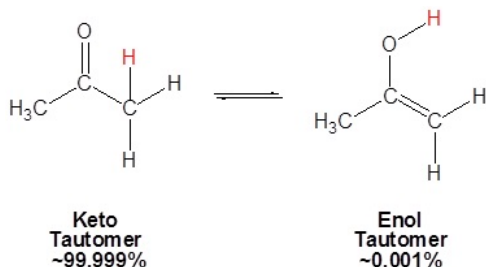


23.2: ENOLS, ENOLATE IONS AND TAUTOMERIZATION

INTRODUCTION

Because of the acidity of the alpha-hydrogens, carbonyls undergo keto-enol tautomerism. Tautomers are rapidly interconverted constitutional isomers, usually distinguished by a different bonding location for a labile hydrogen atom and a differently located double bond. The equilibrium between tautomers is not only rapid under normal conditions, but it often strongly favors one of the isomers (acetone, for example, is 99.999% keto tautomer). Even in such one-sided equilibria, evidence for the presence of the minor tautomer comes from the chemical behavior of the compound. Tautomeric equilibria are catalyzed by traces of acids or bases that are generally present in most chemical samples.

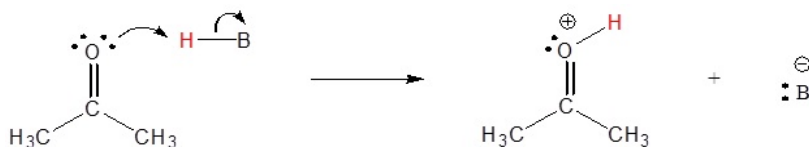


MECHANISM FOR ENOL AND ENOLATE FORMATION

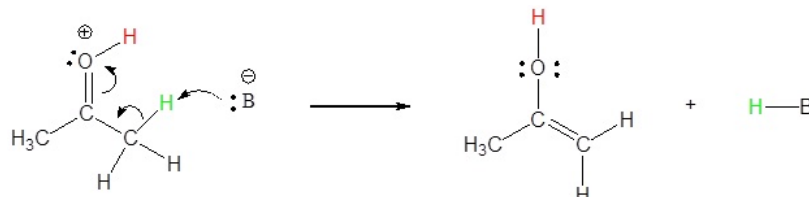
Under acidic conditions, the enol tautomer forms. Under basic conditions, the enolate tautomer forms. Both the enol and enolate are nucleophiles that can undergo subsequent reactions. The mechanism for both acidic and basic reaction conditions are shown below.

Acid conditions

1) Protonation of the Carbonyl

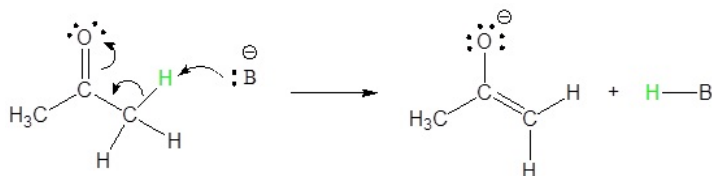


2) Enol formation

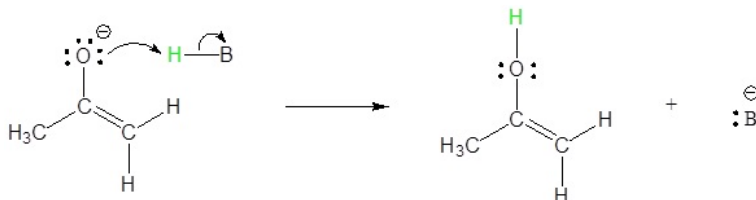


Basic conditions

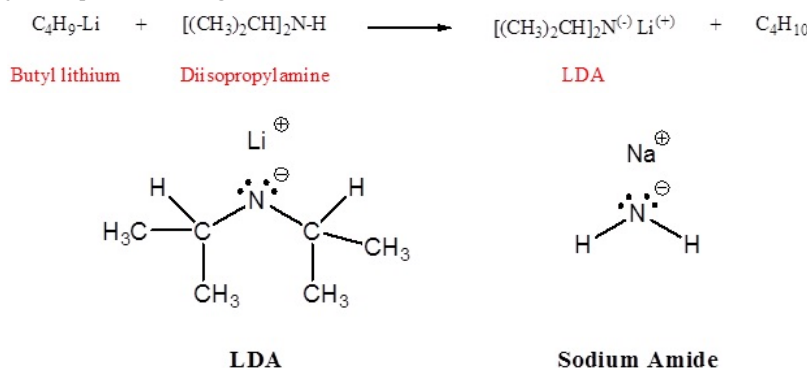
1) Enolate formation



2) Protonation

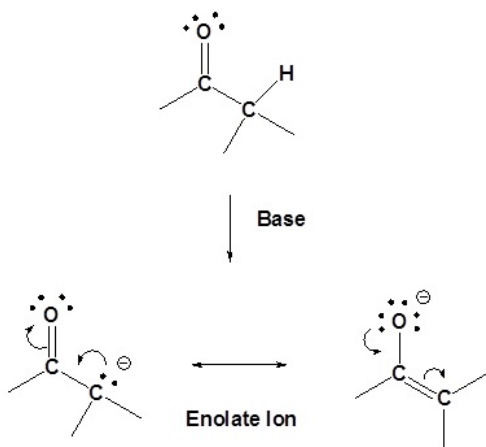


For reactions of enolate anions to be useful, these intermediates must be generated in high concentration in the absence of other strong nucleophiles and bases. The aqueous base conditions used for the aldol condensation are not suitable because the enolate anions of simple carbonyl compounds are formed in very low concentration, and hydroxide or alkoxide bases induce competing S_N2 and $E2$ reactions of alkyl halides. It is necessary, therefore, to achieve complete conversion of aldehyde or ketone reactants to their enolate conjugate bases by treatment with a very strong base ($pK_a > 25$) in a non-hydroxylic solvent before any alkyl halides are added to the reaction system. Some bases that have been used for enolate anion formation are: NaH (sodium hydride, $pK_a > 45$), $NaNH_2$ (sodium amide, $pK_a = 34$), and $LiN[CH(CH_3)_2]_2$ (lithium diisopropylamide, LDA, $pK_a 36$). Ether solvents like tetrahydrofuran (THF) are commonly used for enolate anion formation. With the exception of sodium hydride and sodium amide, most of these bases are soluble in THF. Certain other strong bases, such as alkyl lithium and Grignard reagents, cannot be used to make enolate anions because they rapidly and irreversibly add to carbonyl groups. Nevertheless, these very strong bases are useful in making soluble amide bases. In the preparation of lithium diisopropylamide (LDA), for example, the only other product is the gaseous alkane butane.



Because of its solubility in THF, LDA is a widely used base for enolate anion formation. In this application, one equivalent of diisopropylamine is produced along with the lithium enolate, but this normally does not interfere with the enolate reactions and is easily removed from the products by washing with aqueous acid. Although the reaction of carbonyl compounds with sodium hydride is heterogeneous and slow, sodium enolates are formed with the loss of hydrogen, and no other organic compounds are produced.

The presence of these overlapping p orbitals gives α hydrogens (Hydrogens on carbons adjacent to carbonyls) special properties. In particular, α hydrogens are weakly acidic because the conjugate base, called an enolate, is stabilized through conjugation with the π orbitals of the carbonyl. The effect of the carbonyl is seen when comparing the pK_a for the α hydrogens of aldehydes ($\sim 16-18$), ketones ($\sim 19-21$), and esters ($\sim 23-25$) to the pK_a of an alkane (~ 50).

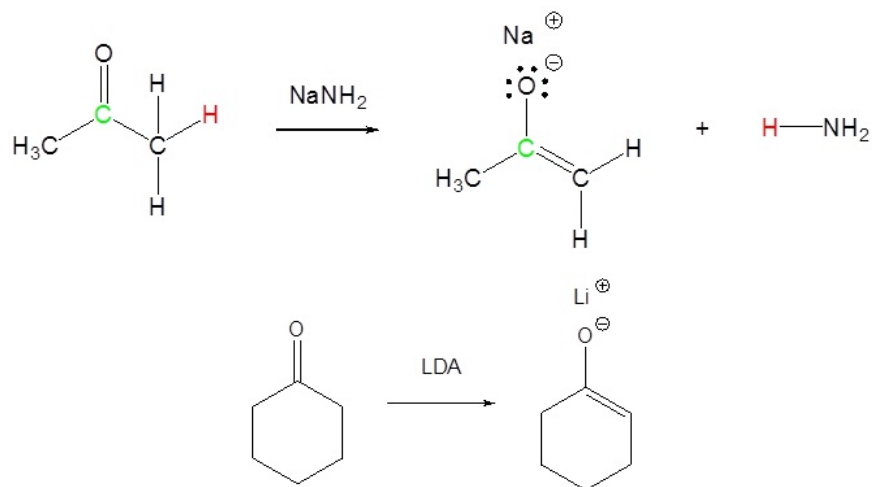


Of the two resonance structures of the enolate ion the one which places the negative charge on the oxygen is the most stable. This is because the negative charge will be better stabilized by the greater electronegativity of the oxygen.

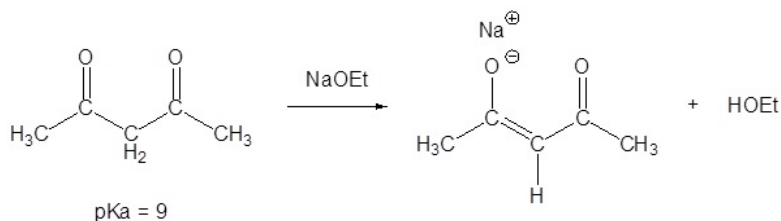
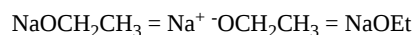
Functional Group	Structure	pK _a
carboxylic acid	HO-(C=O)R	5
nitro	RCH ₂ -NO ₂	9
β-diketone *	R(O=C)-CH ₂ -(C=O)R	9
β-ketoester *	R(O=C)-CH ₂ -(C=O)OR	11
β-diester *	RO(O=C)-CH ₂ -(C=O)OR	13
amide	RNH-(C=O)R	15
alcohol	RCH ₂ -OH	16
aldehyde	RCH ₂ -(C=O)H	17
ketone	RCH ₂ -(C=O)R	20
thioester	RCH ₂ -(C=O)SR	21
ester	RCH ₂ -(C=O)OR	25
nitrile	RCH ₂ -C≡N	25
sulfone	RCH ₂ -SO ₂ R	25
amide	RCH ₂ -(C=O)N(CH ₃) ₂	30
alkane	CH ₃ -R	50

* Note methylene groups bridging between two electron withdrawing groups are more acidic than alpha protons next to only one carbonyl group.

EXAMPLES



If the formed enolate is stabilized by more than one carbonyl it is possible to use a weaker base such as sodium ethoxide.



Because of the acidity of α hydrogens, carbonyls undergo keto-enol tautomerism. Tautomers are rapidly interconverted constitutional isomers, usually distinguished by a different bonding location for a labile hydrogen atom and a differently located double bond. The equilibrium between tautomers is not only rapid under normal conditions, but it often strongly favors one of the isomers (acetone, for example, is 99.999% keto tautomer). Even in such one-sided equilibria, evidence for the presence of the minor tautomer comes from the chemical behavior of the compound. Tautomeric equilibria are catalyzed by traces of acids or bases that are generally present in most chemical samples.

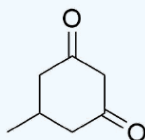
Exercises

2. Draw the enol forms of the following molecules

- 4-methylcyclohexanone
- Ethyl thioacetate
- Methyl acetate
- Butanal
- Propionic Acid
- 1-phenyl-2-butanone

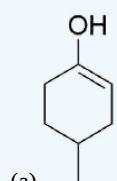
3. How many acid protons do each of the molecules from the previous question have? Label them.

4. Draw all of the monoenol forms for the following molecule. Which ones are most stable? Why?

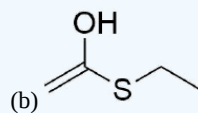


Answers

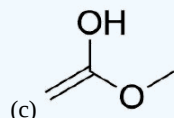
2.



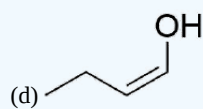
(a)



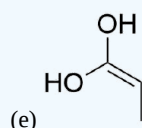
(b)



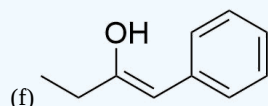
(c)



(d)

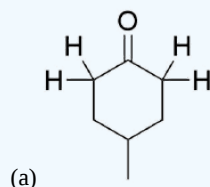


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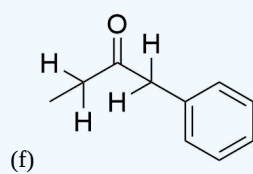
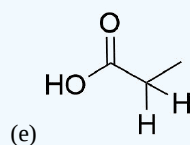
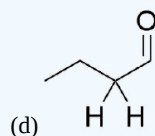
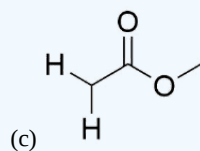
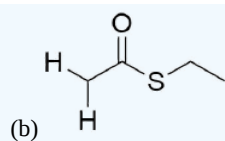


(f)

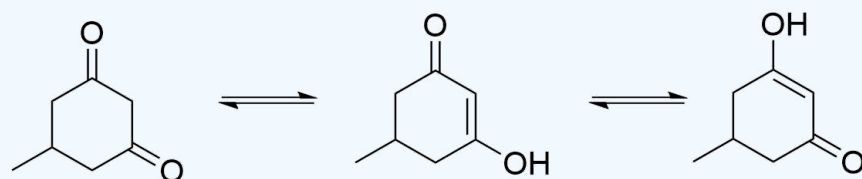
3.



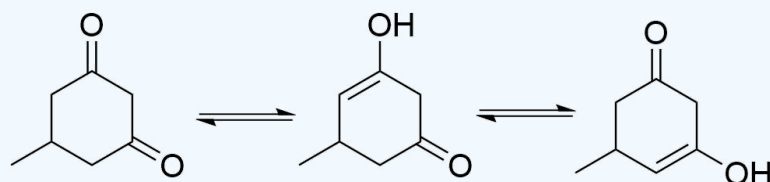
(a)



4.



The ability to resonate stabilizes this enol form.



This enol has no resonance forms and is therefore less stable.

CONTRIBUTORS AND ATTRIBUTIONS

- Dr. Dietmar Kennepohl FCIC (Professor of Chemistry, [Athabasca University](#))
- Prof. Steven Farmer ([Sonoma State University](#))

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