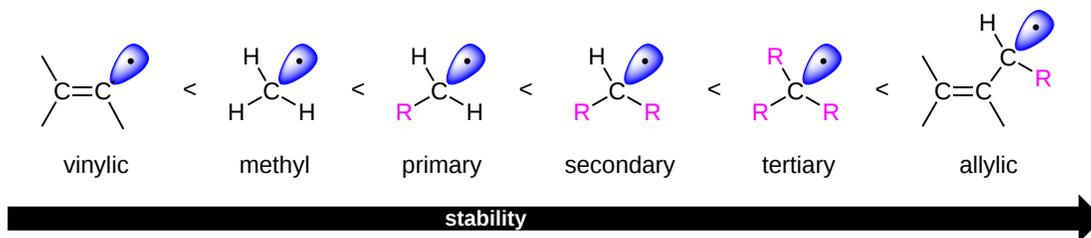
The mechanism starts with the formation of a small amount of bromine radical which then abstracts an allylic hydrogen to form an allylic radical and HBr. The HBr can then react with NBS to form the  $\text{Br}_2$  required for the reaction. The allylic radical then abstracts a bromine atom from  $\text{Br}_2$  to form the allyl halide product and a bromine radical. The bromine radical produced allows the reaction to continue.



The predominance of allylic substitution over other positions is based on bond dissociation energies. An allylic C-H bond has a strength of about 88 kcal/mol which is much weaker than a typical alkyl C-H bond (98 kcal/mol) or vinylic C-H bond (111 kcal/mol). Therefore, an allylic C-H bond is most likely to form a free radical and react.



Because an allylic C-H bond requires less energy to undergo homolytic cleavage than even a tertiary C-H bond, it can be inferred that an allylic radical is more stable than a tertiary radical. The ordering of stability in radicals can be expanded to include vinylic and allylic radicals. The enhanced stability of allyl radicals can be attributed to resonance stabilization which will be discussed in the next section.



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## 10.4: STABILITY OF THE ALLYL RADICAL - RESONANCE REVISITED

### OBJECTIVES

After completing this section, you should be able to

1. explain the stability of the allyl radical in terms of resonance.
2. explain the difference between resonance and [tautomerism](#).
3. write an equation for the reaction of an unsymmetrical alkene with N-bromosuccinimide.
4. draw the structure of each of the possible products that could be obtained from the reaction of a given unsymmetrical alkene with N-bromosuccinimide, and predict which product will predominate.
5. explain the formation of more than one product from the reaction of N-bromosuccinimide with a given unsymmetrical alkene.
6. explain the observed product ratio when a given unsymmetrical alkene is treated with N-bromosuccinimide.

### KEY TERMS

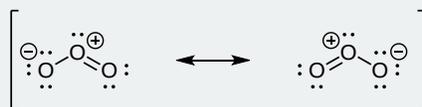
Make certain that you can define, and use in context, the key terms below.

- delocalized
- resonance forms
- resonance hybrid

### STUDY NOTES

You will have encountered the concept of resonance if you have taken general first-year chemistry course. You should also briefly review [Section 2.5](#).

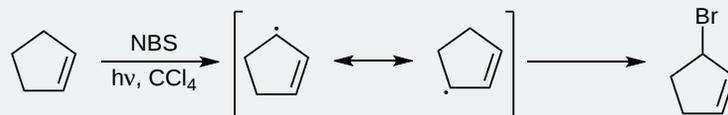
When we can represent a species by two or more different Lewis or Kekulé structures, neither of which represents the true structure of the species, these structures are referred to as *resonance forms*. A common example used in general chemistry courses to illustrate the concept of resonance is ozone, O<sub>3</sub>. The two resonance forms of ozone may be represented as follows:



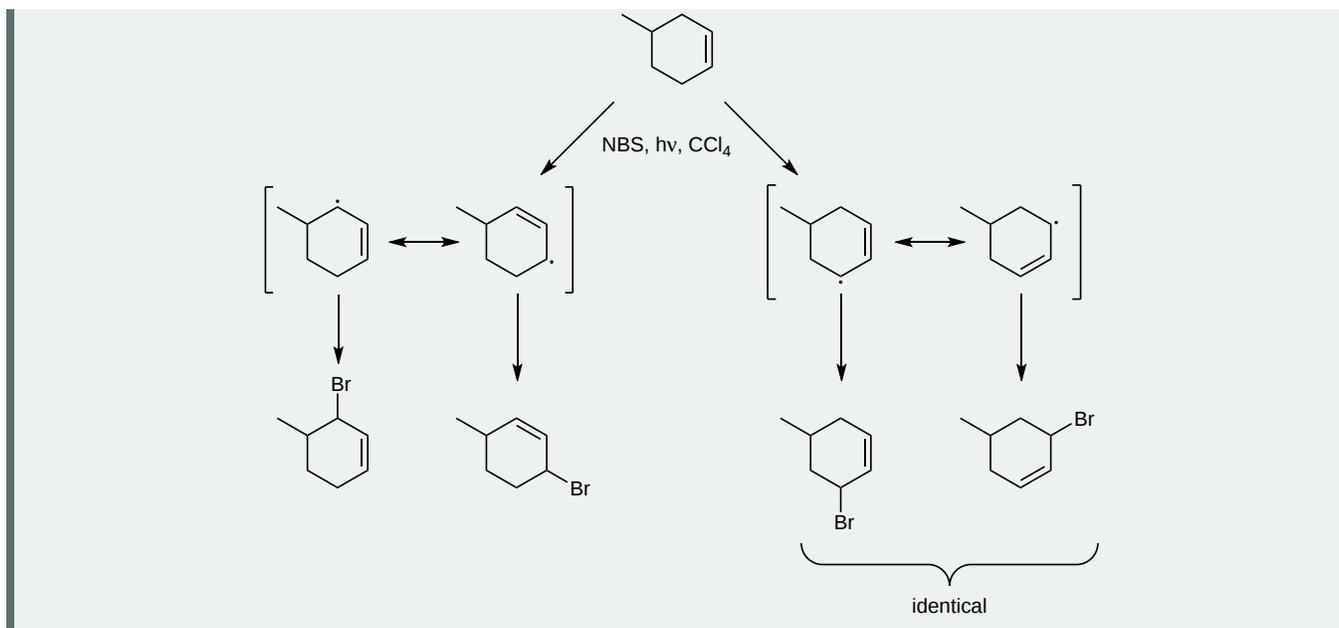
The concept of resonance is quite important, and will be used frequently throughout the remainder of this course. The guidelines below may assist you in drawing resonance contributors.

1. Resonance occurs whenever a molecule, radical or ion can be represented by two or more structures differing only in the arrangement of electrons (no atoms may be moved).
2. The true structure of a species is a hybrid of the resonance contributors and is more stable (i.e., lower in energy) than any of the contributors.
3. The most important contributors are those containing the most covalent bonds. Another way of saying the same thing is that the most important contributors have the least amount of charge separation.
4. Contributors in which all the atoms (except hydrogen) have a complete octet (i.e., are surrounded by eight electrons) are particularly important.

In the previous section we discussed the allylic bromination of a symmetrical alkene with NBS such as this cyclopentene, which affords one product.

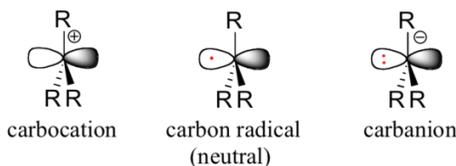


However, with an unsymmetrical alkene and the delocalized unpaired electron forming various allylic resonances, several products are possible. For example, the NBS bromination of 4-methyl-cyclohexene leads to three products.



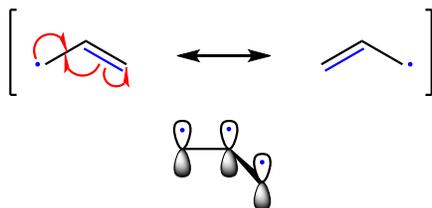
### THE GEOMETRY AND RELATIVE STABILITY OF CARBON RADICALS

As organic chemists, we are particularly interested in radical intermediates in which the unpaired electron resides on a carbon atom. Experimental evidence indicates that the three bonds in a carbon radical have trigonal planar geometry, and therefore the carbon is considered to be  $sp^2$ -hybridized with the unpaired electron occupying the perpendicular, unhybridized  $2p_z$  orbital. Contrast this picture with carbocation and carbanion intermediates, which are both also trigonal planar but whose  $2p_z$  orbitals contain zero or two electrons, respectively.

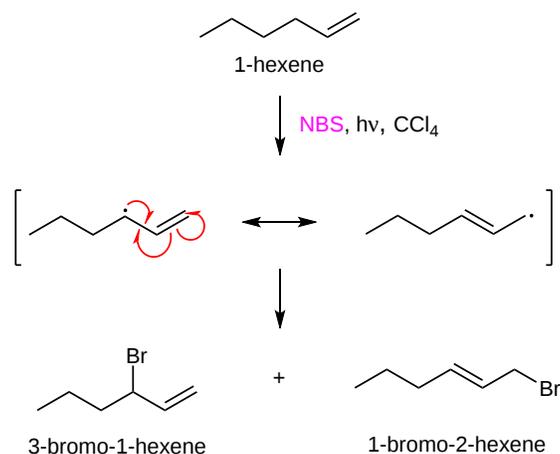


The trend in the stability of carbon radicals parallels that of carbocations (section 8.4B): tertiary radicals, for example, are more stable than secondary radicals, followed by primary and methyl radicals. This should make intuitive sense, because radicals, like carbocations, can be considered to be electron deficient, and thus are stabilized by the electron-donating effects of nearby alkyl groups.

Benzylic and allylic radicals are more stable than alkyl radicals due to resonance effects - an unpaired electron can be delocalized over a system of conjugated  $\pi$  bonds. An allylic radical, for example, can be pictured as a system of three parallel  $2p_z$  orbitals sharing three electrons. With two resonance forms, the allylic radical is electronically symmetrical. Due to resonance hybrid theory, neither structure is correct, but instead the structure lies somewhere between the two resonance forms. Another way to phrase this is that the unpaired electron is delocalized across all the carbon atoms through the  $\pi$  system and not localized on one site. The more resonance structures, the more stable the molecule. This is why the allylic radical is more stable than the alkyl radical.

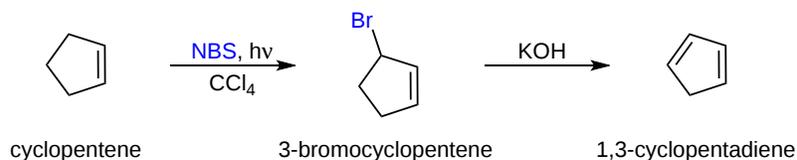


Because the allylic radical is symmetrical, a reaction can occur on either side. Therefore if reacting with bromine, the bromination could occur on either end of the allylic radical. When the allyl radical is symmetrical, this yields the same product. However, if you have an unsymmetrical allyl radical, it would lead to a mixture of products and not necessarily in equal amounts. This is because the intermediate radical is unsymmetrical. The reaction will occur at the less hindered site. An example would be 1-octene as a starting material in a bromination. The products the reaction would yield would be 3-bromo-1-octene and 1-bromo-2-octene.



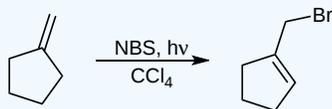
### FURTHER REACTIONS

The products from allylic bromination reactions can easily be converted into dienes by elimination using a base. If the alkyl chain is long enough, alkenes can be converted to dienes through a two-step process: allylic bromination followed by elimination.

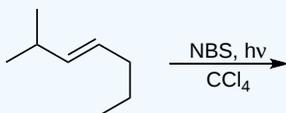
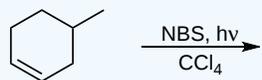


### ? EXERCISE 10.4.1

1) The following reaction shows the major product. Explain why this would be the final product and why the 2° bromo product is not the major product.

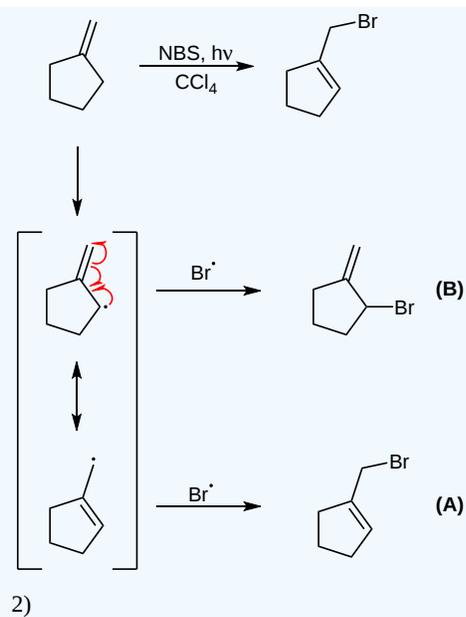


2) Predict the products of the following reactions:



### Answer

1) The product (A) is a 1° halogen which is more predominant product even though the (B) had a better transition state with a 2° radical. The 1° radical intermediate is not as sterically hindered.



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## 10.5: PREPARING ALKYL HALIDES FROM ALCOHOLS

### OBJECTIVES

After completing this section, you should be able to

1. write an equation for the conversion of an alcohol to an alkyl halide.
2. list a given series of alcohols in increasing or decreasing order of reactivity with hydrogen halides.
3. identify the alkyl halide formed when a given alcohol reacts with thionyl chloride, phosphorus tribromide, or a hydrogen halide.
4. identify the alcohol which should be used to prepare a given alkyl halide using one of the reagents specified in Objective 3.
5. select the most appropriate reagent for converting a given alcohol to a given alkyl halide.

### STUDY NOTES

The use of thionyl chloride for converting alcohols to alkyl chlorides has the added benefit that both of the by-products, sulfur dioxide and hydrogen chloride, are gases. This characteristic simplifies the isolation and purification of the reaction product.

In the laboratory, one can test for the presence of alcohols with Lucas reagent (a mixture of concentrated hydrochloric acid and zinc chloride). Lucas reagent converts alcohols to alkyl chlorides: tertiary alcohols fastest followed by secondary alcohols; primary alcohols do not react to any significant extent. Thus, Lucas reagent can help distinguish among primary, secondary and tertiary alcohols due to going through a substitution reaction.

### GENERAL REACTION

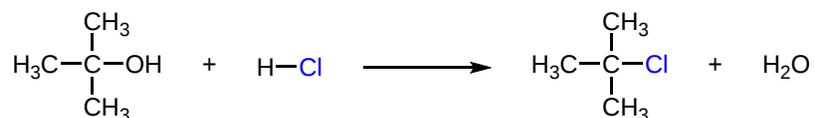
When alcohols react with an acid halide, a substitution takes place producing an alkyl halide and water:



- The order of reactivity of alcohols is  $3^\circ > 2^\circ > 1^\circ > \text{Methyl}$ .
- The order of reactivity of the hydrogen halides is  $\text{HI} > \text{HBr} > \text{HCl}$  (HF is generally unreactive).

Tertiary alcohols react reasonably rapidly HCl, HBr, or HI, but for primary or secondary alcohols the reaction rates are too slow for the reaction to be of much importance. For the reactions that do occur, bubbling HX into an alcohol solution yields a haloalkane or alkyl halide. Below the reaction shows, tert-butanol and hydrochloric acid reacting to yield *t*-butyl chloride and water.

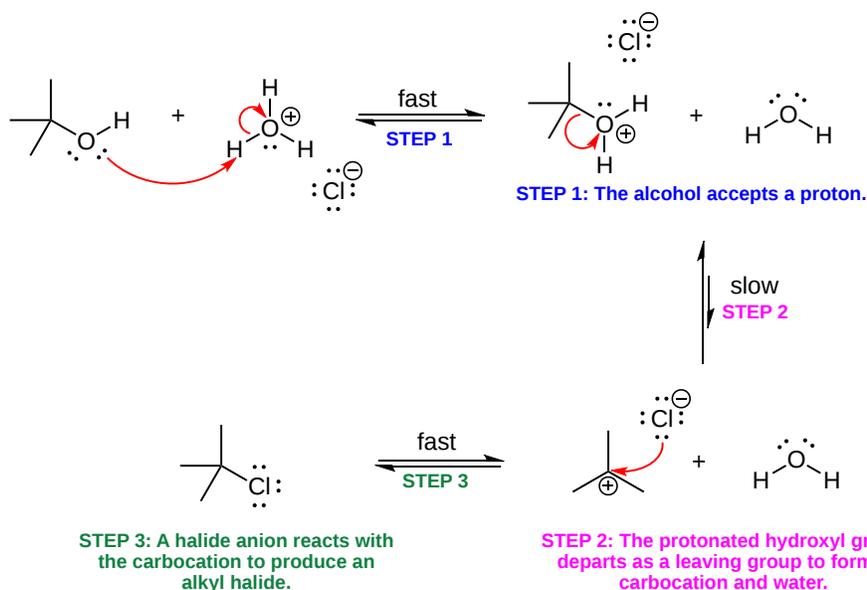
### EXAMPLE



### MECHANISM

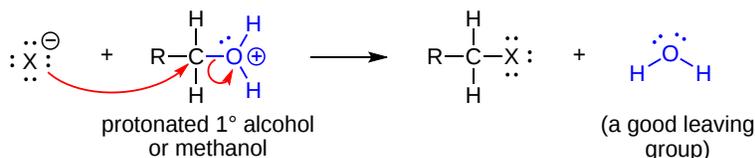
Secondary, tertiary, allylic, and benzylic alcohols appear to react by a mechanism that involves the formation of a carbocation, in an  $\text{S}_{\text{N}}1$  reaction with the protonated alcohol acting as a leaving group.

The  $\text{S}_{\text{N}}1$  mechanism is illustrated by the reaction of tert-butyl alcohol and aqueous hydrochloric acid ( $\text{H}_3\text{O}^+$ ,  $\text{Cl}^-$ ). The first two steps in this  $\text{S}_{\text{N}}1$  substitution mechanism are protonation of the alcohol to form an oxonium ion. Protonation of the alcohol converts a poor leaving group ( $\text{OH}^-$ ) to a good leaving group  $\text{H}_2\text{O}$  which makes the dissociation step of the  $\text{S}_{\text{N}}1$  mechanism more favorable. In step 3, the carbocation reacts with a nucleophile (a halide ion) to complete the substitution.

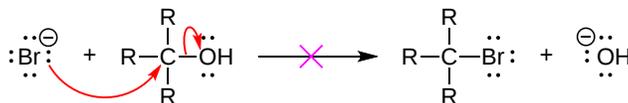


Carbocation rearrangements are extremely common in organic chemistry reactions and are defined as the movement of a carbocation from an unstable state to a more stable state through the use of various structural reorganizational "shifts" within the molecule. Once the carbocation has shifted over to a different carbon, we can say that there is a structural isomer of the initial molecule.

Not all acid-catalyzed conversions of alcohols to alkyl halides proceed through the formation of carbocations. Primary alcohols and methanol react to form alkyl halides under acidic conditions by an  $S_N2$  mechanism. In these reactions the function of the acid is to produce a *protonated alcohol*. The halide ion then displaces a molecule of water (a good leaving group) from carbon; this produces an alkyl halide:



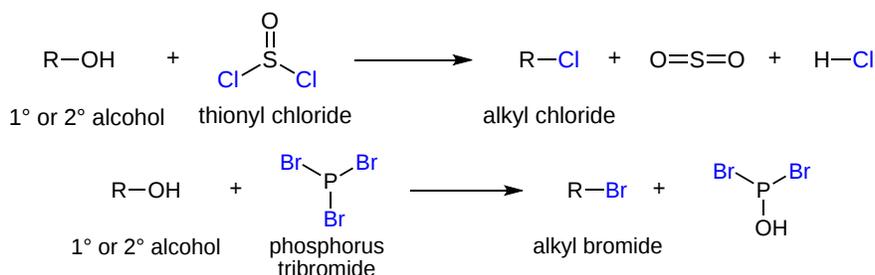
Again, acid is required. Although halide ions (particularly iodide and bromide ions) are strong nucleophiles, they are not strong enough to carry out substitution reactions with alcohols that are not activated (converted to a better leaving group). Direct displacement of the hydroxyl group does not occur because the leaving group would have to be a strongly basic hydroxide ion.



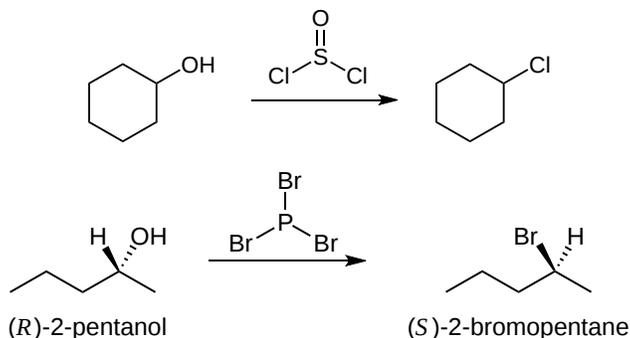
## CONVERSION OF ALCOHOLS INTO ALKYL HALIDES USING $\text{SOCl}_2$ OR $\text{PBr}_3$

The most common methods for converting 1°- and 2°-alcohols to the corresponding chloro and bromo alkanes (*i.e.* replacement of the hydroxyl group) are treatments with **thionyl chloride ( $\text{SOCl}_2$ )** and **phosphorus tribromide ( $\text{PBr}_3$ )**, respectively. These reagents are generally preferred over the use of concentrated  $\text{HX}$  due to the harsh acidity of these hydrohalic acids and the carbocation rearrangements associated with their use.

### GENERAL REACTION



## EXAMPLE

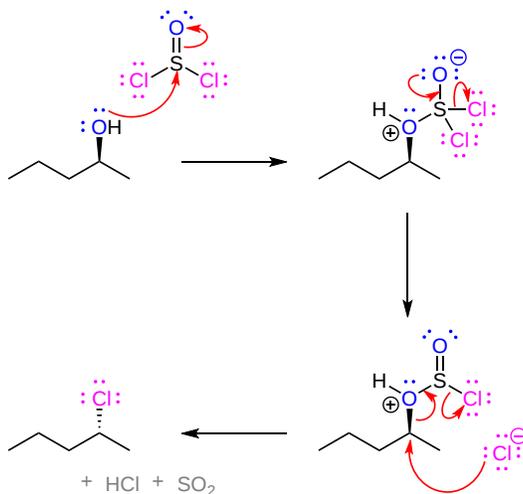


## MECHANISMS

Both of these reagents form an alkyl halide through an  $S_N2$  mechanism. The mechanism for both reactions starts by converting the hydroxide of the alcohol into a better leaving group through formation of an intermediate. Thionyl chloride creates an intermediate chlorosulfite ( $-\text{OSOCl}_2$ ) compound and phosphorus tribromide makes an intermediate dibromophosphite ( $-\text{OPBr}_2$ ) compound. These intermediate compounds can subsequently be eliminated as a leaving group during an  $S_N2$  reaction with the corresponding nucleophilic halide ion. Since these reactions proceed through a backside attack, there is inversion of configuration at the carbon.

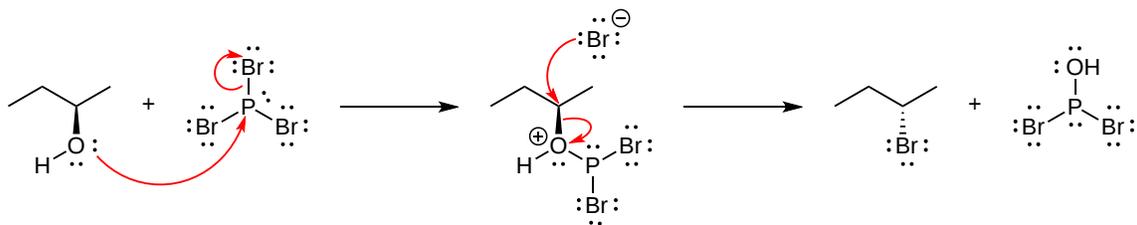
### THIONYL CHLORIDE

Notice that during the reaction with thionyl chloride hydrochloric acid (HCl) and sulfur dioxide ( $\text{SO}_2$ ) are produced as byproducts.



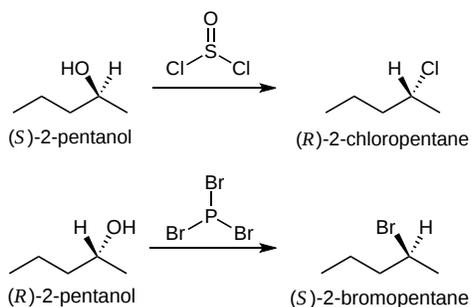
### PHOSPHORUS TRIBROMIDE

During this reaction  $\text{HOPBr}_2$  is made as a byproduct.



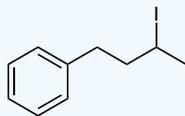
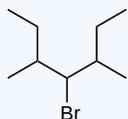
### STEREOCHEMICAL CONSIDERATIONS

The  $S_N2$  reaction with the corresponding nucleophilic halide ion contained in the mechanism of both reactions causes an inversion of configuration at the carbon atom.



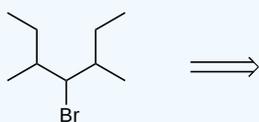
### ? EXERCISE 10.5.1

1) Predict the alcohol required for the synthesis of the following halides:



**Answer**

1)



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## 10.6: REACTIONS OF ALKYL HALIDES - GRIGNARD REAGENTS

### OBJECTIVES

After completing this section, you should be able to

- write an equation to describe the formation of a Grignard reagent.
- give examples of Grignard reagents formed from aryl and vinyl halides as well as from alkyl halides.
- explain the reactivity of Grignard reagents in terms of the polarity of the carbon-magnesium bond.
- write an equation for the reaction of a Grignard reagent with a proton donor, such as water.
- predict the product formed from the reaction of a given organohalide with magnesium followed by a proton donor.
- identify the organohalide, the reagents, or both, needed to prepare a given alkane.
- describe how a deuterium atom may be introduced at a specific location in an organic molecule through use of a Grignard reagent.
- describe at least one limitation on the use of Grignard reagents in organic synthesis.
- write an equation for the direct conversion of an alkyl halide to an alkane using a hydride donor, such as lithium aluminum hydride.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

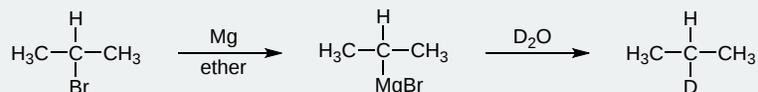
- carbanion
- Grignard reagent

### STUDY NOTES

The organomagnesium compounds formed by the reaction of an alkyl or aryl halide with magnesium are called *Grignard reagents*. As you will see throughout the remainder of this course, Grignard reagents can be used to synthesize a wide range of organic compounds and are extremely useful to the organic chemist.

In the introductory section, we tried to stress that the chemistry of alkyl halides is quite different from that of aryl (or vinyl) halides. However, both alkyl and aryl halides react with magnesium to form Grignard reagents.

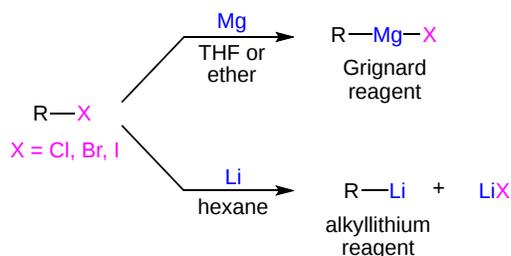
The reaction of a Grignard reagent with D<sub>2</sub>O (“heavy water”) provides a convenient method for introducing a deuterium atom (remember D is equivalent to <sup>2</sup>H) into a molecule at a specific location. For example:



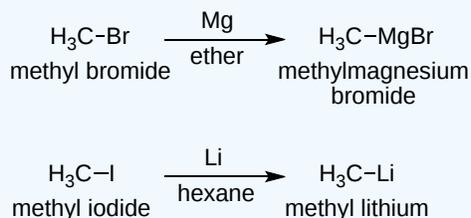
### FORMATION OF ORGANOMETALLIC REAGENTS

The **alkali metals** (Li, Na, K etc.) and the **alkaline earth metals** (Mg and Ca, together with Zn) are good reducing agents, of which the alkali metals are stronger. These same metals reduce the carbon-halogen bonds of alkyl halides. The halogen is converted to a halide anion, and the carbon bonds to the metal which has characteristics similar to a **carbanion** (R:-). Most alkyl halides can undergo this reaction including: 1°, 2°, 3°, vinyl, and aryl. Halide reactivity in these reactions increases in the order: Cl < Br < I and Fluorides are usually not used.

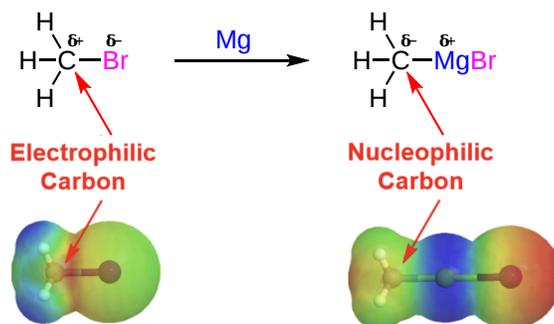
Many organometallic reagents are commercially available, however, it is often necessary to make them. The following equations illustrate these reactions for the commonly used metals lithium and magnesium (R may be hydrogen or alkyl groups in any combination). The alkyl magnesium halides described in the first reaction are called **Grignard Reagents** after the French chemist, Victor Grignard, who discovered them and received the Nobel prize in 1912 for this work. The products of the second reaction are called **Alkyl lithium Reagents**. The other metals mentioned above react in a similar manner, but Grignard and Alkyl lithium Reagents are most widely used. Although the formulae drawn here for the alkyl lithium and Grignard reagents reflect the stoichiometry of the reactions and are widely used in the chemical literature, they do not accurately depict the structural nature of these remarkable substances. Mixtures of polymeric and other associated and complexed species are in equilibrium under the conditions normally used for their preparation.



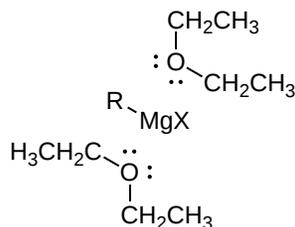
### ✓ EXAMPLE 10.6.1



These reactions are obviously substitution reactions, but they cannot be classified as nucleophilic substitutions, as were the earlier reactions of alkyl halides. Because the functional carbon atom has been reduced, the polarity of the resulting functional group is inverted (an originally electrophilic carbon becomes nucleophilic). The Polarity change is evident when looking at the electrostatic maps of the alkyl halide and the Grignard reagent. In the alkyl halide, the electronegative halogen atom holds much of the electron density which gives the carbon a slight positive charge (shown in blue in the electrostatic potential map below) making it electrophilic. In the Grignard reagent, the carbon has a slight negative charge making it nucleophilic (shown in yellow & red).



A suitable solvent must be used for reactions that form organometallic molecules. For alkyl lithium formation pentane or hexane are usually used. Diethyl ether can also be used but the subsequent alkyl lithium reagent must be used immediately after preparation due to an interaction with the solvent. Diethyl ether or tetrahydrofuran (THF) are essential for Grignard reagent formation. Lone pair electrons from two ether molecules form a complex with the magnesium in the Grignard reagent (as pictured below). This complex helps stabilize the organometallic and increases its ability to react.



## COMMON ORGANOMETALLIC REAGENTS



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## 10.7: ORGANOMETALLIC COUPLING REACTIONS

### OBJECTIVES

After completing this section, you should be able to

1. write an equation for the formation of an alkyllithium from an alkyl halide.
2. write an equation for the formation of a lithium dialkylcopper (Gilman) reagent from an alkyllithium and copper(I) iodide.
3. write an equation for the coupling of a lithium dialkylcopper reagent with an alkyl halide (i.e., a Corey-House synthesis).
4. draw the structure of the product formed from a given Corey-House synthesis.
5. identify the reagents needed to convert two given organohalides to a specified hydrocarbon through a Corey-House synthesis.

### KEY TERMS

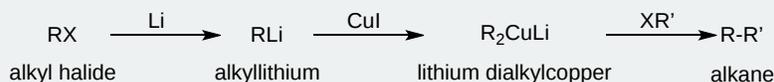
Make certain that you can define, and use in context, the key terms below.

- Corey-House synthesis
- pheromone

### STUDY NOTES

A *pheromone* is a chemical released by members of one species to cause specific behavioural or physiological changes in other members of the same species. Examples include sex pheromones, alarm pheromones and trail pheromones.

The *Corey-House synthesis* provides us with a method of coupling together two alkyl groups through the formation of a new carbon-carbon bond. The product of such a reaction is an alkane, and this synthetic method gives us a route for the preparation of unsymmetrical alkanes. The method was developed during the late 1960s by E. J. Corey and Herbert House working independently at Harvard University and Massachusetts Institute of Technology, respectively. The overall synthetic route is shown on the next page. Note that R and R' represent alkyl groups, which can be the same or different, and X represents a halogen (preferably bromine or iodine).

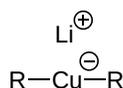


In order to obtain a good yield of alkane, both R'X and RX should be primary alkyl halides. However, the experimental procedure can be modified so that this synthesis can be carried out using a wide range of alkyl, aryl, vinyl, benzyl and allyl halides. A detailed discussion of these modifications is beyond the scope of this course, but you should be aware of possible limitations in the use of the Corey-House synthesis.

**Note:** In some textbooks, lithium diorganocoppers are referred to as lithium dialkylcoppers, or cuprates.

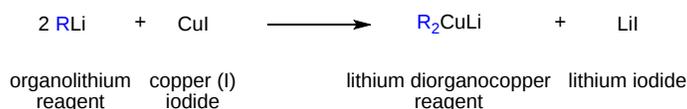
### GILMAN REAGENTS

Another important reaction exhibited by organometallic reagents is metal exchange. Organolithium reagents react with cuprous iodide to give a **lithium diorganocopper reagent** (also called diorganocuprates), which often is referred to as a **Gilman reagent**. Remember that organolithium reagents are formed by a reaction of lithium metal with an organohalide. Lithium diorganocopper reagents are considered a source of carbanion-like nucleophiles similar to Grignard and Organolithium reagents. However, the reactivity of lithium diorganocuprate reagents is slightly different and this difference will be exploited in specific situations. Diorganocuprate reagents are made from the reaction of two equivalents of an organolithium reagent and copper (I) iodide (CuI). The created lithium diorganocuprate reagent acts as a source of R<sup>-</sup>:

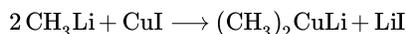


**lithium diorganocopper (Gilman reagent)**

### GENERAL REACTION



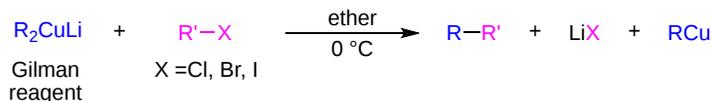
## EXAMPLE



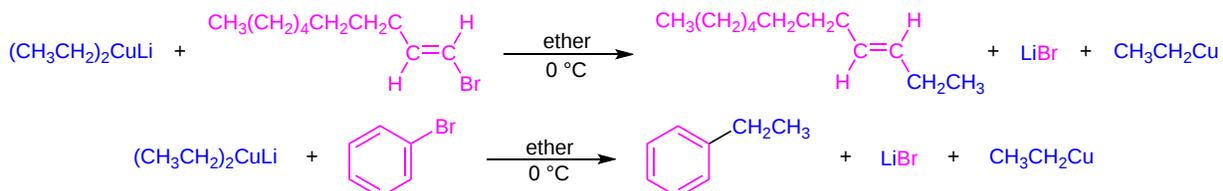
## COUPLING REACTIONS WITH GILMAN REAGENTS

Gilman reagents undergo a coupling reaction with organochlorides, bromides, and iodides to form a carbon-carbon bond. During the reaction one of the alkyl groups from the Gilman reagent replaces the halogen atom in the organohalide. This reaction is useful in organic synthesis because it allows a larger molecule to be built from smaller fragments. Most alkyl halides, including aryl and vinyl halides, are capable of undergoing this reaction.

### GENERAL REACTION

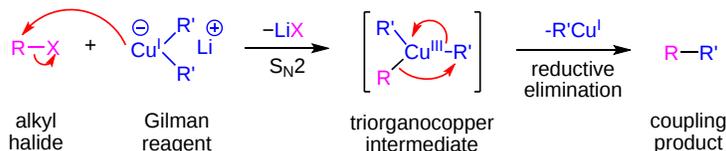


### EXAMPLES



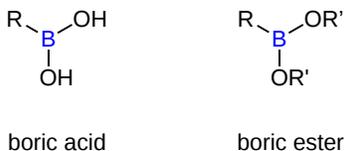
### MECHANISM

The mechanism begins with copper in the Gilman reagent being oxidized from  $\text{Cu}^{+1}$  to  $\text{Cu}^{+3}$ . Losing electrons allows the copper atom to act as a nucleophile and undergo an  $\text{S}_{\text{N}}2$  like substitution reaction with the alkyl halide. The resulting neutral tri-organocopper intermediate undergoes reductive elimination, reducing  $\text{Cu}^{+3}$  to  $\text{Cu}^{+1}$ , and creating the alkyl coupling product.

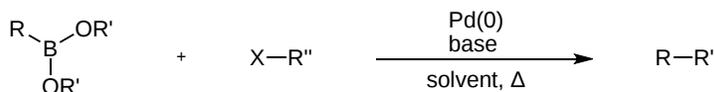


## THE SUZUKI-MIYAUURA REACTION

The reactions of diorganocopper reagents with organohalides are related to the processes that occur with other organometallic reagents such as organopalladiums. Suzuki-Miyaura coupling (or Suzuki coupling) is a metal-catalyzed reaction, typically with Pd, between an alkenyl (vinyl), aryl, or alkynyl organoborane (boronic acid or boronic ester, or special cases with aryl trifluoroborane) and halide or triflate under basic conditions. This reaction is used to create carbon-carbon bonds to produce conjugated systems of alkenes, styrenes, or biaryl compounds. Organopalladium reagents are often preferred over diorganocopper reagents, because only a catalytic amount of the organopalladium is necessary rather than a full equivalent which makes the reaction less toxic.

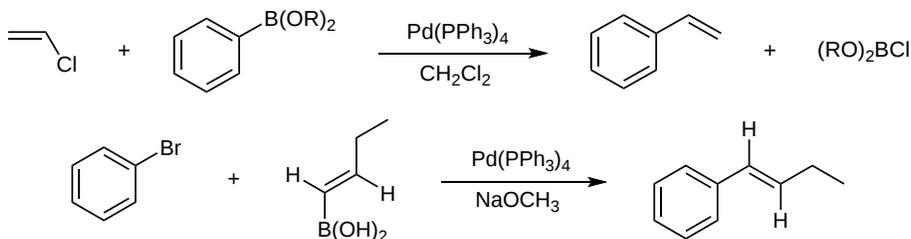


### GENERAL REACTION



R = aryl, alkene (vinyl), alkyne; R' = H (boronic acid), alkyl (boronic ester);  
R'' = aryl, alkene (vinyl), alkyne  
X = halide (I, Br, Cl\*), triflate (-OTf) \*Cl requires special conditions for activation

## EXAMPLES

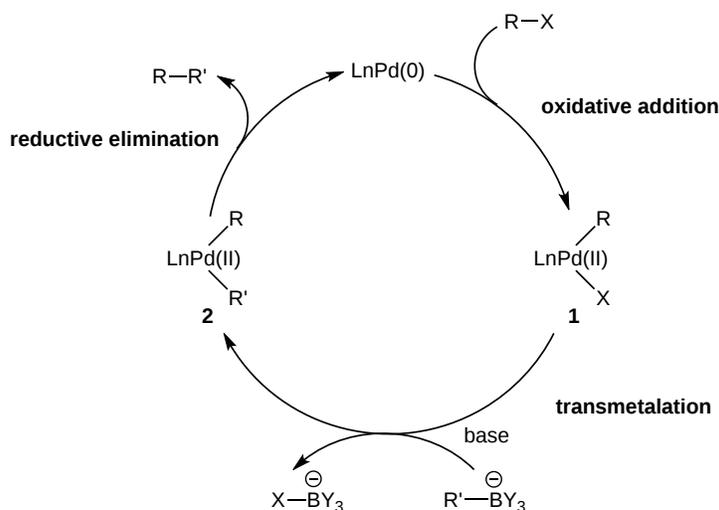


## MECHANISM

The general catalytic cycle for Suzuki cross coupling involves three fundamental steps: *oxidative addition*, *transmetalation*, and *reductive elimination* as demonstrated in the figure below.

The *oxidative addition* of aryl halides to the Pd(0) complex gives an intermediate 1, a Pd(II) species. Under the participation of base, an organoborane compound reacts with intermediate 1 in a *transmetalation* step to afford intermediate 2.

This is followed by *reductive elimination* to give the desired coupling product and regenerate the original Pd(0) species. Depending on different catalytic systems with various catalysts, ligands, and solvents, there are additional processes in the catalytic cycle, including ligand or solvent association and dissociation.



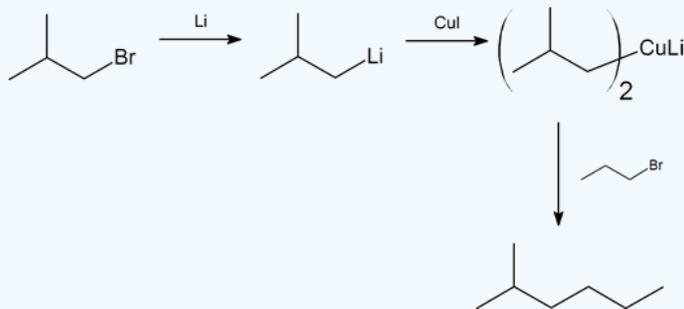
### ? EXERCISE 10.7.1

Starting with alkyl halides containing no more than four carbon atoms, how would you synthesize each of the following alkanes?

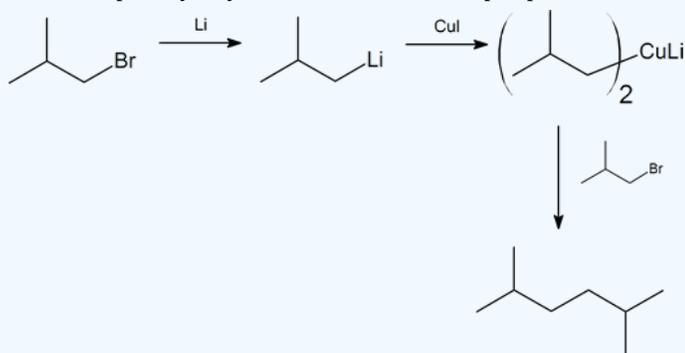
- 2,5-dimethylhexane
- 2-methylhexane

#### Answer

Notice that in (a), both the alkyl halides are primary. This fact should ensure a good yield of product.



In (b) we have the choice of using 2-bromopropane and 1-bromobutane, or 1-bromo-2-methylpropane and 1-bromopropane. We chose the latter as it enables us to use two primary alkyl halides, and hence a simpler procedure.



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## 10.8: OXIDATION AND REDUCTION IN ORGANIC CHEMISTRY

### OBJECTIVES

After completing this section, you should be able to

- identify organic reactions as being oxidations, reductions, or neither.
- rank given compounds in order of their oxidation level.

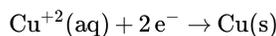
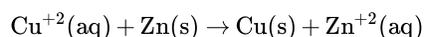
### KEY TERMS

Make certain that you can define, and use in context, the terms below.

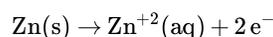
- oxidation
- reduction
- heteroatom

### GENERAL REDOX REACTIONS

General chemistry courses describe oxidation and reduction - when a compound or atom is oxidized it loses electrons, and when it is reduced it gains electrons. Also, oxidation and reduction half reactions occur in pairs: if one species is oxidized, another must be reduced at the same time. Thus, the combination of an oxidation and a reduction half reaction is termed a 'redox reaction.' Most of the redox reactions you have seen previously in general chemistry typically involved the flow of electrons from one metal to another, such as the reaction between copper ion in solution and metallic zinc:



Reduction



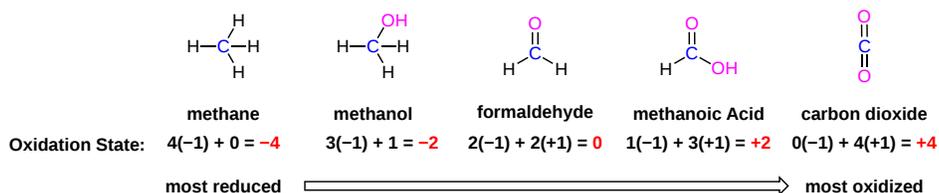
Oxidation

In order, to keep track of electrons in organic molecules an oxidation state formalism is used. Oxidation states do not represent the actual charge on an atom, but it will allow the number of electrons being gained or lost by a particular atom to be determined during a reaction.

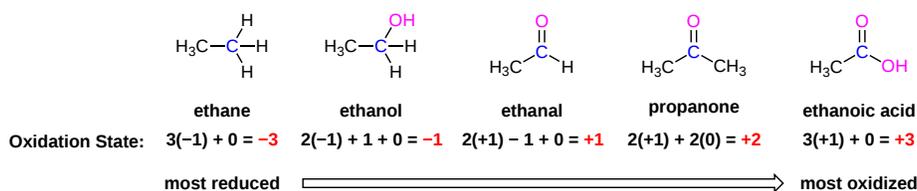
To calculate the oxidation state of a carbon atom the following rules are used:

1. A C-C bond does not affect the oxidation state of a carbon. So a carbon attached to 4 carbons has an oxidation state of zero.
2. Every C-H bond will **decrease** the oxidation state of the carbon by 1.
3. Each C-X bond will **increase** the oxidation state of the carbon by 1. Where X is an electronegative atom, such as nitrogen, oxygen, sulfur, or a halogen.

When looking at the oxidation states of carbon in the common functional groups shown below it can be said that carbon loses electron density as it becomes more oxidized. We'll take a series of single carbon compounds as an example. Methane ( $\text{CH}_4$ ) is at the lowest oxidation level of carbon because it has the maximum possible number of bonds to hydrogen. Carbon dioxide ( $\text{CO}_2$ ) is at the highest oxidation level because it has the maximum number of bonds to an electronegative atom.



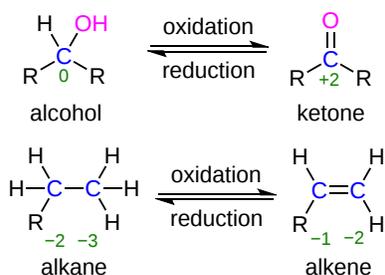
This pattern holds true for the relevant functional groups on organic molecules with two or more carbon atoms:



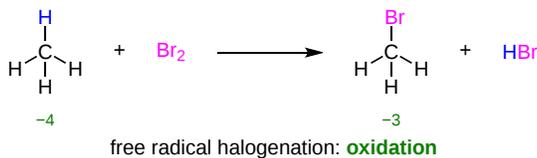
## ORGANIC REDOX REACTIONS

It is important to be able to recognize when an organic molecule is being oxidized or reduced, because this information tells you to look for the participation of a corresponding redox agent that is being reduced or oxidized. If a reaction converts a compound to a higher oxidation level that is an oxidation. If it converts a compound to a lower oxidation level it is a reduction. If the oxidation level of the reactant does not change it is not a redox reaction.

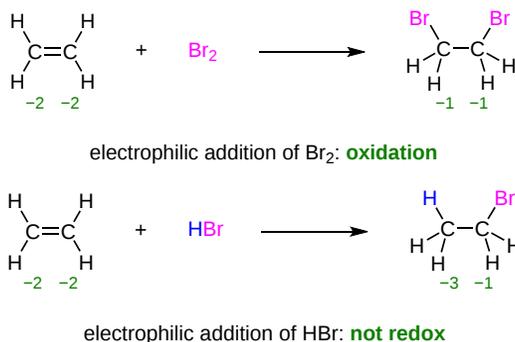
Two examples of organic redox reactions are shown below. The conversion of an alcohol to a ketone is considered an oxidation because the oxidation level of the carbon increases from 0 to +2. This implies that the reaction would require an oxidizing agent. Likewise, the conversion of a ketone to an alcohol is a reduction and would require a reducing agent. The conversion of an alkane to alkene is an oxidation because the oxidation state on both carbons is increasing while the reverse reaction would be a reduction.



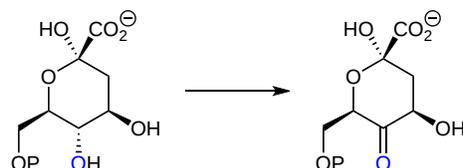
Now reaction previously discussed in this textbook can be considered to determine if they are in fact redox reaction. The free radical bromination of methane to bromomethane would be an oxidation because the oxidation level of carbon is raised from -4 to -3.



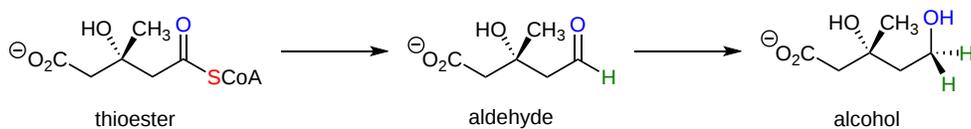
The electrophilic addition of  $\text{Br}_2$  to an alkene to provide a 1,2-dibromide is an oxidation because both carbons increase their oxidation level from -2 to -1. However, the electrophilic addition of  $\text{HBr}$  to an alkene to provide an alkyl halide is not a redox reaction because the overall oxidation state of carbons involved are not changed. One carbon has its oxidation level decreased from -2 to -3 while the other carbon's oxidation level is increased from -2 to -1. Overall, the change in oxidation level cancels out to leave an overall change of oxidation level in the compound of 0.



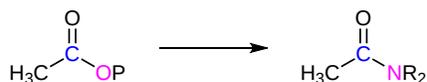
You should learn to recognize when a reaction involves a change in oxidation state in an organic reactant. Looking at the following transformation, for example, you should be able to quickly recognize that it is an oxidation: an alcohol functional group is converted to a ketone, which is one step up on the oxidation ladder.



Likewise, this next reaction involves the transformation of a carboxylic acid derivative (a thioester) first to an aldehyde, then to an alcohol: this is a *double reduction*, as the substrate loses two bonds to heteroatoms and gains two bonds to hydrogens.



An acyl transfer reaction (for example the conversion of an acyl phosphate to an amide) is *not* considered to be a redox reaction - the oxidation state of the organic molecule does not change as substrate is converted to product, because a bond to one heteroatom (oxygen) has simply been traded for a bond to another heteroatom (nitrogen).



carbon is in same oxidation state: **not redox**

It is important to be able to recognize when an organic molecule is being oxidized or reduced, because this information tells you to look for the participation of a corresponding redox agent that is being reduced or oxidized- remember, oxidation and reduction always occur in tandem! We will soon learn in detail about the most important biochemical and laboratory redox agents.

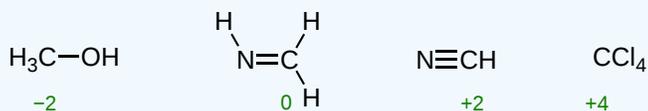
### Worked Example 10.8.1

Rank the following compounds in order of increasing oxidation level:



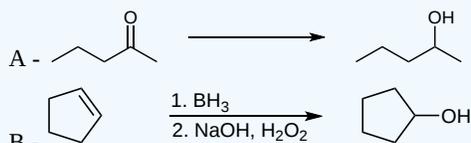
#### Answer

The easiest way to solve this problem is to calculate the oxidation level of the carbon in each compound. Remembering that hydrogens decrease the oxidation level by one, electronegative elements increase the oxidation level by one, and carbons do not change the oxidation level, the oxidation level of each carbon can be calculated. The carbon in  $\text{CH}_3\text{OH}$  has three bonds to hydrogens and one bond to oxygen so its oxidation level is  $3(-1) + 1(+1) = -2$ . The carbon in  $\text{HCN}$  has one bond to hydrogens and three bonds to nitrogen so its oxidation level is  $1(-1) + 3(+1) = +2$ . The carbon in  $\text{CH}_2\text{NH}$  has two bonds to hydrogens and two bonds to nitrogen so its oxidation level is  $2(-1) + 2(+1) = 0$ . The carbon in  $\text{CCl}_4$  has zero bonds to hydrogens and four bonds to chlorine so its oxidation level is  $0(-1) + 4(+1) = +4$ . The compounds now can be listed in the following order of increasing oxidation level.



### ? EXERCISE 10.8.2

In each case state whether the reaction is an oxidation or reduction of the organic compound.



#### Answer

A - Reduction

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## 10.S: ORGANOHALIDES (SUMMARY)

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### CONCEPTS & VOCABULARY

#### 10.1 Introduction to Organohalides

- Alkyl halides (and allyl and benzyl halides) are more reactive than vinyl and aryl halides.

#### 10.2 Names and Properties of Alkyl Halides

- Reactivity of alkyl halides is often related to the substitution of the carbon atom the halogen is attached to.
- Alkyl halides are categorized by the number of bonds to other alkyl groups (**primary**, **secondary**, and **tertiary**).
- Carbon-halogen bonds are polarized with partial positive charges on carbon and partial negative charges on the halogen.
- Fluorine is the most electronegative of the halogens while iodine is the least electronegative.
- Iodine is the largest of the halogens yielding the longest/weakest bonds to carbon of the halogens.
- Since haloalkanes have dipole-dipole interactions, they have greater intermolecular forces than similar sized alkanes and therefore higher boiling points.
- Alkyl halides are either slightly soluble or insoluble in water, but are soluble in organic solvents.

#### 10.3 Preparing Alkyl Halides from Alkanes - Radical Halogenation

- Halogenation of alkanes is exothermic, so it is energetically favorable.
- Radical chain mechanisms consist of three steps: **initiation**, **propagation** and **termination**.
- Hydrogens on more substituted carbon atoms are more reactive to radical halogenation.

#### 10.4 Preparing Alkyl Halides from Alkenes - Allylic Bromination

- More substituted radicals and radicals with resonance structures are more stable than other radicals.
- Radical substitution can be carried out at the allylic or benzylic carbon by reacting with NBS.

#### 10.5 Stability of the Allyl Radical - Resonance Revisited

- Allyl cations, anions and radicals have resonance structures. To draw these resonance structures non-bonded and pi-bond electrons can be moved.
- Resonance hybrids are used to show the combination of all resonance structures for a molecule or ion.

#### 10.6 Preparing Alkyl Halides from Alcohols

- Alcohols can be reacted with hydrohalogen acids or a mixture of halogen salts and a stronger acid (to form hydrohalogen acids *in situ*).
- Alcohols will also react with thionyl chloride or with phosphorus halides to form haloalkanes.

#### 10.7 Reactions of Alkyl Halides - Grignard Reactions

- Organometallic reagents can be formed from alkyl halides and reactive metals (such as lithium and magnesium).
- Alkyl magnesium halide compounds are called Grignard reagents.
- Grignard reagents react as bases where the alkyl group gets protonated and the metal complexes to the conjugate base of the reacting acid.

#### 10.8 Organometallic Coupling Reactions

- Lithium dialkyl copper compounds are called Gilman reagents.
- Gilman reagents have different reactivity from the other organometallics (lithium and Grignard reagents).
- Organometallics can be reacted with alkyl halides to join to alkyl groups (coupling reactions).

#### 10.9 Oxidation and Reduction in Organic Chemistry

- Gaining bonds to hydrogen for organic molecules is reduction.
- Losing bonds to hydrogen for organic molecules is oxidation.

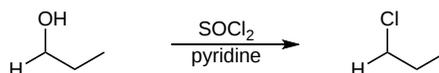
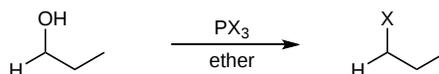
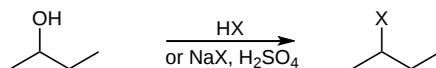
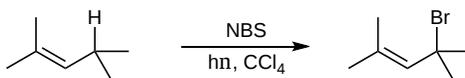
### SKILLS TO MASTER

- Skill 10.1 Differentiate between types of halides (alkyl, allyl, aryl, benzyl, and vinyl).
- Skill 10.2 Differentiate between substitution of alkyl halides (primary, secondary, and tertiary).
- Skill 10.3 Identify relative reactivity of carbon-hydrogen bonds to radical halogenation.
- Skill 10.4 Draw resonance structures for radical compounds.
- Skill 10.5 Draw mechanisms for radical halogenation of alkanes (initiation, propagation and termination).
- Skill 10.6 Calculate the enthalpy change of a reaction using bond dissociation energies of reactants and products.
- Skill 10.7 Determine products for allylic bromination reactions.

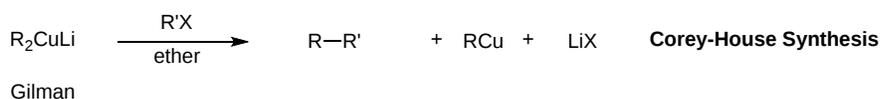
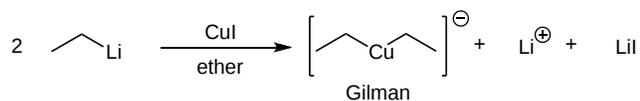
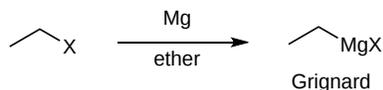
- Skill 10.8 Draw resonance structures for allylic and other similar compounds and ions.
- Skill 10.9 Draw products of reactions of alcohols to form alkyl halides.
- Skill 10.10 Write equations to form Grignard reagents from alkyl halides.
- Skill 10.11 Draw reaction products for Grignard reagents acting as bases.
- Skill 10.12 Write equations for the formation of Gilman reagents.
- Skill 10.13 Draw reaction products of organometallic coupling reactions.
- Skill 10.14 Explain oxidation and reduction in organic molecules.

## SUMMARY OF REACTIONS

### Preparation of Alkyl Halides



### Reactions Alkyl Halides



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## CHAPTER OVERVIEW

### 11: REACTIONS OF ALKYL HALIDES- NUCLEOPHILIC SUBSTITUTIONS AND ELIMINATIONS

#### LEARNING OBJECTIVES

After you have completed Chapter 11, you should be able to

- fulfill all of the detailed objectives listed under each individual section.
- use the reactions studied in this chapter with those from earlier ones when designing multistep syntheses.
- use the reactions and concepts discussed in this chapter to solve road map problems.
- define, and use in context, the key terms introduced.

In this course, you have already seen several examples of nucleophilic substitution reactions; now you will see that these reactions can occur by two different mechanisms. You will study the factors that determine which mechanism will be in operation in a given situation, and examine possible ways for increasing or decreasing the rates at which such reactions occur. The stereochemical consequences of both mechanisms will also be discussed. Elimination reactions often accompany nucleophilic substitution; so these reactions are also examined in this chapter. Again you will see that two different mechanisms are possible, and, as in the case of nucleophilic substitution reactions, chemists have learned a great deal about the factors that determine which mechanism will be observed when a given alkyl halide undergoes such a reaction.

[11.0: Introduction](#)

[11.1: The Discovery of Nucleophilic Substitution Reactions](#)

[11.2: The SN2 Reaction](#)

[11.3: Characteristics of the SN2 Reaction](#)

[11.4: The SN1 Reaction](#)

[11.5: Characteristics of the SN1 Reaction](#)

[11.6: Biological Substitution Reactions](#)

[11.7: Elimination Reactions- Zaitsev's Rule](#)

[11.8: The E2 Reaction and the Deuterium Isotope Effect](#)

[11.9: The E2 Reaction and Cyclohexane Conformation](#)

[11.10: The E1 and E1cB Reactions](#)

[11.11: Biological Elimination Reactions](#)

[11.12: A Summary of Reactivity - SN1, SN2, E1, E1cB, and E2](#)

[11.S: Reactions of Alkyl Halides - Nucleophilic Substitutions and Eliminations \(Summary\)](#)

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## 11.0: INTRODUCTION

### OBJECTIVE

After completing this section, you should be able to identify substitution and elimination as being the two most important reactions of alkyl halides.

### STUDY NOTES

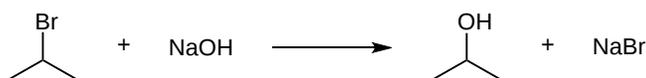
Alkyl halides are electrophiles, which means they can undergo nucleophilic substitution and base-induced elimination reactions. These reaction types offer a large and useful range of reactions for organic synthesis in the laboratory.

## THE REACTIONS

Two reactions are shown here with both involving heating a halogenoalkane under reflux with sodium or potassium hydroxide solution. Two different reactions can occur.

### NUCLEOPHILIC SUBSTITUTION

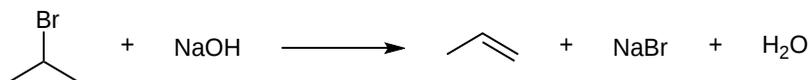
The hydroxide ions present are good nucleophiles, and one possibility is a replacement of the halogen atom by an -OH group to give an alcohol via a nucleophilic substitution reaction.



In the example, 2-bromopropane is converted into propan-2-ol.

### ELIMINATION

Hydroxide ions are also strong bases, therefore halogenoalkanes also undergo elimination reactions in the presence of sodium or potassium hydroxide.



In this reaction, the 2-bromopropane has reacted to form an alkene - propene.

Notice that a hydrogen atom has been removed from one of the end carbon atoms together with the bromine from the centre one. In all simple elimination reactions the things being removed are on adjacent carbon atoms, and a double bond is set up between those carbons.

### WHAT DECIDES WHETHER YOU GET SUBSTITUTION OR ELIMINATION?

The reagents you are using are the same for both substitution or elimination - the halogenoalkane and either sodium or potassium hydroxide solution. In all cases, you will get a mixture of both reactions happening - some substitution and some elimination. What you get most of depends on a number of factors.

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## 11.1: THE DISCOVERY OF NUCLEOPHILIC SUBSTITUTION REACTIONS

### OBJECTIVES

After completing this section, you should be able to

1. write an equation to represent the Walden inversion.
2. write a short paragraph describing the Walden inversion.
3. describe, using equations, a series of reactions interconverting two enantiomers of 1-phenyl-2-propanol which led to the conclusion that nucleophilic substitution of primary and secondary alkyl halides proceeds with inversion of configuration.

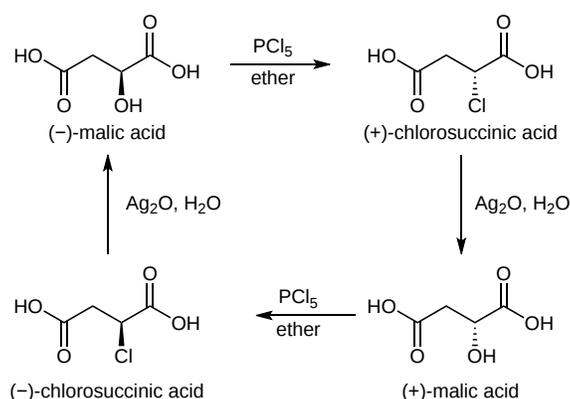
### STUDY NOTES

The IUPAC name for malic acid is 2-hydroxybutanedioic acid. This acid is produced by apples, a fact which seems to have been appreciated by the British novelist Thomas Hardy in *The Woodlanders*:

Up, upward they crept, a stray beam of the sun alighting every now and then like a star on the blades of the pomace-shovels, which had been converted to steel mirrors by the action of the malic acid.

In 1896, the German chemist Paul Walden discovered that he could interconvert pure enantiomeric (+) and (-) malic acids through a series of reactions. This conversion meant that there was some kind of change in the stereochemistry made during the series of reactions. First, (-)-malic acid was reacted with **phosphorus pentachloride (PCl<sub>5</sub>)** to provide (+)-chlorosuccinic acid.

This was reacted with **silver(I)oxide (Ag<sub>2</sub>O)** to provide (+)-malic acid. These two combined steps caused an inversion of stereochemistry of (-)-malic acid to (+)-malic acid. The reaction series was then continued to convert (+)-malic acid back into (-)-malic acid by further reaction with PCl<sub>5</sub> and Ag<sub>2</sub>O.

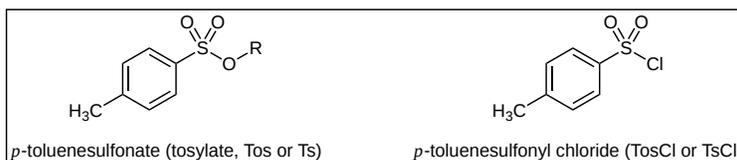


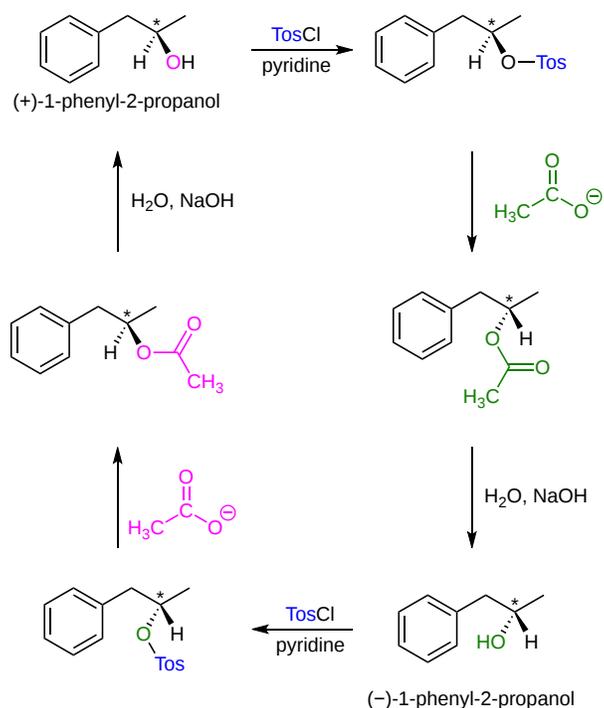
These results were considered astonishing. The fact that (-)-malic acid was converted into (+)-malic acid meant that the configuration of the chiral center has somehow been changed during the reaction series.

These reactions are currently referred to as nucleophilic substitution reactions because each step involves the substitution of one nucleophile by another. These are among the most common and versatile reaction types in organic chemistry.

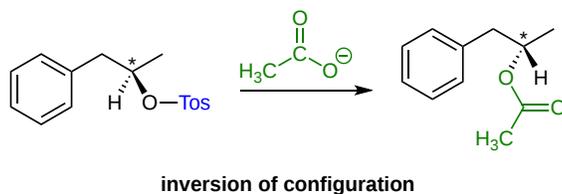


Further investigations into these reaction were undertaken during the 1920's and 1930's to clarify the mechanism and clarify how the inversion of configurations occur. These reactions involved nucleophilic substitution of an alkyl p-toluenesulfonate (called a tosylate group). For this purpose the tosylate groups act similarly to a halogen substituent. In the series of reactions (+)-1-phenyl-2-propanol is interconverted with (-)-1-phenyl-2-propanol.





Somewhere in this three-step series of reactions the configuration at a chiral center is being inverted. In the first step the tosylate is formed without breaking the C-O bond of the chiral center, which means the configuration is unchanged. Similarly, the cleavage of the ester in step three occurs without breaking the C-O bond of the chiral center, which also means the configuration of the chiral carbon is unaffected. It was determined that the second step where acetate nucleophile undergoes a substitution with tosylate was causing the stereochemical configuration to be inverted.

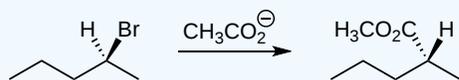


### ? EXERCISE 11.1.1

1) Predict the product of a nucleophilic substitution of (S)-2-bromopentane reacting with  $\text{CH}_3\text{CO}_2^-$ . Show stereochemistry.

Answer

1)



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## 11.2: THE S<sub>N</sub>2 REACTION

### OBJECTIVES

After completing this section, you should be able to

1. write an expression relating reaction rate to the concentration of reagents for a second-order reaction.
2. determine the order of a chemical reaction from experimentally obtained rate data.
3. describe the essential features of the S<sub>N</sub>2 mechanism, and draw a generalized **transition state** for such a reaction.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- bimolecular
- kinetics
- rate coefficient
- rate equation
- reaction rate
- second-order reaction
- S<sub>N</sub>2

### STUDY NOTES

Most of the key terms introduced in this section should already be familiar to you from your previous general chemistry course.

Reaction rate refers to the change in concentration of a reactant or product per unit of time. Using strict SI units, reaction rates are expressed in mol · L<sup>-1</sup> · s<sup>-1</sup>, but in some textbooks you will find this value written as M/s. In general, the reaction rate of a given reaction changes with time, as it is dependent on the concentration of one or more of the reactants.

An equation which shows the relationship between the reaction rate and the concentrations of the reactants is known as the rate equation. All rate equations contain a proportionality constant, usually given the symbol *k*, which is known as the rate coefficient. Some textbooks refer to this value as the “rate constant,” but this name is a little misleading as it is not a true constant. The rate coefficient of a given reaction depends on such factors as temperature and the nature of the solvent.

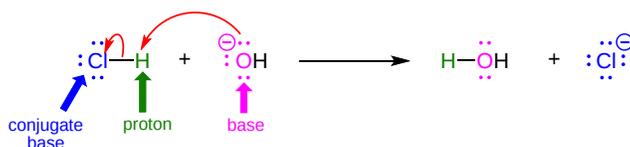
S<sub>N</sub>2 is short for “bimolecular nucleophilic substitution.” You will encounter abbreviations for other types of reactions later in this chapter.

If you are unclear on the point about the inversion of configuration during an S<sub>N</sub>2 reaction, construct a molecular model of a chiral alkyl halide, the **transition state** formed when this substance reacts with a nucleophile in an S<sub>N</sub>2 process, and the product obtained from this reaction.

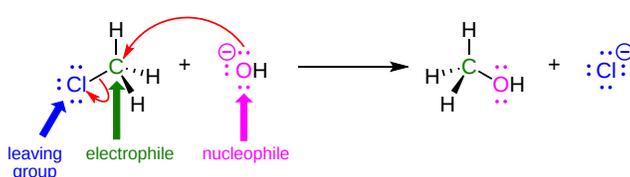
### BRØNSTED-LOWRY ACID-BASE REACTIONS

In many ways, the proton transfer process in a Brønsted-Lowry acid-base reaction can be thought of as simply a special kind of nucleophilic substitution reaction, one in which the electrophile is a hydrogen rather than a carbon.

#### Acid-base reaction:

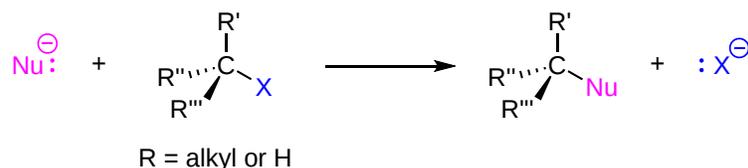


#### Nucleophilic substitution:

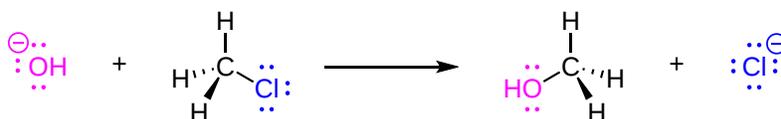


In both reaction types, we are looking at very similar players: an electron-rich species (the nucleophile/base) attacks an electron-poor species (the electrophile/proton), driving off the leaving group/conjugate base. Instead of showing a specific nucleophile like hydroxide, we will simply refer to the nucleophilic reactant as 'Nu'. In a similar fashion, we will call the leaving group 'X'. We will see as we study actual reactions that leaving groups are sometimes negatively charged, sometimes neutral, and sometimes positively charged. We will also see some examples of nucleophiles that are negatively charged and some that are neutral. Therefore, in this general picture we will not include a charge designation on the 'X' or 'Nu' species. We will generalize the three other groups bonded on the electrophilic central carbon as R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub>; these symbols could represent hydrogens as well as alkyl groups. Here, then, is the generalized picture of a concerted (single-step) nucleophilic substitution reaction.

## GENERAL S<sub>N</sub>2 REACTION

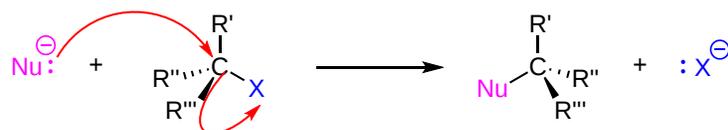


## EXAMPLE

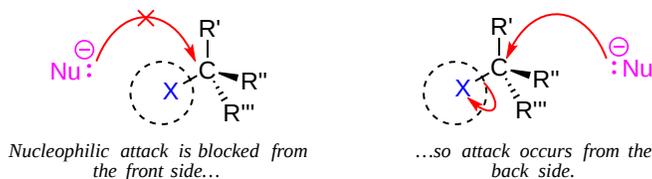


## THE S<sub>N</sub>2 MECHANISM

Bimolecular nucleophilic substitution (S<sub>N</sub>2) reactions are **concerted**, meaning they are a **one step process**. This means that the process whereby the nucleophile attacks and the leaving group leaves is simultaneous. Hence, the bond-making between the nucleophile and the electrophilic carbon occurs at the same time as the bond-breaking between the electrophilic carbon and the halogen. This is called an 'S<sub>N</sub>2' mechanism. In the term S<sub>N</sub>2, S stands for 'substitution', the subscript N stands for 'nucleophilic', and the number 2 refers to the fact that this is a **bimolecular reaction**: the overall rate depends on a step in which two separate molecules (the nucleophile and the electrophile) collide. The mechanism starts when lone pair electrons from the nucleophile attacks the electrophilic carbon of the alkyl halide to form a C-Nu sigma bond. Simultaneously, X-C bond is broken when the electrons are pushed onto the leaving group. Overall during this mechanism, a set of lone pair electrons are transferred from the nucleophile to the leaving groups.

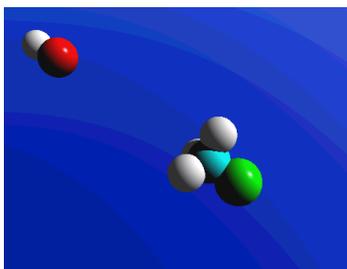


If you look carefully at the progress of the S<sub>N</sub>2 reaction, you will realize something very important about the outcome. The nucleophile, being an electron-rich species, must attack the electrophilic carbon from the *back side* relative to the location of the leaving group. Approach from the front side simply doesn't work: the leaving group - which is also an electron-rich group - blocks the way.

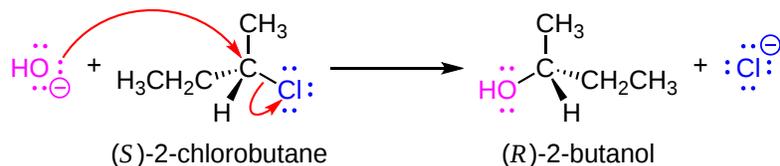


The result of this backside attack is that the stereochemical configuration at the central carbon *inverts* as the reaction proceeds. In a sense, the molecule is turned inside out. S<sub>N</sub>2 reactions that begin with the R enantiomer as the substrate will form the S enantiomer as the product. Those that begin with the S enantiomer as the substrate will form the R enantiomer as the product. This concept also applies to substrates that are *cis* and substrates that are *trans*. If the *cis* configuration is the substrate, the resulting product will be *trans*. Conversely, if the *trans* configuration is the substrate, the resulting product will be *cis*.

What this means is that S<sub>N</sub>2 reactions whether enzyme catalyzed or not, are inherently stereoselective: when the substitution takes place at a stereocenter, we can confidently predict the stereochemical configuration of the product. Below is an animation illustrating the principles we have just learned, showing the S<sub>N</sub>2 reaction between hydroxide ion and methyl iodide. Notice how backside attack by the hydroxide nucleophile results in inversion at the tetrahedral carbon electrophile.

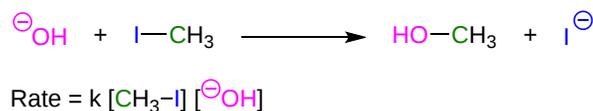


### EXAMPLE



### BIMOLECULAR NUCLEOPHILIC SUBSTITUTION REACTIONS AND KINETICS

In the term  $S_N2$ , (as previously stated) the number two stands for bimolecular, meaning there are two molecules involved in the rate determining step. The rate of bimolecular nucleophilic substitution reactions depends on the concentration of both the haloalkane and the nucleophile. To understand how the rate depends on the concentrations of both the haloalkane and the nucleophile, let us look at the following example. The hydroxide ion is the nucleophile and methyl iodide is the haloalkane.



If we were to double the concentration of either the haloalkane or the nucleophile, we can see that the rate of the reaction would proceed twice as fast as the initial rate.

$$\begin{aligned}
 \text{Rate}_1 &= k [\text{CH}_3\text{-I}] [\text{OH}^-] \\
 \text{Rate}_2 &= 2k [\text{CH}_3\text{-I}] [\text{OH}^-] \\
 \text{Rate}_2 &= 2\text{Rate}_1
 \end{aligned}$$

If we were to double the concentration of both the haloalkane and the nucleophile, we can see that the rate of the reaction would proceed four times as fast as the initial rate.

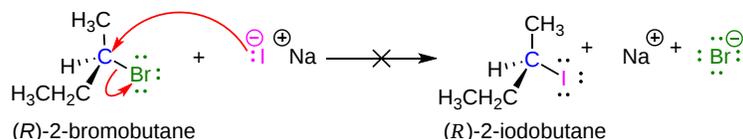
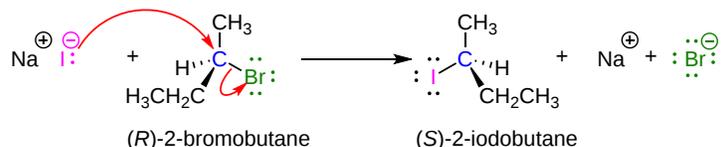
$$\begin{aligned}
 \text{Rate}_1 &= k [\text{CH}_3\text{-I}] [\text{OH}^-] \\
 \text{Rate}_2 &= 4k [\text{CH}_3\text{-I}] [\text{OH}^-] \\
 \text{Rate}_2 &= 4\text{Rate}_1
 \end{aligned}$$

The bimolecular nucleophilic substitution reaction follows second-order kinetics; that is, the rate of the reaction depends on the concentration of two first-order reactants. In the case of bimolecular nucleophilic substitution, these two reactants are the haloalkane and the nucleophile. For further clarification on reaction kinetics, the following links may facilitate your understanding of rate laws, rate constants, and second-order kinetics:

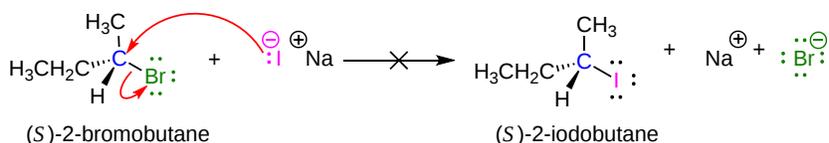
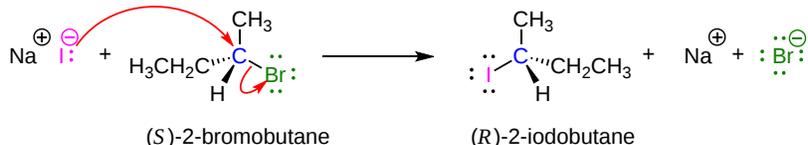
- [Definition of a Reaction Rate](#)
- [Rate Laws and Rate Constants](#)
- [The Determination of the Rate Law](#)
- [Second-Order Reactions](#)

### $S_N2$ REACTIONS ARE STEREOSPECIFIC

The  $S_N2$  reaction is stereospecific. A stereospecific reaction is one in which different stereoisomers react to give different stereoisomers of the product. For example, if the substrate is an (*R*) enantiomer, a frontside nucleophilic attack results in retention of configuration, and the formation of the (*R*) enantiomer. A backside nucleophilic attack results in inversion of configuration, and the formation of the (*S*) enantiomer.

**Frontside attack with retention of configuration:**

**Backside attack with inversion of configuration:**


Conversely, if the substrate is an (S) enantiomer, a frontside nucleophilic attack results in retention of configuration, and the formation of the (S) enantiomer. A backside nucleophilic attack results in inversion of configuration, and the formation of the (R) enantiomer.

**Frontside attack with retention of configuration:**

**Backside attack with inversion of configuration:**


In conclusion,  $\text{S}_{\text{N}}2$  reactions that begin with the (R) enantiomer as the substrate will form the (S) enantiomer as the product. Those that begin with the (S) enantiomer as the substrate will form the (R) enantiomer as the product. This concept also applies to substrates that are *cis* and substrates that are *trans*. If the *cis* configuration is the substrate, the resulting product will be *trans*. Conversely, if the *trans* configuration is the substrate, the resulting product will be *cis*.

**? EXERCISE 11.2.1**

1) The reaction below follows the  $\text{S}_{\text{N}}2$  mechanism.



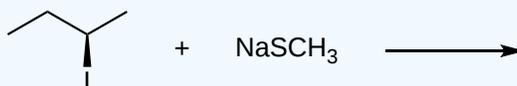
a) Write the rate law for this reaction.

b) Determine the value of the rate coefficient,  $k$ , if the initial concentrations are 0.01 M  $\text{CH}_3\text{Cl}$ , 0.01 M  $\text{NaOH}$ , and the initial reaction rate is  $6 \times 10^{-10}$  M/s.

c) Calculate the initial reaction rate if the initial reactant concentrations are changed to 0.02 M  $\text{CH}_3\text{Cl}$  and 0.0005 M  $\text{NaOH}$ .

2) Predict the product of a nucleophilic substitution of (S)-2-bromopentane reacting with  $\text{CH}_3\text{CO}_2^-$ . Show stereochemistry.

3) Predict the structure of the product in this  $\text{S}_{\text{N}}2$  reaction. Be sure to specify stereochemistry.



4) Since everything is relative in chemistry, one reaction's nucleophile can be another reaction's leaving group. Some functional groups can only react as a nucleophile or electrophile, while other functional groups can react as either a nucleophile or electrophile depending on the reaction conditions. Classify the following compounds as nucleophile, electrophile, or leaving groups. More than one answer may be possible.

a) bromoethane

- b) hydroxide
- c) water
- d) chlorocyclohexane
- e) ethanol
- f) bromide

**Answer**

1) a)  $\text{rate} = k [\text{CH}_3\text{Cl}] [\text{OH}^-]$

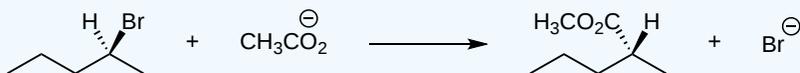
b) substitute the data into the rate expression above and apply algebra to solve for k

$k = 6 \times 10^{-6} \text{ Lmol}^{-1}\text{s}^{-1}$

c) Using the rate law above, substitute the value for k from the previous question along with the new concentrations to determine the new initial rate.

$\text{rate} = 6 \times 10^{-10} \text{ M/s}$

2)



3)



4)

- a) electrophile (Alkyl halides are always electrophiles - one reason they are an o-chem student's best friend.)
- b) strong nucleophile
- c) weak nucleophile and good leaving group
- d) electrophile (Alkyl halides are always electrophiles - one reason they are an o-chem student's best friend.)
- e) weak nucleophile, a poor electrophile without clever chemistry (stay tuned for future chapters), good leaving group
- f) good nucleophile and a good leaving group

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## 11.3: CHARACTERISTICS OF THE S<sub>N</sub>2 REACTION

### OBJECTIVES

After completing this section, you should be able to

1. discuss the role of steric effects in S<sub>N</sub>2 reactions.
2. arrange a given series of alkyl halides in order of increasing or decreasing reactivity towards nucleophilic substitution through the S<sub>N</sub>2 mechanism.
3. suggest a reason why vinyl halides and aryl halides do not undergo S<sub>N</sub>2 reactions.
4. discuss how the nature of the nucleophile affects the rate of an S<sub>N</sub>2 reaction.
5. arrange a given series of common nucleophiles (e.g., CN<sup>-</sup>, I<sup>-</sup>, Br<sup>-</sup>, Cl<sup>-</sup>, H<sub>2</sub>O) in order of increasing or decreasing nucleophilicity.
6. discuss how the nature of the leaving group affects the rate of an S<sub>N</sub>2 reaction.
7. arrange a given series of leaving groups in order of increasing or decreasing ability to leave during an S<sub>N</sub>2 reaction.
8. discuss the role played by the solvent in an S<sub>N</sub>2 reaction.
9. give examples of the solvents which are commonly used for S<sub>N</sub>2 reactions, and identify those that promote a high reaction rate.
10. predict which of two given S<sub>N</sub>2 reactions will proceed faster, by taking into account the structure of the substrates, the nucleophiles involved, leaving-group ability, solvent effects, or any combination of these factors.

### KEY TERMS

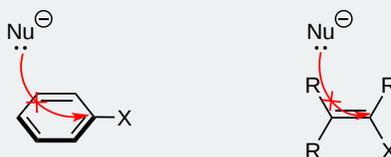
Make certain that you can define, and use in context, the key terms below.

- leaving group
- polar aprotic solvent
- solvation

### STUDY NOTES

You may wish to review the discussion of acid-base theory given in Sections 2.7-2.11.

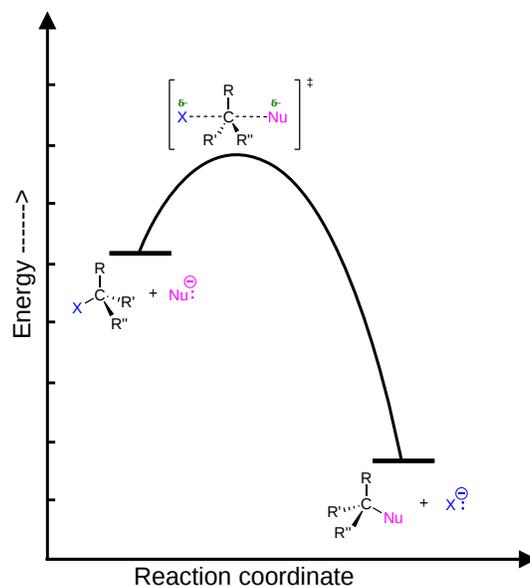
Both aryl and vinylic halides are relatively unreactive in S<sub>N</sub>2 displacement mechanisms, mostly because during the backside attack of the molecule the incoming nucleophile is sterically hindered by both substituents and electron density from any double bonds present. Also, leaving groups on sp<sup>2</sup>-hybridized carbons tend to be held tighter than sp<sup>3</sup>-hybridized carbons.



*Solvation* may be defined as the interaction between molecules of solvent and particles of solute. The result of solvation is to stabilize (i.e., lower the energy of) the solute particles. Solvents with lone pairs of electrons are good at solvating cations. Protic (i.e., hydroxylic) solvents are able to solvate anions through hydrogen bonding. As water has two lone pairs of electrons and is also protic, it is good at solvating both anions and cations.

### POTENTIAL ENERGY DIAGRAM FOR AN S<sub>N</sub>2 REACTION

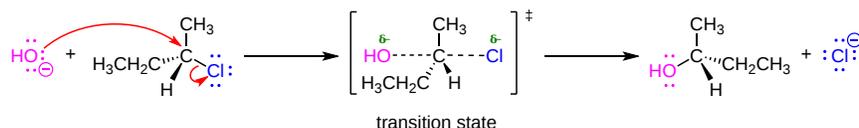
The potential energy diagram for an S<sub>N</sub>2 reaction is shown below. This is a single-step, concerted process so a single transition state is formed. A transition state, unlike a reaction intermediate, is a very short-lived species that cannot be isolated or directly observed. For an S<sub>N</sub>2 reaction the transition state directly determines the energy of activation which must be overcome for the reaction to occur. Factors which affect the stability of the transition state affect the rate of the S<sub>N</sub>2 reaction.



For an  $S_N2$  reaction, the transition state represents the half way point of the reaction. The C-Nu bond is in the process of forming and is represented by a dashed bond. The X-C bond is in the process of breaking and is also represented by a dash bond. The negative charge of the nucleophile is in the process of being transferred to the leaving groups. This is represented by both the nucleophile and the leaving groups having a partial negative charge. The electrophilic carbon and the three 'R' substituents all lie on the same plane. Brackets and a double-dagger are placed around the structure to represent that it is a transition state.



### EXAMPLE

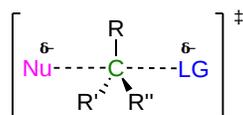


### STERICALLY HINDERED SUBSTRATES WILL REDUCE THE $S_N2$ REACTION RATE

Steric hindrance about the electrophilic carbon, is one of the most important factors determining the rate of  $S_N2$  reactions. Although the substrate, in the case of nucleophilic substitution of haloalkanes, is considered to be the entire molecule circled below we are most interested in the electrophilic carbon that bears the leaving group.



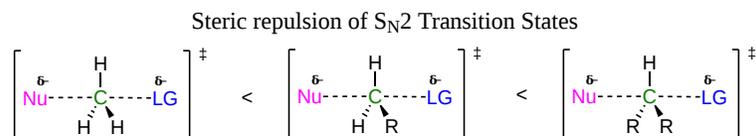
The  $S_N2$  **transition state** is very crowded. Recall that there are a total of five groups around the electrophilic center, the nucleophile, the leaving group, and three substituents.



$S_N2$  Transition State

If each of the three substituents were hydrogen atoms, as illustrated in the first example below, there would be little steric repulsion created in the planar portion of the transition state thereby increasing the ease at which the nucleophilic substitution reaction occurs. If one of the hydrogens, however, were replaced with an R group, such as a methyl or ethyl group, there would be an increase in steric repulsion created

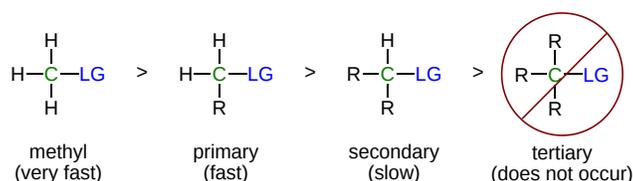
in the planar portion of the transition state. If two of the hydrogens were replaced by R groups, there would be an even greater increase in steric repulsion in the transition state.



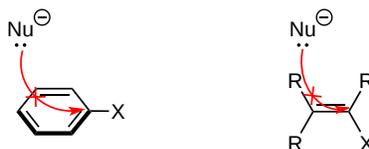
How does steric hindrance affect the rate at which an S<sub>N</sub>2 reaction will occur? As each hydrogen is replaced by an R group, the rate of reaction is significantly diminished. The increases steric hindrance destabilizes the transition state causing it to become higher in energy. This in turn increases the energy of activation and decreases the reaction rate.

The diagram below illustrates this concept, showing that electrophilic carbons attached to three hydrogen atoms results in faster nucleophilic substitution reactions, in comparison to primary and secondary haloalkanes, which result in nucleophilic substitution reactions that occur at slower or much slower rates, respectively. Notice that a tertiary haloalkane, that which has three R groups attached, does not undergo nucleophilic substitution reactions at all. The addition of a third R group to this molecule creates a carbon that is entirely blocked.

### S<sub>N</sub>2 Displacement Reactivity of Haloalkanes



Vinyl and aryl halides are unreactive toward S<sub>N</sub>2 displacement due to extreme steric factors. To perform an S<sub>N</sub>2 reaction, the incoming nucleophile would have to enter the plane of the C-C double bond and move through the molecule to achieve the backside displacement required.



In addition to alkyl groups being added to the electrophilic carbon, it turns out that the addition of substitutes on neighboring carbons will slow nucleophilic substitution reactions as well.

In the example below, 1-bromo-2-methylpropane differs from 1-bromopropane in that it has a methyl group attached to the carbon that neighbors the electrophilic carbon. The addition of this methyl group results in a significant decrease in the rate of a nucleophilic substitution reaction.



If R groups were added to carbons farther away from the electrophilic carbon, we would still see a decrease in the reaction rate. However, branching at carbons farther away from the electrophilic carbon would have a much smaller effect.

## THE NUCLEOPHILE

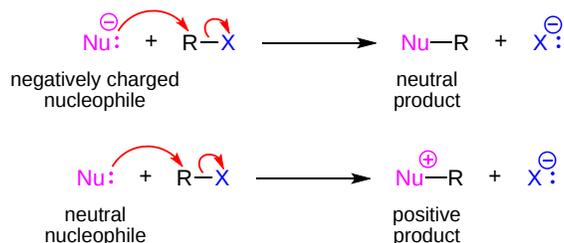
Nucleophilic functional groups are those which have electron-rich atoms able to donate a pair of electrons to form a new covalent bond. In both laboratory and biological organic chemistry, the most relevant nucleophilic atoms are oxygen, nitrogen, and sulfur, and the most common nucleophilic functional groups are water, alcohols, phenols, amines, thiols, and occasionally carboxylates.

When thinking about nucleophiles, the first thing to recognize is that, for the most part, the same quality of 'electron-richness' that makes a something nucleophilic also makes it basic: *nucleophiles can be bases, and bases can be nucleophiles*. It should not be surprising, then, that most of the trends in basicity that we have already discussed also apply to nucleophilicity.

Some confusion in distinguishing basicity (base strength) and nucleophilicity (nucleophile strength) is inevitable. Since basicity is a less troublesome concept; it is convenient to start with it. Basicity refers to the ability of a base to accept a proton. Basicity may be related to the pK<sub>a</sub> of the corresponding conjugate acid, as shown below. The strongest bases have the weakest conjugate acids and vice versa. The range of basicities included in the following table is remarkable, covering over fifty powers of ten! In general, as the pK<sub>a</sub> of the conjugate acid increases the base becomes a stronger nucleophile. This, however, is not always the case.

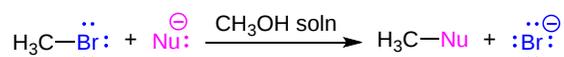
Base	I <sup>(-)</sup>	Cl <sup>(-)</sup>	H <sub>2</sub> O	CH <sub>3</sub> CO <sub>2</sub> <sup>(-)</sup>	RS <sup>(-)</sup>	CN <sup>(-)</sup>	RO <sup>(-)</sup>	NH <sub>2</sub> <sup>(-)</sup>	CH <sub>3</sub> <sup>(-)</sup>
Conj. Acid	HI	HCl	H <sub>3</sub> O <sup>(+)</sup>	CH <sub>3</sub> CO <sub>2</sub> H	RSH	HCN	ROH	NH <sub>3</sub>	CH <sub>4</sub>
pK <sub>a</sub>	-9	-7	0.0	4.8	8	9.1	16	33	48

**Nucleophilicity** is a more complex property. Any compound, neutral or charged, which has lone pair electrons can act a neucophile. After a S<sub>N</sub>2 reaction neutral nucleophiles make a positively charges products and negatively charged nucleophiles make neutral products. In general, negatively charges compound tend to make better nucleophilies.



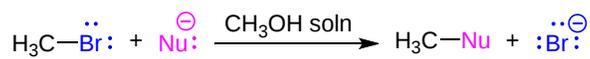
Specifically, nucleophilicity refers to the rate of substitution reactions at the halogen-bearing **carbon atom** of a reference alkyl halide, such as CH<sub>3</sub>-Br. The nucleophilicity of the Nu:<sup>(-)</sup> reactant in the following substitution reaction varies as shown in the chart below:

**Nucleophilicity** is a more complex property. It commonly refers to the rate of substitution reactions at the halogen-bearing **carbon atom** of a reference alkyl halide, such as CH<sub>3</sub>-Br. Thus the nucleophilicity of the Nu:<sup>(-)</sup> reactant in the following substitution reaction varies as shown in the chart below:



**NUCLEOPHILICITY:** H<sub>2</sub>O < CH<sub>3</sub>CO<sub>2</sub><sup>(-)</sup> < NH<sub>3</sub> < Cl<sup>(-)</sup> < Br<sup>(-)</sup> < HO<sup>(-)</sup> < CH<sub>3</sub>O<sup>(-)</sup> < I<sup>(-)</sup> < CN<sup>(-)</sup> < CH<sub>3</sub>S<sup>(-)</sup>

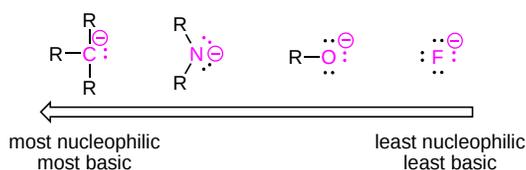
The versatility of the S<sub>N</sub>2 reaction is shown by the wide variety of functional groups which can be formed.



Nucleophile		Products		
Formula	Name	Formula	Name	Functional Group
CH <sub>3</sub> S <sup>(-)</sup>	Methanethiolate	CH <sub>3</sub> SCH <sub>3</sub>	Dimethylsulfide	Sulfide
CN <sup>(-)</sup>	Cyanide	CH <sub>3</sub> CN	Acetonitrile	Nitrile
I <sup>(-)</sup>	Iodide	CH <sub>3</sub> I	Iodomethane	Alkyl Halide
CH <sub>3</sub> O <sup>(-)</sup>	Methoxide	CH <sub>3</sub> OCH <sub>3</sub>	Diethylether	Ether
HO <sup>(-)</sup>	Hydroxide	CH <sub>3</sub> OH	Methanol	Alcohol
Br <sup>(-)</sup>	Bromide	CH <sub>3</sub> Br	Bromomethane	Alkyl Halide
Cl <sup>(-)</sup>	Chloride	CH <sub>3</sub> Cl	Chloromethane	Alkyl Halide
NH <sub>3</sub>	Ammonia	CH <sub>3</sub> NH <sub>3</sub> <sup>(+)</sup>	Methylammonium ion	Ammonium
CH <sub>3</sub> CO <sub>2</sub> <sup>(-)</sup>	Acetate	CH <sub>3</sub> O <sub>2</sub> CH <sub>3</sub>	Methyl acetate	Ester
H <sub>2</sub> O	Water	CH <sub>3</sub> OH <sub>2</sub> <sup>(+)</sup>	Methylhydronium ion	Protonated Alcohol

### PERIODIC TRENDS IN NUCLEOPHILICITY

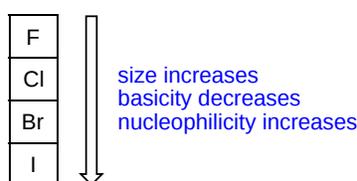
There are predictable periodic trends in nucleophilicity. Moving horizontally across the second row of the table, the trend in nucleophilicity parallels the trend in basicity:



The reasoning behind the horizontal nucleophilicity trend is the same as the reasoning behind the basicity trend: more electronegative elements hold their electrons more tightly, and are less able to donate them to form a new bond. This horizontal trend also tells us that amines are more nucleophilic than alcohols, although both groups commonly act as nucleophiles in both laboratory and biochemical reactions.

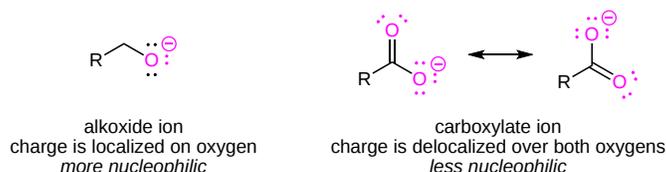
Recall that the basicity of atoms decreases as we move vertically down a column on the periodic table: thiolate ions are less basic than alkoxide ions, for example, and bromide ion is less basic than chloride ion, which in turn is less basic than fluoride ion. Recall also that this trend can be explained by considering the increasing size of the 'electron cloud' around the larger ions: the electron density inherent in the negative charge is spread around a larger area, which tends to increase stability (and thus reduce basicity).

**As Size Increases, Basicity Decreases:** In general, if we move from the top of the periodic table to the bottom of the periodic table as shown in the diagram below, the size of an atom will increase. As size increases, basicity will decrease, meaning a species will be less likely to act as a base; that is, the species will be less likely to share its electrons and act as a nucleophile.

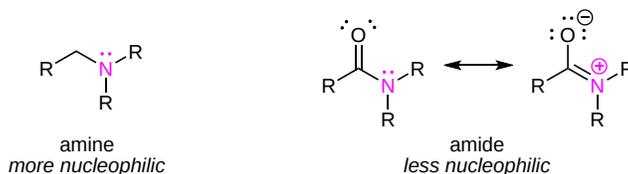


## RESONANCE EFFECTS ON NUCLEOPHILICITY

Resonance effects also come into play when comparing the inherent nucleophilicity of different molecules. The reasoning involved is the same as that which we used to understand resonance effects on basicity. If the electron lone pair on a heteroatom is delocalized by resonance, it is inherently less reactive - meaning less nucleophilic, and also less basic. An alkoxide ion, for example, is more nucleophilic and more basic than a carboxylate group, even though in both cases the nucleophilic atom is a negatively charged oxygen. In the alkoxide, the negative charge is localized on a single oxygen, while in the carboxylate the charge is delocalized over two oxygen atoms by resonance.



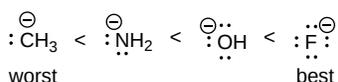
The nitrogen atom on an amide is less nucleophilic than the nitrogen of an amine, due to the resonance stabilization of the nitrogen lone pair provided by the amide carbonyl group.



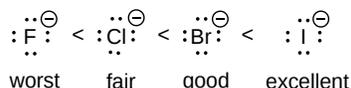
## THE LEAVING GROUP

**As Electronegativity Increases, The Ability of the Leaving Group to Leave Increases**

As mentioned previously, if we move from left to right on the periodic table, electronegativity increases. With an increase in electronegativity, basicity decreases, and the ability of the leaving group to leave increases. This is because an increase in electronegativity results in a species that wants to hold onto its electrons rather than donate them. The following diagram illustrates this concept, showing  $\text{CH}_3^-$  to be the worst leaving group and  $\text{F}^-$  to be the best leaving group. This particular example should only be used to facilitate your understanding of this concept. In real reaction mechanisms, these groups are not good leaving groups at all. For example, fluoride is such a poor leaving group that  $\text{S}_{\text{N}}2$  reactions of fluoroalkanes are rarely observed.

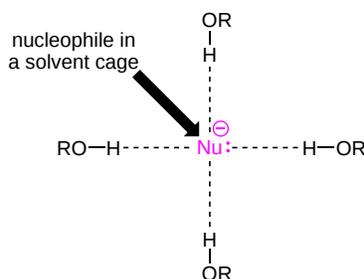


**As Size Increases, The Ability of the Leaving Group to Leave Increases:** Here we revisit the effect size has on basicity. If we move down the periodic table, size increases. With an increase in size, basicity decreases, and the ability of the leaving group to leave increases. The relationship among the following halogens, unlike the previous example, is true to what we will see in upcoming reaction mechanisms.



## INFLUENCE OF THE SOLVENT IN AN S<sub>N</sub>2 REACTION

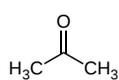
The rate of an S<sub>N</sub>2 reaction is significantly influenced by the solvent in which the reaction takes place. The use of **protic solvents** (those, such as water or alcohols, with hydrogen-bond donating capability) decreases the power of the nucleophile, because of strong hydrogen-bond interactions between solvent protons and the reactive lone pairs on the nucleophile. Protic solvent molecules form very strong ion-dipole interactions with the negatively-charged nucleophile, essentially creating a 'solvent cage' around the nucleophile. In order for the nucleophile to attack the electrophile, it must break free, at least in part, from its solvent cage. A less powerful nucleophile in turn means a slower S<sub>N</sub>2 reaction. S<sub>N</sub>2 reactions are faster in **polar, aprotic solvents**: those that lack hydrogen-bond donating capability.



Why not use a completely nonpolar solvent, such as hexane, for this reaction, so that the solvent cage is eliminated completely? The answer to this is simple - the nucleophile needs to be in solution in order to react at an appreciable rate with the electrophile, and a solvent such as hexane will not solvate an a charged (or highly polar) nucleophile at all. That is why chemists use polar aprotic solvents for nucleophilic substitution reactions in the laboratory: they are polar enough to solvate the nucleophile, but not so polar as to lock it away in an impenetrable solvent cage. In addition to acetone, three other commonly used polar aprotic solvents are acetonitrile, dimethylformamide (DMF), and dimethyl sulfoxide (DMSO).

Below are several polar aprotic solvents that are commonly used in the laboratory:

### Polar Aprotic Solvents



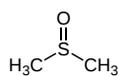
acetone



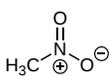
ethanenitrile  
(acetonitrile)



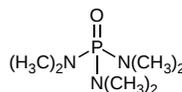
*N,N*-dimethylformamide  
(DMF)



dimethyl sulfoxide  
(DMSO)



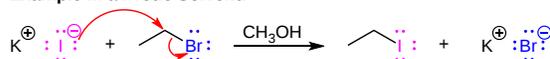
nitromethane



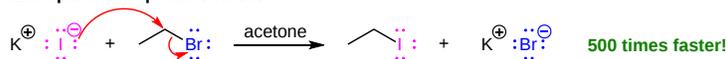
hexamethylphosphoric triamide  
(HMPA)

These aprotic solvents are polar but, because they do not form hydrogen bonds with the anionic nucleophile, there is a relatively weak interaction between the aprotic solvent and the nucleophile. By using an aprotic solvent we can raise the reactivity of the nucleophile. This can sometimes have dramatic effects on the rate at which a nucleophilic substitution reaction can occur. For example, if we consider the reaction between bromoethane and potassium iodide, the reaction occurs 500 times faster in acetone than in methanol.

Example in a Protic Solvent:



Example in an Aprotic Solvent:



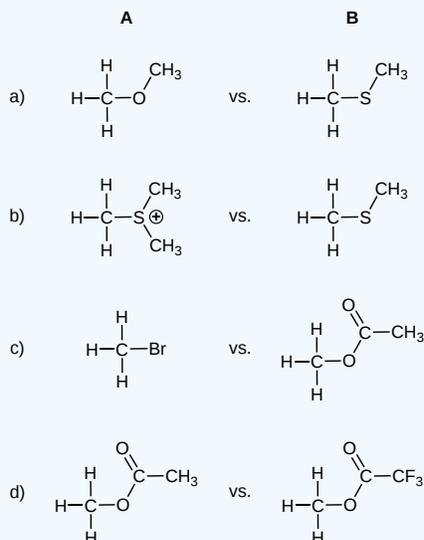
## SUMMARY OF FACTORS IN AFFECTING S<sub>N</sub>2 REACTIONS

There are four main factors which affect S<sub>N</sub>2 reaction:

- 1) The structure of the alkyl portion of the substrate: S<sub>N</sub>2 reactions are affected by steric hindrance around the electrophilic carbon. As steric hindrance increases the rate of S<sub>N</sub>2 reactions decrease. Methyl and primary substrates work well for S<sub>N</sub>2 reactions, secondary substrates react slowly, and tertiary substrates do not undergo S<sub>N</sub>2 reactions at all.
- 2) The reactivity of the nucleophile: The rate of S<sub>N</sub>2 reaction is increased when strong nucleophiles are used. Strong nucleophiles tend to be negatively charged and good bases. Also, being of an increases size tends to increase the nucleophilicity of an atom.
- 3) The nature of the leaving group: The rate of S<sub>N</sub>2 reaction is increased when leaving groups are used in the substrate. Good leaving groups tend to stabilize the electrons gained during an S<sub>N</sub>2 reaction. High electronegativity, resonance, and an increases size all can stabilize electrons.
- 4) The solvent: S<sub>N</sub>2 reaction are severely hindered when a polar protic solvent is used for the reaction. Instead, polar aprotic solvents tend to be used for S<sub>N</sub>2 reaction.

### 11.3.1 EXAMPLE

In each pair (A and B) below, which electrophile would be expected to react more rapidly in an S<sub>N</sub>2 reaction with the thiol group of cysteine as the common nucleophile?



Solution (8.13)

### ? EXERCISE 11.3.1

1) What product(s) do you expect from the reaction of 1-bromopentane with each of the following reagents in an S<sub>N</sub>2 reaction?

- KI
  - NaOH
  - CH<sub>3</sub>C≡C-Li
  - NH<sub>3</sub>
- 2)

Which in the following pairs is a better nucleophile?

- (CH<sub>3</sub>CH<sub>2</sub>)<sub>2</sub>N<sup>-</sup> or (CH<sub>3</sub>CH<sub>2</sub>)<sub>2</sub>NH

b)  $(\text{CH}_3\text{CH}_2)_3\text{N}$  or  $(\text{CH}_3\text{CH}_2)_3\text{B}$

c)  $\text{H}_2\text{O}$  or  $\text{H}_2\text{S}$

3) Order the following in increasing reactivity for an  $\text{S}_{\text{N}}2$  reaction.

$\text{CH}_3\text{CH}_2\text{Br}$   $\text{CH}_3\text{CH}_2\text{OTos}$   $(\text{CH}_3\text{CH}_2)_3\text{CCl}$   $(\text{CH}_3\text{CH}_2)_2\text{CHCl}$

4) Solvents benzene, ether, chloroform are non-polar and not strongly polar solvents. What effects do these solvents have on an  $\text{S}_{\text{N}}2$  reaction?

5) Predict the products of these nucleophilic substitution reactions, including stereochemistry when appropriate.

a)



b)



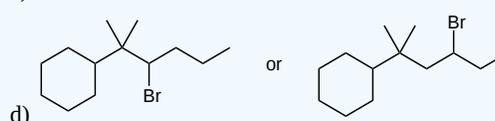
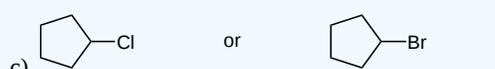
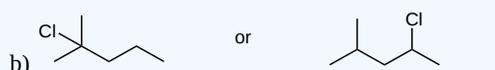
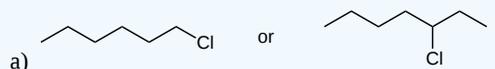
d)



d)

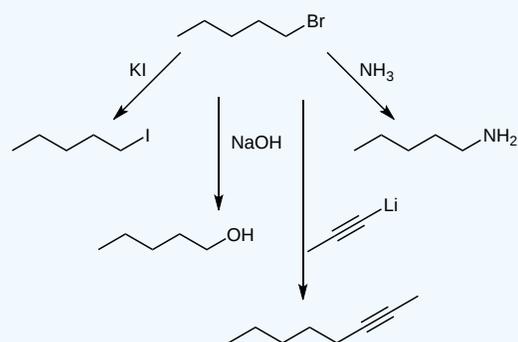


6) Predict which compound in each pair would undergo the  $\text{S}_{\text{N}}2$  reaction faster.



### Answer

1)



2)

a)  $(\text{CH}_3\text{CH}_2)_2\text{N}^-$  as there is a charge present on the nitrogen.

b)  $(\text{CH}_3\text{CH}_2)_3\text{N}$  because a lone pair of electrons is present.

c)  $\text{H}_2\text{O}$  as oxygen is more electronegative.

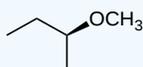
3)

$(\text{CH}_3\text{CH}_2)_3\text{CCl}$  OR  $(\text{CH}_3\text{CH}_2)_2\text{CHCl}$  <  $\text{CH}_3\text{CH}_2\text{Br}$  <  $\text{CH}_3\text{CH}_2\text{OTos}$

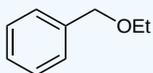
4) They will decrease the reactivity of the reaction.

5)

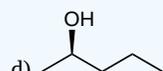
a)



b)

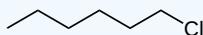


c) No reaction

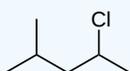


6)

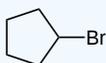
a)



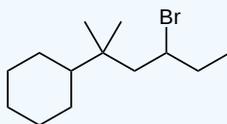
b)



c)



d)



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## 11.4: THE S<sub>N</sub>1 REACTION

### OBJECTIVES

After completing this section, you should be able to

- write an expression relating reaction rate and reactant concentration for a first-order reaction.
- compare the kinetics of S<sub>N</sub>1 and S<sub>N</sub>2 reactions.
- identify the rate-limiting step for a reaction, given the reaction energy diagram.
- sketch a reaction energy diagram for a reaction, given the mechanism and sufficient information to identify the rate-limiting step.
- write the mechanism of a typical S<sub>N</sub>1 reaction, and discuss the important features of the mechanism.
- discuss the stereochemistry of an S<sub>N</sub>1 reaction, and explain why a racemic mixture is expected when substitution takes place at the chiral carbon atom of an optically pure substrate.
- explain why unimolecular nucleophilic substitution at the chiral carbon atom of an optically pure substrate does not result in complete racemization.
- compare the stereochemical consequences of the S<sub>N</sub>1 mechanism with those of the S<sub>N</sub>2 mechanism.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- first-order reaction
- rate-limiting step
- S<sub>N</sub>1

### STUDY NOTES

You should recognize that certain compounds (notably tertiary alkyl halides) which react very slowly by the S<sub>N</sub>2 mechanism can undergo rapid nucleophilic substitution by an alternative mechanism.

The abbreviation S<sub>N</sub>1 refers to “unimolecular nucleophilic substitution.” In a *first-order reaction*, the rate of the reaction depends on the concentration of only one of the reactants. Thus, when an alkyl halide reacts by an S<sub>N</sub>1 mechanism, the rate of reaction is dependent on the concentration of the alkyl halide, but is independent of the concentration of the attacking nucleophile.

**Note:** In many textbooks the “rate-limiting step” is called the “rate-determining step.”

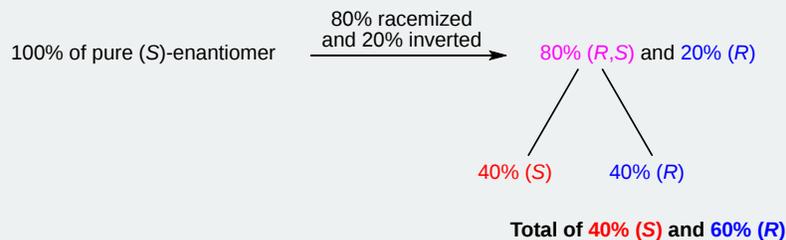
Racemization problems can be a potential source of confusion. Most students entering an introductory organic chemistry course have a reasonable background in mathematics, and feel comfortable if they have a formula or equation they can use in this type of situation. Thus, we recommend that you consider the following approach.

1. In a given mixture of enantiomers, let  $x$  = the fraction of the (+)-enantiomer, and  $1 - x$  = the fraction of the (–)-enantiomer. [Remember that the fraction can be obtained by dividing the percentage by 100%.]

2. The observed  $[\alpha]_D$  of the mixture is then given by:

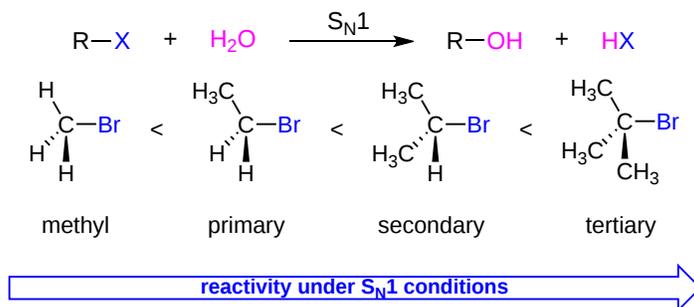
$$\text{Observed } [\alpha]_D = x([\alpha]_D \text{ of the (+)-enantiomer}) \\ + (1 - x)([\alpha]_D \text{ of the (–)-enantiomer})$$

Another source of confusion is the way in which the terms “percentage racemization” and “percentage inversion” are used. To illustrate this idea, consider a given reaction that is said to be 80% racemized and 20% inverted. You must be clear in your mind that 80% racemized means that we have 40% of the original configuration and 60% (40% + 20%) of the inverted configuration. This point is illustrated in the diagram below.



## GENERAL S<sub>N</sub>1 REACTION

When looking at the following substitution reaction it would be expected to be extremely slow. The reaction represents a worst case scenario based off the rules of an S<sub>N</sub>2 reaction. The substrate is sterically hindered, the nucleophile is relatively weak, and the solvent is polar protic. However, the reaction proceeds quickly and prefers tertiary substrates over methyl, which is opposite to the trend seen in S<sub>N</sub>2 reactions. It makes sense that this substitution reaction occurs using a different mechanism than S<sub>N</sub>2.

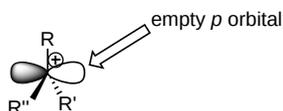


## THE S<sub>N</sub>1 MECHANISM

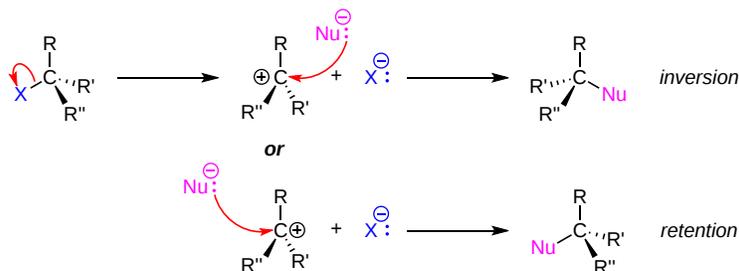
A second model for a nucleophilic substitution reaction is called the '**dissociative**', or '**S<sub>N</sub>1**' mechanism. In the S<sub>N</sub>1 reaction, the bond between the substrate and the leaving group is broken when the leaving group departs with the pair of electrons that formerly composed the bond.



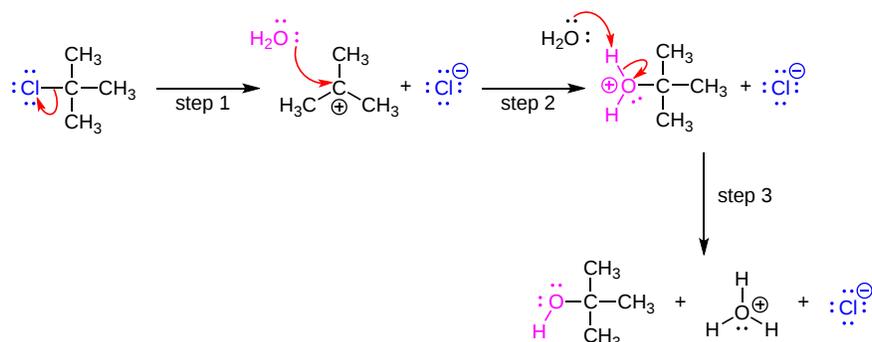
This results in the formation of a **carbocation** (from "carbon" and "cation") the word for a positively charged carbon atom. Because the carbocation has only three bonds, it bears a formal charge of +1. Recall that a carbocation is  $sp^2$  hybridized, with trigonal planar geometry. The positive charge is contained in an empty, unhybridized  $p$  orbital perpendicular to the plane formed by the three sigma bonds. The formation of a carbocation is not energetically favored, so this step in the reaction is the slowest step and determines the overall rate of the reaction. The step which controls the overall rate of a reaction is called the **rate-determining step**.



In the second step of this two-step reaction, the nucleophile attacks the empty, 'electron hungry'  $p$  orbital of the carbocation to form a new bond and return the carbon to tetrahedral geometry. Since the nucleophile attacks the carbocation only after the leaving group has departed, there is no need for back-side attack. The carbocation and its substituents are all in the same plane, meaning that the nucleophile can attack from either side. As a result, both **enantiomers** are formed in an the S<sub>N</sub>1 reaction, leading to a **racemic mixture** of both **enantiomers**.

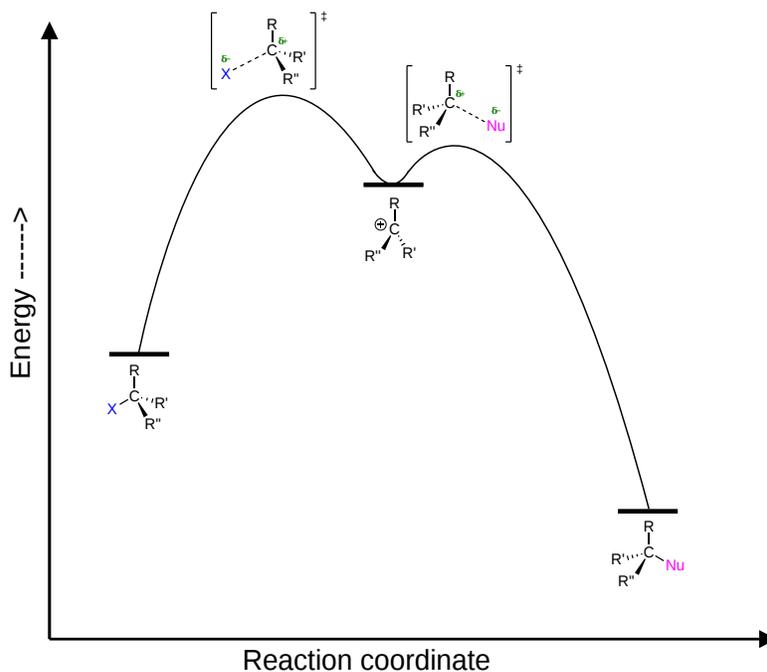


Because S<sub>N</sub>1 reactions almost exclusively involve neutral nucleophile the product of this second step of the mechanism is often positively charged. The neutral substitution product is usually formed after a third, deprotonation step.



## REACTION COORDINATE DIAGRAMS AND $S_N1$ MECHANISM

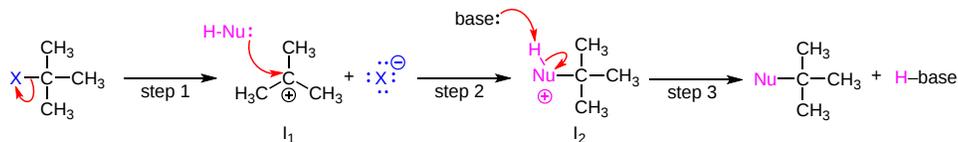
During the  $S_N1$  reaction we see an example of a reaction intermediate, a very important concept in the study of organic reaction mechanisms that was introduced earlier in the module on organic reactivity. Recall that many important organic reactions do not occur in a single step; rather, they are the sum of two or more discrete bond-forming / bond-breaking steps, and involve transient intermediate species that go on to react very quickly. In the  $S_N1$  reaction, the carbocation species is a reaction intermediate. A potential energy diagram for an  $S_N1$  reaction shows that the carbocation intermediate can be visualized as a kind of valley in the path of the reaction, higher in energy than both the reactant and product but lower in energy than the two transition states. The following reaction coordinate diagram shows an example of an  $S_N1$  reaction with a negatively charged nucleophile.



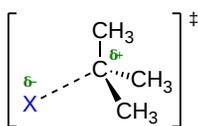
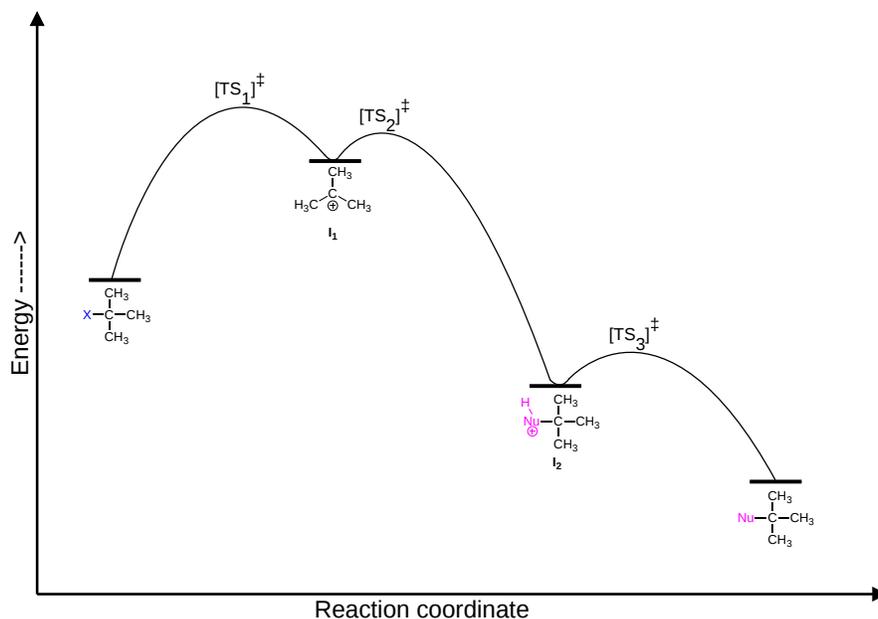
There are several important consequences to the **unimolecular** nature of the rate-determining step in the  $S_N1$  reaction. The first step (dissociation) is the rate determining step, so the rate is controlled only by the loss of the leaving group and does not involve any participation of the nucleophile. Therefore, the rate of the reaction is dependent only on the concentration of the substrate, not on the concentration of the nucleophile.

This can also be shown for the reactions with a neutral nucleophile which includes an extra step of deprotonation. A potential energy diagram for this mechanism shows that each of the two positively-charged intermediates ( $I_1$  and  $I_2$ ) can be visualized as a valley in the path of the reaction, higher in energy than both the reactant and product but lower in energy than the transition states. The first, bond-breaking step is the slowest, rate-determining step - notice it has the highest activation energy and leads to the highest-energy species,  $I_1$ , the

carbocation intermediate. Step 2 is rapid: a new covalent bond forms between a carbocation and a water nucleophile, and no covalent bonds are broken. The third step represents a Bronsted-Lowry proton transfer which is rapid, with low activation energy.



Because the first step of the mechanism is the rate determining step, the first transition state will be the most important. During the first step, a carbon-leaving group bond in a neutral substrate is broken to form a carbocation intermediate and a leaving group anion. The breaking bond is represented as a dashed-partial bond in the transition state. A partial positive charge is shown on the carbon to represent the formation of a carbocation and a partial negative charge is shown on the leaving group to represent the formation of an anion.



Transition State 1 ( $[TS_1]^\ddagger$ )

## $S_N1$ REACTION KINETICS

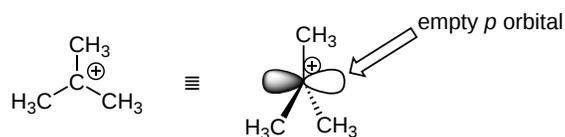
In the first step of an  $S_N1$  mechanism, two charged species are formed from a neutral molecule. This step is much the slower of the three steps, and is therefore rate-determining. In the reaction energy diagram, the activation energy for the first step is higher than that for the second step indicating that the  $S_N1$  reaction has *first order, unimolecular* kinetics because the rate determining step involves one molecule splitting apart, not two molecules colliding. It is important to remember that first order refers to the rate law expression where the generic term substrate is used to describe the alkyl halide.

$$\text{rate} = k [\text{substrate}]$$

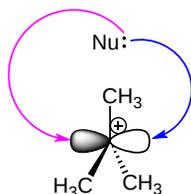
Because an  $S_N1$  reaction is first order overall the concentration of the nucleophile does not affect the rate. Since the nucleophile is not involved in the rate-limiting first step, the nature of the nucleophile does not affect the rate of an  $S_N1$  reaction.

## STEREOCHEMICAL CONSIDERATIONS

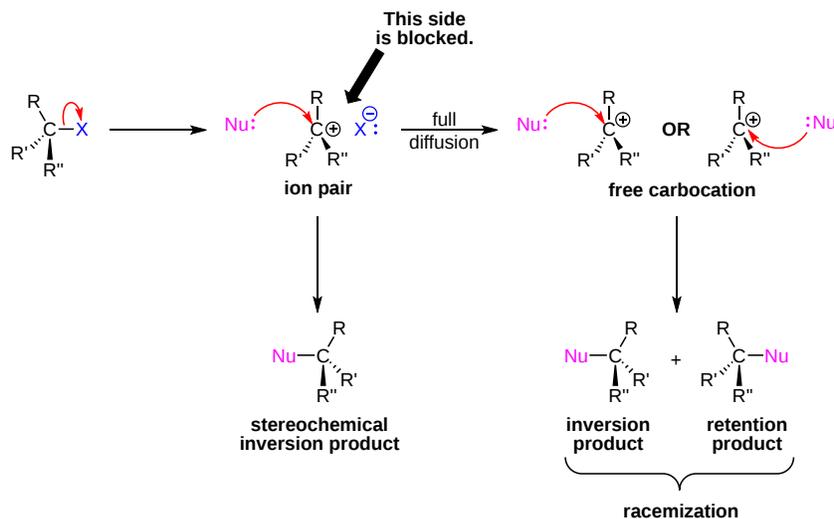
We saw that  $S_N2$  reactions result in inversion of stereochemical configuration at the carbon center. The difference in mechanisms means the stereochemical outcome of  $S_N1$  can be different than  $S_N2$ . Recall that a carbocation intermediate produced during an  $S_N1$  reaction is  $sp^2$ -hybridized, with an empty p orbital perpendicular to the plane formed by the three sigma bonds:



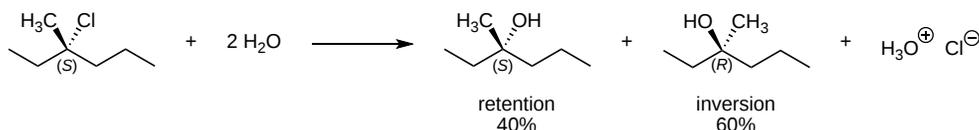
Because the nucleophile is free to attack from either side of the carbocation electrophile, the reaction leads to a 50:50 mixture of two stereoisomeric products. In other words: the  $S_N1$  reaction occurs with both retention or inversion of configuration at the electrophilic carbon, leading to racemization if the carbon is chiral after the substitution.



However, often chemical reactions are more complicated than the mechanisms that represent limiting cases like  $S_N1$  and  $S_N2$ . Experimental data has shown that the inversion product is favored over racemization due to the formation of **ion pairs**. After dissociation during the rate determining step the carbocation and the leaving group are still electrostatically associated. The leaving group anion is temporarily held in place which provides a shield to one side of the carbocation. If substitution occurs before the leaving group anion fully diffuses away the stereochemical inversion substitution product is created. Only after the leaving group fully diffuses away can racemization occur. Overall, the product of an  $S_N1$  reaction shows an excess of the stereochemical inversion product.



For an example, consider the hydrolysis of (*S*)-3-chloro-3-methylhexane.



### ? EXERCISE 11.4.1

Consider two nucleophilic substitutions that occur uncatalyzed in solution. Assume that reaction A is  $S_N2$ , and reaction B is  $S_N1$ . Predict, in each case, what would happen to the rate of the reaction if the concentration of the nucleophile were doubled, while all other conditions remained constant.



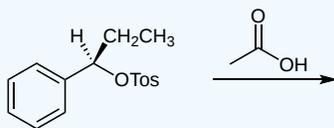
Answer

For Reaction A, the rate law is  $\text{rate} = k[\text{CH}_3\text{I}][\text{CH}_3\text{S}^-]$ . Therefore, if the concentration of the nucleophile,  $\text{CH}_3\text{S}^-$ , is doubled and the concentration of the alkyl halide remains the same, then the reaction rate will double.

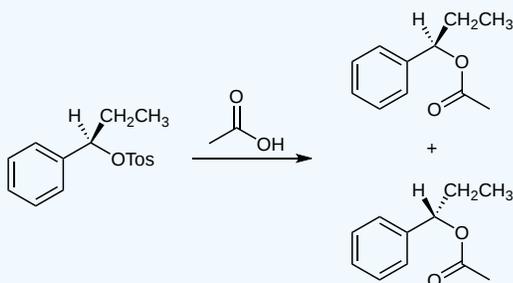
For Reaction B, the rate law is  $\text{rate} = k[\text{CH}_3)_3\text{Br}]$ . Therefore, if the concentration of the nucleophile,  $\text{CH}_3\text{SH}$ , is doubled and the concentration of the alkyl halide remains the same, then reaction rate stays the same.

### ? EXERCISE 11.4.2

Give the products of the following  $\text{S}_{\text{N}}1$  reaction. Show stereochemistry.

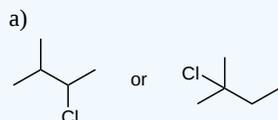


Answer

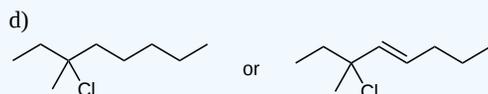
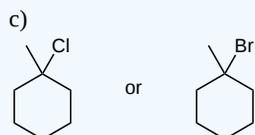


### ? EXERCISE 11.4.3

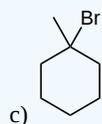
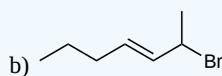
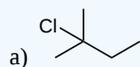
Predict with compound in each pair will undergo an  $\text{S}_{\text{N}}1$  reaction more quickly.

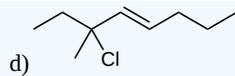


b)



Answer





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## 11.5: CHARACTERISTICS OF THE S<sub>N</sub>1 REACTION

### OBJECTIVES

After completing this section, you should be able to

1. discuss how the structure of the substrate affects the rate of a reaction occurring by the S<sub>N</sub>1 mechanism.
2. arrange a given list of carbocations (including benzyl and allyl) in order of increasing or decreasing stability.
3. explain the high stability of the allyl and benzyl carbocations.
4. arrange a given series of compounds in order of increasing or decreasing reactivity in S<sub>N</sub>1 reactions, and discuss this order in terms of the Hammond postulate.
5. discuss how the nature of the leaving group affects the rate of an S<sub>N</sub>1 reaction, and in particular, explain why S<sub>N</sub>1 reactions involving alcohols are carried out under acidic conditions.
6. explain why the nature of the nucleophile does not affect the rate of an S<sub>N</sub>1 reaction.
7. discuss the role played by the solvent in an S<sub>N</sub>1 reaction, and hence determine whether a given solvent will promote reaction by this mechanism.
8. compare the roles played by the solvent in S<sub>N</sub>1 and in S<sub>N</sub>2 reactions.
9. determine which of two S<sub>N</sub>1 reactions will occur faster, by taking into account factors such as the structure of the substrate and the polarity of the solvent.
10. determine whether a given reaction is most likely to occur by an S<sub>N</sub>1 or S<sub>N</sub>2 mechanism, based on factors such as the structure of the substrate, the solvent used, etc.

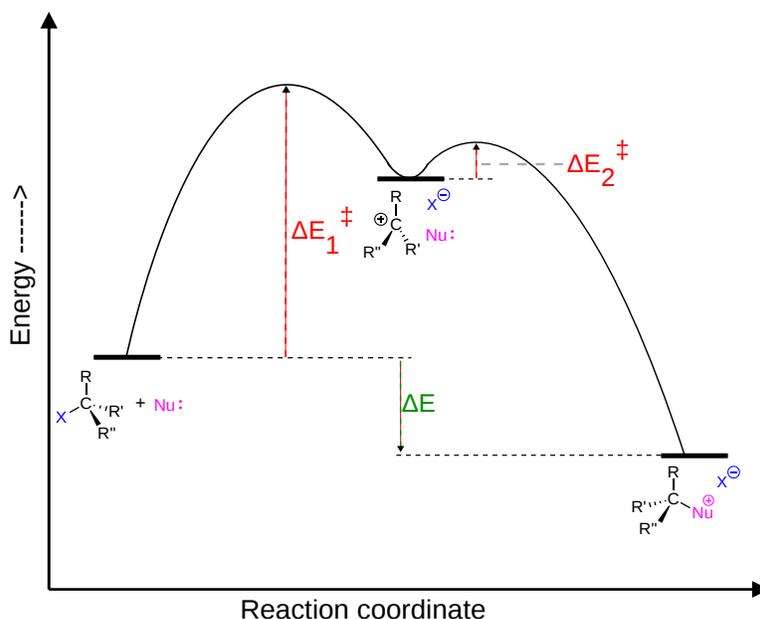
### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- benzylic
- dielectric constant
- polarity

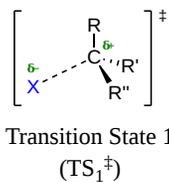
### THE SUBSTRATE IN S<sub>N</sub>1 REACTIONS

As discussed in the previous section S<sub>N</sub>1 reactions follow first order kinetics due to a multi-step mechanism in which the rate-determining step consists of the ionization of the alkyl halide to form a carbocation.

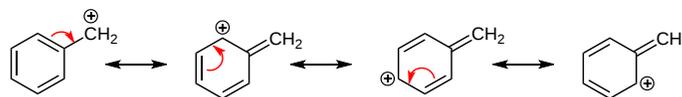


The transition state for the rate determining step shows the transition of an alkyl halide to a carbocation. Because the rate determining step is endothermic, the Hammond postulate says that the transition state more closely resembles the carbocation intermediate. Factors which

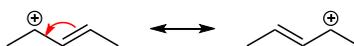
stabilize this intermediate will lower the energy of activation for the rate determining step and cause the rate of reaction to increase. In general, a more stable carbocation intermediate formed during the reaction allows for a faster the  $S_N1$  reaction rate.



In Section 7-9, the stability of alkyl carbocations was shown to be  $3^\circ > 2^\circ > 1^\circ > \text{methyl}$ . Two special cases of resonance-stabilized carbocations, allyl and benzyl, must be considered and added to the list. The delocalization of the positive charge over an extended p orbital system allows for allyl and benzyl carbocations to be exceptionally stable. The resonance hybrid of an allylic cation is made up of two resonance forms while the resonance hybrid of the benzylic carbocation is made up of five.

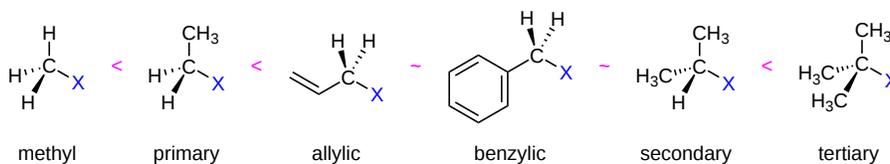


Resonance Structures for a Benzyl Carbocation



Resonance Structures for an Allylic Carbocation

Carbocation Stability	$CH_3^{\oplus}$	<	$CH_3CH_2^{\oplus}$	<	$(CH_3)_2CH^{\oplus}$	≈	$CH_2=CH-CH_2^{\oplus}$	≈	$C_6H_5CH_2^{\oplus}$	≈	$(CH_3)_3C^{\oplus}$
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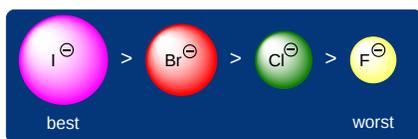
reactivity under  $S_N1$  conditions

## EFFECTS OF LEAVING GROUP

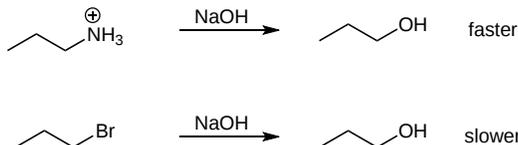
Since leaving group removal is involved in the rate-determining step of the  $S_N1$  mechanism, reaction rates increase with a good leaving group. A good leaving group can stabilize the electron pair it obtains after the breaking of the C-Leaving Group bond faster. Once the bond breaks, the carbocation is formed and the faster the carbocation is formed, the faster the nucleophile can come in and the faster the reaction will be completed.

Because weak bases tend to strongly hold onto their electrons, they are lower energy molecules and they tend to make good leaving groups. Strong bases, on the other hand, donate electrons readily because they are high energy, reactive molecules. Therefore, they are not typically good leaving groups. As you go from left to right on the periodic table, electron donating ability decreases and thus ability to be a good leaving group increases. Halides are an example of a good leaving group whose leaving-group ability increases as you go down the halogen column of the periodic table.

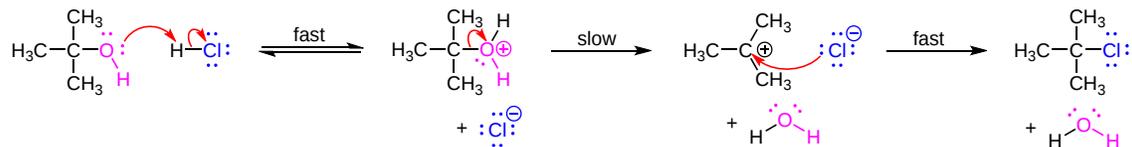
excellent	<ul style="list-style-type: none"> <li>• <math>\text{TsO}^-</math></li> <li>• <math>\text{NH}_3</math></li> </ul>
very good	<ul style="list-style-type: none"> <li>• <math>\text{I}^-</math></li> <li>• <math>\text{H}_2\text{O}</math></li> </ul>
good	• $\text{Br}^-$
fair	• $\text{Cl}^-$
poor	• $\text{F}^-$
very poor	<ul style="list-style-type: none"> <li>• <math>\text{HO}^-</math></li> <li>• <math>\text{H}_2\text{N}^-</math></li> </ul>



The two reactions below only vary by the different leaving groups in each reaction. The reaction with a more stable leaving group is significantly faster than the other. This is because the better leaving group leaves faster and thus the reaction can proceed faster.

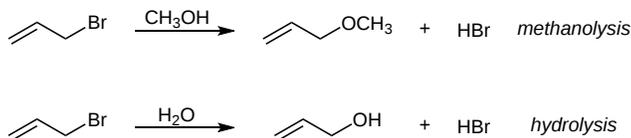


Under acidic conditions, the -OH group of an alcohol can be converted into a neutral water leaving group through protonation. As discussed in [Section 10-5](#), this occurs during the conversion of a tertiary alcohol to an alkyl halide through reaction with HCl or HBr. Because the -OH group itself is a poor nucleophile, the mechanism starts with protonation to form a hydronium ion. Neutral water is then lost as a leaving group to create the carbocation intermediate which then reacts with the halide ion nucleophile to provide the alkyl halide product. This reaction works best when a tertiary alcohol is used because it produces a stable carbocation intermediate.

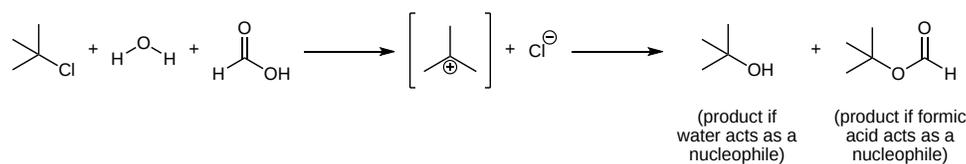


## THE NUCLEOPHILE

Since nucleophiles only participate in the fast second step, their relative molar concentrations rather than their nucleophilicities should be the primary product-determining factor. If a nucleophilic solvent such as water is used, its high concentration will assure that alcohols are the major product. While recombination of the halide anion with the carbocation intermediate can occur, it simply reforms the starting compound. Also this is less likely since there are less molecules of the leaving group in solution than there are of the solvent. Note that  $\text{S}_{\text{N}}1$  reactions in which the nucleophile is also the solvent are commonly called **solvolysis** reactions. The  $\text{S}_{\text{N}}1$  reaction of allyl bromide in methanol is an example of what we would call **methanolysis**, while if water is the solvent the reaction would be called **hydrolysis**:

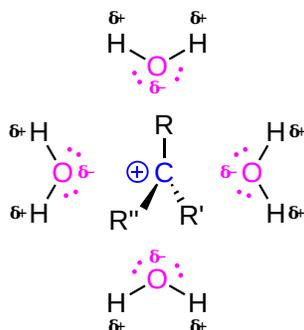


The strength of the nucleophile does not affect the reaction rate of  $\text{S}_{\text{N}}1$  because, as described previously, the nucleophile is not involved in the rate-determining step. However, if you have more than one nucleophile competing to bond to the carbocation, the strengths and concentrations of those nucleophiles affects the distribution of products that you will get. For example, if you have  $(\text{CH}_3)_3\text{CCl}$  reacting in water and formic acid where the water and formic acid are competing nucleophiles, you will get two different products:  $(\text{CH}_3)_3\text{COH}$  and  $(\text{CH}_3)_3\text{COCOH}$ . The relative yields of these products depend on the concentrations and relative reactivities of the nucleophiles.



## SOLVENT EFFECTS ON THE S<sub>N</sub>1 REACTION

Since the hydrogen atom in a polar protic solvent is highly positively charged, it can interact with the anionic nucleophile which would negatively affect an S<sub>N</sub>2 reaction which depends on nucleophilic attack during the rate-determining step of the reaction. However, this does not affect an S<sub>N</sub>1 reaction because the nucleophile is not a part of the rate-determining step. Polar protic solvents actually speed up the rate of the unimolecular substitution reaction because the large dipole moment of the solvent helps to stabilize the carbocation-like transition state. Since the carbocation is unstable, anything that can stabilize this even a little will speed up the reaction.



The polarity and the ability of the solvent to stabilize the intermediate carbocation is very important as shown by the relative rate data for a solvolysis (see table below). The dielectric constant of a solvent roughly provides a measure of the solvent's polarity. A dielectric constant below 15 is usually considered non-polar. Basically, the dielectric constant can be thought of as the solvent's ability to reduce the internal charge of the solvent. So for our purposes, the higher the dielectric constant the more polar the substance and in the case of S<sub>N</sub>1 reactions, the faster the rate.

Solvent	Dielectric Constant	Relative Rate
CH <sub>3</sub> CO <sub>2</sub> H	6	1
CH <sub>3</sub> OH	33	4
H <sub>2</sub> O	78	150,000

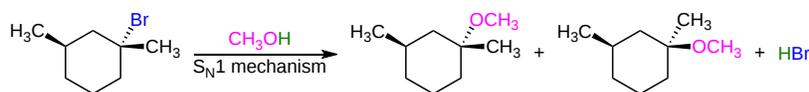
(note that even though acetic acid is a highly polar molecule, it tends to make a dimer with itself greatly reducing its dielectric constant)

## PREDICTING S<sub>N</sub>1 VS. S<sub>N</sub>2 MECHANISMS

When considering whether a nucleophilic substitution is likely to occur via an S<sub>N</sub>1 or S<sub>N</sub>2 mechanism, we really need to consider three factors:

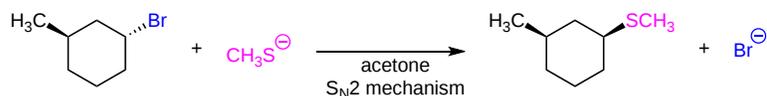
- The electrophile:** when the leaving group is attached to a methyl group or a primary carbon, an S<sub>N</sub>2 mechanism is favored (here the electrophile is unhindered by surrounded groups, and any carbocation intermediate would be high-energy and thus unlikely). When the leaving group is attached to a tertiary, allylic, or benzylic carbon, a carbocation intermediate will be relatively stable and thus an S<sub>N</sub>1 mechanism is favored.
- The nucleophile:** powerful nucleophiles, especially those with negative charges, favor the S<sub>N</sub>2 mechanism. Weaker nucleophiles such as water or alcohols favor the S<sub>N</sub>1 mechanism.
- The solvent:** Polar aprotic solvents favor the S<sub>N</sub>2 mechanism by enhancing the reactivity of the nucleophile. Polar protic solvents favor the S<sub>N</sub>1 mechanism by stabilizing the carbocation intermediate. S<sub>N</sub>1 reactions are frequently solvolysis reactions.

For example, the reaction below has a tertiary alkyl bromide as the electrophile, a weak nucleophile, and a polar protic solvent (we'll assume that methanol is the solvent). Thus we'd confidently predict an S<sub>N</sub>1 reaction mechanism. Because substitution occurs at a chiral carbon, we can also predict that the reaction will proceed with racemization.



In the reaction below, on the other hand, the electrophile is a secondary alkyl bromide – with these, both S<sub>N</sub>1 and S<sub>N</sub>2 mechanisms are possible, depending on the nucleophile and the solvent. In this example, the nucleophile (a thiolate anion) is strong, and a polar aprotic

solvent is used – so the  $S_N2$  mechanism is heavily favored. The reaction is expected to proceed with inversion of configuration.



### ? EXERCISE 11.5.1

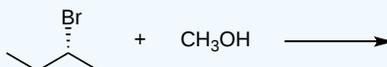
1. Put the following leaving groups highlighted in blue in order of decreasing leaving group ability



2. Which solvent would an  $S_N1$  reaction occur faster in?  $H_2O$  or  $CH_3CN$

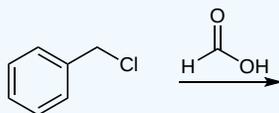
3. What kind of conditions disfavor  $S_N1$  reactions?

4. What are the products of the following reaction and does it proceed via  $S_N1$  or  $S_N2$ ?

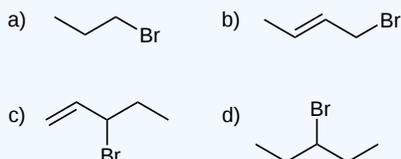


5. How could you change the reactants in the problem 4 to favor the other substitution reaction?

6. Indicate the expected product and list why it occurs through  $S_N1$  instead of  $S_N2$ ?

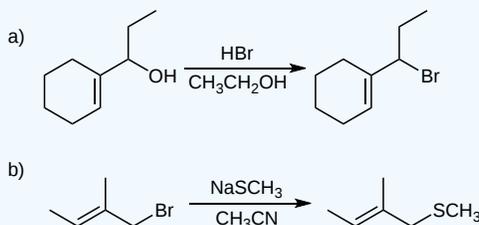


7. Rank the following by increasing reactivity in an  $S_N1$  reaction.

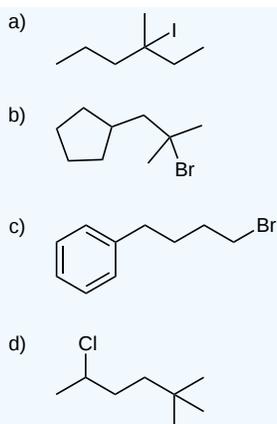


8. 3-bromo-1-pentene and 1-bromo-2-pentene undergo  $S_N1$  reaction at almost the same rate, but one is a secondary halide while the other is a primary halide. Explain why this is.

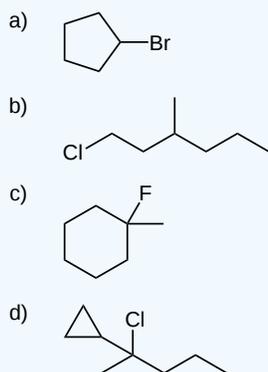
9. Label the following reactions as most likely occurring by an  $S_N1$  or  $S_N2$  mechanism. Suggest why.



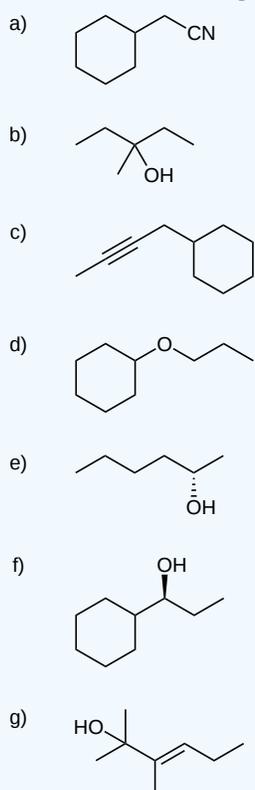
10. Give the solvolysis product expected when the compound is heated in methanol.



11. Predict whether each compound below would be more likely to undergo a  $S_N2$  or  $S_N1$  reaction.

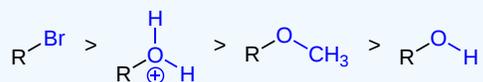


12. Show how each compound may be synthesized using nucleophilic substitution reactions.



Answers

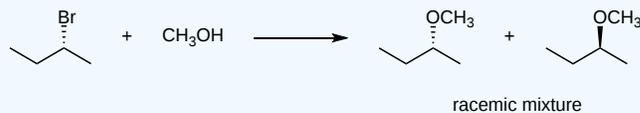
1.



2. An  $S_N1$  reaction would occur faster in  $H_2O$  because it's polar protic and would stabilize the carbocation and  $CH_3CN$  is polar aprotic.

3. Polar aprotic solvents, a weak leaving group and primary substrates disfavor  $S_N1$  reactions.

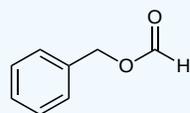
4.



Reaction proceeds via  $S_N1$  because a secondary carbocation was formed, the solvent is polar protic and Br is a good leaving group.

5. You could change the solvent to something polar aprotic like  $CH_3CN$  or DMSO, and you could use a stronger nucleophile such as  $NaOCH_3$ . Note that this would give only the inverted product, and not a racemic mixture.

6.

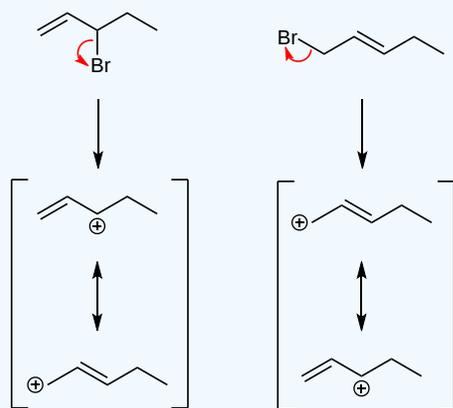


This reaction occurs via  $S_N1$  because  $Cl^-$  is a good leaving group, the intermediate carbocation is a stable benzylic cation, and the solvent is polar protic. This is an example of a solvolysis reaction because the nucleophile is also the solvent.

7. Consider the stability of the intermediate, the carbocation.

A < D < B < C (most reactive)

8. They have the same intermediates when you look at the resonance forms.

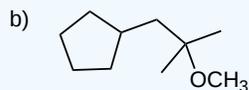
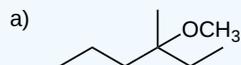


9.

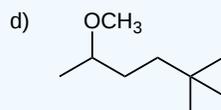
A –  $S_N1$  \*poor leaving group, protic solvent, secondary cation intermediate

B –  $S_N2$  \*good leaving group, polar solvent, primary position.

10.



c) no reaction



11.

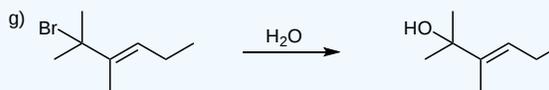
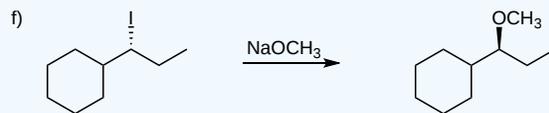
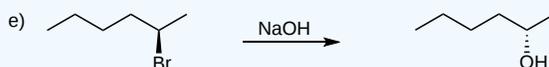
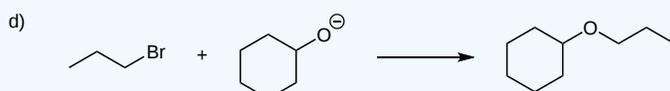
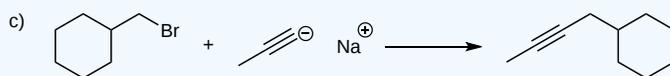
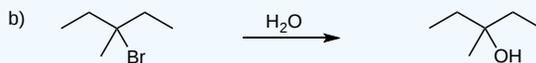
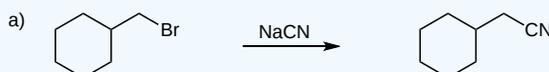
a) Can undergo  $S_N1$  or  $S_N2$ , depending on reaction conditions

b)  $S_N2$

c)  $S_N1$

d)  $S_N1$

12.



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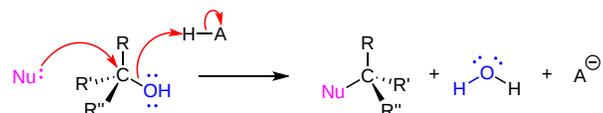
## 11.6: BIOLOGICAL SUBSTITUTION REACTIONS

### OBJECTIVE

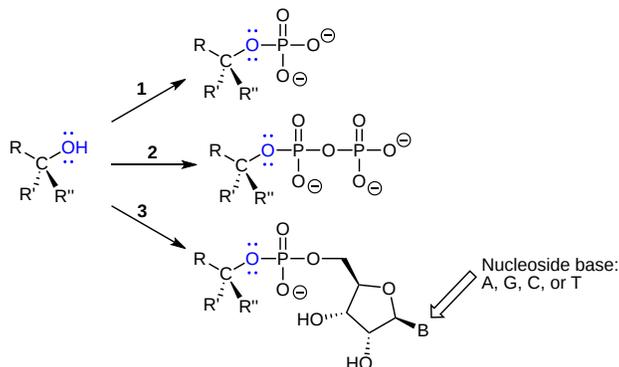
After completing this section, you should have an appreciation that  $S_N1$  and  $S_N2$  mechanisms exist and are well-known in biological chemistry.

### LEAVING GROUPS IN BIOCHEMICAL REACTIONS

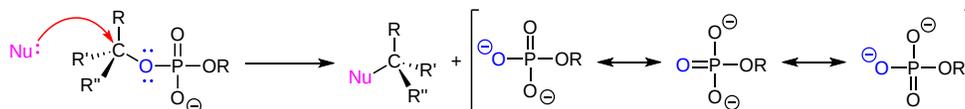
In biological reactions, we do not often see halides serving as leaving groups (in fact, outside of some marine organisms, halogens are fairly unusual in biological molecules). More common leaving groups in biochemical reactions are phosphates, water, alcohols, and thiols. In many cases, the leaving group is protonated by an acidic group on the enzyme as bond-breaking occurs. For example, hydroxide ion itself seldom acts as a leaving group – it is simply too high in energy (too basic). Rather, the hydroxide oxygen is generally protonated by an enzymatic acid before or during the bond-breaking event, resulting in a (very stable) water leaving group.



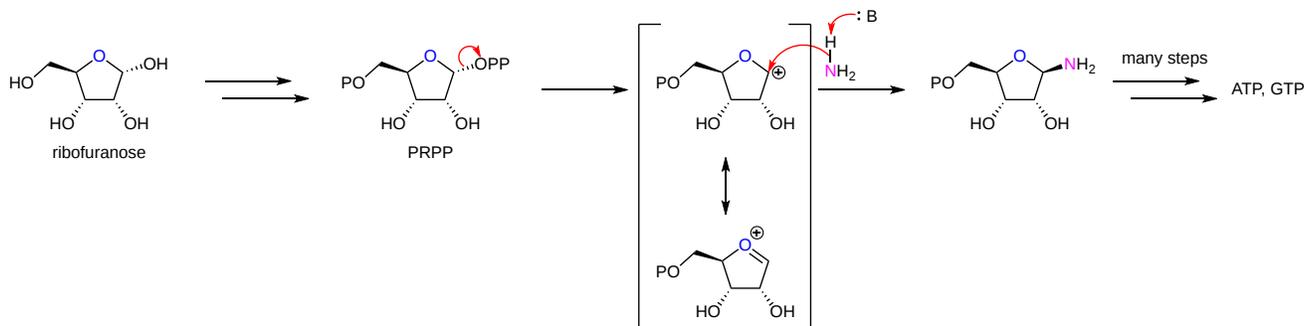
More often, however, the hydroxyl group of an alcohol is first converted enzymatically to a phosphate ester in order to create a better leaving group. This phosphate ester can take the form of a simple monophosphate (arrow 1 in the figure below), a diphosphate (arrow 2), or a nucleotide monophosphate (arrow 3).



Due to resonance delocalization of the developing negative charge, phosphates are excellent leaving groups.



Here's a specific example (from DNA nucleotide biosynthesis) that we will encounter in more detail in [this section](#):

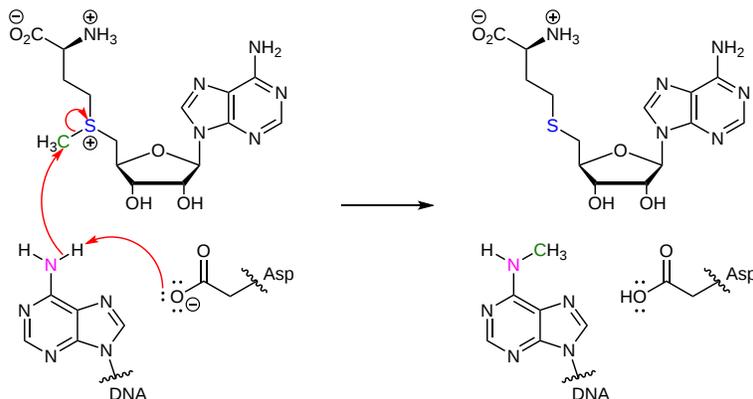


Here, the OH group on ribofuranose is converted to a diphosphate, a much better leaving group. Ammonia is the nucleophile in the second step of this  $S_N1$ -like reaction.

We will learn much more about phosphates in [this section](#). What is important for now is that in each case, an alcohol has been converted into a much better leaving group, and is now primed for a nucleophilic substitution reaction.

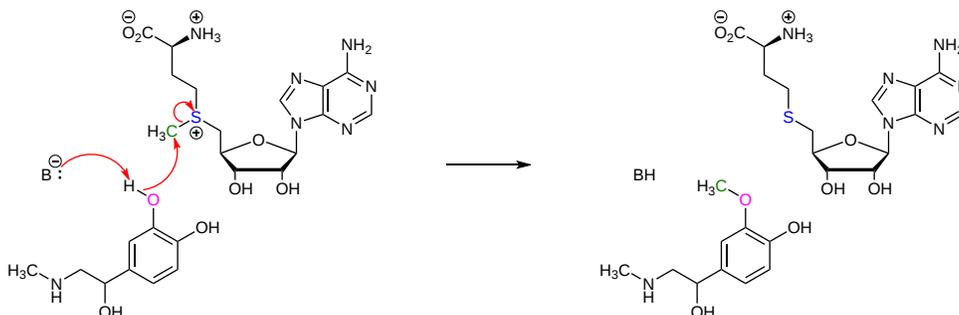
## SAM METHYLTRANSFERASES

Some of the most important examples of  $S_N2$  reactions in biochemistry are those catalyzed by S-adenosyl methionine (SAM) – dependent methyltransferase enzymes. We have already seen, in chapter 6 and again in chapter 8, how a methyl group is transferred in an  $S_N2$  reaction from SAM to the amine group on the nucleotide base adenosine:



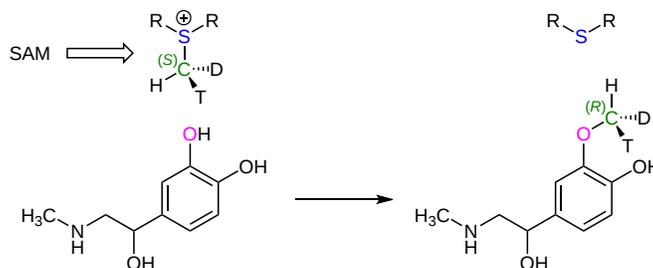
(*Nucleic Acids Res.* **2000**, 28, 3950).

Another SAM-dependent methylation reaction is catalyzed by an enzyme called catechol-O-methyltransferase. The substrate here is epinephrine, also known as adrenaline.



Notice that in this example, the attacking nucleophile is an alcohol rather than an amine (that's why the enzyme is called an O-methyltransferase). In both cases, though, a basic amino acid side chain is positioned in the active site in just the right place to deprotonate the nucleophilic group as it attacks, increasing its nucleophilicity. The electrophile in both reactions is a methyl carbon, so there is little steric hindrance to slow down the nucleophilic attack. The methyl carbon is electrophilic because it is bonded to a positively-charged sulfur, which is a powerful electron withdrawing group. The positive charge on the sulfur also makes it an excellent leaving group, as the resulting product will be a neutral and very stable sulfide. All in all, in both reactions we have a reasonably good nucleophile, an electron-poor, unhindered electrophile, and an excellent leaving group.

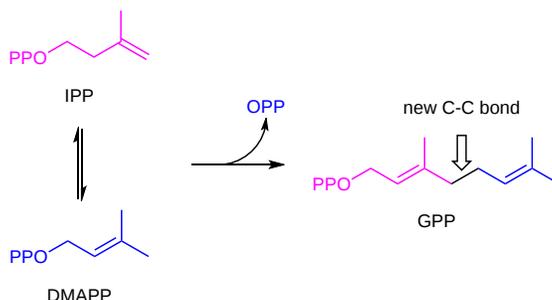
Because the electrophilic carbon in these reactions is a methyl carbon, a stepwise  $S_N1$ -like mechanism is extremely unlikely: a methyl carbocation is very high in energy and thus is not a reasonable intermediate to propose. We can confidently predict that this reaction is  $S_N2$ . Does this  $S_N2$  reaction occur, as expected, with inversion of stereochemistry? Of course, the electrophilic methyl carbon in these reactions is achiral, so inversion is not apparent. To demonstrate inversion, the following experiment has been carried out with catechol-O-methyltransferase:



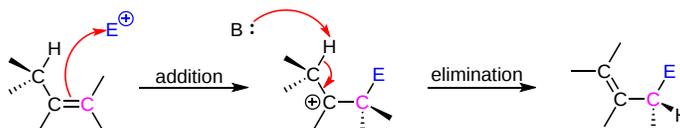
Here, the methyl group of SAM was made to be chiral by incorporating hydrogen isotopes tritium ( $^3\text{H}$ , T) and deuterium ( $^2\text{H}$ , D). The researchers determined that the reaction occurred with inversion of configuration, as expected for an  $S_N2$  displacement (*J. Biol. Chem.* **1980**, 255, 9124).

## SUBSTITUTION BY ELECTROPHILIC ADDITION/ELIMINATION

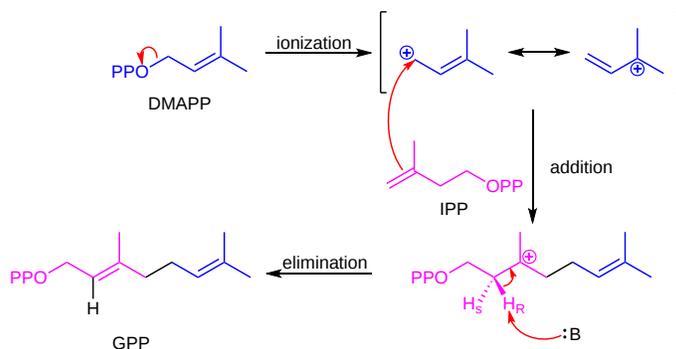
The electrophilic double bond isomerization catalyzed by IPP isomerase is a highly reversible reaction, with an equilibrium IPP:DMAPP ratio of about 6:1. In the next step of isoprenoid biosynthesis, the two five-carbon isomers condense to form a 10-carbon isoprenoid product called geranyl diphosphate (GPP).



This is a nice example of an electrophilic addition/elimination mechanism, which we saw in general form in [this section](#):

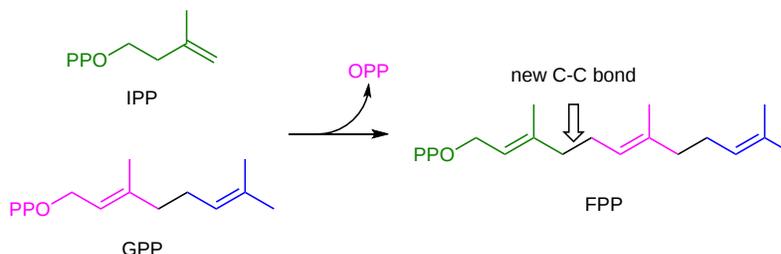


The first step is ionization of the electrophile - in other words, the leaving group departs and a carbocation intermediate is formed. In this case, the pyrophosphate group on DMAPP is the leaving group, and the electrophilic species is the resulting allylic carbocation.

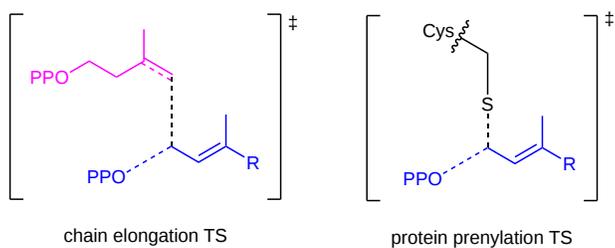


In the condensation (addition) step, the C<sub>3</sub>-C<sub>4</sub> double bond in IPP attacks the positively-charged C<sub>1</sub> of DMAPP, resulting in a new carbon-carbon bond and a second carbocation intermediate, this time at a tertiary carbon. In the elimination phase, proton abstraction leads to re-establishment of a double bond in the GPP product. Notice that the enzyme specifically takes the *pro-R* proton in this step.

To continue the chain elongation process, another IPP molecule can then condense, in a very similar reaction, with C<sub>1</sub> of geranyl diphosphate to form a 15-carbon product called farnesyl diphosphate (FPP).

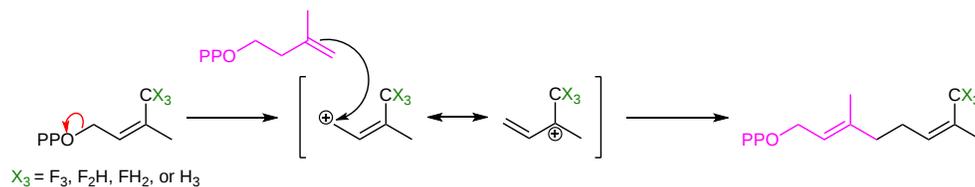


How do we know that these are indeed S<sub>N</sub>1-like mechanisms with carbocation intermediates, rather than concerted S<sub>N</sub>2-like mechanisms? First of all, recall that the question of whether a substitution is dissociative (S<sub>N</sub>1-like) or associative (S<sub>N</sub>2-like) is not always clear-cut - it could be somewhere in between, like the protein prenyltransferase reaction ([section 9.3](#)). The protein prenyltransferase reaction and the isoprenoid chain elongation reactions are very similar: the electrophile is the same, but in the former the nucleophile is a thiolate, while in the latter the nucleophile is a pi bond.



This difference in the identity of the nucleophilic species would lead one to predict that the chain elongation reaction has more  $S_N1$ -like character than the protein prenylation reaction. A thiolate is a very powerful nucleophile, and thus is able to *push* the pyrophosphate leaving group off, implying some degree of  $S_N2$  character. The electrons in a pi bond, in contrast, are only weakly nucleophilic, and thus need to be *pulled* in by a powerful electrophile - *ie.* a carbocation.

So it makes perfect sense that the chain elongation reaction should more  $S_N1$ -like than  $S_N2$ -like. Is this in fact the case? We know how to answer this question experimentally - just run the reaction with fluorinated DMAPP or GPP substrates and observe how much the fluorines slow things down (see [section 9.3B](#)).



If the reaction is  $S_N1$ -like, the electron-withdrawing fluorines should destabilize the allylic carbocation intermediate and thus slow the reaction down considerably. If the mechanism is  $S_N2$ -like, the fluorine substitutions should not have a noticeable effect, because a carbocation intermediate would not be formed. When this experiment was performed with FPP synthase, the results were dramatic: the presence of a single fluorine slowed down the rate of the reaction by a factor of about 60, while two and three fluorines resulted in a reaction that was 500,000 and 3 million times slower, respectively (*J. Am. Chem. Soc.* **1981**, *103*, 3926.) These results strongly suggest indicate the formation of a carbocation intermediate in an  $S_N1$ -like displacement.

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## 11.7: ELIMINATION REACTIONS- ZAITSEV'S RULE

### OBJECTIVE

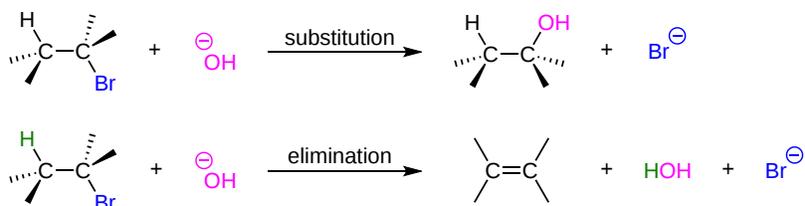
After completing this section, you should be able to apply Zaitsev's rule to predict the major product in a base-induced elimination of an unsymmetrical halide.

### KEY TERMS

Make certain that you can define, and use in context, the key term below.

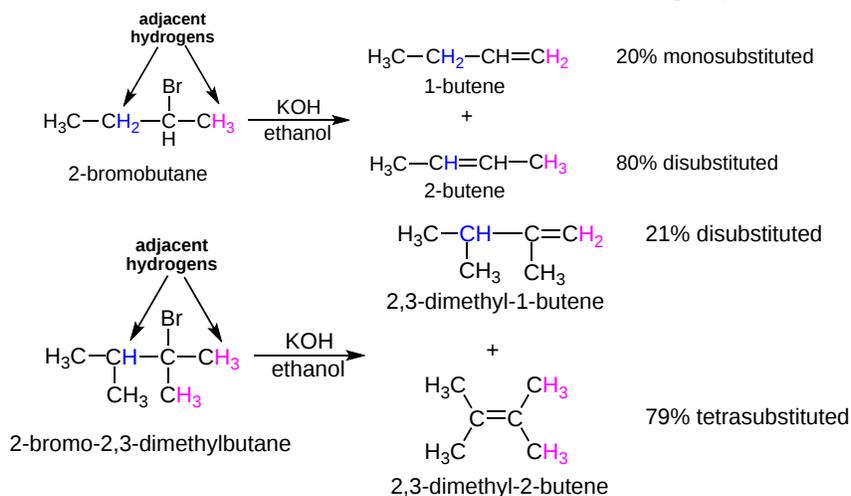
- Zaitsev's rule

When an alkyl halide is reacted with a nucleophile/Lewis base two major types of reaction can occur. Thus far in this chapter, we have discussed substitution reactions where a nucleophile displaces a leaving group at the electrophilic carbon of a substrate. Alternatively, the nucleophile could act as a Lewis base and cause an elimination reaction by removing a hydrogen adjacent to the leaving group. These reaction are similar and are often in competition with each other.



### INTRODUCTION

The prefix "regio" indicates the interaction of reactants during bond making and/or bond breaking occurs preferentially by one orientation. If two or more structurally distinct groups of adjacent hydrogens are present in a given reactant, then multiple constitutionally isomeric alkenes may be formed by an elimination. **Zaitsev's rule** is an empirical rule used to predict the major products of elimination reactions. It states that in an elimination reaction the major product is the more stable alkene with the more highly substituted double bond. This situation is illustrated by the 2-bromobutane and 2-bromo-2,3-dimethylbutane elimination examples given below.



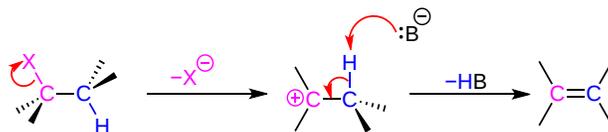
By using the strong base hydroxide, we direct these reactions toward elimination (rather than substitution). In both cases there are two different sets of adjacent hydrogens available to the elimination reaction (these are colored blue and magenta). If the rate of each possible elimination was the same, we might expect the amounts of the isomeric elimination products to reflect the number of hydrogens that could participate in that reaction. For example, since there are three 1°-hydrogens (magenta) and two 2°-hydrogens (blue) on beta-carbons in 2-bromobutane, statistics would suggest a 3:2 ratio of 1-butene and 2-butene in the products. This is not observed, and the latter predominates by 4:1. This departure from statistical expectation is even more pronounced in the second example, where there are six adjacent 1° hydrogens (magenta) compared with one 3°-hydrogen (blue). These results point to a strong favoring the more highly substituted product double bond predicted by **Zaitsev's Rule**.

## THE E1, E2, AND E1CB REACTIONS

Elimination reactions take place by three common mechanisms, E1, E2, and E1cB, all of which break the H-C and X-C bonds at different points in their mechanism. In addition, the different mechanisms will have subtle effects on the reaction products which will be discussed later in this chapter.

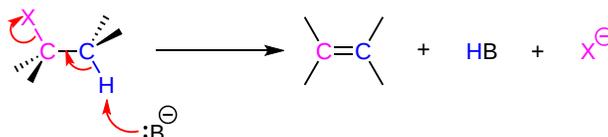
### E1 MECHANISM

This mechanism starts with the breaking of the C-X bond to provide a carbocation intermediate. A base removes a hydrogen adjacent to the original electrophilic carbon. The electrons from the broken H-C bond move to form the pi bond of the alkene. In much the same fashion as the S<sub>N</sub>1 mechanism, the first step of the mechanism is slow making it the rate determining step. This means that the reaction kinetics are unimolecular and first-order with respect to the substrate.



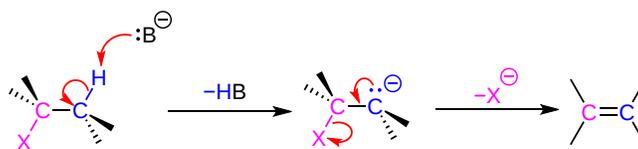
### E2 MECHANISM

The E2 mechanism takes place in a single concerted step. The rate at which this mechanism occurs follows second order kinetics, and depends on the concentration of both the base and alkyl halide. The base removes a hydrogen from a carbon adjacent to the leaving group. The electrons of the broken H-C bond move to form the pi bond of the alkene. In doing this the C-X bond is broken causing the removal of the leaving group.



### E1cB MECHANISM

The E1cB mechanism starts with the base deprotonating a hydrogen adjacent to the leaving group to form a carbanion. In the second step of the mechanism the lone pair electrons of the carbanion move to become the pi bond of the alkene. This causes the C-X bond to break and the leaving group to be removed.



## PREDICTING THE PRODUCTS OF AN ELIMINATION REACTION

For most elimination reactions, the formation of the product involves the breaking of a C-X bond from the electrophilic carbon, the breaking of a C-H bond from a carbon adjacent to the electrophilic carbon, and the formation of a pi bond between these two carbons. Which elimination mechanism is being followed has little effect on these steps. The limitations of each elimination mechanism will be discussed later in this chapter.

To determine the possible products, it is vital to first identify the electrophilic carbon in the substrate. Next identify all hydrogens on carbons directly adjacent to the electrophilic carbon. Each unique adjacent hydrogen has the possibility of forming a unique elimination product. Break a C-H bond from each unique group of adjacent hydrogens then break the C-X bond. Finally connect the adjacent carbon and the electrophilic carbon with a double bond. Repeat this process for each unique group of adjacent hydrogens. Finally, compare all of the possible elimination products. The product whose double bond has the most alkyl substituents will most likely be the preferred product.

### ✓ WORKED EXAMPLE 11.7.1

What would be the expected products of the following reaction? Which would be expected to be the major product?



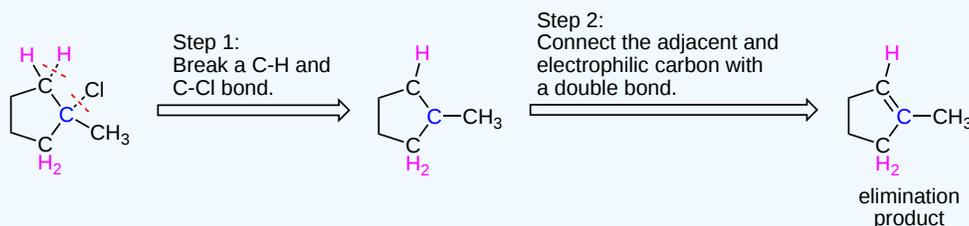
**Solution**

To solve this problem, first find the electrophilic carbon in the starting compound. This carbon is directly attached to the chlorine leaving groups and is shown in blue in the structure below. Next, identify all unique groups of hydrogens on carbons directly adjacent to the electrophilic carbon. In the starting compound, there are two distinct groups of hydrogens which can create a unique elimination product if removed. They are shown as magenta and green in the structure below.

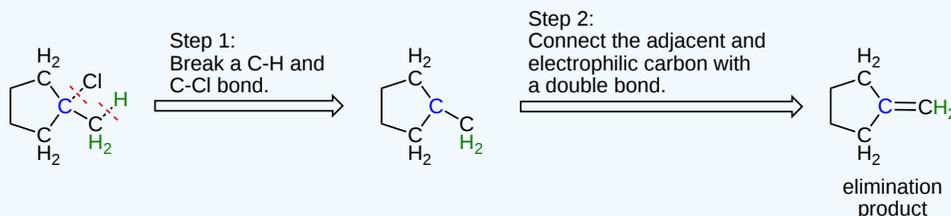


Create the possible elimination product by breaking a C-H bond from each unique group of adjacent hydrogens then breaking the C-Cl bond. Then connect the adjacent carbon and the electrophilic carbon with a double bond to create an alkene elimination product. Repeat this process for each unique group of adjacent hydrogens. Because the starting compound in this example has two unique groups of adjacent hydrogens, two elimination products can possibly be made.

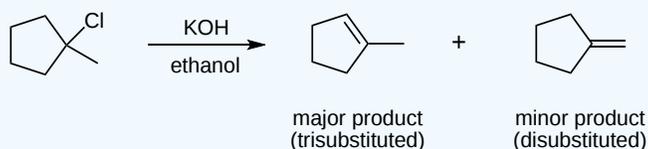
Product 1



Product 2



Finally, compare the possible elimination products to determine which has the most alkyl substituents. This product will most likely be the preferred. For this example product 1 has three alkyl substituents and product 2 has only two. This means product 1 will likely be the preferred product of the reaction.



### ? EXERCISE 11.7.1

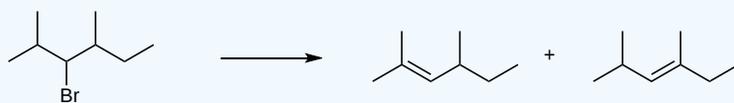
1) Ignoring the alkene stereochemistry show the elimination product(s) of the following compounds:

2) Predict the major products of the following reactions.

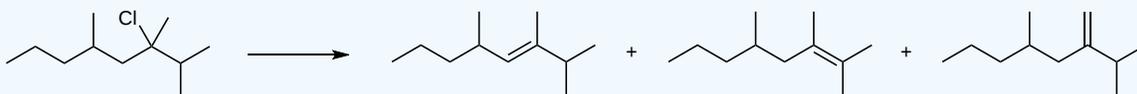
**Answer**

1)

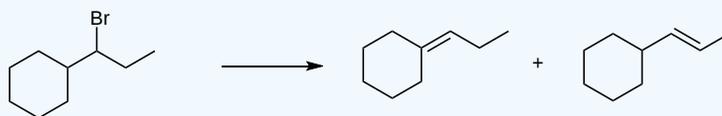
a)



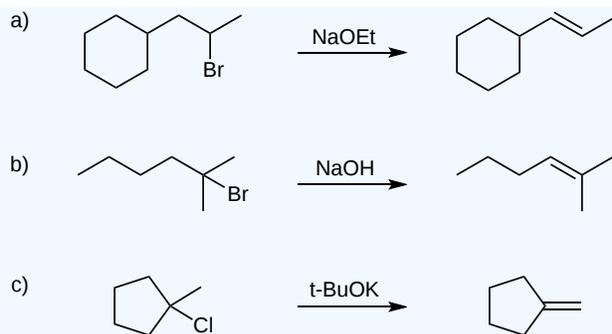
b)



c)



2)



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## 11.8: THE E2 REACTION AND THE DEUTERIUM ISOTOPE EFFECT

### OBJECTIVES

After completing this section, you should be able to

1. write the mechanism of a typical E2 reaction.
2. sketch the transition state of a typical E2 reaction.
3. discuss the kinetic evidence that supports the proposed E2 mechanism.
4. discuss the stereochemistry of an E2 reaction, and explain why the anti periplanar geometry is preferred.
5. determine the structure of the alkene produced from the E2 reaction of a substrate containing two chiral carbon atoms.
6. describe the deuterium isotope effect, and discuss how it can be used to provide evidence in support of the E2 mechanism.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

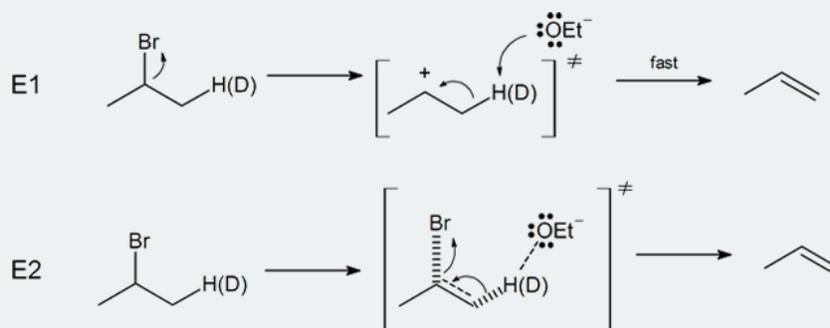
- anti periplanar
- deuterium isotope effect
- E2 reaction
- periplanar
- syn periplanar

### STUDY NOTES

An *E2 reaction* is a bimolecular elimination reaction; thus, two molecules are involved in the rate-limiting step. In this section, we are concerned with E2 reactions involving an alkyl halide and a base.

Use molecular models to assist you to understand the difference between syn periplanar and anti periplanar, and to appreciate why E2 eliminations are stereospecific.

Note that when deuterium is used the kinetic isotope effect (KIE) is referred to as the deuterium isotope effect. A C–H bond is about 5 kJ/mol weaker than a C–D bond. So if the rate-limiting step involves a breaking of this bond as it does at the E2 transition state there will be a substantial difference in reaction rates when comparing deuterated and non-deuterated analogues. Indeed, the reaction of 2-bromopropane with sodium ethoxide (NaOEt) is 6.7 times faster than its deuterated counterpart, providing evidence consistent with an E2 mechanism.



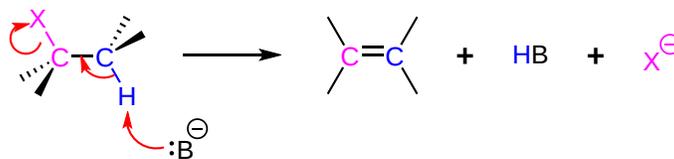
### INTRODUCTION

E2, bimolecular elimination, was proposed in the 1920s by British chemist Christopher Kelk Ingold. E2 reactions are typically seen with secondary and tertiary alkyl halides, in the presence of a base (OH<sup>-</sup>, RO<sup>-</sup>, R<sub>2</sub>N<sup>-</sup>). For a primary halide to undergo an E2 reaction a strong sterically hindered base is usually required. The products of an E2 reaction follow Zaitsev's rule, the most substituted alkene is usually the major product.

### E2 MECHANISM

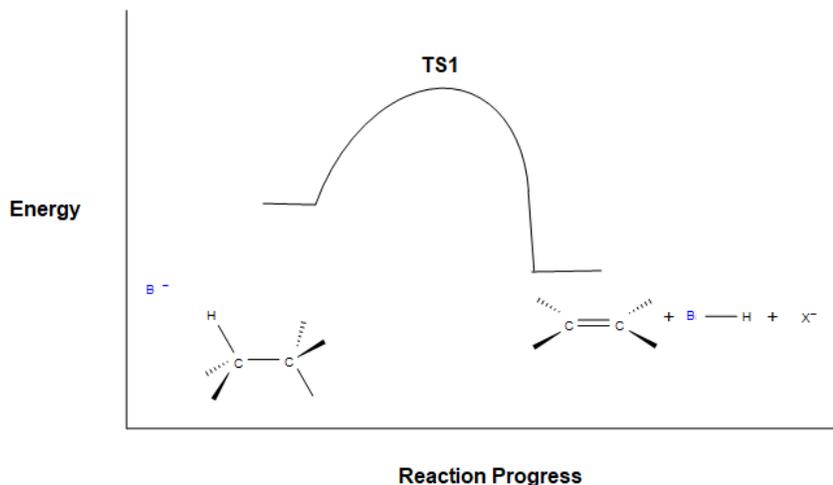
The E2 mechanism takes place in a single concerted step. The rate at which this mechanism occurs follows second order kinetics, and depends on the concentration of both the base and alkyl halide. The base removes a hydrogen from a carbon adjacent to the carbon with the leaving group. The electrons of the broken H-C bond move to form the pi bond of the neutral product alkene. In doing this, the C-X bond is

broken causing the removal of the leaving group. Overall during this reaction an electron pair is transferred from the base to the leaving group.

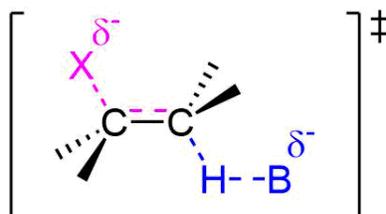


## E2 REACTION TRANSITION STATE

Because the E2 reaction is predicted to follow a concerted mechanism only one transition state is expected in its energy coordinate diagram.



As the base begins to remove a hydrogen adjacent to the leaving group an H-B is starting to form and an H-C bond is in the process of breaking. Simultaneously, a C=C pi bond forms and the C-X bond breaks. During this reaction a set of electrons and a negative charge are transferred from the base to the leaving group. This is represented by a partial negative charge being present on both the base and the leaving group in the transition state.

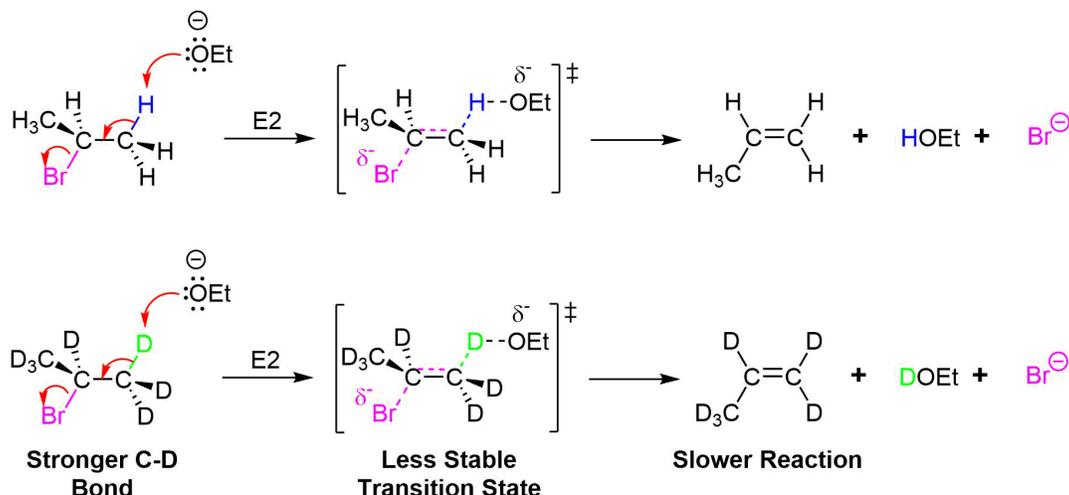


## EVIDENCE FOR THE E2 MECHANISM AND TRANSITION STATE

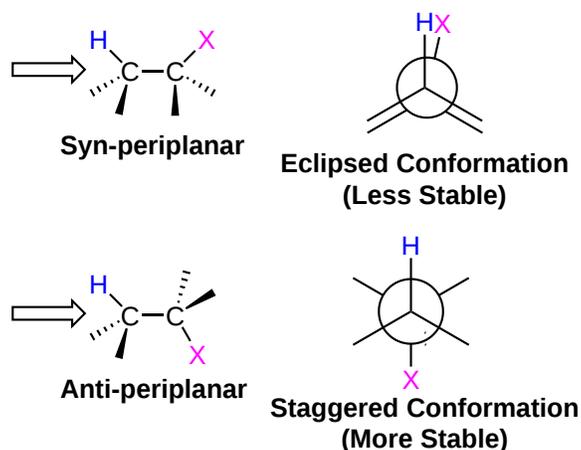
Kinetic studies of E2 reactions show that they are second order overall and follow the rate law:  $\text{rate} = k[\text{RX}][\text{Base}]$ . This data is consistent with the predicted bimolecular E2 mechanism that includes both the alkyl halide and the base participating in the mechanism's rate-determining step.

Further evidence for the predicted mechanism for the E2 reaction was provided by experiments involving the **deuterium isotope effect** (DIE). The **deuterium isotope effect** (DIE) is a phenomenon associated with molecules which have had hydrogen (H) atoms isotopically substituted with deuterium (D) atoms, exhibiting different reaction rates. The bond dissociation energy for C-D bonds is about 5 kJ/mol stronger than the bond dissociation energy of C-H bonds. This difference in energy results in a reduction in the rate of reaction, if the deuterium replacement occurs at a site of bond breaking in the rate determining step of a reaction. So if the rate-limiting step involves a breaking of this bond, as it does at the E2 transition state, there will be a substantial decrease in reaction rates when comparing deuterated and non-deuterated analogues. Indeed, the reaction of 2-bromopropane with sodium ethoxide (NaOEt) is 6.7 times faster than its deuterated counterpart, providing evidence that the C-H bond is broken during the rate determining step of the E2 mechanism. This is consistent with

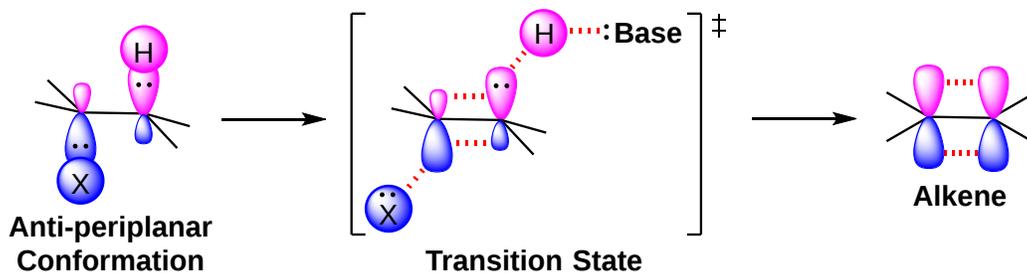
the predicted mechanism of the E2 reaction consisting of a single concerted step. If the rate-determining step was the leaving group bond breaking first (as in an E1 reaction) the deuterium substitution would not have caused an observable change in reaction rate.



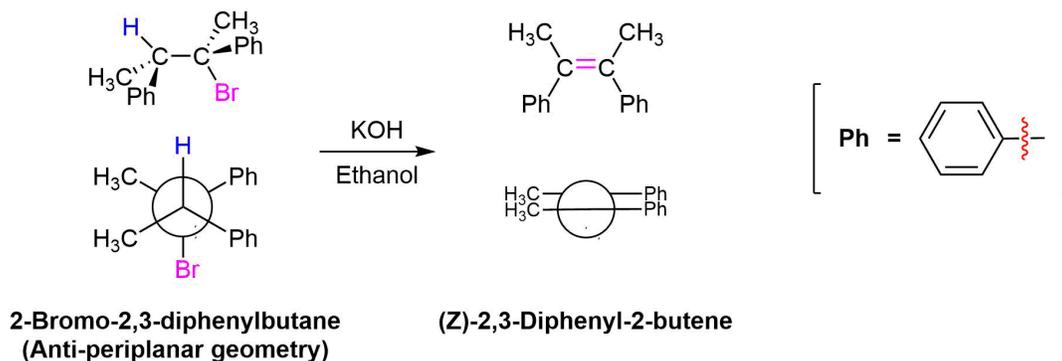
Yet another piece of evidence which supports the E2 mechanism comes when considering the stereochemistry of the elimination products. In the transition state of the E2 mechanism there are two carbons rehybridization from  $sp^3$  to  $sp^2$  while forming a  $\pi$ -bond. For good orbital overlap to occur during this change all the atoms involved in the reaction, the two carbons, the hydrogen, and the leaving group need to all lie in the same plane or **periplanar**. There are two possible ways this geometry can be achieved: **syn-periplanar** where the hydrogen and the leaving group are on the same side of the C-C bond and **anti-periplanar** where the hydrogen and the leaving group are on opposite sides of the C-C bond. To obtain a syn-periplanar geometry the substituents attached to the C-C bond must adopt an energetically unfavorable eclipsed conformation. The energy barrier to syn-orientation is such that syn-elimination is rarely observed in E2 reactions. E2 eliminations typically take place from the anti-periplanar conformation, as this is the most stable conformation due to the substituents attached to the C-C bond being staggered.



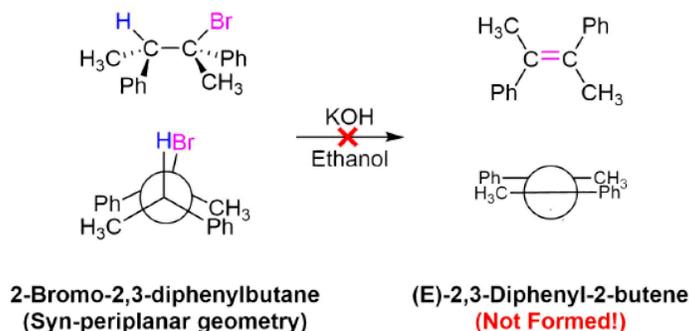
When viewing the transition state of the E2 mechanism when in the anti-periplanar conformation, the two  $sp^3$  hybridized orbitals making up the C-H and C-X sigma bonds are in the same plane. When these two carbons rehybridization from  $sp^3$  to  $sp^2$ , the p orbitals forming the  $\pi$ -bond will also have good overlap.



The stereochemical consequences of reactant molecules obtaining anti-periplanar geometry prior to E2 reactions has been observed in numerous experiments and provides further evidence of the proposed mechanism. For example, (2*S*, 3*R*)-2-bromo-2,3-diphenylbutane only forms (Z)-2,3-diphenyl-2-butene as a product of E2 elimination. To form the Z alkene isomer, the starting material must obtain the anti-periplanar geometry preferred for E2 reactions.



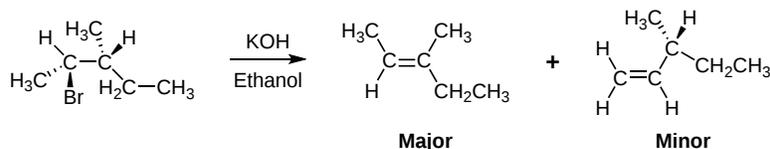
No isomeric E alkene product is formed because the starting materials would need to obtain a staggered, syn-periplanar geometry. The syn-periplanar geometry would be transferred to the transition state making it higher in energy and harder to form. The fact that only the Z alkene isomer forms provides further evidence that the E2 mechanism takes place by a single bimolecular step.



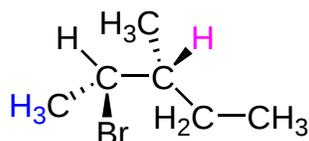
## PREDICTING THE STEREOCHEMICAL PRODUCT OF E2 REACTIONS

E2 reactions have the requirements of a leaving group and a hydrogen on a carbon adjacent to the leaving group carbon. For non-ringed compounds, the C-C can usually undergo free rotation to place the C-X and C-H bonds anti-periplanar to each other. Stereochemical considerations usually come into play when both of the carbons in the C-C bond are chiral. When these carbons are achiral but contain the other requirements for an E2 reaction, products lacking stereochemistry are usually produced.

When both of the carbons in the C-C bond are chiral, one carbon will have a leaving group and the other carbon will have a single hydrogen. To consider the stereochemistry of an E2 product, start by creating a Newman projection looking down the C-C bond. Then rotate the C-C bond until the C-X and C-H bonds are in the anti position. The Newman projection now shows the relative positioning of the substituents on the C-C bond as they will appear in the double bond formed. It should be noted that if syn elimination was possible, a positional isomer of the double bond will be created. Consider the elimination products for (2*R*, 3*R*)-2-bromo-3-methylpentane.

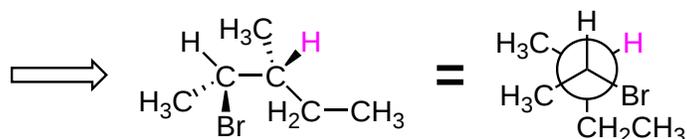


First, locate hydrogens on carbons adjacent to the leaving group. The compound used in this example has two unique sets of hydrogens.



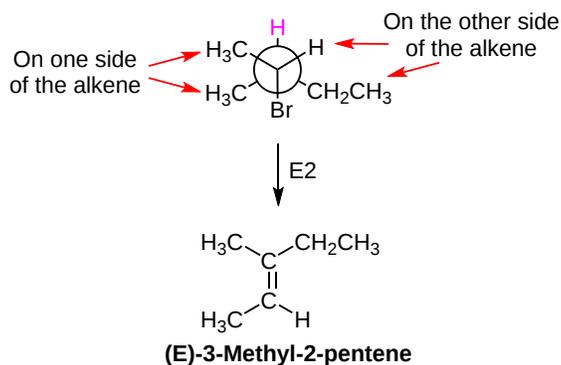
Because the adjacent hydrogen colored fuchsia is attached to a chiral carbon it requires special consideration. Convert the structure into a Newman projection along the C-C bond that will form the alkene elimination product. The C-C bond can be viewed down either direction and

still product the correct product.

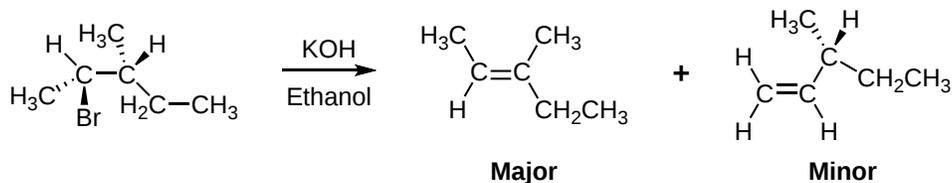


Then rotate such the the adjacent H and the leaving group are in the anti position.

This Newman projection shows the relative positioning of the substituents on the double bond formed. Note, none of the Z isomer is formed because it would require syn orientation of the H and Br.

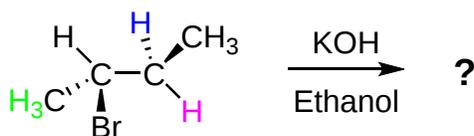


Lastly, consider the products made by other adjacent hydrogens and apply Zaitsev's rule to predict the preferred product.



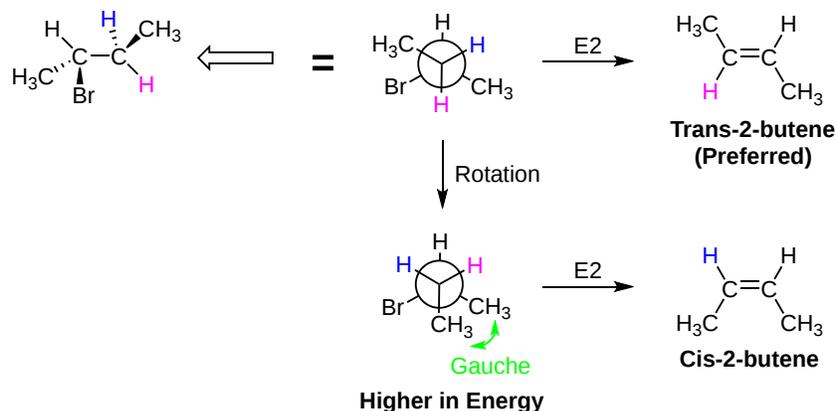
## DETERMINING CIS AND TRANS PRODUCTS IN ELIMINATION REACTION

Understanding that E2 reactions require an anti-periplanar geometry can explain cis and trans alkene isomers can form as products. This occurs as an important exception to the rule that stereoisomers only form as elimination products when both of the carbons in the C-C bond forming the alkene are chiral. When considering the E2 reaction products of (R)-2-butane, there are two groups of hydrogens on carbons adjacent to the leaving group a CH<sub>3</sub> and a CH<sub>2</sub>.

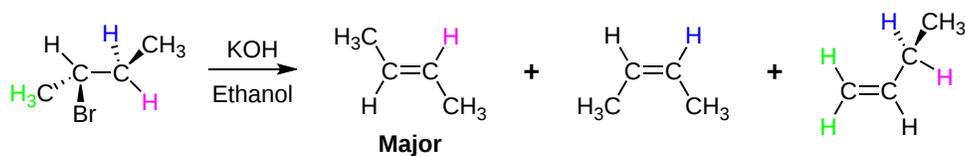


The carbon in the CH<sub>2</sub> group is achiral so both the H's appear to be equivalent. However, when the anti-periplanar geometry requirement is applied each hydrogen will produce its own unique product. Once the reactant is converted into a Newman projection it can be seen that the Br leaving group and the hydrogen colored blue are in the anti orientation and are ready to be eliminated. It should also be noted that the two CH<sub>3</sub> groups are also in the anti position which gives them the least amount of steric interaction possible. Because the CH<sub>3</sub> groups are on opposite sides of the Newman projection the CH<sub>3</sub> groups will be on opposite sides of the alkene thus creating the product *trans*-2-butene. When the Newman projection is rotated such that the fuchsia colored hydrogen is anti to the Br leaving group, the two CH<sub>3</sub> groups are now on the same. This orientation creates the isomer *cis*-2-butene. However, to obtain this orientation the two CH<sub>3</sub> groups must overcome the steric strain associated with a *gauche* conformation. This makes the transition state leading to the *cis* isomer higher in energy and more

difficult to form. Because of this, the *trans* isomer will be the major product. It is clear that the two hydrogens of the CH<sub>2</sub> group are not equivalent despite their not being attached to a chiral carbon.

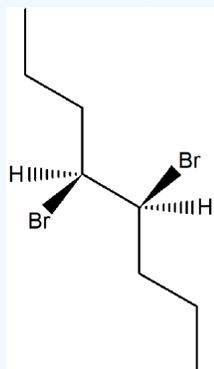


After considering all the products made during this E2 reaction the preferred product can be determined. The *cis* and *trans* isomer both have two alkene substituents while the third product only has one. Of the *cis* and *trans* isomer, the *trans* isomer is expected to be easier to form. Zaitsev's rule says that *trans*-2-butene will be the major product of this reaction.



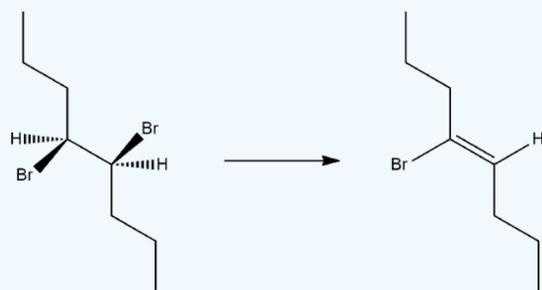
### ? EXERCISE 11.8.1

1) What is the product of the following molecule in an E2 reaction? What is the stereochemistry?



#### Answer

The stereochemistry is (*Z*) for the reaction.



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## 11.9: THE E2 REACTION AND CYCLOHEXANE CONFORMATION

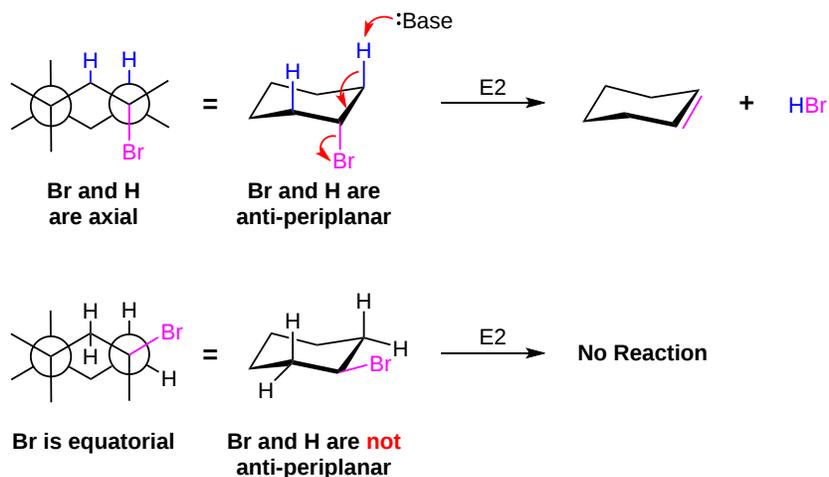
### OBJECTIVES

After completing this section, you should be able to

1. identify anti periplanar arrangements of atoms in substituted cyclohexanes.
2. determine which cyclohexane conformation will generate a specific anti periplanar arrangement.

### STEREOCHEMICAL REQUIREMENTS OF THE E2 REACTION

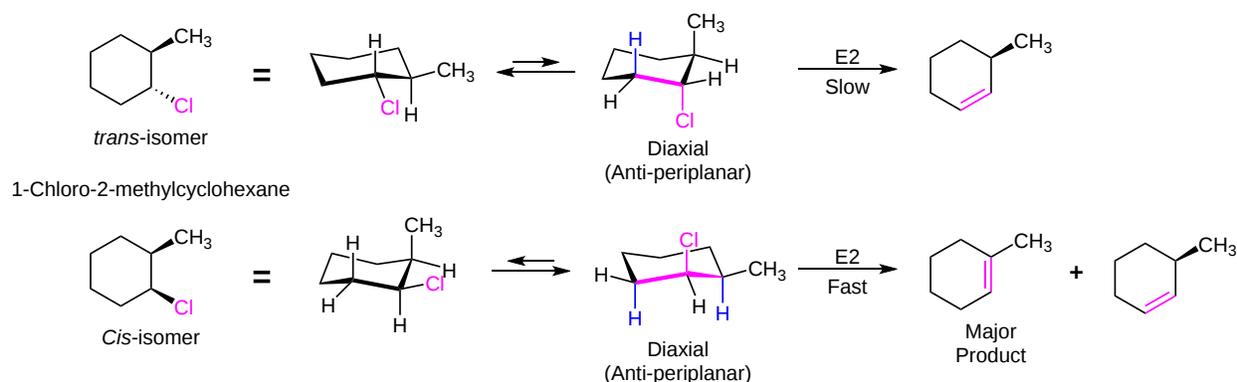
E2 elimination reactions of certain isomeric cycloalkyl halides show unusual rates and regioselectivity which can provide important supporting evidence that anti-periplanar is the preferred orientation of reactant species in the E2 transition state. Unlike open chain structures, cyclic compounds generally restrict the spatial orientation of ring substituents to relatively few arrangements. The compounds used here all have six-membered rings, so to achieve the anti-periplanar orientation required for the E2 reaction both the halogen and the adjacent hydrogen must assume an axial conformation.



For the compound, 2-methyl-1-chlorocyclohexane, the *cis* to the *trans* isomers have distinctly different reaction rates and form different preferred products. For example, *trans*-2-methyl-1-chlorocyclohexane reacts with alcoholic KOH at a much slower rate than does its *cis*-isomer. For *trans*-methyl-1-chlorocyclohexane, to obtain a chair conformation which places the chlorine substituent in the axial orientation required for E2 elimination, the methyl substituent is also forced into an axial position. Having both substituents in the axial position makes this chair conformer of the *trans*-isomer much less stable and presents an energy barrier that must be overcome for an E2 reaction to occur. When *cis*-2-methyl-1-chlorocyclohexane obtains a chair conformation which places its chlorine substituent in an axial orientation, the methyl substituent is forced into an equatorial orientation. Having one substituent axial and one equatorial makes this chair conformation of the *cis*-isomer lower in energy and thus easier to form. Consequently, the E2 reaction rates with the *trans*-isomer are slower than with the *cis*-isomer.

Furthermore, the product from E2 elimination of the *trans*-isomer is 3-methylcyclohexene (not predicted by Zaitsev's rule), whereas the *cis*-isomer gives the 1-methylcyclohexene as the preferred product (as predicted by Zaitsev's rule). The *trans*-isomer only has one adjacent hydrogen which can obtain an axial orientation along with the chlorine. The adjacent hydrogen which would lead to a 1-methylcyclohexene product cannot obtain the diaxial, anti-periplanar orientation with chlorine so an E2 reaction cannot occur. This makes 3-methylcyclohexene the preferred E2 elimination product of the *trans*-isomer showing that the anti-periplanar orientation requirement of E2 reactions is more important in determining products for this reaction than Zaitsev's rule.

In the *cis*-isomer the smaller chlorine atom assumes an axial position in the more stable chair conformation, and here there are two adjacent axial hydrogens. Removing one hydrogen forms the product, 3-methylcyclohexene, while removing the other hydrogen forms 1-methylcyclohexene. Here Zaitsev's rule determines that the more substituted alkene, 1-methylcyclohexene, is the preferred product.

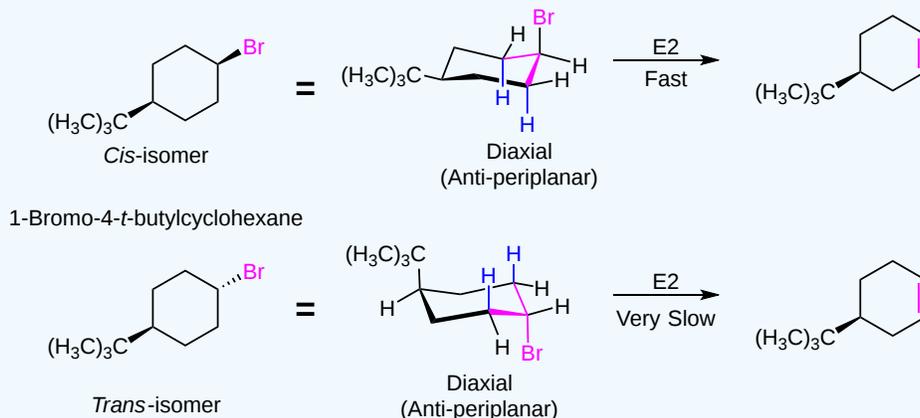


### ? WORKED EXERCISE 11.9.1

Which isomer would be expected to undergo E2 elimination quicker *cis* or *trans*-1-bromo-4-*tert*-butylcyclohexane? Explain your answer.

#### Answer

In the case of the 1-bromo-4-*tert*-butylcyclohexane isomers, the *tert*-butyl group is so large that it will always assume an equatorial orientation, leaving the bromine to be axial in the *cis*-isomer and equatorial in the *trans*-isomer. Because of symmetry, the two axial adjacent hydrogens in the *cis*-isomer react equally with base, resulting in rapid elimination to the same alkene (actually a racemic mixture). This reflects the fixed anti orientation of these hydrogens to the bromine atom. To assume a conformation having an axial bromine, the *trans*-isomer must tolerate serious crowding distortions. Such conformers are therefore present in extremely low concentration, and the rate of elimination is very slow. Indeed, substitution by hydroxide anion predominates in this situation.

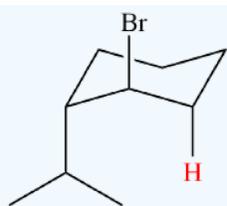


### ? EXERCISE 11.9.1

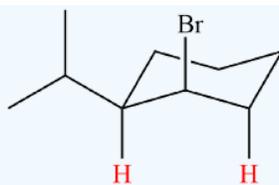
Which of the following compounds will react faster in an E2 reaction; *trans*-1-bromo-2-isopropylcyclohexane or *cis*-1-bromo-2-isopropylcyclohexane?

#### Answer

The *cis* isomer will react faster than the *trans*. The *cis* isomer has two possible perpendicular hydrogen in which it can eliminate from.



trans



cis

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## 11.10: THE E1 AND E1CB REACTIONS

### OBJECTIVES

After completing this section, you should be able to

1. write the mechanism for a typical E1 reaction.
2. explain why E1 elimination often accompanies  $S_N1$  substitution.
3. write an equation to describe the kinetics of an E1 reaction.
4. discuss the stereochemistry of E1 reactions.
5. account for the lack of a deuterium isotope effect in E1 reactions.

### KEY TERMS

Make certain that you can define, and use in context, the key terms below.

- E1 reaction
- E1cB reaction

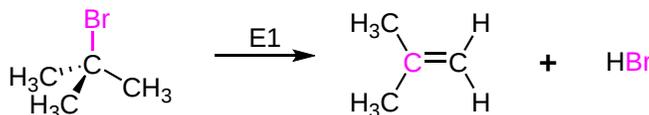
### STUDY NOTES

The abbreviation E1 stands for “unimolecular elimination”; that is, an E1 reaction is an elimination reaction in which only one species is involved in the rate-limiting step.

Unimolecular Elimination (E1) is a reaction in which the removal of an HX substituent results in the formation of a double bond. It is similar to a [unimolecular nucleophilic substitution reaction \( \$S\_N1\$ \)](#) in various ways. One being the formation of a carbocation intermediate. Also, the only rate determining (slow) step is the dissociation of the leaving group to form a carbocation, hence the name unimolecular. Thus, since these two reactions behave similarly, they compete against each other. Many times, both these reactions will occur simultaneously to form different products from a single reaction. However, one can be favored over another through thermodynamic control. Although Elimination entails two types of reactions, E1 and E2, we will focus mainly on E1 reactions with some reference to E2.

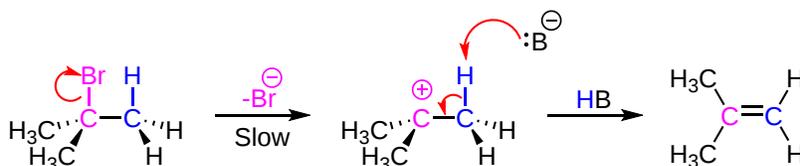
### GENERAL E1 REACTION

An E1 reaction involves the removal of the halogen leaving group followed by the deprotonation of an adjacent hydrogen to produce an alkene product. In order to accomplish this, a Lewis base is required.



### MECHANISM

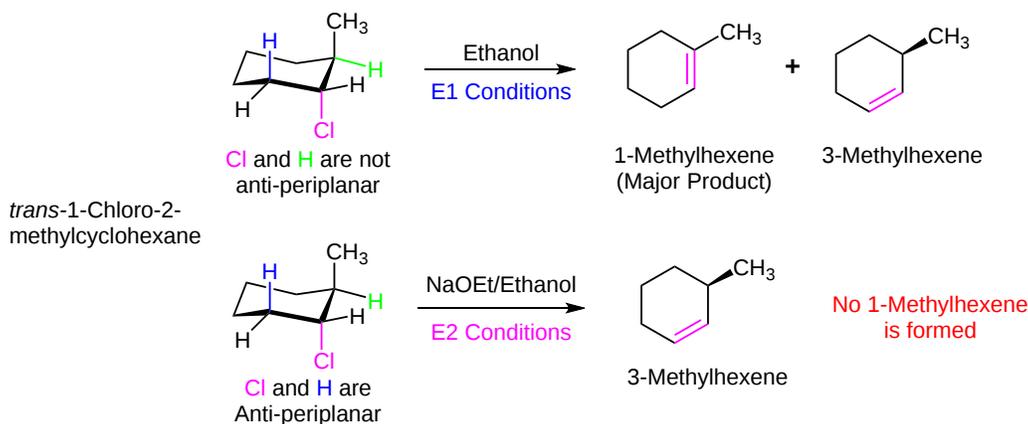
This mechanism starts with the spontaneous removal of the leaving group. The leaving group also removes the electrons from the C-Br bond, making the attached carbon a carbocation. In much the same fashion as the  $S_N1$  mechanism, the first step of the E1 mechanism is slow thus making it the rate determining step. This makes E1 reaction kinetics unimolecular and first-order with respect to the substrate. Next, a Lewis Base ( $B^-$ ) deprotonates an adjacent hydrogen from the carbocation. The electrons of the C-H bond are donated to the adjacent C-C bond, forming a double bond. Unlike E2 reactions, which require the adjacent proton to be *anti* to the leaving group, E1 reactions only require a neighboring hydrogen. This is due to the fact that the leaving group has left the molecule to form an achiral, trigonal planar carbocation.



## EVIDENCE FOR THE E1 MECHANISM

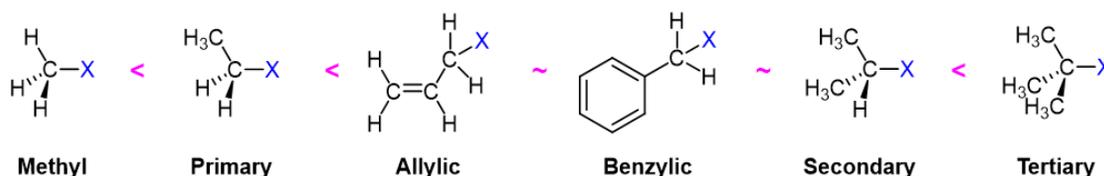
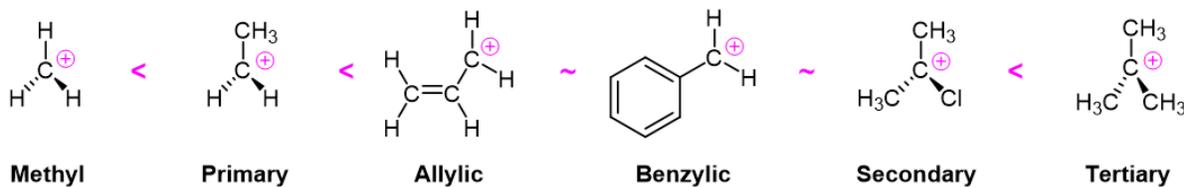
The primary evidence in support of the E1 mechanism is the fact that E1 reactions follow first-order kinetics which is consistent with the reaction mechanism containing a unimolecular dissociation as the rate determining step. Another piece of evidence is seen in the fact that E1 reactions show no deuterium isotope effect. Experiments have shown that there is no difference in reaction rate when deuterated and nondeuterated substrates are used. This is consistent with the E1 mechanism because a C-H bond is not broken in the rate determining step.

Lastly, because the halogen and hydrogen are removed in different steps, the mechanism of E1 reactions predicts that they will not require the anti-periplanar geometry required for E2 reactions. E1 reactions do not have the geometric constraints of E2 reactions discussed in **Sections 11-8** and **11-9**. In Section 11-9 an example showed that *trans*-1-Chloro-2-methylcyclohexane was capable of only forming the product 3-methylhexene during an E2 reaction due to the anti-periplanar constraints. When the same substrate is reacted under E1 conditions two elimination products (1-methylhexene and 3-methylhexene) are produced. In the substrate, the hydrogen marked as green cannot obtain anti-periplanar geometry with the Cl leaving group. Under E2 conditions this hydrogen and the Cl cannot be eliminated to form an elimination product. However, under E1 conditions the hydrogen and the Cl eliminate, despite the lack of anti-periplanar geometry, to form 1-methylhexene.



## ALKYL HALIDE REACTIVITY IN E1 REACTIONS

Due to the fact that E1 reactions create a carbocation intermediate, reactivity of alkyl halides toward E1 reaction mirror those present in S<sub>N</sub>1 reactions.

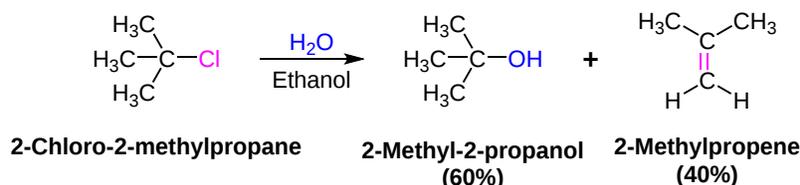


As expected, tertiary carbocations are favored over secondary, primary and methyl carbocations. This is due to the phenomena of hyperconjugation, which essentially allows a nearby C-C or C-H bond to interact with the p orbital of the carbon to bring the electrons down to a lower energy state. Thus, this has a stabilizing effect on the molecule as a whole. In general, primary and methyl carbocations do not proceed through the E1 pathway for this reason, unless there is a means of carbocation rearrangement to move the positive charge to a nearby carbon that is more stable. Secondary and Tertiary carbons form more stable carbocations, thus E1 reactions occur quite rapidly at these atoms.

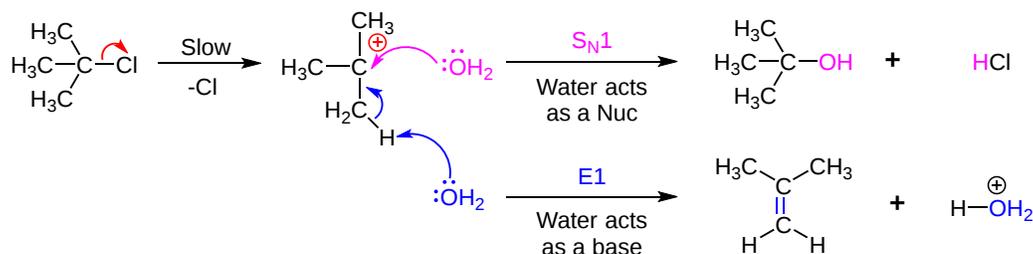
Secondary carbocations can be subject to the E2 reaction pathway, but this generally occurs in the presence of a good/strong base. Adding a weak base to the reaction disfavors E2, essentially pushing towards the E1 pathway. In many instances, solvolysis occurs rather than using a base to deprotonate. This means heat is added to the solution, and the solvent itself deprotonates a hydrogen. The medium can effect the pathway of the reaction as well. Polar protic solvents may be used to hinder nucleophiles, thus disfavoring E2/S<sub>N</sub>2 from occurring.

## THE CONNECTION BETWEEN S<sub>N</sub>1 AND E1 REACTIONS

The E1 and S<sub>N</sub>1 mechanisms both begin with the rate-determining step, the unimolecular removal of a leaving group to form a carbocation intermediate. Sharing the rate-determining step causes alkyl halides to produce both S<sub>N</sub>1 substitution and E1 elimination products whenever they are reacted with nonbasic nucleophiles in a protic solvent. For example, the hydrolysis of *tert*-butyl chloride in a solution of water and ethanol gives a mixture of 2-methyl-2-propanol (60%) and 2-methylpropene (40%) at a rate independent of the water concentration.



To produce the alcohol product, water attacks the carbocation as a nucleophile as part of an S<sub>N</sub>1 reaction. To produce the alkene product, water acts as a base and deprotonates an adjacent hydrogen as part of an E1 reaction. As expected, the mechanism of the two reactions have similar characteristics. They both show first order kinetics; neither is much influenced by a change in the nucleophile/base; and both are relatively non-stereospecific.



To summarize, when carbocation intermediates are formed one can expect them to react further by one or more of the following modes:

1. The cation may bond to a nucleophile to give a substitution product.
2. The cation may transfer a adjacent proton to a base, giving an alkene product.
3. The cation may rearrange to a more stable carbocation, and then react by mode #1 or #2.

## COMPARING E1 AND E2 MECHANISMS

When considering whether an elimination reaction is likely to occur via an E1 or E2 mechanism, we really need to consider three factors:

- 1) **The base:** strong bases favor the E2 mechanism, whereas, E1 mechanisms only require a weak base.
- 2) **The solvent:** good ionizing solvents (polar protic) favor the E1 mechanism by stabilizing the carbocation intermediate.
- 3) **The alkyl halide:** primary alkyl halides have the only structure useful in distinguishing between the E2 and E1 pathways. Since primary carbocations do not form, only the E2 mechanism is possible.

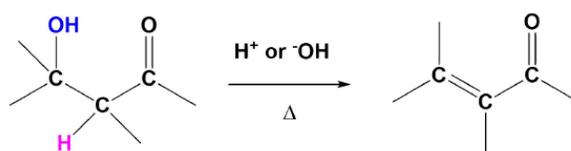
**Ultimately, whether the elimination mechanism is E1 or E2 is not very important, since the product is the same alkene. We need to remember, however, that Zaitsev's rule always determines the most likely alkene to be formed.**

Reaction Parameter	E2	E1
alkyl halide structure	tertiary > secondary > primary	tertiary > secondary >>>> primary
nucleophile	high concentration of a strong base	weak base
mechanism	1-step	2-step
rate limiting step	bimolecular transition state	carbocation formation
rate law	rate = k[R-X][Base]	rate = k[R-X]
solvent	not important	polar protic

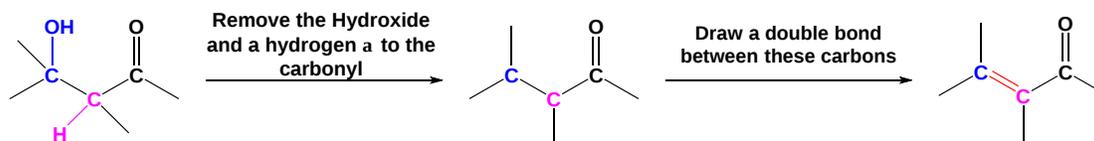
## THE E1CB REACTION

Although E1 reactions typically involve a carbocation intermediate, the E1cB reaction utilizes a carbanion intermediate. A proton adjacent to a carbonyl group is removed using a strong base. This proton is acidic because the resulting conjugate base anion is stabilized by delocalization on to the carbonyl group. This anion causes the expulsion of an adjacent leaving group to create an alkene which is conjugated with the carbonyl which is called an enone. This reaction is generally utilized when a poor leaving group, such as a hydroxide, is involved. This poor leaving group makes the direct E1 or E2 reactions difficult. This reaction is used later in a reaction called an aldol condensation which will be discussed in [Section 23-3](#).

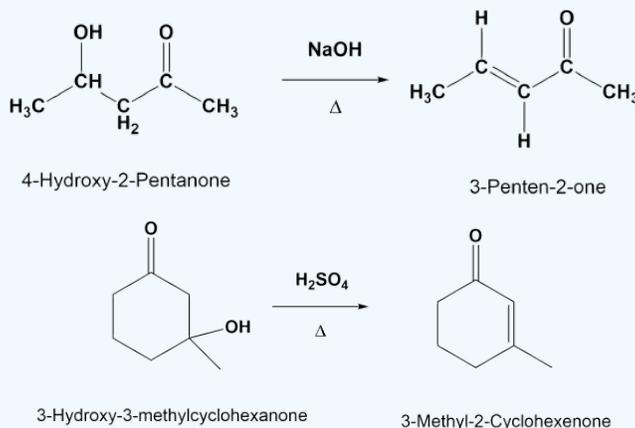
### GENERAL REACTION



### PREDICTING THE PRODUCT OF AN E1cB REACTION



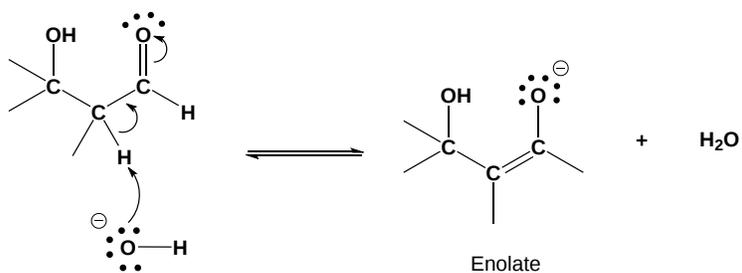
#### ✓ EXAMPLE 11.10.1



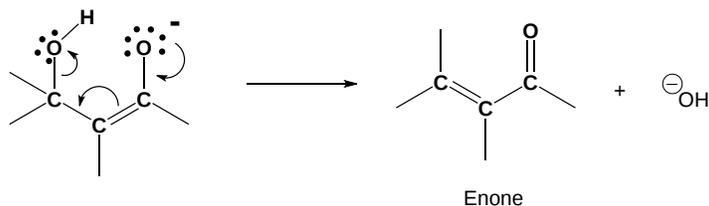
## E1cB MECHANISM

The mechanism starts with the base removing a hydrogen to form an alkoxide anion. The alkoxide reforms the carbonyl C=O bond promoting the elimination of alcohol OH as a leaving group which also reforms the base catalyst. Although the base catalyzed elimination of alcohols is rare, it happens in this case in part due to the stability of the conjugated enone product.

### 1) Deprotonation to form the anion



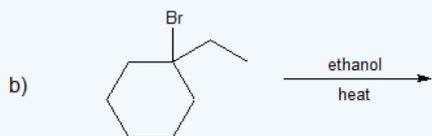
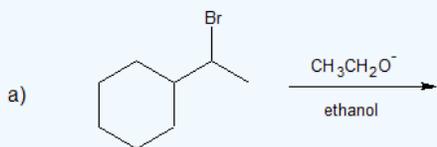
## 2) Leaving Group Removal



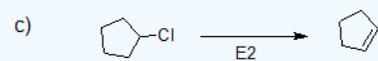
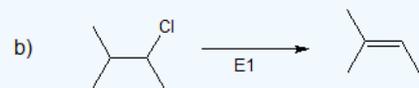
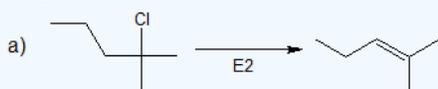
Note! The double bond always forms in conjugation with the carbonyl.

### ? EXERCISE 11.10.1

1. Predict the dominant elimination mechanism (E1 or E2) for each reaction below. Explain your reasoning.

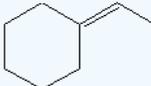


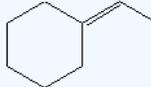
2) Specify the reaction conditions to favor the indicated elimination mechanism.



Answer

1)

a)  E2 reaction b/c secondary alkyl halide with a strong base.

b)  E1 reaction b/c tertiary alkyl halide with a weak base.

2)

a) strong base, such as hydroxide, an alkoxide, or equivalent

b) water or alcohol or equivalent weak base with heat

c) strong base, such as hydroxide, an alkoxide, or equivalent

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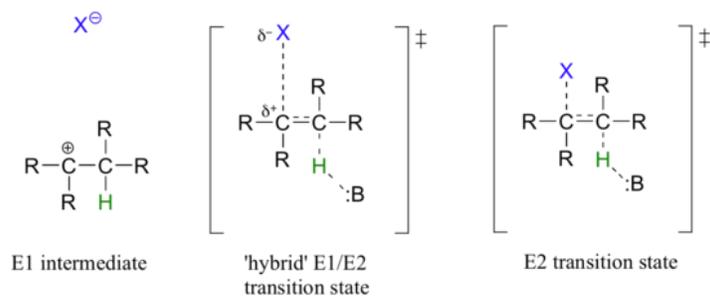
## 11.11: BIOLOGICAL ELIMINATION REACTIONS

### OBJECTIVE

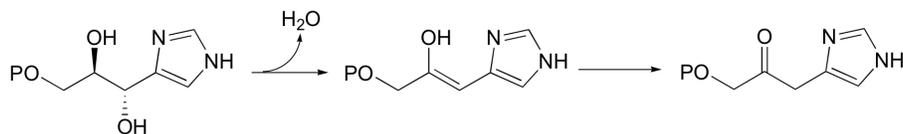
After completing this section, you should have an appreciation that E1, E2 and E1cB mechanisms exist and are well-known in biological chemistry.

### ENZYMATIC E1 AND E2 REACTIONS

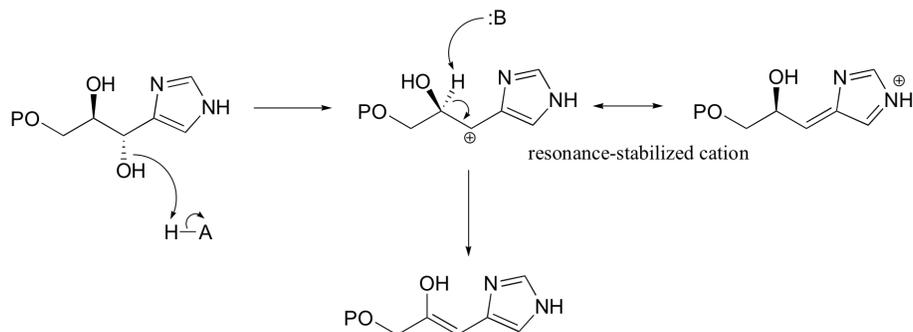
While most biochemical  $\beta$ -elimination reactions are of the E1cB type, some enzymatic E2 and E1 reactions are known. Like the enzymatic  $S_N2$  and  $S_N1$  substitution mechanisms discussed in chapters 8 and 9, the E2 and E1 models represent two possible mechanistic extremes, and actual enzymatic elimination reactions may fall somewhere in between. In an E1/E2 hybrid elimination, for example,  $C_\beta$ -X bond cleavage may be quite advanced (but not complete) before proton abstraction takes place - this would lead to the build-up of transient *partial* positive charge on  $C_\beta$ , but a discrete carbocation intermediate would not form. The extent to which partial positive charge builds up determines whether we refer to the mechanism as 'E1-like' or 'E2-like'.



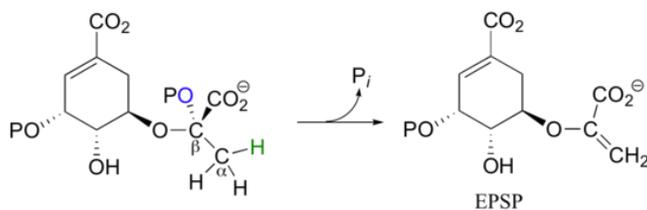
A reaction in the histidine biosynthetic pathway provides a good example of a biological E1-like elimination step (we're looking specifically here at the first, enol-forming step in the reaction below - the second step is simply a tautomerization from the enol to the ketone product (section 13.1A)).



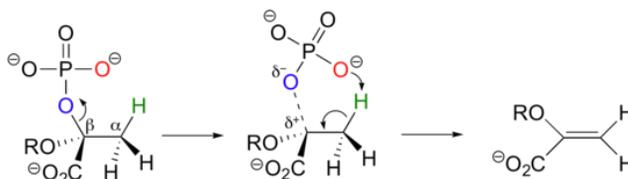
Notice in this mechanism that an E1cB elimination is not possible - there is no electron-withdrawing group (like a carbonyl) to stabilize the carbanion intermediate that would form if the proton were abstracted first. There is, however, an electron-donating group (the lone pair on a nitrogen) that can stabilize a positively-charged intermediate that forms when the water leaves.



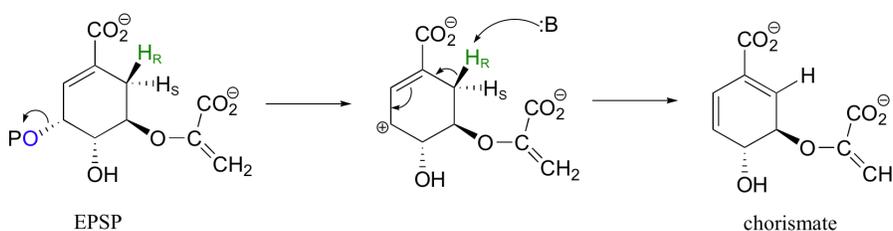
Another good example of a biological E1-like reaction is the elimination of phosphate in the formation of 5-enolpyruvylshikimate-3-phosphate (EPSP), an intermediate in the synthesis of aromatic amino acids.



Experimental evidence indicates that significant positive charge probably builds up on  $C_{\beta}$  of the starting compound, implying that C-O bond cleavage is advanced before proton abstraction occurs (notice the parallels to the Cope elimination in the previous section):

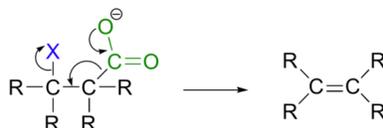


The very next step in the aromatic acid biosynthesis pathway is also an elimination, this time a 1,6-conjugated elimination rather than a simple beta-elimination.

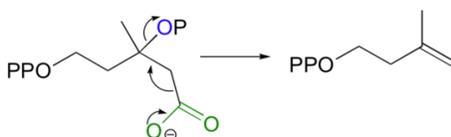


An E1-like mechanism (as illustrated above) has been proposed for this step, but other evidence suggests that a free-radical mechanism may be involved.

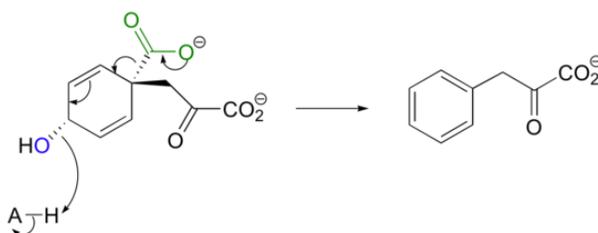
While most E1 and E2 reactions involve proton abstraction, eliminations can also incorporate a decarboxylation step.



Isopentenyl diphosphate, the 'building block' for all isoprenoid compounds, is formed from a decarboxylation-elimination reaction.



Phenylpyruvate, a precursor in the biosynthesis of phenylalanine, results from a conjugated 1,6 decarboxylation-elimination.



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## 11.12: A SUMMARY OF REACTIVITY - S<sub>N</sub>1, S<sub>N</sub>2, E1, E1CB, AND E2

### OBJECTIVES

After completing this section, you should be able to

1. determine whether a specified substrate is most likely to undergo an E1, E2, S<sub>N</sub>1 or S<sub>N</sub>2 reaction under a given set of conditions.
2. describe the conditions under which a given substrate is most likely to react by a specified mechanism (E1, E2, S<sub>N</sub>1 or S<sub>N</sub>2).

### STUDY NOTES

This section summarizes much of what has been discussed in the chapter. It focuses on how a given substrate will behave under certain conditions, but does not deal with the stereochemistry of the products.

Having discussed the many factors that influence nucleophilic substitution and elimination reactions of alkyl halides, we must now consider the practical problem of predicting the most likely outcome when a given alkyl halide is reacted with a given nucleophile. As we noted earlier, there are multiple variables to be considered, **the most important being the substitution of the alkyl halide**. S<sub>N</sub>2 reactions favor alkyl halides with little steric hindrance such as methyl halides and primary halides. In general, in order for an S<sub>N</sub>1 or E1 reaction to occur, the relevant carbocation intermediate must be relatively stable such as with tertiary halides, secondary allylic halides, and secondary benzylic halides.

The next most important variable for predicting the outcome of a reaction is **the nature of the nucleophilic reactant**. Strong nucleophiles favor S<sub>N</sub>2 substitution, and strong bases, especially strong hindered bases (such as tert-butoxide) favor E2 elimination. Weak nucleophiles that are also weak bases tend to favor S<sub>N</sub>1 and E1 reactions.

- **Good Nucleophiles Which are Weak Bases:** I<sup>-</sup>, Br<sup>-</sup>, SCN<sup>-</sup>, N<sub>3</sub><sup>-</sup>, CH<sub>3</sub>CO<sub>2</sub><sup>-</sup>, RS<sup>-</sup>, CN<sup>-</sup>, Amines, etc.
- **Good Nucleophiles Which are Strong Bases:** HO<sup>-</sup>, RO<sup>-</sup>.
- **Poor Nucleophiles which are Weak Bases:** H<sub>2</sub>O, ROH, RSH.

### GENERAL RULES FOR PREDICTING A REACTION

Consider these in the order listed.

#### Methyl Alkyl Halides

- An S<sub>N</sub>2 substitution occurs regardless if a good or poor nucleophile is used.

#### Primary Alkyl Halides

- An E2 elimination occurs if a strong, sterically hindered base is used.
- An E1cB elimination occurs if a strong base is used and the leaving group is two carbons away from a carbonyl group.
- An S<sub>N</sub>2 substitution occurs if a good nucleophile is used.

#### Secondary Alkyl Halides

- An E1cB elimination occurs if a strong base is used and the leaving group is two carbons away from a carbonyl group.
- An E2 elimination occurs if a strong base is used.
- An S<sub>N</sub>2 reaction occurs if a good nucleophile that is a weak base is used in a polar aprotic solvent.
- An S<sub>N</sub>1 reaction along with an E1 reaction occurs if a poor nucleophile that is a weak base is used in a protic solvent.

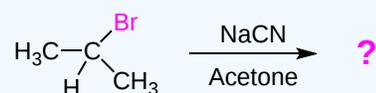
#### Tertiary Alkyl Halides

- An E1cB elimination occurs if a strong base is used and the leaving group is two carbons away from a carbonyl group.
- An E2 elimination occurs if a strong base is used.
- An S<sub>N</sub>1 reaction along with an E1 reaction occurs if a poor nucleophile that is a weak base is used in a protic solvent.

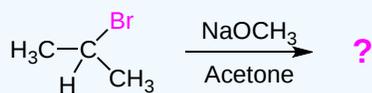
### ? WORKED EXAMPLE 11.12.1

1) For the following, please determine what kind of reaction is occurring and predict the product(s).

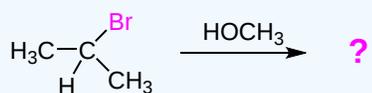
a)



b)

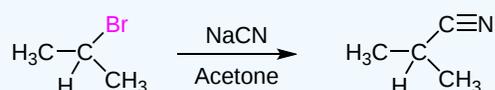


c)



### Answer

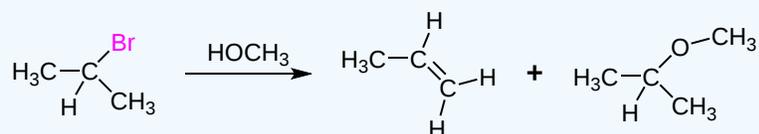
a) The substrate is a secondary halide so the product is determined by the nature of the nucleophile used in the reaction. Cyanide ( $\text{CN}^-$ ) is a good nucleophile which is a weak base. The fact that the nucleophile is a weak base means that an  $\text{E}_2$  reaction is not favored. Also, the fact that cyanide is a good nucleophile means that  $\text{S}_{\text{N}}2$  substitutions are favored over  $\text{S}_{\text{N}}1$ . When a secondary halide is reacted with a good nucleophile which is a weak base, the preferred reaction is  $\text{S}_{\text{N}}2$ . After  $\text{S}_{\text{N}}2$  substitution the product is a nitrile.



b) The substrate is a secondary halide so the product is determined by the nature of the nucleophile used in the reaction. Methoxide ( $\text{OCH}_3^-$ ) is a strong base so it could prefer to remove a hydrogen from the substrate. This makes an  $\text{E}_2$  elimination the preferred reaction and an alkene the product.

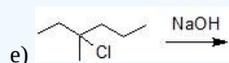
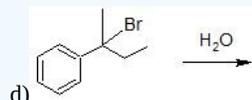
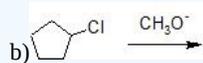
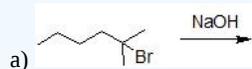


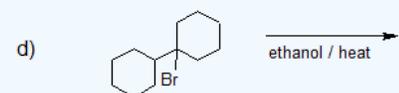
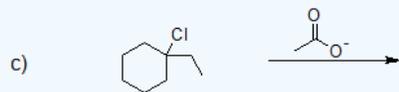
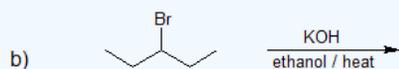
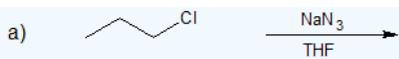
c) The substrate is a secondary halide so the product is determined by the nature of the nucleophile used in the reaction. Methanol ( $\text{HOCH}_3$ ) is a weak nucleophile that is a weak base. Being a weak base means that methanol is not capable of actively removing a hydrogen to cause an  $\text{E}_2$  reaction. Also, because methanol is a weak nucleophile is not capable of attacking the substrate and causing an  $\text{S}_{\text{N}}2$  reaction. For the methanol nucleophile to react the substrate must first eject its leaving group to form the highly reactive carbocation intermediate. Formation of the carbocation is the rate-determining step for both the  $\text{S}_{\text{N}}1$  and  $\text{E}_1$  reactions so they each form a separate product.



### ? EXERCISES 11.12.1

1. Identify the dominant reaction mechanism ( $\text{S}_{\text{N}}1$ ,  $\text{S}_{\text{N}}2$ ,  $\text{E}_1$ , or  $\text{E}_2$ ) and predict the major product for the following reactions.



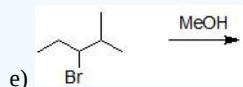
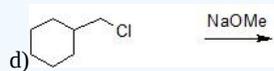
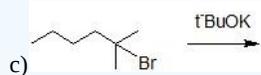
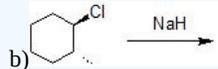
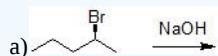


2) Identify the function of the following reagents. The reagents will be a strong/weak nucleophile and/or a strong/weak base.

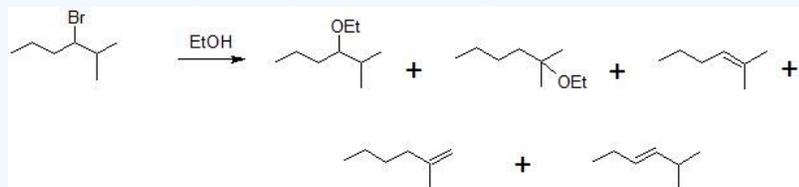
- $\text{Cl}^-$
- $\text{NaH}$
- $t\text{-BuO}^-$
- $\text{OH}^-$
- $\text{H}_2\text{O}$
- $\text{HS}^-$
- $\text{MeOH}$

3) Identify which mechanism the following reactions would undergo.

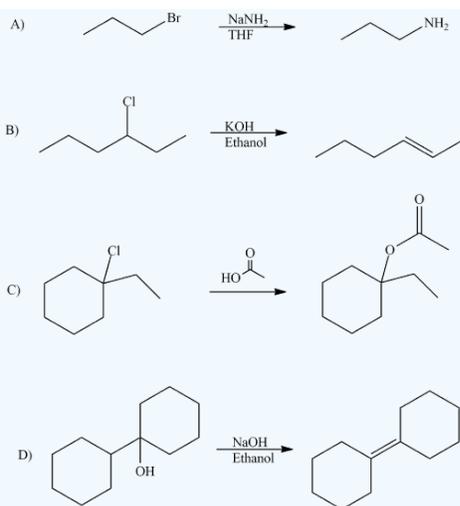
4) Identify all the products of the following reactions and specify the major product.



5) The following reaction yields five different products. Give the mechanisms for how each is formed.

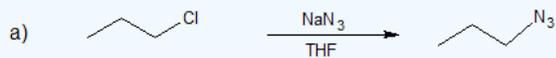


6) Label the following reactions as  $\text{S}_{\text{N}}1$ ,  $\text{S}_{\text{N}}2$ , E1, or E2.

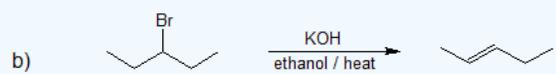


**Answer**

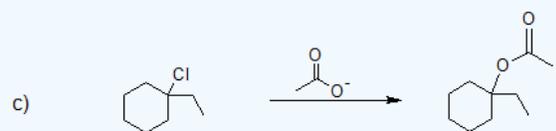
1)



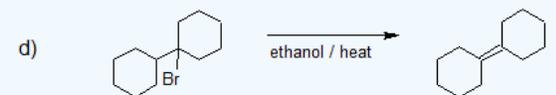
$S_N2$  b/c primary alkyl halide and strong nucleophile that is a weak base in a polar aprotic solvent



E2 b/c secondary alkyl halide and a strong base heated



$S_N1$  b/c tertiary alkyl halide with a weak nucleophile that is a weak base



E1 b/c tertiary alkyl halide with a weak base heated

2)

a)  $Cl^-$  ; strong nucleophile

b) NaH ; strong base

c)  $t-BuO^-$  ; strong base

d)  $OH^-$  ; strong nucleophile ; strong base

e)  $H_2O$  ; weak nucleophile ; weak base

f)  $HS^-$  ; strong nucleophile

g) MeOH ; weak nucleophile ; weak base

3)

a) E2,  $S_N1$

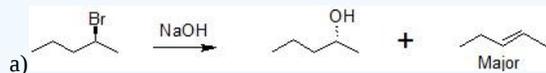
b)  $S_N2$ , E2

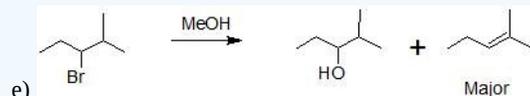
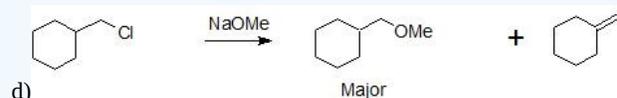
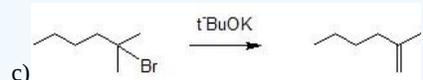
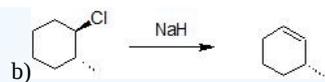
c)  $S_N2$

d)  $S_N1$ , E1

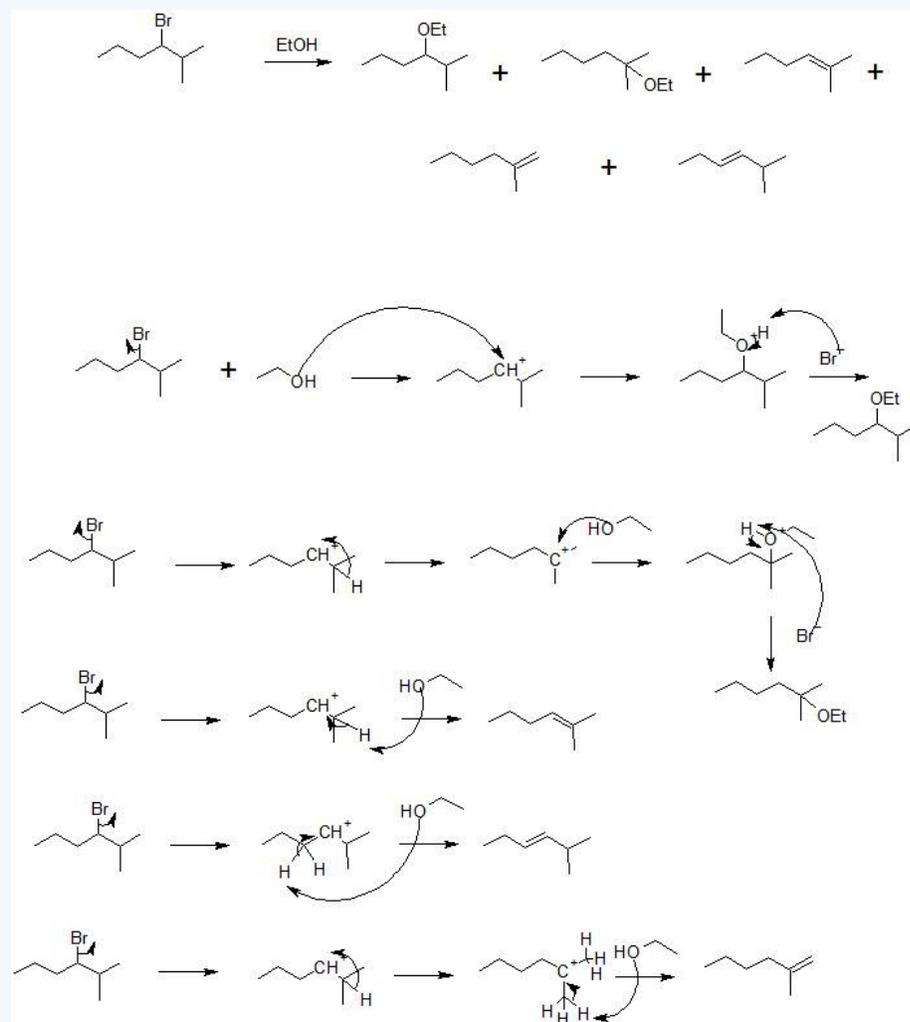
e) E2,  $S_N1$

4)





5)



6)

- A – S<sub>N</sub>2
- B – E1
- C – S<sub>N</sub>1
- D – E2

- [11.12: A Summary of Reactivity - SN1, SN2, E1, E1cB, and E2](#) by Dietmar Kennepohl, William Reusch is licensed [CC BY-SA 4.0](#).

## 11.S: REACTIONS OF ALKYL HALIDES - NUCLEOPHILIC SUBSTITUTIONS AND ELIMINATIONS (SUMMARY)

### CONCEPTS & VOCABULARY

#### 11.1 Introduction

- Alkyl halides react as electrophiles and undergo nucleophilic substitution and elimination reactions.

#### 11.2 The Discovery of Nucleophilic Substitution Reactions

- Some nucleophilic substitution reactions invert stereochemistry at the reactive carbon.

#### 11.3 The S<sub>N</sub>2 Reaction

- Reaction steps with two molecules involved in the rate determining step are called bimolecular.
- A substitution mechanism that has the nucleophile entering at the same time the leaving group leaves, in a concerted step, is called S<sub>N</sub>2 - substitution nucleophilic bimolecular.
- Concerted substitution mechanisms (S<sub>N</sub>2) occur via backside attack, which causes inversion of the carbon where the reaction occurs.
- Rates of S<sub>N</sub>2 reactions depend on concentration of nucleophile and alkyl halide.

#### 11.4 Characteristics of the S<sub>N</sub>2 Reaction

- S<sub>N</sub>2 reactions are concerted.
- Sterically hindered substrates reduce S<sub>N</sub>2 reaction rate.
- A **transition state** in a reaction mechanism is the highest energy point on a pathway from reactants to an intermediate or products.
- Larger groups (such as alkyl vs. hydrogen) cause greater steric repulsion in S<sub>N</sub>2 **transition states**, reducing rates of S<sub>N</sub>2 reactions.
- Groups that have electron-rich atoms are typically good nucleophiles.
- In general, stronger bases are better nucleophiles.
- Polar aprotic solvents increase rates of S<sub>N</sub>2 reactions.
- Polar protic solvents decrease rates of S<sub>N</sub>2 reactions.
- As basicity of leaving groups decreases, their ability to leave increases.

#### 11.5 The S<sub>N</sub>1 Reaction

- A substitution mechanism that occurs with the leaving group leaving in the first step, creating a carbocation intermediate, followed by the nucleophile entering is called S<sub>N</sub>1 - substitution nucleophilic unimolecular.
- S<sub>N</sub>1 reactions occur through a stepwise mechanism.
- The first step (dissociation) of an S<sub>N</sub>1 mechanism is rate limiting.
- In S<sub>N</sub>1 reactions the nucleophile is not involved in the rate limiting step, therefore nucleophile strength or concentration do not affect the rate.
- The intermediate for S<sub>N</sub>1 mechanisms contains a planar carbocation. The nucleophile can then enter from either side of the molecule giving racemic products with no additional stereocenters in the molecule.

#### 11.6 Characteristics of the S<sub>N</sub>1 Reaction

- Polar solvents increase rates of S<sub>N</sub>1 reactions.
- Better leaving groups increase rates of S<sub>N</sub>1 and S<sub>N</sub>2 reactions.
- Predicting whether a reaction will follow an S<sub>N</sub>1 or S<sub>N</sub>2 mechanism requires analysis of:
  - Electrophile - primary favor S<sub>N</sub>2, tertiary (and allyl or benzyl) favor S<sub>N</sub>1, secondary depends on other factors
  - Nucleophile - strong favor S<sub>N</sub>2, weak favor S<sub>N</sub>1
  - Solvent - polar aprotic favor S<sub>N</sub>2, polar protic favor S<sub>N</sub>1

#### 11.7 Biological Substitution Reactions

- When biological substitution reactions occur, the electrophiles are often different though the mechanisms are primarily the same.

#### 11.8 Elimination Reactions - Zaitsev's Rule

- The major product of Elimination reactions is the product with the more substituted double bond. This is known as Zaitsev's rule.

#### 11.9 The E2 Reaction and Deuterium Isotope Effect

- The E2 mechanism is concerted with the base removing a proton and the leaving group leaving at the same time.
- Since E2 mechanisms are concerted, both the base and the electrophile are present in the rate equation.
- E2 reactions require strong bases and polar aprotic solvents.

- Kinetic Isotope Effects can provide evidence for E2 mechanisms since they can show when breaking of the C-H bond is part of the rate-determining step.

#### 11.10 The E2 Reaction and Cyclohexane Conformation

- E2 reactions of cyclic structures show necessity for anti orientation of the proton being removed and the leaving group.

#### 11.11 The E1 and E1cB Reactions

- E1 mechanisms begin with a leaving group leaving which forms a carbocation intermediate, which is then deprotonated in a second step.
- E1 mechanisms are step-wise.
- More substituted electrophiles are more reactive in E1 reactions.
- Zaitsev products are preferred, similarly to E2 reactions.
- E1 and S<sub>N</sub>1 proceed via the same carbocation intermediate and the same rate-determining step so typically happen concurrently.
- E1cB reactions begin with deprotonation (usually resulting in a resonance stabilized carbanion), followed by loss of the leaving group in the second step.

#### 11.12 Biological Elimination Reactions

- There are many important examples of biological elimination reactions.

#### 11.13 A Summary of Reactivity - S<sub>N</sub>1, S<sub>N</sub>2, E1, E1cB, and E2

### SKILLS TO MASTER

- Skill 11.1 Draw S<sub>N</sub>1/S<sub>N</sub>2 mechanisms showing appropriate stereochemistry.
- Skill 11.2 Explain when S<sub>N</sub>1/S<sub>N</sub>2 mechanisms are likely to occur.
- Skill 11.3 Describe/draw the intermediate for an S<sub>N</sub>1 mechanism and transition state(s) for S<sub>N</sub>1/S<sub>N</sub>2 mechanisms.
- Skill 11.4 Write out rate laws for S<sub>N</sub>1/S<sub>N</sub>2 mechanisms.
- Skill 11.5 Differentiate between which mechanism is more likely between S<sub>N</sub>1/S<sub>N</sub>2.
- Skill 11.6 Draw reaction coordinate diagrams for S<sub>N</sub>1/S<sub>N</sub>2 mechanisms.
- Skill 11.7 Explain how the electrophile, nucleophile, leaving group, and solvent affect S<sub>N</sub>1/S<sub>N</sub>2 mechanisms.
- Skill 11.8 Recognize use of nucleophilic substitution and elimination reactions in biological systems.
- Skill 11.9 Draw E1/E2 mechanisms showing appropriate stereochemistry.
- Skill 11.10 Explain when E1/E2 mechanisms are likely to occur.
- Skill 11.11 Describe/draw the intermediate for an E1 mechanism and transition state(s) for E1/E2 mechanisms.
- Skill 11.12 Write out rate laws for E1/E2 mechanisms.
- Skill 11.13 Differentiate between which mechanism is more likely between E1/E2.
- Skill 11.14 Draw reaction coordinate diagrams for E1/E2 mechanisms.
- Skill 11.15 Explain how kinetic isotope effects can be used to support or refute a proposed mechanism.
- Skill 11.16 Draw an E1cB mechanism and explain when it is a viable option.
- Skill 11.17 Differentiate between which mechanism is more likely between S<sub>N</sub>1/S<sub>N</sub>2 and E1/E2.

### MEMORIZATION TASKS (MT)

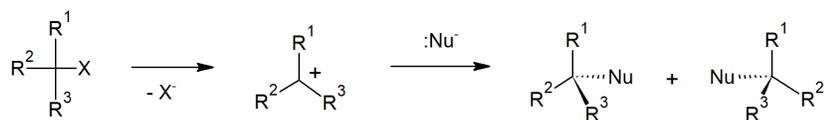
MT 11.1 Memorize the order of good leaving groups.

MT 11.2 Memorize which solvents are polar protic and polar aprotic.

MT 11.3 Memorize the stability order of carbocations.

### SUMMARY OF REACTIONS

#### Nucleophilic Substitutions

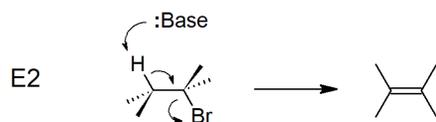
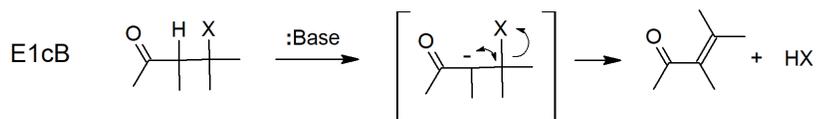
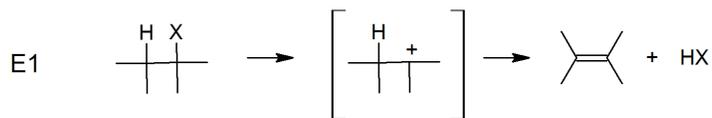


$S_N1$  (racemic mix of *R* and *S* products)



$S_N2$  (inverted product)

### Eliminations



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## Glossary

**3' End** | The end of a nucleic acid chain with a free hydroxyl group at C3'.

**5' End** | The end of a nucleic acid chain with a free hydroxyl group at C5'.

**Absolute configuration** | The exact three-dimensional structure of a chiral molecule. Absolute configurations are specified verbally by the Cahn–Ingold–Prelog *R,S* convention.

**Absorbance (*A*)** | In optical spectroscopy, the logarithm of the intensity of the incident light divided by the intensity of the light transmitted through a sample;  $A = \log I_0/I$ .

**Absorption spectrum** | A plot of wavelength of incident light versus amount of light absorbed. Organic molecules show absorption spectra in both the infrared and the ultraviolet regions of the electromagnetic spectrum.

**Acetals** | A type of functional group consisting of two –OR groups bonded to the same carbon,  $R_2C(OR)_2$ . Acetals are often used as protecting groups for ketones and aldehydes.

**Acetoacetic ester synthesis** | The synthesis of a methyl ketone by alkylation of an alkyl halide with ethyl acetoacetate, followed by hydrolysis and decarboxylation.

**Acetyl group** | The  $CH_3CO^-$  group.

**Acetylide anion** | The anion formed by removal of a proton from a terminal alkyne,  $\text{R-C}\equiv\text{C}^-$ .

**Achiral** | Having a lack of handedness. A molecule is achiral if it has a plane of symmetry and is thus superimposable on its mirror image.

**Acid anhydrides** | A type of functional group with two acyl groups bonded to a common oxygen atom,  $RCO_2COR'$ .

**Acid halides** | A type of functional group with an acyl group bonded to a halogen atom,  $RCOX$ .

**Acidity constant** | A measure of acid strength. For any acid HA, the acidity constant is given by the expression  $K_a = \frac{[\text{H}_3\text{O}^+][\text{A}^-]}{[\text{HA}]}$ .

**Activating groups** | Electron-donating groups such as hydroxyl (–OH) or amino (–NH<sub>2</sub>) that increase the reactivity of an aromatic ring toward electrophilic aromatic substitution.

**Activation energy** | The difference in energy between ground state and transition state in a reaction. The amount of activation energy determines the rate at which the reaction proceeds. Most organic reactions have activation energies of 40–100 kJ/mol.

**Active site** | The pocket in an enzyme where a substrate is bound and undergoes reaction.

**Acyclic diene metathesis (ADMET)** | A method of polymer synthesis that uses the olefin metathesis reaction of an open-chain diene.

**Acyl group** | A –COR group.

**Acyl phosphates** | A type of functional group with an acyl group bonded to a phosphate,  $RCO_2PO_3^{2-}$ .

**Acylation** | The introduction of an acyl group, –COR, onto a molecule. For example, acylation of an alcohol yields an ester, acylation of an amine yields an amide, and acylation of an aromatic ring yields an alkyl aryl ketone.

**Acylium ion** | A resonance-stabilized carbocation in which the positive charge is located at a carbonyl-group carbon,  $R-C^+=O \leftrightarrow R-C\equiv O^+$ . Acylium ions are intermediates in Friedel–Crafts acylation reactions.

**Adams' catalyst** | The PtO<sub>2</sub> catalyst used for alkene hydrogenations.

**Addition reactions** | Occur when two reactants add together to form a single product with no atoms left over.

**Adrenocortical hormones** | Steroid hormones secreted by the adrenal glands. There are two types of these hormones: mineralocorticoids and glucocorticoids.

**Alcohols** | A class of compounds with an –OH group bonded to a saturated, *sp*<sup>3</sup>-hybridized carbon, ROH.

**Aldaric acid** | The dicarboxylic acid resulting from oxidation of an aldose.

**Aldehydes (RCHO)** | A class of compounds containing the –CHO functional group.

**Alditol** | The polyalcohol resulting from reduction of the carbonyl group of a sugar.

**Aldol reaction** | The carbonyl condensation reaction of an aldehyde or ketone to give a  $\beta$ -hydroxy carbonyl compound.

**Aldonic acids** | Monocarboxylic acids resulting from oxidation of the –CHO group of an aldose.

**Aldoses** | A type of carbohydrate with an aldehyde functional group.

**Alicyclic** | A nonaromatic cyclic hydrocarbon such as a cycloalkane or cycloalkene.

**Aliphatic** | A nonaromatic hydrocarbon such as a simple alkane, alkene, or alkyne.

**Alkaloids** | Naturally occurring organic bases, such as morphine.

**Alkanes** | A class of compounds of carbon and hydrogen that contains only single bonds.

**Alkene** | A hydrocarbon that contains a carbon–carbon double bond,  $R_2C=CR_2$ .

**Alkoxide ion** | The anion formed by deprotonation of an alcohol.

**Alkoxymercuration** | A method for synthesizing ethers by mercuric-ion catalyzed addition of an alcohol to an alkene followed by demercuration on treatment with NaBH<sub>4</sub>.

**Alkyl group** | The partial structure that remains when a hydrogen atom is removed from an alkane.

**Alkyl halide** | A compound with a halogen atom bonded to a saturated, *sp*<sup>3</sup>-hybridized carbon atom.

**Alkylamines** | Amino-substituted alkanes RNH<sub>2</sub>, R<sub>2</sub>NH, or R<sub>3</sub>N.

**Alkylation** | Introduction of an alkyl group onto a molecule. For example, aromatic rings can be alkylated to yield arenes, and enolate anions can be alkylated to yield  $\alpha$ -substituted carbonyl compounds.

**Alkyne** | A hydrocarbon that contains a carbon–carbon triple bond,  $CRRC\equiv CR$ .

**Allyl group** | A  $H_2C=CHCH_2-$  substituent.

**Allylic** | The position next to a double bond. For example,  $H_2C=CHCH_2Br$  is an allylic bromide.

**Amides** | A class of compounds containing the –CONR<sub>2</sub> functional group.

**Amidomalonic acid synthesis** | A method for preparing  $\alpha$ -amino acids by alkylation of diethyl amidomalonic acid with an alkyl halide followed by deprotection and decarboxylation.

**Amines** | A class of compounds containing one or more organic substituents bonded to a nitrogen atom, RNH<sub>2</sub>, R<sub>2</sub>NH, or R<sub>3</sub>N.

**Amino acid** | See  $\alpha$ -Amino acid.

**Amino sugar** | A sugar with one of its –OH groups replaced by –NH<sub>2</sub>.

**Amphiprotic** | Capable of acting either as an acid or as a base. Amino acids are amphiprotic.

**Amplitude** | The height of a wave measured from the midpoint to the maximum. The intensity of radiant energy is proportional to the square of the wave's amplitude.

**Anabolic steroids** | Synthetic androgens that mimic the tissue-building effects of natural testosterone.

**Anabolism** | The group of metabolic pathways that build up larger molecules from smaller ones.

**Androgen** | A male steroid sex hormone.

**Angle strain** | The strain introduced into a molecule when a bond angle is deformed from its ideal value. Angle strain is particularly important in small-ring cycloalkanes, where it results from compression of bond angles to less than their ideal tetrahedral values.

**Annulation** | The building of a new ring onto an existing molecule.

**Anomeric center** | The hemiacetal carbon atom in the cyclic pyranose or furanose form of a sugar.

**Anomers** | Cyclic stereoisomers of sugars that differ only in their configuration at the hemiacetal (anomeric) carbon.

**Antarafacial** | A pericyclic reaction that takes place on opposite faces of the two ends of a  $\pi$  electron system.

**Anti conformation** | The geometric arrangement around a carbon–carbon single bond in which the two largest substituents are 180° apart as viewed in a Newman projection.

**Anti periplanar** | Describing the stereochemical relationship in which two bonds on adjacent carbons lie in the same plane at an angle of 180°.

**Anti stereochemistry** | The opposite of syn. An anti addition reaction is one in which the two ends of the double bond are attacked from different sides. An anti elimination reaction is one in which the two groups leave from opposite sides of the molecule.

**Antiaromatic** | Referring to a planar, conjugated molecule with  $4n$   $\pi$  electrons. Delocalization of the  $\pi$  electrons leads to an increase in energy.

**Antibonding MO** | A molecular orbital that is higher in energy than the atomic orbitals from which it is formed.

**Anticodon** | A sequence of three bases on tRNA that reads the codons on mRNA and brings the correct amino acids into position for protein synthesis.

**Antisense strand** | The template, noncoding strand of double-helical DNA that does not contain the gene.

**Arene** | An alkyl-substituted benzene.

**Arenediazonium salt** | An aromatic compound  $Ar-N\equiv N^+X^-$ ; used in the Sandmeyer reaction.

**Aromaticity** | The special characteristics of cyclic conjugated molecules, including unusual stability and a tendency to undergo substitution reactions rather than addition reactions on treatment with electrophiles. Aromatic molecules are planar, cyclic, conjugated species with  $4n + 2$   $\pi$  electrons.

**Arylamines** | Amino-substituted aromatic compounds,  $ArNH_2$ .

**Atactic** | A chain-growth polymer in which the stereochemistry of the substituents is oriented randomly along the backbone.

**Atomic mass** | The weighted average mass of an element's naturally occurring isotopes.

**Atomic number** | The number of protons in the nucleus of an atom.

**ATZ Derivative** | An anilinothiazolinone, formed from an amino acid during Edman degradation of a peptide.

**Aufbau principle** | The rules for determining the electron configuration of an atom.

**Axial bonds** | Bonds or positions in chair cyclohexane that lie along the ring axis, perpendicular to the rough plane of the ring.

**Azide synthesis** | A method for preparing amines by  $S_N2$  reaction of an alkyl halide with azide ion, followed by reduction.

**Azo compounds** | A class of compounds with the general structure  $\text{R-N=N-R'}$ .

**Backbone** | The continuous chain of atoms running the length of a protein or other polymer.

**Base peak** | The most intense peak in a mass spectrum.

**Basicity constant** | A measure of base strength in water. For any base B, the basicity constant is given by the expression  $\text{H}_2\text{O} \rightleftharpoons \text{BH}^+ + \text{OH}^-$   $K_b = \frac{[\text{BH}^+][\text{OH}^-]}{[\text{B}]\text{H}_2\text{O}} \rightleftharpoons \text{BH}^+ + \text{OH}^-$   $K_b = \frac{[\text{BH}^+][\text{OH}^-]}{[\text{B}]}$

**Bent bonds** | The bonds in small rings such as cyclopropane that bend away from the internuclear line and overlap at a slight angle, rather than head-on. Bent bonds are highly strained and highly reactive.

**Benzoyl** | The  $\text{C}_6\text{H}_5\text{CO-}$  group.

**Benzyl** | The  $\text{C}_6\text{H}_5\text{CH}_2\text{-}$  group.

**Benzylic** | The position next to an aromatic ring.

**Benzynes** | An unstable compound having a triple bond in a benzene ring.

**Betaine** | A neutral dipolar molecule with nonadjacent positive and negative charges. For example, the adduct of a Wittig reagent with a carbonyl compound is a betaine.

**Bicycloalkane** | A cycloalkane that contains two rings.

**Bimolecular reaction** | A reaction whose rate-limiting step occurs between two reactants.

**Block copolymers** | Polymers in which different blocks of identical monomer units alternate with one another.

**Boat cyclohexane** | A conformation of cyclohexane that bears a slight resemblance to a boat. Boat cyclohexane has no angle strain but has a large number of eclipsing interactions that make it less stable than chair cyclohexane.

**Boc derivative** | A butyloxycarbonyl N-protected amino acid.

**Bond angle** | The angle formed between two adjacent bonds.

**Bond dissociation energy** | The amount of energy needed to break a bond and produce two radical fragments.

**Bond length** | The equilibrium distance between the nuclei of two atoms that are bonded to each other.

**Bond strength** | An alternative name for bond dissociation energy.

**Bonding MO** | A molecular orbital that is lower in energy than the atomic orbitals from which it is formed.

**Branched-chain alkanes** | Alkanes that contain a branching connection of carbons as opposed to straight-chain alkanes.

**Bridgehead** | An atom that is shared by more than one ring in a polycyclic molecule.

**Bromohydrin** | A 1,2-bromoalcohol; obtained by addition of HOBr to an alkene.

**Bromonium ion** | A species with a divalent, positively charged bromine,  $\text{R}_2\text{Br}^+$ .

**Brønsted–Lowry acid** | A substance that donates a hydrogen ion (proton;  $\text{H}^+$ ) to a base.

**Brønsted–Lowry base** | A substance that accepts  $\text{H}^+$  from an acid.

**C-terminal amino acid** | The amino acid with a free  $-\text{CO}_2\text{H}$  group at the end of a protein chain.

**Cahn–Ingold–Prelog sequence rules** | A series of rules for assigning relative rankings to substituent groups on a chirality center or a double-bond carbon atom.

**Cannizzaro reaction** | The disproportionation reaction of an aldehyde on treatment with base to yield an alcohol and a carboxylic acid.

**Carbanion** | A carbon anion, or substance that contains a trivalent, negatively charged carbon atom ( $\text{R}_3\text{C}^-$ ). Alkyl carbanions are  $sp^3$ -hybridized and have eight electrons in the outer shell of the negatively charged carbon.

**Carbene** | A neutral substance that contains a divalent carbon atom having only six electrons in its outer shell ( $\text{R}_2\text{C}:$ ).

**Carbinolamine** | A molecule that contains the  $\text{R}_2\text{C}(\text{OH})\text{NH}_2$  functional group. Carbinolamines are produced as intermediates during the nucleophilic addition of amines to carbonyl compounds.

**Carbocation** | A carbon cation, or substance that contains a trivalent, positively charged carbon atom having six electrons in its outer shell ( $\text{R}_3\text{C}^+$ ).

**Carbohydrates** | Polyhydroxy aldehydes or ketones. Carbohydrates can be either simple sugars, such as glucose, or complex sugars, such as cellulose.

**Carbonyl condensation reactions** | A type of reaction that joins two carbonyl compounds together by a combination of  $\alpha$ -substitution and nucleophilic addition reactions.

**Carbonyl group** | The  $\text{C}=\text{O}$  functional group.

**Carboxyl group** | The  $-\text{CO}_2\text{H}$  functional group.

**Carboxylation** | The addition of  $\text{CO}_2$  to a molecule.

**Carboxylic acid derivative** | A compound in which an acyl group is bonded to an electronegative atom or substituent that can act as a leaving group in a substitution reaction. Esters, amides, and acid halides are examples.

**Carboxylic acids** | Compounds containing the  $-\text{CO}_2\text{H}$  functional group.

**Catabolism** | The group of metabolic pathways that break down larger molecules into smaller ones.

**Catalyst** | A substance that increases the rate of a chemical transformation by providing an alternative mechanism but is not itself changed in the reaction.

**Cation radical** | A reactive species, typically formed in a mass spectrometer by loss of an electron from a neutral molecule and having both a positive charge and an odd number of electrons.

**Chain reaction** | A reaction that, once initiated, sustains itself in an endlessly repeating cycle of propagation steps. The radical chlorination of alkanes is an example of a chain reaction that is initiated by irradiation with light and then continues in a series of propagation steps.

**Chain-growth polymers** | Polymers whose bonds are produced by chain reaction mechanisms. Polyethylene and other alkene polymers are examples.

**Chair conformation** | A three-dimensional conformation of cyclohexane that resembles the rough shape of a chair. The chair form of cyclohexane is the lowest-energy conformation of the molecule.

**Chemical shift** | The position on the NMR chart where a nucleus absorbs. By convention, the chemical shift of tetramethylsilane (TMS) is set at zero, and all other absorptions usually occur downfield (to the left on the chart). Chemical shifts are expressed in delta units ( $\delta$ ), where 1  $\delta$  equals 1 ppm of the spectrometer operating frequency.

**Chiral** | Having handedness. Chiral molecules are those that do not have a plane of symmetry and are therefore not superimposable on their mirror image. A chiral molecule thus exists in two forms, one right-handed and one left-handed. The most common cause of chirality in a molecule is the presence of a carbon atom that is bonded to four different substituents.

**Chiral environment** | The chiral surroundings or conditions in which a molecule resides.

**Chirality center** | An atom (usually carbon) that is bonded to four different groups.

**Chlorohydrin** | A 1,2-chloroalcohol; obtained by addition of HOCl to an alkene.

**Chromatography** | A technique for separating a mixture of compounds into pure components. Different compounds adsorb to a stationary support phase and are then carried along it at different rates by a mobile phase.

**Cis–trans isomers** | Stereoisomers that differ in their stereochemistry about a ring or double bond.

**Citric acid cycle** | The metabolic pathway by which acetyl CoA is degraded to  $\text{CO}_2$ .

**Claisen condensation reaction** | The carbonyl condensation reaction of two ester molecules to give a  $\beta$ -keto ester product.

**Claisen rearrangement** | The pericyclic conversion of an allyl phenyl ether to an *o*-allylphenol or an allyl vinyl ether to a  $\gamma,\delta$ -unsaturated ketone by heating.

**Coding strand** | The sense strand of double-helical DNA that contains the gene.

**Codon** | A three-base sequence on a messenger RNA chain that encodes the genetic information necessary to cause a specific amino acid to be incorporated into a protein. Codons on mRNA are read by complementary anticodons on tRNA.

**Coenzyme** | A small organic molecule that acts as a cofactor in a biological reaction.

**Cofactor** | A small nonprotein part of an enzyme that is necessary for biological activity.

**Combinatorial chemistry** | A procedure in which anywhere from a few dozen to several hundred thousand substances are prepared simultaneously.

**Complex carbohydrates** | Carbohydrates that are made of two or more simple sugars linked together by glycoside bonds.

**Concerted reaction** | A reaction that takes place in a single step without intermediates. For example, the Diels–Alder cycloaddition reaction is a concerted process.

**Condensed structures** | A shorthand way of writing structures in which carbon–hydrogen and carbon–carbon bonds are understood rather than shown explicitly. Propane, for example, has the condensed structure  $\text{CH}_3\text{CH}_2\text{CH}_3$ .

**Configuration** | The three-dimensional arrangement of atoms bonded to a chirality center.

**Conformational analysis** | A means of assessing the energy of a substituted cycloalkane by totaling the steric interactions present in the molecule.

**Conformations** | The three-dimensional shape of a molecule at any given instant, assuming that rotation around single bonds is frozen.

**Conformers** | Conformational isomers.

**Conjugate acid** | The product that results from protonation of a Brønsted–Lowry base.

**Conjugate addition** | Addition of a nucleophile to the  $\beta$  carbon atom of an  $\alpha,\beta$ -unsaturated carbonyl compound.

**Conjugate base** | The product that results from deprotonation of a Brønsted–Lowry acid.

**Conjugation** | A series of overlapping  $p$  orbitals, usually in alternating single and multiple bonds. For example, 1,3-butadiene is a conjugated diene, 3-buten-2-one is a conjugated enone, and benzene is a cyclic conjugated triene.

**Conrotatory** | A term used to indicate that  $p$  orbitals must rotate in the same direction during electrocyclic ring-opening or ring-closure.

**Constitutional isomers** | Isomers that have their atoms connected in a different order. For example, butane and 2-methylpropane are constitutional isomers.

**Cope rearrangement** | The sigmatropic rearrangement of a 1,5-hexadiene.

**Copolymers** | Polymers obtained when two or more different monomers are allowed to polymerize together.

**Coupled reactions** | Two reactions that share a common intermediate so that the energy released in the favorable step allows the unfavorable step to occur.

**Coupling constant** | The magnitude (expressed in hertz) of the interaction between nuclei whose spins are coupled.

**Covalent bond** | A bond formed by sharing electrons between atoms.

**Cracking** | A process used in petroleum refining in which large alkanes are thermally cracked into smaller fragments.

**Crown ethers** | Large-ring polyethers; used as phase-transfer catalysts.

**Crystallites** | Highly ordered crystal-like regions within a long polymer chain.

**Curtius rearrangement** | The conversion of an acid chloride into an amine by reaction with azide ion, followed by heating with water.

**Cyanohydrins** | A class of compounds with an  $-\text{OH}$  group and a  $-\text{CN}$  group bonded to the same carbon atom; formed by addition of HCN to an aldehyde or ketone.

**Cycloaddition reaction** | A pericyclic reaction in which two reactants add together in a single step to yield a cyclic product. The Diels–Alder reaction between a diene and a dienophile to give a cyclohexene is an example.

**Cycloalkane** | An alkane that contains a ring of carbons.

**d** | The racemic mixture of a chiral compound.

**D Sugars** | Sugars whose hydroxyl group at the chirality center farthest from the carbonyl group has the same configuration as D-glyceraldehyde and points to the right when drawn in Fischer projection.

**Deactivating groups** | Electron-withdrawing substituents that decrease the reactivity of an aromatic ring toward electrophilic aromatic substitution.

**Deamination** | The removal of an amino group from a molecule, as occurs with amino acids during metabolic degradation.

**Debyes (D)** | Units for measuring dipole moments;  $1 \text{ D} = 3.336 \times 10^{-30} \text{ coulomb meter (C} \cdot \text{m)}$ .

**Decarboxylation** | The loss of carbon dioxide from a molecule.  $\beta$ -Keto acids decarboxylate readily on heating.

**Degenerate orbitals** | Two or more orbitals that have the same energy level.

**Degree of unsaturation** | The number of rings and/or multiple bonds in a molecule.

**Dehydration** | The loss of water from an alcohol to yield an alkene.

**Dehydrohalogenation** | The loss of HX from an alkyl halide. Alkyl halides undergo dehydrohalogenation to yield alkenes on treatment with strong base.

**Delocalization** | A spreading out of electron density over a conjugated  $\pi$  electron system. For example, allylic cations and allylic anions are delocalized because their charges are spread out over the entire  $\pi$  electron system. Aromatic compounds have  $4n + 2$   $\pi$  electrons delocalized over their ring.

**Delta ( $\delta$ ) scale** | An arbitrary scale used to calibrate NMR charts. One delta unit ( $\delta$ ) is equal to 1 part per million (ppm) of the spectrometer operating frequency.

**Denatured** | The physical changes that occur in a protein when secondary and tertiary structures are disrupted.

**Deoxy sugar** | A sugar with one of its  $-\text{OH}$  groups replaced by an  $-\text{H}$ .

**Deoxyribonucleic acid (DNA)** | The biopolymer consisting of deoxyribonucleotide units linked together through phosphate–sugar bonds. Found in the nucleus of cells, DNA contains an organism's genetic information.

**Deoxyribonucleic acid (DNA)** | Chemical carriers of a cell's genetic information.

**DEPT-NMR** | An NMR method for distinguishing among signals due to  $\text{CH}_3$ ,  $\text{CH}_2$ ,  $\text{CH}$ , and quaternary carbons. That is, the number of hydrogens attached to each carbon can be determined.

**Deshielding** | An effect observed in NMR that causes a nucleus to absorb toward the left (downfield) side of the chart. Deshielding is caused by a withdrawal of electron density from the nucleus.

**Dess–Martin periodinane** | An iodine-based reagent commonly used for the laboratory oxidation of a primary alcohol to an aldehyde or a secondary alcohol to a ketone.

**Deuterium isotope effect** | A tool used in mechanistic investigations to establish whether a C–H bond is broken in the rate-limiting step of a reaction.

**Dextrorotatory** | A word used to describe an optically active substance that rotates the plane of polarization of plane-polarized light in a right-handed (clockwise) direction.

**Diastereomers** | Non-mirror-image stereoisomers; diastereomers have the same configuration at one or more chirality centers but differ at other chirality centers.

**Diastereotopic** | Hydrogens in a molecule whose replacement by some other group leads to different diastereomers.

**Diazonium salts** | A type of compound with the general structure  $\text{ce}{\text{RN}}_2^{\text{+}} \text{X}^{\text{-}}$ .

**Diazotization** | The conversion of a primary amine,  $\text{RNH}_2$ , into a diazonium ion,  $\text{RN}_2^+$ , by treatment with nitrous acid.

**Dieckmann cyclization reaction** | An intramolecular Claisen condensation reaction of a diester to give a cyclic  $\beta$ -keto ester.

**Diels–Alder reaction** | The cycloaddition reaction of a diene with a dienophile to yield a cyclohexene.

**Dienophile** | A compound containing a double bond that can take part in the Diels–Alder cycloaddition reaction. The most reactive dienophiles are those that have electron-withdrawing groups on the double bond.

**Digestion** | The first stage of catabolism, in which food is broken down by hydrolysis of ester, glycoside (acetal), and peptide (amide) bonds to yield fatty acids, simple sugars, and amino acids.

**Dihedral angle** | The angle between two bonds on adjacent carbons as viewed along the C–C bond.

**Dipole moment** | A measure of the net polarity of a molecule. A dipole moment arises when the centers of mass of positive and negative charges within a molecule do not coincide.

**Dipole–dipole forces** | Noncovalent electrostatic interactions between dipolar molecules.

**Disaccharide** | A carbohydrate formed by linking two simple sugars through an acetal bond.

**Dispersion forces** | Noncovalent interactions between molecules that arise because of constantly changing electron distributions within the molecules.

**Disrotatory** | A term used to indicate that  $p$  orbitals rotate in opposite directions during electrocyclic ring-opening or ring-closing reactions.

**Disulfides (RSSR')** | A class of compounds of the general structure RSSR'.

**Double bond** | A covalent bond formed by sharing two electron pairs between atoms.

**Double helix** | The structure of DNA in which two polynucleotide strands coil around each other.

**Doublet** | A two-line NMR absorption caused by spin–spin splitting when the spin of the nucleus under observation couples with the spin of a neighboring magnetic nucleus.

**Downfield** | Referring to the left-hand portion of the NMR chart.

**E geometry** | A term used to describe the stereochemistry of a carbon–carbon double bond. The two groups on each carbon are ranked according to the Cahn–Ingold–Prelog sequence rules, and the two carbons are compared. If the higher-ranked groups on each carbon are on opposite sides of the double bond, the bond has *E* geometry.

**E1 reaction** | A unimolecular elimination reaction in which the substrate spontaneously dissociates to give a carbocation intermediate, which loses a proton in a separate step.

**E1cB reaction** | A unimolecular elimination reaction in which a proton is first removed to give a carbanion intermediate, which then expels the leaving group in a separate step.

**E2 reaction** | A bimolecular elimination reaction in which C–H and C–X bond cleavages are simultaneous.

**Eclipsed conformation** | The geometric arrangement around a carbon–carbon single bond in which the bonds to substituents on one carbon are parallel to the bonds to substituents on the neighboring carbon as viewed in a Newman projection.

**Eclipsing strain** | The strain energy in a molecule caused by electron repulsions between eclipsed bonds. Eclipsing strain is also called torsional strain.

**Edman degradation** | A method for N-terminal sequencing of peptide chains by treatment with *N*-phenylisothiocyanate.

**Eicosanoid** | A lipid derived biologically from 5,8,11,14-eicosatetraenoic acid, or arachidonic acid. Prostaglandins, thromboxanes, and leukotrienes are examples.

**Elastomer** | An amorphous polymer that has the ability to stretch out and spring back to its original shape.

**Electrocyclic reaction** | A unimolecular pericyclic reaction in which a ring is formed or broken by a concerted reorganization of electrons through a cyclic transition state. For example, the cyclization of 1,3,5-hexatriene to yield 1,3-cyclohexadiene is an electrocyclic reaction.

**Electromagnetic spectrum** | The range of electromagnetic energy, including infrared, ultraviolet, and visible radiation.

**Electron configuration** | A list of the orbitals occupied by electrons in an atom.

**Electron shells** | A group of an atom's electrons with the same principal quantum number.

**Electron-dot structure** | A representation of a molecule showing valence electrons as dots.

**Electron-transport chain** | The final stage of catabolism in which ATP is produced.

**Electronegativity (EN)** | The ability of an atom to attract electrons in a covalent bond. Electronegativity increases across the periodic table from left to right and from bottom to top.

**Electrophile** | An "electron-lover," or substance that accepts an electron pair from a nucleophile in a polar bond-forming reaction.

**Electrophilic addition reactions** | Addition of an electrophile to a carbon-carbon double bond to yield a saturated product.

**Electrophilic aromatic substitution reaction** | A reaction in which an electrophile ( $E^+$ ) reacts with an aromatic ring and substitutes for one of the ring hydrogens.

**Electrophoresis** | A technique used for separating charged organic molecules, particularly proteins and DNA fragments. The mixture to be separated is placed on a buffered gel or paper, and an electric potential is applied across the ends of the apparatus. Negatively charged molecules migrate toward the positive electrode, and positively charged molecules migrate toward the negative electrode.

**Electrostatic potential maps** | Molecular representations that use color to indicate the charge distribution in molecules as derived from quantum-mechanical calculations.

**Elimination reactions** | What occurs when a single reactant splits into two products.

**Elution** | The passage of a substance from a chromatography column.

**Emden-Meyerhof pathway** | An alternative name for glycolysis.

**Enamines** | Compounds with the  $R_2N-CR=CR_2$  functional group.

**Enantiomers** | Stereoisomers of a chiral substance that have a mirror-image relationship. Enantiomers have opposite configurations at all chirality centers.

**Enantioselective synthesis** | A reaction method that yields only a single enantiomer of a chiral product starting from an achiral reactant.

**Enantiotopic** | Hydrogens in a molecule whose replacement by some other group leads to different enantiomers.

**Endergonic** | A reaction that has a positive free-energy change and is therefore nonspontaneous. In an energy diagram, the product of an endergonic reaction has a higher energy level than the reactants.

**Endo** | A term indicating the stereochemistry of a substituent in a bridged bicycloalkane. An endo substituent is syn to the larger of the two bridges.

**Endothermic** | A reaction that absorbs heat and therefore has a positive enthalpy change.

**Energy diagram** | A representation of the course of a reaction, in which free energy is plotted as a function of reaction progress. Reactants, transition states, intermediates, and products are represented, and their appropriate energy levels are indicated.

**Enol** | A vinylic alcohol that is in equilibrium with a carbonyl compound,  $\text{C}=\text{C}-\text{OH}$ .

**Enolate ion** | The anion of an enol,  $\text{C}=\text{C}-\text{O}^-$ .

**Enthalpy change ( $\Delta H$ )** | The heat of reaction. The enthalpy change that occurs during a reaction is a measure of the difference in total bond energy between reactants and products.

**Entropy change ( $\Delta S$ )** | The change in amount of molecular randomness. The entropy change that occurs during a reaction is a measure of the difference in randomness between reactants and products.

**Enzyme** | A biological catalyst. Enzymes are large proteins that catalyze specific biochemical reactions.

**Epimers** | Diastereomers that differ in configuration at only one chirality center but are the same at all others.

**Epoxide** | A three-membered-ring ether functional group.

**Equatorial bonds** | Bonds or positions in chair cyclohexane that lie along the rough equator of the ring.

**ESI** | Electrospray ionization; a "soft" ionization method used for mass spectrometry of biological samples of very high molecular weight.

**Essential amino acid** | One of nine amino acids that are biosynthesized only in plants and microorganisms and must be obtained by humans in the diet.

**Essential monosaccharide** | One of eight simple sugars that is best obtained in the diet rather than by biosynthesis.

**Essential oil** | The volatile oil obtained by steam distillation of a plant extract.

**Esters** | A class of compounds containing the  $-\text{CO}_2\text{R}$  functional group.

**Estrogens** | Female steroid sex hormones.

**Ethers** | A class of compounds that has two organic substituents bonded to the same oxygen atom,  $\text{ROR}'$ .

**Exergonic** | A reaction that has a negative free-energy change and is therefore spontaneous. On an energy diagram, the product of an exergonic reaction has a lower energy level than that of the reactants.

**Exo** | A term indicating the stereochemistry of a substituent in a bridged bicycloalkane. An exo substituent is anti to the larger of the two bridges.

**Exon** | A section of DNA that contains genetic information.

**Exothermic** | A reaction that releases heat and therefore has a negative enthalpy change.

**Fats** | Solid triacylglycerols derived from an animal source.

**Fatty acids** | A long, straight-chain carboxylic acid found in fats and oils.

**Fiber** | A thin thread produced by extruding a molten polymer through small holes in a die.

**Fibrous proteins** | A type of protein that consists of polypeptide chains arranged side by side in long threads. Such proteins are tough, insoluble in water, and used in nature for structural materials such as hair, hooves, and fingernails.

**Fingerprint region** | The complex region of the infrared spectrum from  $1500\text{--}400\text{ cm}^{-1}$ .

**First-order reaction** | Designates a reaction whose rate-limiting step is unimolecular and whose kinetics therefore depend on the concentration of only one reactant.

**Fischer esterification reaction** | The acid-catalyzed nucleophilic acyl substitution reaction of a carboxylic acid with an alcohol to yield an ester.

**Fischer projections** | A means of depicting the absolute configuration of a chiral molecule on a flat page. A Fischer projection uses a cross to represent the chirality center. The horizontal arms of the cross represent bonds coming out of the plane of the page, and the vertical arms of the cross represent bonds going back into the plane of the page.

**Fmoc derivative** | A fluorenylmethyloxycarbonyl N-protected amino acid.

**Formal charges** | The difference in the number of electrons owned by an atom in a molecule and by the same atom in its elemental state.

**Formyl** | A  $-\text{CHO}$  group.

**Frequency** | The number of electromagnetic wave cycles that travel past a fixed point in a given unit of time. Frequencies are expressed in units of cycles per second, or hertz.

**Friedel-Crafts reaction** | An electrophilic aromatic substitution reaction to alkylate or acylate an aromatic ring.

**Frontier orbitals** | The highest occupied (HOMO) and lowest unoccupied (LUMO) molecular orbitals.

**FT-NMR** | Fourier-transform NMR; a rapid technique for recording NMR spectra in which all magnetic nuclei absorb at the same time.

**Functional** | An atom or group of atoms that is part of a larger molecule and has a characteristic chemical reactivity.

**Functional RNAs** | An alternative name for small RNAs.

**Furanose** | The five-membered-ring form of a simple sugar.

**Gabriel amine synthesis** | A method for preparing an amine by  $\text{S}_{\text{N}}2$  reaction of an alkyl halide with potassium phthalimide, followed by hydrolysis.

**Gauche conformation** | The conformation of butane in which the two methyl groups lie  $60^\circ$  apart as viewed in a Newman projection. This conformation has  $3.8\text{ kJ/mol}$  steric strain.

**Geminal** | Referring to two groups attached to the same carbon atom. For example, the hydrate formed by nucleophilic addition of water to an aldehyde or ketone is a geminal diol.

**Gibbs free-energy change ( $\Delta G$ )** | The free-energy change that occurs during a reaction, given by the equation  $\Delta G = \Delta H - T\Delta S$ . A reaction with a negative free-energy change is spontaneous, and a reaction with a positive free-energy change is nonspontaneous.

**Gilman reagent ( $\text{LiR}_2\text{Cu}$ )** | A diorganocopper reagent.

**Glass transition temperature** | The temperature at which a hard, amorphous polymer becomes soft and flexible.

**Globular proteins** | A type of protein that is coiled into a compact, nearly spherical shape. Globular proteins, which are generally water-soluble and mobile within the cell, are the structural class to which enzymes belong.

**Gluconeogenesis** | The anabolic pathway by which organisms make glucose from simple three-carbon precursors.

**Glycal** | An unsaturated sugar with a  $\text{C}1\text{--}\text{C}2$  double bond.

**Glycal assembly method** | A method for linking monosaccharides together to synthesize polysaccharides.

**Glycerophospholipids** | Lipids that contain a glycerol backbone linked to two fatty acids and a phosphoric acid.

**Glycoconjugate** | A molecule in which a carbohydrate is linked through its anomeric center to another biological molecule such as a lipid or protein.

**Glycol** | A diol, such as ethylene glycol, HOCH<sub>2</sub>CH<sub>2</sub>OH.

**Glycolipid** | A biological molecule in which a carbohydrate is linked through a glycoside bond to a lipid.

**Glycolysis** | A series of ten enzyme-catalyzed reactions that break down glucose into 2 equivalents of pyruvate, CH<sub>3</sub>COCO<sub>2</sub><sup>-</sup>.

**Glycoprotein** | A biological molecule in which a carbohydrate is linked through a glycoside bond to a protein.

**Glycoside** | A cyclic acetal formed by reaction of a sugar with another alcohol.

**Graft copolymers** | Copolymers in which homopolymer branches of one monomer unit are "grafted" onto a homopolymer chain of another monomer unit.

**Green chemistry** | The design and implementation of chemical products and processes that reduce waste and minimize or eliminate the generation of hazardous substances.

**Grignard reagent (RMgX)** | An organomagnesium halide.

**Ground-state electron configuration** | The most stable, lowest-energy electron configuration of a molecule or atom.

**Haloform reaction** | The reaction of a methyl ketone with halogen and base to yield a haloform (CHX<sub>3</sub>) and a carboxylic acid.

**Halogenation** | The reaction of halogen with an alkene to yield a 1,2-dihalide addition product or with an aromatic compound to yield a substitution product.

**Halohydrin** | A 1,2-haloalcohol, such as that obtained on addition of HOBr to an alkene.

**Halonium ion** | A species containing a positively charged, divalent halogen. Three-membered-ring bromonium ions are intermediates in the electrophilic addition of Br<sub>2</sub> to alkenes.

**Hammond postulate** | A postulate stating that we can get a picture of what a given transition state looks like by looking at the structure of the nearest stable species. Exergonic reactions have transition states that resemble reactant; endergonic reactions have transition states that resemble product.

**Heat of combustion** | The amount of heat released when a compound burns completely in oxygen.

**Heat of hydrogenation** | The amount of heat released when a carbon-carbon double bond is hydrogenated.

**Heat of reaction** | An alternative name for the enthalpy change in a reaction,  $\Delta H$ .

**Hell-Volhard-Zelinskii (HVZ) reaction** | The reaction of a carboxylic acid with Br<sub>2</sub> and phosphorus to give an  $\alpha$ -bromo carboxylic acid.

**Hemiacetal** | A functional group having one -OR and one -OH group bonded to the same carbon.

**Henderson-Hasselbalch equation** | An equation for determining the extent of dissociation of a weak acid at various pH values.

**Hertz** | A unit of measure of electromagnetic frequency, the number of waves that pass by a fixed point per second.

**Heterocycle** | A cyclic molecule whose ring contains more than one kind of atom. For example, pyridine is a heterocycle that contains five carbon atoms and one nitrogen atom in its ring.

**Heterolytic bond breakage** | The kind of bond-breaking that occurs in polar reactions when one fragment leaves with both of the bonding electrons:  $A : B \rightarrow A^+ + B :^-$ .

**Highest occupied molecular orbital (HOMO)** | The symmetries of the HOMO and LUMO are important in pericyclic reactions.

**Hofmann elimination reaction** | The elimination reaction of an amine to yield an alkene by reaction with iodomethane followed by heating with Ag<sub>2</sub>O.

**Hofmann rearrangement** | The conversion of an amide into an amine by reaction with Br<sub>2</sub> and base.

**Homolytic bond breakage** | The kind of bond-breaking that occurs in radical reactions when each fragment leaves with one bonding electron:  $A : B \rightarrow A^+ + B :^-$ .

**Homopolymers** | A polymer made up of identical repeating units.

**Homotopic** | Hydrogens in a molecule that give the identical structure on replacement by X and thus show identical NMR absorptions.

**Hormones** | Chemical messengers that are secreted by an endocrine gland and carried through the bloodstream to a target tissue.

**HPLC** | High-pressure liquid chromatography; a variant of column chromatography using high pressure to force solvent through very small absorbent particles.

**Hund's rule** | If two or more empty orbitals of equal energy are available, one electron occupies each, with their spins parallel, until all are half-full.

**Hybrid orbital** | An orbital derived from a combination of atomic orbitals. Hybrid orbitals, such as the  $sp^3$ ,  $sp^2$ , and  $sp$  hybrids of carbon, are strongly directed and form stronger bonds than atomic orbitals do.

**Hydration** | Addition of water to a molecule, such as occurs when alkenes are treated with aqueous sulfuric acid to give alcohols.

**Hydride shift** | The shift of a hydrogen atom and its electron pair to a nearby cationic center.

**Hydroboration** | Addition of borane (BH<sub>3</sub>) or an alkylborane to an alkene. The resultant trialkylborane products can be oxidized to yield alcohols.

**Hydrocarbons** | A class of compounds that contain only carbon and hydrogen.

**Hydrogen bond** | A weak attraction between a hydrogen atom bonded to an electronegative atom and an electron lone pair on another electronegative atom.

**Hydrogenated** | Addition of hydrogen to a double or triple bond to yield a saturated product.

**Hydrogenolysis** | Cleavage of a bond by reaction with hydrogen. Benzylic ethers and esters, for instance, are cleaved by hydrogenolysis.

**Hydrophilic** | Water-loving; attracted to water.

**Hydrophobic** | Water-fearing; repelled by water.

**Hydroquinones** | 1,4-dihydroxybenzene.

**Hydroxylation** | Addition of two -OH groups to a double bond.

**Hyperconjugation** | An electronic interaction that results from overlap of a vacant  $p$  orbital on one atom with a neighboring C-H  $\sigma$  bond. Hyperconjugation is important in stabilizing carbocations and substituted alkenes.

**Hückel  $4n + 2$  rule** | A rule stating that monocyclic conjugated molecules having  $4n + 2$   $\pi$  electrons ( $n$  = an integer) are aromatic.

**Imide** | A compound with the -CONHCO- functional group.

**Imines** | A class of compounds with the R<sub>2</sub>C=NR functional group.

**Inductive effect** | The electron-attracting or electron-withdrawing effect transmitted through  $\sigma$  bonds. Electronegative elements have an electron-withdrawing inductive effect.

**Infrared (IR) spectroscopy** | A kind of optical spectroscopy that uses infrared energy. IR spectroscopy is particularly useful in organic chemistry for determining the kinds of functional groups present in molecules.

**Initiator** | A substance that is used to initiate a radical chain reaction or polymerization. For example, radical chlorination of alkanes is initiated when light energy breaks the weak Cl-Cl bond to form Cl· radicals.

**Integrating** | A technique for measuring the area under an NMR peak to determine the relative number of each kind of proton in a molecule.

**Intermediate** | A species that is formed during the course of a multistep reaction but is not the final product. Intermediates are more stable than transition states but may or may not be stable enough to isolate.

**Intramolecular** | A reaction that occurs within the same molecule is intramolecular; a reaction that occurs between two molecules is intermolecular.

**Intron** | A section of DNA that does not contain genetic information.

**Ion pairs** | A loose association between two ions in solution. Ion pairs are implicated as intermediates in S<sub>N</sub>1 reactions to account for the partial retention of stereochemistry that is often observed.

**Ionic bond** | The electrostatic attraction between ions of unlike charge.

**Isoelectric point (pI)** | The pH at which the number of positive charges and the number of negative charges on a protein or an amino acid are equal.

**Isomers** | Compounds that have the same molecular formula but different structures.

**Isoprene rule** | An observation to the effect that terpenoids appear to be made up of isoprene (2-methyl-1,3-butadiene) units connected head-to-tail.

**Isotactic** | A chain-growth polymer in which the stereochemistry of the substituents is oriented regularly along the backbone.

**Isotopes** | Atoms of the same element that have different mass numbers.

**IUPAC system of nomenclature** | Rules for naming compounds, devised by the International Union of Pure and Applied Chemistry.

**Kekulé structure** | An alternative name for a line-bond structure, which represents a molecule by showing covalent bonds as lines between atoms.

**Ketals** | An alternative name for acetals derived from a ketone rather than an aldehyde and consisting of two -OR groups bonded to the same carbon, R<sub>2</sub>C(OR)<sub>2</sub>. Ketals are often used as protecting groups for ketones.

**Ketones (R<sub>2</sub>CO)** | A class of compounds with two organic substituents bonded to a carbonyl group, R<sub>2</sub>C=O.

**Ketoses** | Carbohydrates with a ketone functional group.

**Keto-enol tautomerism** | The equilibration between a carbonyl form and vinylic alcohol form of a molecule.

**Kiliani-Fischer synthesis** | A method for lengthening the chain of an aldose sugar.

**Kinetic control** | A reaction that follows the lowest activation energy pathway is said to be kinetically controlled. The product is the most rapidly formed but is not necessarily the most stable.

**Kinetics** | Referring to reaction rates. Kinetic measurements are useful for helping to determine reaction mechanisms.

**Koenigs–Knorr reaction** | A method for the synthesis of glycosides by reaction of an alcohol with a pyranosyl bromide.

**Krebs cycle** | An alternative name for the citric acid cycle, by which acetyl CoA is degraded to CO<sub>2</sub>.

**L Sugar** | A sugar whose hydroxyl group at the chirality center farthest from the carbonyl group points to the left when drawn in Fischer projection.

**Lactams** | Cyclic amides.

**Lactones** | Cyclic esters.

**Lagging strand** | The complement of the original 3' → 5' DNA strand that is synthesized discontinuously in small pieces that are subsequently linked by DNA ligases.

**LD50** | The amount of a substance per kilogram body weight that is lethal to 50% of test animals.

**LDA** | Lithium diisopropylamide, LiN(*i*-C<sub>3</sub>H<sub>7</sub>)<sub>2</sub>, a strong base commonly used to convert carbonyl compounds into their enolate ions.

**Leading strand** | The complement of the original 5' → 3' DNA strand that is synthesized continuously in a single piece.

**Leaving group** | The group that is replaced in a substitution reaction.

**Levorotatory** | An optically active substance that rotates the plane of polarization of plane-polarized light in a left-handed (counterclockwise) direction.

**Lewis acid** | A substance with a vacant low-energy orbital that can accept an electron pair from a base. All electrophiles are Lewis acids.

**Lewis base** | A substance that donates an electron lone pair to an acid. All nucleophiles are Lewis bases.

**Lewis structures** | Representations of molecules showing valence electrons as dots.

**Lindlar catalyst** | A hydrogenation catalyst used to convert alkynes to cis alkenes.

**Line-bond structure** | An alternative name for a Kekulé structure, which represents a molecule by showing covalent bonds as lines between atoms.

**Lipid bilayer** | The ordered lipid structure that forms a cell membrane.

**Lipids** | Naturally occurring substances isolated from cells and tissues by extraction with a nonpolar solvent. Lipids belong to many different structural classes, including fats, terpenoids, prostaglandins, and steroids.

**Lipoprotein** | A complex molecule with both lipid and protein parts that transports lipids through the body.

**Locant** | A number in a chemical name that locates the positions of the functional groups and substituents in the molecule.

**Lone-pair electrons** | Nonbonding valence-shell electron pairs. Lone-pair electrons are used by nucleophiles in their reactions with electrophiles.

**Lowest unoccupied molecular orbital (LUMO)** | The symmetries of the LUMO and the HOMO are important in determining the stereochemistry of pericyclic reactions.

**Magnetic resonance imaging** | A medical diagnostic technique based on nuclear magnetic resonance.

**Magnetogyric ratio** | A ratio of the isotope's magnetic moment to its angular momentum.

**MALDI** | Matrix-assisted laser desorption ionization; a soft ionization method used for mass spectrometry of biological samples of very high molecular weight.

**Malonic ester synthesis** | The synthesis of a carboxylic acid by alkylation of an alkyl halide with diethyl malonate, followed by hydrolysis and decarboxylation.

**Markovnikov's rule** | A guide for determining the regiochemistry (orientation) of electrophilic addition reactions. In the addition of HX to an alkene, the hydrogen atom bonds to the alkene carbon that has fewer alkyl substituents.

**Mass number (A)** | The total of protons plus neutrons in an atom.

**Mass spectrometry (MS)** | A technique for measuring the mass, and therefore the molecular weight (MW), of ions.

**McLafferty rearrangement** | A mass-spectral fragmentation pathway for carbonyl compounds.

**Mechanism** | A complete description of how a reaction occurs. A mechanism accounts for all starting materials and all products and describes the details of each individual step in the overall reaction process.

**Meisenheimer complex** | An intermediate formed by addition of a nucleophile to a halo-substituted aromatic ring.

**Melt transition temperature** | The temperature at which crystalline regions of a polymer melt to give an amorphous material.

**Mercapto group** | An alternative name for the thiol group, –SH.

**Meso compounds** | Compounds that contain chirality centers but are nevertheless achiral because they contain a symmetry plane.

**Messenger RNA (mRNA)** | A kind of RNA formed by transcription of DNA and used to carry genetic messages from DNA to ribosomes.

**Meta (m)** | A naming prefix used for 1,3-disubstituted benzenes.

**Metabolism** | A collective name for the many reactions that go on in the cells of living organisms.

**Metallacycle** | A cyclic compound that contains a metal atom in its ring.

**Methylene group** | A –CH<sub>2</sub>– or =CH<sub>2</sub> group.

**Micelles** | Spherical clusters of soaplike molecules that aggregate in aqueous solution. The ionic heads of the molecules lie on the outside, where they are solvated by water, and the organic tails bunch together on the inside of the micelle.

**Michael reaction** | The conjugate addition reaction of an enolate ion to an unsaturated carbonyl compound.

**Molar absorptivity (ε)** | A quantitative measure of the amount of UV light absorbed by a sample.

**Molecular ion** | The cation produced in a mass spectrometer by loss of an electron from the parent molecule. The mass of the molecular ion corresponds to the molecular weight of the sample.

**Molecular mechanics** | A computer-based method for calculating the minimum-energy conformation of a molecule.

**Molecular orbital (MO) theory** | A description of covalent bond formation as resulting from a mathematical combination of atomic orbitals (wave functions) to form molecular orbitals.

**Molecule** | A neutral collection of atoms held together by covalent bonds.

**Molozonide** | The initial addition product of ozone with an alkene.

**Monomers** | The simple starting units from which polymers are made.

**Monosaccharides** | Simple sugars.

**Monoterpenoids** | Ten-carbon lipids.

**Multiplet** | A pattern of peaks in an NMR spectrum that arises by spin–spin splitting of a single absorption because of coupling between neighboring magnetic nuclei.

**Mutarotation** | The change in optical rotation observed when a pure anomer of a sugar is dissolved in water. Mutarotation is caused by the reversible opening and closing of the acetal linkage, which yields an equilibrium mixture of anomers.

**n + 1 rule** | A hydrogen with *n* other hydrogens on neighboring carbons shows *n* + 1 peaks in its <sup>1</sup>H NMR spectrum.

**N-terminal amino acid** | The amino acid with a free –NH<sub>2</sub> group at the end of a protein chain.

**Natural gas** | A naturally occurring hydrocarbon mixture consisting chiefly of methane, along with smaller amounts of ethane, propane, and butane.

**Natural product** | A catchall term generally taken to mean a secondary metabolite found in bacteria, plants, and other living organisms.

**New molecular entity** | A new biologically active chemical substance approved for sale as a drug by the U.S. Food and Drug Administration.

**Newman projection** | A means of indicating stereochemical relationships between substituent groups on neighboring carbons. The carbon–carbon bond is viewed end-on, and the carbons are indicated by a circle. Bonds radiating from the center of the circle are attached to the front carbon, and bonds radiating from the edge of the circle are attached to the rear carbon.

**Nitration** | The substitution of a nitro group onto an aromatic ring.

**Nitriles** | A class of compounds containing the C≡N functional group.

**Nitrogen rule** | A compound with an odd number of nitrogen atoms has an odd-numbered molecular weight.

**Node** | A surface of zero electron density within an orbital. For example, a *p* orbital has a nodal plane passing through the center of the nucleus, perpendicular to the axis of the orbital.

**Nonbonding electrons** | Valence electrons that are not used in forming covalent bonds.

**Noncoding strand** | An alternative name for the antisense strand of DNA.

**Noncovalent interactions** | One of a variety of nonbonding interactions between molecules, such as dipole–dipole forces, dispersion forces, and hydrogen bonds.

**Nonessential amino acid** | One of the eleven amino acids that are biosynthesized by humans.

**Normal alkanes** | Straight-chain alkanes, as opposed to branched alkanes. Normal alkanes are denoted by the suffix *n*, as in *n*-C<sub>4</sub>H<sub>10</sub> (*n*-butane).

**NSAID** | A nonsteroidal anti-inflammatory drug, such as aspirin or ibuprofen.

**Nuclear magnetic resonance (NMR) spectroscopy** | A spectroscopic technique that provides information about the carbon–hydrogen framework of a molecule. NMR works by detecting the energy absorptions accompanying the transitions between nuclear spin states that occur when a molecule is placed in a strong magnetic field and irradiated with radiofrequency waves.

**Nucleic acid** | Deoxyribonucleic acid (DNA) and ribonucleic acid (RNA); biological polymers made of nucleotides joined together to form long chains.

**Nucleophile** | An electron-rich species that donates an electron pair to an electrophile in a polar bond-forming reaction. Nucleophiles are also Lewis bases.

**Nucleophilic acyl substitution reaction** | A reaction in which a nucleophile attacks a carbonyl compound and substitutes for a leaving group bonded to the carbonyl carbon.

**Nucleophilic addition reaction** | A reaction in which a nucleophile adds to the electrophilic carbonyl group of a ketone or aldehyde to give an alcohol.

**Nucleophilic aromatic substitution reactions** | The substitution reactions of an aryl halide by a nucleophile.

**Nucleophilic substitution reactions** | Reactions in which one nucleophile replaces another attached to a saturated carbon atom.

**Nucleophilicity** | The ability of a substance to act as a nucleophile in an  $S_N2$  reaction.

**Nucleoside** | A nucleic acid constituent consisting of a sugar residue bonded to a heterocyclic purine or pyrimidine base.

**Nucleotides** | Nucleic acid constituents consisting of a sugar residue bonded both to a heterocyclic purine or pyrimidine base and to a phosphoric acid. Nucleotides are the monomer units from which DNA and RNA are constructed.

**Nylons** | Synthetic polyamide step-growth polymers.

**Okazaki fragments** | Short segments of a DNA lagging strand that is biosynthesized discontinuously and then linked by DNA ligases.

**Olefin** | An alternative name for an alkene.

**Olefin metathesis polymerization** | A method of polymer synthesis based on using an olefin metathesis reaction.

**Olefin metathesis reaction** | A reaction in which two olefins (alkenes) exchange substituents on their double bonds.

**Oligonucleotides** | Short segments of DNA.

**Optical isomers** | An alternative name for enantiomers. Optical isomers are isomers that have a mirror-image relationship.

**Optically active** | A property of some organic molecules wherein the plane of polarization is rotated through an angle when a beam of plane-polarized light is passed through a solution of the molecules.

**Orbital** | A wave function, which describes the volume of space around a nucleus in which an electron is most likely to be found.

**Organic chemistry** | The study of carbon compounds.

**Organohalides** | Compounds that contain one or more halogen atoms bonded to carbon.

**Organometallic compound** | A compound that contains a carbon-metal bond. Grignard reagents,  $RMgX$ , are examples.

**Organophosphate** | A compound that contains a phosphorus atom bonded to four oxygens, with one of the oxygens also bonded to carbon.

**Ortho (o)** | A naming prefix used for 1,2-disubstituted benzenes.

**Oxidation** | A reaction that causes a decrease in electron ownership by carbon, either by bond formation between carbon and a more electronegative atom (usually oxygen, nitrogen, or a halogen) or by bond-breaking between carbon and a less electronegative atom (usually hydrogen).

**Oximes** | Compounds with the  $R_2C=NOH$  functional group.

**Oxirane** | An alternative name for an epoxide.

**Oxymercuration** | A method for double-bond hydration by reaction of an alkene with aqueous mercuric acetate followed by treatment with  $NaBH_4$ .

**Ozonide** | The product initially formed by addition of ozone to a carbon-carbon double bond. Ozonides are usually treated with a reducing agent, such as zinc in acetic acid, to produce carbonyl compounds.

**Para (p)** | A naming prefix used for 1,4-disubstituted benzenes.

**Paraffins** | A common name for alkanes.

**Parent peak** | The peak in a mass spectrum corresponding to the molecular ion. The mass of the parent peak therefore represents the molecular weight of the compound.

**Pauli exclusion principle** | No more than two electrons can occupy the same orbital, and those two must have spins of opposite sign.

**Peptide bond** | An amide bond in a peptide chain.

**Peptides** | A type of short amino acid polymer in which the individual amino acid residues are linked by amide bonds.

**Pericyclic reaction** | A reaction that occurs in a single step by a reorganization of bonding electrons in a cyclic transition state.

**Periplanar** | A conformation in which bonds to neighboring atoms have a parallel arrangement. In an eclipsed conformation, the neighboring bonds are syn periplanar; in a staggered conformation, the bonds are anti periplanar.

**Peroxides** | Molecules containing an oxygen-oxygen bond functional group,  $ROOR'$  or  $ROOH$ .

**Peroxyacid** | A compound with the  $-CO_3H$  functional group. Peroxyacids react with alkenes to give epoxides.

**Phenols** | A class of compounds with an  $-OH$  group directly bonded to an aromatic ring,  $ArOH$ .

**Phenoxide ion** | The anion of a phenol.

**Phenyl** | The name for the  $-C_6H_5$  unit when the benzene ring is considered as a substituent. A phenyl group is abbreviated as  $-Ph$ .

**Phosphine** | A trivalent phosphorus compound,  $R_3P$ .

**Phosphite** | A compound with the structure  $P(OR)_3$ .

**Phospholipids** | Lipids that contain a phosphate residue. For example, glycerophospholipids contain a glycerol backbone linked to two fatty acids and a phosphoric acid.

**Phosphoramidite** | A compound with the structure  $R_2NP(OR)_2$ .

**Phosphoric acid anhydride** | A substance that contains  $PO_2PO$  link, analogous to the  $CO_2CO$  link in carboxylic acid anhydrides.

**Photochemical reactions** | A reaction carried out by irradiating the reactants with light.

**Physiological pH** | The pH of 7.3 that exists inside cells.

**Pi ( $\pi$ ) bond** | The covalent bond formed by sideways overlap of atomic orbitals. For example, carbon-carbon double bonds contain a  $\pi$  bond formed by sideways overlap of two  $p$  orbitals.

**PITC** | Phenylisothiocyanate; used in the Edman degradation.

**$pK_a$**  | The negative common logarithm of the  $K_a$ ; used to express acid strength.

**Plane of symmetry** | A plane that bisects a molecule such that one half of the molecule is the mirror image of the other half. Molecules containing a plane of symmetry are achiral.

**Plane-polarized light** | Light that has its electromagnetic waves oscillating in a single plane rather than in random planes. The plane of polarization is rotated when the light is passed through a solution of a chiral substance.

**Plasticizers** | Small organic molecules added to polymers to act as a lubricant between polymer chains.

**Polar aprotic solvents** | Polar solvents that can't function as hydrogen ion donors. Polar aprotic solvents such as dimethyl sulfoxide (DMSO) and dimethylformamide (DMF) are particularly useful in  $S_N2$  reactions because of their ability to solvate cations.

**Polar covalent bond** | A covalent bond in which the electron distribution between atoms is unsymmetrical.

**Polar reactions** | Reactions in which bonds are made when a nucleophile donates two electrons to an electrophile and in which bonds are broken when one fragment leaves with both electrons from the bond.

**Polarity** | The unsymmetrical distribution of electrons in a molecule that results when one atom attracts electrons more strongly than another.

**Polarizability** | The measure of the change in a molecule's electron distribution in response to changing electrostatic interactions with solvents or ionic reagents.

**Polycarbonates** | Polyesters in which the carbonyl groups are linked to two  $-OR$  groups,  $[O=C(OR)_2]$ .

**Polycyclic** | Containing more than one ring.

**Polycyclic aromatic compound** | A compound with two or more benzene-like aromatic rings fused together.

**Polymer** | A large molecule made up of repeating smaller units. For example, polyethylene is a synthetic polymer made from repeating ethylene units, and DNA is a biopolymer made of repeating deoxyribonucleotide units.

**Polymerase chain reaction (PCR)** | A method for amplifying small amounts of DNA to produce larger amounts.

**Polysaccharides** | A type of carbohydrate that is made of many simple sugars linked together by glycoside (acetal) bonds.

**Polyunsaturated fatty acids** | Fatty acids that contain more than one double bond.

**Polyurethane** | A step-growth polymer prepared by reaction between a diol and a diisocyanate.

**Posttranslational modification** | A chemical modification of a protein that occurs after translation from DNA.

**Primary structure** | The amino acid sequence in a protein.

**pro-R** | One of two identical atoms or groups of atoms in a compound whose replacement leads to an  $R$  chirality center.

**pro-S** | One of two identical atoms or groups of atoms in a compound whose replacement leads to an  $S$  chirality center.

**Prochiral** | A molecule that can be converted from achiral to chiral in a single chemical step.

**Prochirality center** | An atom in a compound that can be converted into a chirality center by changing one of its attached substituents.

**Promoter sequence** | A short sequence on DNA located upstream of the transcription start site and recognized by RNA polymerase.

**Propagation step** | A step in a radical chain reaction that carries on the chain. The propagation steps must yield both product and a reactive intermediate.

**Prostaglandins** | Lipids derived from arachidonic acid. Prostaglandins are present in nearly all body tissues and fluids, where they serve many important hormonal functions.

**Protecting group** | A group that is introduced to protect a sensitive functional group toward reaction elsewhere in the molecule. After serving its protective function, the group is removed.

**Protein Data Bank** | A worldwide online repository of X-ray and NMR structural data for biological macromolecules. To access the Protein Data Bank, go to <https://www.rcsb.org>.

**Proteins** | Large peptides containing 50 or more amino acid residues. Proteins serve both as structural materials and as enzymes that control an organism's chemistry.

**Protic solvents** | Solvents such as water or alcohol that can act as a proton donor.

**Pyramidal inversion** | The rapid stereochemical inversion of a trivalent nitrogen compound.

**Pyranose** | The six-membered, cyclic hemiacetal form of a simple sugar.

**Quadrupole mass analyzer** | A type of mass spectrometer that uses four cylindrical rods to create an oscillating electrostatic field. Ion trajectories are determined by their  $m/z$  ratios. At a given field, only one  $m/z$  value will make it through the quadrupole region—the others will crash into the quadrupole rods or the walls of the instrument and never reach the detector.

**Quartet** | A set of four peaks in an NMR spectrum, caused by spin-spin splitting of a signal by three adjacent nuclear spins.

**Quaternary ammonium salt** | An ionic compound containing a positively charged nitrogen atom with four attached groups,  $R_4N^+ X^-$ .

**Quaternary structure** | The highest level of protein structure, involving an ordered aggregation of individual proteins into a larger cluster.

**Quinone** | A 2,5-cyclohexadiene-1,4-dione.

**R** | A generalized abbreviation for an organic partial structure.

**R configuration** | The configuration at a chirality center as specified using the Cahn-Ingold-Prelog sequence rules.

**Racemate** | A mixture consisting of equal parts (+) and (-) enantiomers of a chiral substance; also called a racemic mixture.

**Radical** | A species that has an odd number of electrons, such as the chlorine radical,  $Cl\cdot$ .

**Radical reactions** | Reactions in which bonds are made by donation of one electron from each of two reactants and in which bonds are broken when each fragment leaves with one electron.

**Rate constant** | The constant  $k$  in a rate equation.

**Rate equation** | An equation that expresses the dependence of a reaction's rate on the concentration of reactants.

**Rate-limiting step** | The slowest step in a multistep reaction sequence; also called the rate-determining step. The rate-limiting step acts as a kind of bottleneck in multistep reactions.

**Re face** | One of two faces of a planar,  $sp^2$ -hybridized atom.

**Rearrangement reactions** | What occurs when a single reactant undergoes a reorganization of bonds and atoms to yield an isomeric product.

**Reducing sugars** | Sugars that reduce silver ion in the Tollens test or cupric ion in the Fehling or Benedict tests.

**Reduction** | A reaction that causes an increase of electron ownership by carbon, either by bond-breaking between carbon and a more electronegative atom or by bond formation between carbon and a less electronegative atom.

**Reductive amination** | A method for preparing an amine by reaction of an aldehyde or ketone with ammonia and a reducing agent.

**Refining** | The process by which petroleum is converted into gasoline and other useful products.

**Regiochemistry** | A term describing the orientation of a reaction that occurs on an unsymmetrical substrate.

**Regiospecific** | A term describing a reaction that occurs with a specific regiochemistry to give a single product rather than a mixture of products.

**Replication** | The process by which double-stranded DNA uncoils and is replicated to produce two new copies.

**Replication forks** | The point of unraveling in a DNA chain where replication occurs.

**Residues** | Amino acids in a protein chain.

**Resolution** | The process by which a racemate is separated into its two pure enantiomers.

**Resonance effect** | The donation or withdrawal of electrons through orbital overlap with neighboring  $\pi$  bonds. For example, an oxygen or nitrogen substituent donates electrons to an aromatic ring by overlap of the O or N orbital with the aromatic ring  $p$  orbitals.

**Resonance forms** | Individual structural forms of a resonance hybrid.

**Resonance hybrid** | A molecule, such as benzene, that can't be represented adequately by a single Kekulé structure but must instead be considered as an average of two or more resonance forms. The resonance forms themselves differ only in the positions of their electrons, not their nuclei.

**Restriction endonucleases** | Enzymes that are able to cleave a DNA molecule at points in the chain where a specific base sequence occurs.

**Retrosynthetic** | Planning an organic synthesis by working backward from the final product to the starting material.

**Ribonucleic acid (RNA)** | The biopolymer found in cells that serves to transcribe the genetic information found in DNA and uses that information to direct the synthesis of proteins.

**Ribosomal RNA (rRNA)** | A kind of RNA used in the physical makeup of ribosomes.

**Ring-current** | The circulation of  $\pi$  electrons induced in aromatic rings by an external magnetic field. This effect accounts for the downfield shift of aromatic ring protons in the  $^1H$  NMR spectrum.

**Ring-flip** | A molecular motion that interconverts two chair conformations of cyclohexane. The effect of a ring-flip is to convert an axial substituent into an equatorial substituent.

**Ring-opening metathesis polymerization (ROMP)** | A method of polymer synthesis that uses an olefin metathesis reaction of a cycloalkene.

**RNA** | Ribonucleic acid.

**Robinson annulation reaction** | A method for synthesis of cyclohexenones by sequential Michael reaction and intramolecular aldol reaction.

**S configuration** | The configuration at a chirality center as specified using the Cahn-Ingold-Prelog sequence rules.

**s-Cis conformation** | The conformation of a conjugated diene that is cis-like around the single bond.

**Saccharide** | A sugar.

**Salt bridge** | An ionic attraction between two oppositely charged groups in a protein chain.

**Sandmeyer reaction** | The nucleophilic substitution reaction of an arenediazonium salt with a cuprous halide to yield an aryl halide.

**Sanger dideoxy method** | A commonly used method of DNA sequencing.

**Saponification** | An old term for the base-induced hydrolysis of an ester to yield a carboxylic acid salt.

**Saturated** | A molecule that has only single bonds and thus can't undergo addition reactions. Alkanes are saturated, but alkenes are unsaturated.

**Sawhorse representations** | A manner of representing stereochemistry that uses a stick drawing and gives a perspective view of the conformation around a single bond.

**Schiff bases** | An alternative name for an imine,  $R_2C=NR'$ , used primarily in biochemistry.

**Second-order reaction** | A reaction whose rate-limiting step is bimolecular and whose kinetics are therefore dependent on the concentration of two reactants.

**Secondary metabolite** | A small naturally occurring molecule that is not essential to the growth and development of the producing organism and is not classified by structure.

**Secondary structure** | The level of protein substructure that involves organization of chain sections into ordered arrangements such as  $\beta$ -pleated sheets or  $\alpha$  helices.

**Semiconservative replication** | The process by which DNA molecules are made containing one strand of old DNA and one strand of new DNA.

**Sense strand** | The coding strand of double-helical DNA that contains the gene.

**Sequence rules** | A series of rules for assigning relative rankings to substituent groups on a double-bond carbon atom or on a chirality center.

**Sesquiterpenoids** | 15-carbon lipids.

**Sharpless epoxidation** | A method for enantioselective synthesis of a chiral epoxide by treatment of an allylic alcohol with *tert*-butyl hydroperoxide,  $(CH_3)_3C-OOH$ , in the presence of titanium tetrakisopropoxide and diethyl tartrate.

**Shielding** | An effect observed in NMR that causes a nucleus to absorb toward the right (upfield) side of the chart. Shielding is caused by donation of electron density to the nucleus.

**Si face** | One of two faces of a planar,  $sp^2$ -hybridized atom.

**Sialic acid** | One of a group of more than 300 carbohydrates based on acetylneuramic acid.

**Side chain** | The substituent attached to the  $\alpha$  carbon of an amino acid.

**Sigma ( $\sigma$ ) bond** | A covalent bond formed by head-on overlap of atomic orbitals.

**Sigmatropic reaction** | A pericyclic reaction that involves the migration of a group from one end of a  $\pi$  electron system to the other.

**Silyl ether** | A substance with the structure  $R_3Si-O-R$ . The silyl ether acts as a protecting group for alcohols.

**Simmons-Smith reaction** | The reaction of an alkene with  $CH_2I_2$  and  $Zn-Cu$  to yield a cyclopropane.

**Simple sugars** | Carbohydrates that cannot be broken down into smaller sugars by hydrolysis.

**Single bond** | A covalent bond formed by sharing one electron pair between atoms.

**Skeletal structures** | A shorthand way of writing structures in which carbon atoms are assumed to be at each intersection of two lines (bonds) and at the end of each line.

**Small RNAs** | A type of RNA that has a variety of functions within the cell, including silencing transcription and catalyzing chemical modifications of other RNA molecules.

**S<sub>N</sub>1 reaction** | A unimolecular nucleophilic substitution reaction.

**S<sub>N</sub>2 reaction** | A bimolecular nucleophilic substitution reaction.

**Solid-phase synthesis** | A technique of synthesis whereby the starting material is covalently bound to a solid polymer bead and reactions are carried out on the bound substrate. After the desired transformations have been effected, the product is cleaved from the polymer.

**Solvation** | The clustering of solvent molecules around a solute particle to stabilize it.

**sp hybrid orbitals** | Hybrid orbitals derived from the combination of an *s* and a *p* atomic orbital. The two *sp* orbitals that result from hybridization are oriented at an angle of 180° to each other.

**sp<sup>2</sup> hybrid orbitals** | Hybrid orbitals derived by combination of an *s* atomic orbital with two *p* atomic orbitals. The three *sp*<sup>2</sup> hybrid orbitals that result lie in a plane at angles of 120° to each other.

**sp<sup>3</sup> hybrid orbitals** | Hybrid orbitals derived by combination of an *s* atomic orbital with three *p* atomic orbitals. The four *sp*<sup>3</sup> hybrid orbitals that result are directed toward the corners of a regular tetrahedron at angles of 109° to each other.

**Specific rotation** | The optical rotation of a chiral compound under standard conditions.

**Sphingomyelins** | Phospholipids that have sphingosine as the backbone rather than glycerol.

**Spin–spin splitting** | The splitting of an NMR signal into a multiplet because of an interaction between nearby magnetic nuclei whose spins are coupled. The magnitude of spin–spin splitting is given by the coupling constant, *J*.

**Staggered conformation** | The three-dimensional arrangement of atoms around a carbon–carbon single bond in which the bonds on one carbon bisect the bond angles on the second carbon as viewed end-on.

**Statin** | A drug that controls cholesterol biosynthesis in the body by blocking the HMG-CoA reductase enzyme.

**Step-growth polymers** | Polymers in which each bond is formed independently of the others. Polyesters and polyamides (nylons) are examples.

**Stereocenter** | An alternative name for a chirality center.

**Stereochemistry** | The branch of chemistry concerned with the three-dimensional arrangement of atoms in molecules.

**Stereogenic center** | An alternative name for a chirality center.

**Stereoisomers** | Isomers that have their atoms connected in the same order but have different three-dimensional arrangements. The term *stereoisomer* includes both enantiomers and diastereomers.

**Stereospecific** | A term indicating that only a single stereoisomer is produced in a given reaction rather than a mixture.

**Steric strain** | The strain imposed on a molecule when two groups are too close together and try to occupy the same space. Steric strain is responsible both for the greater stability of trans versus cis alkenes and for the greater stability of equatorially substituted versus axially substituted cyclohexanes.

**Steroids** | Lipids whose structure is based on a tetracyclic carbon skeleton with three 6-membered and one 5-membered ring. Steroids occur in both plants and animals and have a variety of important hormonal functions.

**Stork enamine reaction** | The conjugate addition of an enamine to an  $\alpha,\beta$ -unsaturated carbonyl compound, followed by hydrolysis to yield a 1,5-dicarbonyl product.

**STR loci** | Short tandem repeat sequences of noncoding DNA that are unique to every individual and allow DNA fingerprinting.

**Straight-chain alkanes** | Alkanes whose carbon atoms are connected without branching.

**Substitution reactions** | What occurs when two reactants exchange parts to give two new products. S<sub>N</sub>1 and S<sub>N</sub>2 reactions are examples.

**Sulfides** | A class of compounds that has two organic substituents bonded to the same sulfur atom, RSR'.

**Sulfonation** | The substitution of a sulfonic acid group (–SO<sub>3</sub>H) onto an aromatic ring.

**Sulfone** | A compound of the general structure RSO<sub>2</sub>R'.

**Sulfonium ions** | A species containing a positively charged, trivalent sulfur atom, R<sub>3</sub>S<sup>+</sup>.

**Sulfoxide** | A compound of the general structure RSOR'.

**Suprafacial** | A word used to describe the geometry of pericyclic reactions. Suprafacial reactions take place on the same side of the two ends of a  $\pi$  electron system.

**Suzuki–Miyaura reaction** | The palladium-catalyzed coupling reaction of an aromatic or vinylic halide with an aromatic or vinylic boronic acid.

**Symmetry plane** | A plane that bisects a molecule such that one half of the molecule is the mirror image of the other half. Molecules containing a plane of symmetry are achiral.

**Symmetry-allowed** | A symmetry-allowed reaction is a pericyclic process that has a favorable orbital symmetry for reaction through a concerted pathway. A symmetry-disallowed reaction is one that does not have favorable orbital symmetry for reaction through a concerted pathway.

**Syn periplanar** | Describing a stereochemical relationship in which two bonds on adjacent carbons lie in the same plane and are eclipsed.

**Syn stereochemistry** | The opposite of anti. A syn addition reaction is one in which the two ends of the double bond react from the same side. A syn elimination is one in which the two groups leave from the same side of the molecule.

**Syndiotactic** | A chain-growth polymer in which the stereochemistry of the substituents alternates regularly on opposite sides of the backbone.

**Tautomers** | Isomers that interconvert spontaneously, usually with the change in position of a hydrogen.

**Terpenoids** | Lipids that are formally derived by head-to-tail polymerization of isoprene units.

**Tertiary structure** | The level of protein structure that involves the manner in which the entire protein chain is folded into a specific three-dimensional arrangement.

**Thermodynamic control** | An equilibrium reaction that yields the lowest-energy, most stable product is said to be thermodynamically controlled.

**Thermoplastics** | Polymers that have a high *T<sub>g</sub>* and are hard at room temperature but become soft and viscous when heated.

**Thermosetting resins** | Polymers that become highly cross-linked and solidify into a hard, insoluble mass when heated.

**Thioesters** | A class of compounds with the RCOSR' functional group.

**Thiolate ion** | The anion of a thiol, RS<sup>–</sup>.

**Thiols** | A class of compounds containing the –SH functional group.

**TMS** | Tetramethylsilane; used as an NMR calibration standard.

**TOF** | Time-of-flight mass spectrometry; a sensitive method of mass detection accurate to about 3 ppm.

**Tollens' reagent** | A solution of Ag<sub>2</sub>O in aqueous ammonia; used to oxidize aldehydes to carboxylic acids.

**Torsional strain** | The strain in a molecule caused by electron repulsion between eclipsed bonds. Torsional strain is also called eclipsing strain.

**Tosylate** | A *p*-toluenesulfonate ester; useful as a leaving group in nucleophilic substitution reactions.

**Transamination** | The exchange of an amino group and a keto group between reactants.

**Transcription** | The process by which the genetic information encoded in DNA is read and used to synthesize RNA in the nucleus of the cell. A small portion of double-stranded DNA uncoils, and complementary ribonucleotides line up in the correct sequence for RNA synthesis.

**Transfer RNA (tRNA)** | A kind of RNA that transports amino acids to the ribosomes, where they are joined together to make proteins.

**Transimination** | The exchange of an amino group and an imine group between reactants.

**Transition state** | An activated complex between reactants, representing the highest energy point on a reaction curve. Transition states are unstable complexes that can't be isolated.

**Translation** | The process by which the genetic information transcribed from DNA onto mRNA is read by tRNA and used to direct protein synthesis.

**Tree diagram** | A diagram used in NMR to sort out the complicated splitting patterns that can arise from multiple couplings.

**Triacylglycerols** | Lipids, such as those found in animal fat and vegetable oil, that are a triester of glycerol with long-chain fatty acids.

**Tricarboxylic acid cycle** | An alternative name for the citric acid cycle by which acetyl CoA is degraded to CO<sub>2</sub>.

**Triple bonds** | A type of covalent bond formed by sharing three electron pairs between atoms.

**Triplet** | A symmetrical three-line splitting pattern observed in the <sup>1</sup>H NMR spectrum when a proton has two equivalent neighbor protons.

**Turnover number** | The number of substrate molecules acted on by an enzyme molecule per unit time.

**Twist-boat conformation** | A conformation of cyclohexane that is somewhat more stable than a pure boat conformation.

**Ultraviolet (UV) spectroscopy** | An optical spectroscopy employing ultraviolet irradiation. UV spectroscopy provides structural information about the extent of  $\pi$  electron conjugation in organic molecules.

**Unimolecular reaction** | A reaction that occurs by spontaneous transformation of the starting material without the intervention of other reactants. For example, the dissociation of a tertiary alkyl halide in the S<sub>N</sub>1 reaction is a unimolecular process.

**Unsaturated** | A molecule that has one or more multiple bonds.

**Upfield** | The right-hand portion of the NMR chart.

**Urethane** | A functional group in which a carbonyl group is bonded to both an -OR and an -NR<sub>2</sub>.

**Uronic acid** | A monocarboxylic acid formed by oxidizing the -CH<sub>2</sub>OH end of an aldose without affecting the -CHO end.

**Valence bond theory** | A bonding theory that describes a covalent bond as resulting from the overlap of two atomic orbitals.

**Valence shell** | The outermost electron shell of an atom.

**van der Waals forces** | Intermolecular forces that are responsible for holding molecules together in the liquid and solid states.

**Vegetable oils** | Liquid triacylglycerols derived from a plant source.

**Vicinal** | A term used to refer to a 1,2-disubstitution pattern. For example, 1,2-dibromoethane is a vicinal dibromide.

**Vinyl group** | A  $\text{=CH-}$  substituent.

**Vinyl monomer** | A substituted alkene monomer used to make a chain-growth polymer.

**Vinylic** | A term that refers to a substituent at a double-bond carbon atom. For example, chloroethylene is a vinylic chloride, and enols are vinylic alcohols.

**Vitamin** | A small organic molecule that must be obtained in the diet and is required in trace amounts for proper growth and function.

**Vulcanization** | A technique for cross-linking and hardening a diene polymer by heating with a few percent by weight of sulfur.

**Walden inversion** | The inversion of configuration at a chirality center that accompanies an S<sub>N</sub>2 reaction.

**Wave equation** | A mathematical expression that defines the behavior of an electron in an atom.

**Wave function** | A solution to the wave equation for defining the behavior of an electron in an atom. The square of the wave function defines the shape of an orbital.

**Wavelength** | The length of a wave from peak to peak. The wavelength of electromagnetic radiation is inversely proportional to frequency and inversely proportional to energy.

**Waxes** | A mixture of esters of long-chain carboxylic acids with long-chain alcohols.

**Williamson ether synthesis** | A method for synthesizing ethers by S<sub>N</sub>2 reaction of an alkyl halide with an alkoxide ion.

**Wittig reaction** | The reaction of a phosphorus ylide with a ketone or aldehyde to yield an alkene.

**Wohl degradation** | A method for shortening the chain of an aldose sugar by one carbon.

**Wolff-Kishner reaction** | The conversion of an aldehyde or ketone into an alkane by reaction with hydrazine and base.

**X-ray crystallography** | A technique that uses X rays to determine the structure of molecules.

**Ylide** | A neutral species with adjacent + and - charges, such as the phosphoranes used in Wittig reactions.

**Z geometry** | A term used to describe the stereochemistry of a carbon-carbon double bond. The two groups on each carbon are ranked according to the Cahn-Ingold-Prelog sequence rules, and the two carbons are compared. If the higher ranked groups on each carbon are on the same side of the double bond, the bond has Z geometry.

**Zaitsev's rule** | A rule stating that E2 elimination reactions normally yield the more highly substituted alkene as major product.

**Ziegler-Natta catalysts** | Catalysts of an alkylaluminum and a titanium compound used for preparing alkene polymers.

**Zwitterion** | A neutral dipolar molecule in which the positive and negative charges are not adjacent. For example, amino acids exist as zwitterions, H<sub>3</sub>CN<sup>+</sup>-CHR-CO<sub>2</sub><sup>-</sup>

**α Anomer** | The cyclic hemiacetal form of a sugar that has the hemiacetal -OH group cis to the -OH at the lowest chirality center in a Fischer projection.

**α Helix** | The coiled secondary structure of a protein.

**α Position** | The position next to a carbonyl group.

**α-Amino acids** | A type of difunctional compound with an amino group on the carbon atom next to a carboxyl group, RCH(NH<sub>2</sub>)CO<sub>2</sub>H.

**α-Substitution reaction** | The substitution of the α hydrogen atom of a carbonyl compound by reaction with an electrophile.

**β Anomer** | The cyclic hemiacetal form of a sugar that has the hemiacetal -OH group trans to the -OH at the lowest chirality center in a Fischer projection.

**β Diketone** | A 1,3-diketone.

**β Lactam** | A four-membered lactam, or cyclic amide. Penicillin and cephalosporin antibiotics contain β-lactam rings.

**β-Keto ester** | A 3-oxoester.

**β-Oxidation pathway** | The metabolic pathway for degrading fatty acids.

**β-Pleated sheet** | A type of secondary structure of a protein.

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