

11.6: Degenerate Perturbation Theory

Let us, rather naively, investigate the Stark effect in an excited (i.e., $n > 1$) state of the hydrogen atom using standard non-degenerate perturbation theory. We can write

$$H_0 \psi_{nlm} = E_n \psi_{nlm}, \quad (11.6.1)$$

because the energy eigenstates of the unperturbed Hamiltonian only depend on the quantum number n . Making use of the selection rules ([e12.63]) and ([e12.73]), non-degenerate perturbation theory yields the following expressions for the perturbed energy levels and eigenstates [see Equations ([e12.56]) and ([e12.57])]:

$$E'_{nl} = E_n + e_{nl} + \sum_{n', l' = l \pm 1} \frac{|e_{n'l'nl}|^2}{E_n - E_{n'}}, \quad (11.6.2)$$

and

$$\psi'_{nlm} = \psi_{nlm} + \sum_{n', l' = l \pm 1} \frac{e_{n'l'nl}}{E_n - E_{n'}} \psi_{n'l'm}, \quad (11.6.3)$$

where

$$e_{n'l'nl} = \langle n', l', m | H_1 | n, l, m \rangle. \quad (11.6.4)$$

Unfortunately, if $n > 1$ then the summations in the previous expressions are not well defined, because there exist non-zero matrix elements, $e_{n'l'nl}$, that couple degenerate eigenstates: that is, there exist non-zero matrix elements that couple states with the same value of n , but different values of l . These particular matrix elements give rise to singular factors $1/(E_n - E_n)$ in the summations. This does not occur if $n = 1$ because, in this case, the selection rule $l' = l \pm 1$, and the fact that $l = 0$ (because $0 \leq l < n$), only allow l' to take the single value 1. Of course, there is no $n = 1$ state with $l' = 1$. Hence, there is only one coupled state corresponding to the eigenvalue E_1 . Unfortunately, if $n > 1$ then there are multiple coupled states corresponding to the eigenvalue E_n .

Note that our problem would disappear if the matrix elements of the perturbed Hamiltonian corresponding to the same value of n , but different values of l , were all zero: that is, if

$$\langle n, l', m | H_1 | n, l, m \rangle = \lambda_{nl} \delta_{ll'}. \quad (11.6.5)$$

In this case, all of the singular terms in Equations ([e12.88]) and ([e12.89]) would reduce to zero. Unfortunately, the previous equation is not satisfied in general. Fortunately, we can always redefine the unperturbed eigenstates corresponding to the eigenvalue E_n in such a manner that Equation ([e12.91]) is satisfied. Suppose that there are N_n coupled eigenstates belonging to the eigenvalue E_n . Let us define N_n new states which are linear combinations of our N_n original degenerate eigenstates:

$$\psi_{nlm}^{(1)} = \sum_{k=1, N_n} \langle n, k, m | n, l^{(1)}, m \rangle \psi_{nkm}. \quad (11.6.6)$$

Note that these new states are also degenerate energy eigenstates of the unperturbed Hamiltonian, H_0 , corresponding to the eigenvalue E_n . The $\psi_{nlm}^{(1)}$ are chosen in such a manner that they are also eigenstates of the perturbing Hamiltonian, H_1 : that is, they are simultaneous eigenstates of H_0 and H_1 . Thus,

$$H_1 \psi_{nlm}^{(1)} = \lambda_{nl} \psi_{nlm}^{(1)}. \quad (11.6.7)$$

The $\psi_{nlm}^{(1)}$ are also chosen so as to be orthonormal: that is,

$$\langle n, l'^{(1)}, m | n, l^{(1)}, m \rangle = \delta_{ll'}. \quad (11.6.8)$$

It follows that

$$\langle n, l'^{(1)}, m | H_1 | n, l^{(1)}, m \rangle = \lambda_{nl} \delta_{ll'}. \quad (11.6.9)$$

Thus, if we use the new eigenstates, instead of the old ones, then we can employ Equations ([e12.88]) and ([e12.89]) directly, because all of the singular terms vanish. The only remaining difficulty is to determine the new eigenstates in terms of the original

ones.

Now [see Equation ([\[e12.20\]](#))]

$$\sum_{l=1, N_n} |n, l, m\rangle \langle n, l, m| \equiv 1, \quad (11.6.10)$$

where 1 denotes the identity operator in the sub-space of all coupled unperturbed eigenstates corresponding to the eigenvalue E_n . Using this completeness relation, the eigenvalue equation ([\[e12.93\]](#)) can be transformed into a straightforward matrix equation:

$$\sum_{l''=1, N_n} \langle n, l', m | H_1 | n, l'', m \rangle \langle n, l'', m | n, l^{(1)}, m \rangle = \lambda_{nl} \langle n, l', m | n, l^{(1)}, m \rangle. \quad (11.6.11)$$

This can be written more transparently as

$$\mathbf{U} \mathbf{x} = \lambda \mathbf{x}, \quad (11.6.12)$$

where the elements of the $N_n \times N_n$ Hermitian matrix \mathbf{U} are

$$U_{jk} = \langle n, j, m | H_1 | n, k, m \rangle. \quad (11.6.13)$$

Provided that the determinant of \mathbf{U} is non-zero, Equation ([\[e12.100\]](#)) can always be solved to give N_n eigenvalues λ_{nl} (for $l = 1$ to N_n), with N_n corresponding eigenvectors \mathbf{x}_{nl} . The normalized eigenvectors specify the weights of the new eigenstates in terms of the original eigenstates: that is,

$$(\mathbf{x}_{nl})_k = \langle n, k, m | n, l^{(1)}, m \rangle, \quad (11.6.14)$$

for $k = 1$ to N_n . In our new scheme, Equations ([\[e12.88\]](#)) and ([\[e12.89\]](#)) yield

$$E'_{nl} = E_n + \lambda_{nl} + \sum_{n' \neq n, l' = l \pm 1} \frac{|e_{n'l'nl}|^2}{E_n - E_{n'}}, \quad (11.6.15)$$

and

$$\psi_{nlm}^{(1)'} = \psi_{nlm}^{(1)} + \sum_{n' \neq n, l' = l \pm 1} \frac{e_{n'l'nl}}{E_n - E_{n'}} \psi_{n'l'm}. \quad (11.6.16)$$

There are no singular terms in these expressions, because the summations are over $n' \neq n$: that is, they specifically exclude the problematic, degenerate, unperturbed energy eigenstates corresponding to the eigenvalue E_n . Note that the first-order energy shifts are equivalent to the eigenvalues of the matrix equation ([\[e12.100\]](#)).

Contributors and Attributions

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