

## 6.7: Appendix I- Potts Model in One Dimension

### Definition

The Potts model is defined by the Hamiltonian

$$H = -J \sum_{\langle ij \rangle} \delta_{\sigma_i, \sigma_j} - h \sum_i \delta_{\sigma_i, 1}. \quad (6.7.1)$$

Here, the spin variables  $\sigma_i$  take values in the set  $\{1, 2, \dots, q\}$  on each site. The equivalent of an external magnetic field in the Ising case is a field  $h$  which prefers a particular value of  $\sigma$  ( $\sigma = 1$  in the above Hamiltonian). Once again, it is not possible to compute the partition function on general lattices, however in one dimension we may once again find  $Z$  using the transfer matrix method.

### Transfer matrix

On a ring of  $N$  sites, we have

$$\begin{aligned} Z &= \text{Tr} e^{-\beta H} \\ &= \sum_{\{\sigma_n\}} e^{\beta h \delta_{\sigma_1, 1}} e^{\beta J \delta_{\sigma_1, \sigma_2}} \dots e^{\beta h \delta_{\sigma_N, 1}} e^{\beta J \delta_{\sigma_N, \sigma_1}} \\ &= \text{Tr} (R^N), \end{aligned}$$

where the  $q \times q$  transfer matrix  $R$  is given by

$$R_{\sigma\sigma'} = e^{\beta J \delta_{\sigma\sigma'}} e^{\frac{1}{2\beta h \delta_{\sigma, 1}}} e^{\frac{1}{2\beta h \delta_{\sigma', 1}}} = \begin{cases} e^{\beta(J+h)} & \text{if } \sigma = \sigma' = 1 \\ e^{\beta J} & \text{if } \sigma = \sigma' \neq 1 \\ e^{\beta h/2} & \text{if } \sigma = 1 \text{ and } \sigma' \neq 1 \\ e^{\beta h/2} & \text{if } \sigma \neq 1 \text{ and } \sigma' = 1 \\ 1 & \text{if } \sigma \neq 1 \text{ and } \sigma' \neq 1 \text{ and } \sigma \neq \sigma' \end{cases} \quad (6.7.2)$$

In matrix form,

$$R = \begin{pmatrix} e^{\beta(J+h)} & e^{\beta h/2} & e^{\beta h/2} & \dots & e^{\beta h/2} \\ e^{\beta h/2} & e^{\beta J} & 1 & \dots & 1 \\ e^{\beta h/2} & 1 & e^{\beta J} & \dots & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ e^{\beta h/2} & 1 & 1 & \dots & e^{\beta J} & 1 \\ e^{\beta h/2} & 1 & 1 & \dots & 1 & e^{\beta J} \end{pmatrix} \quad (6.7.3)$$

The matrix  $R$  has  $q$  eigenvalues  $\lambda_j$ , with  $j = 1, \dots, q$ . The partition function for the Potts chain is then

$$Z = \sum_{j=1}^q \lambda_j^N. \quad (6.7.4)$$

We can actually find the eigenvalues of  $R$  analytically. To this end, consider the vectors

$$\phi = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad \psi = (q-1+e^{\beta h})^{-1/2} \begin{pmatrix} e^{\beta h/2} \\ 1 \\ \vdots \\ 1 \end{pmatrix}. \quad (6.7.5)$$

Then  $R$  may be written as

$$R = (e^{\beta J} - 1) \mathbb{I} + (q-1+e^{\beta h}) |\psi\rangle\langle\psi| + (e^{\beta J} - 1)(e^{\beta h} - 1) |\phi\rangle\langle\phi|, \quad (6.7.6)$$

where  $\mathbb{I}$  is the  $q \times q$  identity matrix. When  $h = 0$ , we have a simpler form,

$$R = (e^{\beta J} - 1) \mathbb{I} + q |\psi\rangle\langle\psi|. \quad (6.7.7)$$

From this we can read off the eigenvalues:

$$\begin{aligned} \lambda_1 &= e^{\beta J} + q - 1 \\ \lambda_j &= e^{\beta J} - 1, \quad j \in \{2, \dots, q\}, \end{aligned}$$

since  $|\psi\rangle$  is an eigenvector with eigenvalue  $\lambda = e^{\beta J} + q - 1$ , and any vector orthogonal to  $|\psi\rangle$  has eigenvalue  $\lambda = e^{\beta J} - 1$ . The partition function is then

$$Z = (e^{\beta J} + q - 1)^N + (q - 1)(e^{\beta J} - 1)^N. \quad (6.7.8)$$

In the thermodynamic limit  $N \rightarrow \infty$ , only the  $\lambda_1$  eigenvalue contributes, and we have

$$F(T, N, h = 0) = -Nk_B T \ln(e^{J/k_B T} + q - 1) \quad \text{for } N \rightarrow \infty. \quad (6.7.9)$$

When  $h$  is nonzero, the calculation becomes somewhat more tedious, but still relatively easy. The problem is that  $|\psi\rangle$  and  $|\phi\rangle$  are not orthogonal, so we define

$$|\chi\rangle = \frac{|\phi\rangle - |\psi\rangle\langle\psi|\phi\rangle}{\sqrt{1 - \langle\phi|\psi\rangle^2}}, \quad (6.7.10)$$

where

$$x \equiv \langle\phi|\psi\rangle = \left( \frac{e^{\beta h}}{q - 1 + e^{\beta h}} \right)^{1/2}. \quad (6.7.11)$$

Now we have  $\langle\chi|\psi\rangle = 0$ , with  $\langle\chi|\chi\rangle = 1$  and  $\langle\psi|\psi\rangle = 1$ , with

$$|\phi\rangle = \sqrt{1 - x^2} |\chi\rangle + x |\psi\rangle. \quad (6.7.12)$$

and the transfer matrix is then

$$\begin{aligned} R &= (e^{\beta J} - 1) \mathbb{I} + (q - 1 + e^{\beta h}) |\psi\rangle\langle\psi| \\ &\quad + (e^{\beta J} - 1)(e^{\beta h} - 1) \left[ (1 - x^2) |\chi\rangle\langle\chi| + x^2 |\psi\rangle\langle\psi| + x \sqrt{1 - x^2} (|\chi\rangle\langle\psi| + |\psi\rangle\langle\chi|) \right] \\ &= (e^{\beta J} - 1) \mathbb{I} + \left[ (q - 1 + e^{\beta h}) + (e^{\beta J} - 1)(e^{\beta h} - 1) \left( \frac{e^{\beta h}}{q - 1 + e^{\beta h}} \right) \right] |\psi\rangle\langle\psi| \\ &\quad + (e^{\beta J} - 1)(e^{\beta h} - 1) \left( \frac{q - 1}{q - 1 + e^{\beta h}} \right) |\chi\rangle\langle\chi| \\ &\quad + (e^{\beta J} - 1)(e^{\beta h} - 1) \left( \frac{(q - 1)e^{\beta h}}{q - 1 + e^{\beta h}} \right)^{1/2} (|\chi\rangle\langle\psi| + |\psi\rangle\langle\chi|), \end{aligned}$$

which in the two-dimensional subspace spanned by  $|\chi\rangle$  and  $|\psi\rangle$  is of the form

$$R = \begin{pmatrix} a & c \\ c & b \end{pmatrix}. \quad (6.7.13)$$

Recall that for any  $2 \times 2$  Hermitian matrix,

$$\begin{aligned} M &= a_0 \mathbb{I} + \mathbf{a} \cdot \boldsymbol{\tau} \\ &= \begin{pmatrix} a_0 + a_3 & a_1 - ia_2 \\ a_1 + ia_2 & a_0 - a_3 \end{pmatrix}, \end{aligned}$$

the characteristic polynomial is

$$P(\lambda) = \det(\lambda \mathbb{I} - M) = (\lambda - a_0)^2 - a_1^2 - a_2^2 - a_3^2, \quad (6.7.14)$$

and hence the eigenvalues are

$$\lambda_{\pm} = a_0 \pm \sqrt{a_1^2 + a_2^2 + a_3^2} . \quad (6.7.15)$$

For the transfer matrix of Equation ???, we obtain, after a little work,

$$\lambda_{1,2} = e^{\beta J} - 1 + \frac{1}{2} \left[ q - 1 + e^{\beta h} + (e^{\beta J} - 1)(e^{\beta h} - 1) \right] \\ \pm \frac{1}{2} \sqrt{\left[ q - 1 + e^{\beta h} + (e^{\beta J} - 1)(e^{\beta h} - 1) \right]^2 - 4(q-1)(e^{\beta J} - 1)(e^{\beta h} - 1)} .$$

There are  $q - 2$  other eigenvalues, however, associated with the  $(q-2)$ -dimensional subspace orthogonal to  $|\chi\rangle$  and  $|\psi\rangle$ . Clearly all these eigenvalues are given by

$$\lambda_j = e^{\beta J} - 1 \quad , \quad j \in \{3, \dots, q\} . \quad (6.7.16)$$

The partition function is then

$$Z = \lambda_1^N + \lambda_2^N + (q-2) \lambda_3^N , \quad (6.7.17)$$

and in the thermodynamic limit  $N \rightarrow \infty$  the maximum eigenvalue  $\lambda_1$  dominates. Note that we recover the correct limit as  $h \rightarrow 0$ .

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