

## 14.3: Application to Electromagnetic Perturbations

### First-Order Fermi-Wentzel "Golden Rule"

Using the earlier expressions for  $H_{int}^1$  and for  $\mathbf{A}(\mathbf{r}, t)$

$$H_{int}^1 = \sum_j \left[ \left( \frac{ie\hbar}{m_e c} \right) \mathbf{A}(\mathbf{r}_j, t) \cdot \nabla_j \right] + \sum_a \left[ \left( \frac{iZ_a e\hbar}{m_a c} \right) \mathbf{A}(\mathbf{R}_a, t) \cdot \nabla_a \right]$$

and

$$2\mathbf{A}_0 \cos(\omega t - \mathbf{k} \cdot \mathbf{r}) = \mathbf{A}_0 \left[ e^{i(\omega t - \mathbf{k} \cdot \mathbf{r})} + e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})} \right],$$

it is relatively straightforward to carry out the above time integration to achieve a final expression for  $D_f^1(t)$ , which can then be substituted into  $C_f^1(t) = D_f^1(t)e^{(-\frac{iE_f^0 t}{\hbar})}$  to obtain the final expression for the first-order estimate of the probability amplitude for the molecule appearing in the state  $\Phi_f e^{(-\frac{iE_f^0 t}{\hbar})}$  after being subjected to electromagnetic radiation from  $t = 0$  until  $t = T$ . This final expression reads:

$$C_f^1(T) = \frac{1}{i\hbar} e^{\frac{-iE_f^0 T}{\hbar}} \left[ \langle \Phi_f | \sum_j \left[ \left( \frac{ie\hbar}{m_e c} \right) e^{-i\mathbf{k} \cdot \mathbf{r}_j} \mathbf{A}_0 \cdot \nabla_j + \sum_a \left( \frac{iZ_a e\hbar}{m_a c} \right) e^{-i\mathbf{k} \cdot \mathbf{R}_a} \mathbf{A}_0 \cdot \nabla_a | \Phi_i \rangle \right] \frac{e^{i(\omega + \omega_{f,i})T} - 1}{i(\omega + \omega_{f,i})} \right] \\ + \frac{1}{i\hbar} e^{\frac{-iE_f^0 T}{\hbar}} \left[ \langle \Phi_f | \sum_j \left[ \left( \frac{ie\hbar}{m_e c} \right) e^{i\mathbf{k} \cdot \mathbf{r}_j} \mathbf{A}_0 \cdot \nabla_j + \sum_a \left( \frac{iZ_a e\hbar}{m_a c} \right) e^{i\mathbf{k} \cdot \mathbf{R}_a} \mathbf{A}_0 \cdot \nabla_a | \Phi_i \rangle \right] \frac{e^{i(-\omega + \omega_{f,i})T} - 1}{i(-\omega + \omega_{f,i})} \right]$$

where

$$\omega_{f,i} = \frac{[E_f^0 - E_i^0]}{\hbar}$$

is the resonance frequency for the transition between "initial" state  $\Phi_i$  and "final" state  $\Phi_f$

Defining the time-independent parts of the above expression as

$$\alpha_{f,i} = \langle \Phi_f | \sum_j \left[ \left( \frac{e}{m_e c} \right) e^{-i\mathbf{k} \cdot \mathbf{r}_j} \mathbf{A}_0 \cdot \nabla_j + \sum_a \left( \frac{Z_a e}{m_a c} \right) e^{-i\mathbf{k} \cdot \mathbf{R}_a} \mathbf{A}_0 \cdot \nabla_a | \Phi_i \rangle \right],$$

this result can be written as

$$C_f^1(T) = e^{\frac{-iE_f^0 T}{\hbar}} \left[ \alpha_{f,i} \frac{e^{i(\omega + \omega_{f,i})T} - 1}{i(\omega + \omega_{f,i})} + \alpha_{f,i}^* \frac{e^{-i(\omega - \omega_{f,i})T} - 1}{-i(\omega - \omega_{f,i})} \right].$$

The modulus squared  $|C_f^1(T)|^2$  gives the probability of finding the molecule in the final state  $\Phi_f$  at time  $T$ , given that it was in  $\Phi_i$  at time  $t = 0$ . If the light's frequency  $\omega$  is tuned close to the transition frequency  $\omega_{f,i}$  of a particular transition, the term whose denominator contains  $(\omega - \omega_{f,i})$  will dominate the term with  $(\omega + \omega_{f,i})$  in its denominator. Within this "near-resonance" condition, the above probability reduces to:

$$|C_f^1|^2 = 2|\alpha_{f,i}|^2 \frac{1 - \cos(\omega - \omega_{f,i})T}{(\omega - \omega_{f,i})^2} \\ = 4|\alpha_{f,i}|^2 \frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2}.$$

This is the final result of the first-order time-dependent perturbation theory treatment of light-induced transitions between states  $\Phi_i$  and  $\Phi_f$ .

The so-called sinc-function

$$\frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2}$$

as shown in the figure below is strongly peaked near  $\omega = \omega_{f,i}$ , and displays secondary maxima (of decreasing amplitudes) near  $\omega = \omega_{f,i} + 2\frac{n\pi}{T}$ ,  $n = 1, 2, \dots$ . In the  $T \rightarrow \infty$  limit, this function becomes narrower and narrower, and the area under it

$$\int_{-\infty}^{\infty} \frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2} d\omega = \frac{T}{2} \int_{-\infty}^{\infty} \frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{1/4T^2(\omega - \omega_{f,i})^2} d\left(\omega \frac{T}{2}\right) = \frac{T}{2} \int_{-\infty}^{\infty} \frac{\sin^2(x)}{x^2} = \pi \frac{T}{2}$$

grows with T. Physically, this means that when the molecules are exposed to the light source for long times (large T), the sinc function emphasizes  $\omega$  values near  $\omega_{f,i}$  (i.e., the on-resonance  $\omega$  values). These properties of the sinc function will play important roles in what follows.

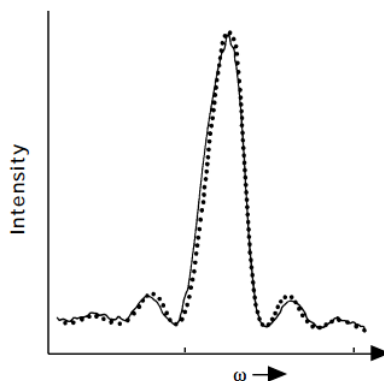


Figure 14.3.1: Insert caption here!

In most experiments, light sources have a "spread" of frequencies associated with them; that is, they provide photons of various frequencies. To characterize such sources, it is common to introduce the spectral source function  $g(\omega) d\omega$  which gives the probability that the photons from this source have frequency somewhere between  $\omega$  and  $\omega + d\omega$ . For narrow-band lasers,  $g(\omega)$  is a sharply peaked function about some "nominal" frequency  $\omega_0$ ; broader band light sources have much broader  $g(\omega)$  functions.

When such non-monochromatic light sources are used, it is necessary to average the above formula for  $|C_f^1(T)|^2$  over the  $g(\omega) d\omega$  probability function in computing the probability of finding the molecule in state  $\Phi_f$  after time T, given that it was in  $\Phi_i$  up until  $t = 0$ , when the light source was turned on. In particular, the proper expression becomes:

$$\begin{aligned} |C_f^1(T)|_{ave}^2 &= 4|\alpha_{fi}|^2 \int g(\omega) \frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2} d\omega \\ &= 2|\alpha_{fi}|^2 T \int_{-\infty}^{\infty} g(\omega) \frac{2\sin^2(1/2(\omega - \omega_{f,i})T)}{1/4T^2(\omega - \omega_{f,i})^2} d\left(\omega \frac{T}{2}\right) \end{aligned}$$

If the light-source function is "tuned" to peak near  $\omega = \omega_{f,i}$  and if  $g(\omega)$  is much broader (in  $\omega$ -space) than the  $\frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2}$  function,  $g(\omega)$  can be replaced by its value at the peak of the  $\frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{(\omega - \omega_{f,i})^2}$  function, yielding:

$$\begin{aligned} |C_f^1(T)|_{ave} &= 2g(\omega_{f,i})|\alpha_{fi}|^2 T \int_{-\infty}^{\infty} \frac{\sin^2(1/2(\omega - \omega_{f,i})T)}{1/4T^2(\omega - \omega_{f,i})^2} d\left(\omega \frac{T}{2}\right) = 2g(\omega_{f,i})|\alpha_{fi}|^2 T \int_{-\infty}^{\infty} \frac{\sin^2(x)}{x^2} dx \\ &= 2\pi g(\omega_{f,i})|\alpha_{fi}|^2 T. \end{aligned}$$

The fact that the **probability** of excitation from  $\Phi_i$  to  $\Phi_f$  grows linearly with the time T over which the light source is turned on implies that the **rate** of transitions between these two states is constant and given by:

$$\mathbf{R}_{i,f} = 2\pi g(\omega_{f,i}) |\alpha_{f,i}|^2;$$

this is the so-called first-order Fermi-Wentzel "**golden rule**" expression for such transition rates. It gives the rate as the square of a transition matrix element between the two states involved, of the first order perturbation multiplied by the light source function  $g(\omega)$  evaluated at the transition frequency  $\omega_{f,i}$ .

## Higher Order Results

Solution of the second-order time-dependent perturbation equations,

$$i\hbar \frac{\partial \Psi^2}{\partial t} = (H^0 \Psi^2 + H_{int}^2 \Psi^0 + H_{int}^1 \Psi^1)$$

which will not be treated in detail here, gives rise to two distinct types of contributions to the transition probabilities between  $\Phi_i$  and  $\Phi_f$ :

**There will be matrix elements of the form**

$$\langle \Phi_f | \sum_j \left[ \left( \frac{e^2}{2m_e c^2} \right) |\mathbf{A}(\mathbf{r}_j, t)|^2 \right] + \sum_a \left[ \left( \frac{Z_a^2 e^2}{2m_a c^2} \right) |\mathbf{A}(R_a, t)|^2 \right] | \Phi_i \rangle$$

arising when  $H_{int}^2$  couples  $\Phi_i$  to  $\Phi_f$ .

**There will be matrix elements of the form**

$$\begin{aligned} \sum_k \langle \Phi_f | \sum_j \left[ \left( \frac{ie\hbar}{m_e c} \right) \mathbf{A}(r_j, t) \cdot \nabla_j \right] + \sum_a \left[ \left( \frac{iZ_a e\hbar}{m_a c} \right) \mathbf{A}(R_a, t) \cdot \nabla_a \right] | \Phi_k \rangle \\ \langle \Phi_k | \sum_j \left[ \left( \frac{ie\hbar}{m_e c} \right) \mathbf{A}(r_j, t) \cdot \nabla_j \right] + \sum_a \left[ \left( \frac{iZ_a e\hbar}{m_a c} \right) \mathbf{A}(R_a, t) \cdot \nabla_a \right] | \Phi_i \rangle \end{aligned}$$

arising from expanding  $H_{int}^1 \Psi^1 = \sum_k C_k^1 H_{int}^1 | \Phi_k \rangle$  and using the earlier result for the first-order amplitudes  $C_k^1$ . Because both types of second-order terms vary quadratically with the  $\mathbf{A}(\mathbf{r}, t)$  potential, and because  $\mathbf{A}$  has time dependence of the form  $\cos(\omega t - \mathbf{k} \cdot \mathbf{r})$ , these terms contain portions that vary with time as  $\cos(2\omega t)$ . As a result, transitions between initial and final states  $\Phi_i$  and  $\Phi_f$  whose transition frequency is  $\omega_{f,i}$  can be induced when  $2\omega = \omega_{f,i}$ ; in this case, one speaks of coherent two-photon induced transitions in which the electromagnetic field produces a perturbation that has twice the frequency of the "nominal" light source frequency  $\omega$ .

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