

## 3.6: Density Operators

We now introduce the **density operator**, which helps to streamline many calculations in multi-particle quantum mechanics.

Consider a quantum system with a  $d$ -dimensional Hilbert space  $\mathcal{H}$ . Given an arbitrary state  $|\psi\rangle \in \mathcal{H}$ , define

$$\hat{\rho} = |\psi\rangle\langle\psi|. \quad (3.6.1)$$

This is just the projection operator for  $|\psi\rangle$ , but in this context we call it a “density operator”. Some other authors call it a **density matrix**, based on the fact that linear operators can be represented as matrices. It has the following noteworthy features:

1. It is Hermitian.
2. Suppose  $\hat{Q}$  is an observable with eigenvalues  $\{q_\mu\}$  and eigenstates  $\{|\mu\rangle\}$  (where  $\mu$  is some label that enumerates the eigenstates. If we do a  $\hat{Q}$  measurement on  $|\psi\rangle$ , the probability of obtaining  $q_\mu$  is

$$P_\mu = |\langle\mu|\psi\rangle|^2 = \langle\mu|\hat{\rho}|\mu\rangle. \quad (3.6.2)$$

3. Moreover, the expectation value of the observable is

$$\langle Q \rangle = \sum_\mu q_\mu P_\mu = \sum_\mu q_\mu \langle\mu|\hat{\rho}|\mu\rangle = \text{Tr}[\hat{Q}\hat{\rho}]. \quad (3.6.3)$$

In the last equality,  $\text{Tr}[\dots]$  denotes the trace, which is the sum of the diagonal elements of the matrix representation of the operator. The value of the trace is basis-independent.

Now consider, once again, a composite system consisting of two subsystems  $A$  and  $B$ , with Hilbert spaces  $\mathcal{H}_A$  and  $\mathcal{H}_B$ . Let’s say we are interested in the physical behavior of  $A$ , that is to say the outcome probabilities and expectation values of any measurements performed on  $A$ . These can be calculated from  $|\psi\rangle$ , the state of the combined system; however,  $|\psi\rangle$  also carries information about  $B$ , which is not relevant to us as we only care about  $A$ .

There is a more economical way to encode just the information about  $A$ . We can define the density operator for subsystem  $A$  (sometimes called the **reduced density operator**):

$$\hat{\rho}_A = \text{Tr}_B[\hat{\rho}]. \quad (3.6.4)$$

Here,  $\text{Tr}_B[\dots]$  refers to a **partial trace**. This means tracing over the  $\mathcal{H}_B$  part of the Hilbert space  $\mathcal{H} = \mathcal{H}_A \otimes \mathcal{H}_B$ , which yields an operator acting on  $\mathcal{H}_A$ .

To better understand Equation (3.6.4), let us go to an explicit basis. Let  $\hat{Q}_A$  be an observable for  $\mathcal{H}_A$  with eigenbasis  $\{|\mu\rangle\}$ , and let  $\hat{Q}_B$  be an observable for  $\mathcal{H}_B$  with eigenbasis  $\{|\nu\rangle\}$ . If the density operator of the combined system is  $\hat{\rho} = |\psi\rangle\langle\psi|$ , then

$$\hat{\rho}_A = \sum_\nu \left( \hat{I} \otimes \langle\nu| \right) |\psi\rangle\langle\psi| \left( \hat{I} \otimes |\nu\rangle \right). \quad (3.6.5)$$

This is a Hermitian operator acting on the  $\mathcal{H}_A$  space. In the  $\{|\mu\rangle\}$  basis, its diagonal matrix elements are

$$\begin{aligned} \langle\mu|\hat{\rho}_A|\mu\rangle &= \sum_\nu \left( \langle\mu|\langle\nu| \right) |\psi\rangle\langle\psi| \left( |\mu\rangle|\nu\rangle \right) \\ &= \langle\psi| \left[ |\mu\rangle\langle\mu| \otimes \left( \sum_\nu |\nu\rangle\langle\nu| \right) \right] |\psi\rangle \\ &= \langle\psi| \left( |\mu\rangle\langle\mu| \otimes \hat{I}_B \right) |\psi\rangle. \end{aligned} \quad (3.6.6)$$

According to the rules of partial measurements discussed in Section 3.2, this is precisely the probability of obtaining  $q_\mu$  when measuring  $\hat{Q}_A$  on subsystem  $A$ :

$$P_\mu = \langle\mu|\hat{\rho}_A|\mu\rangle. \quad (3.6.7)$$

It follows that the expectation value for observable  $\hat{M}$  is

$$\langle Q_A \rangle = \sum_{\mu} q_{\mu} \langle \mu | \hat{\rho}_A | \mu \rangle = \text{Tr} [\hat{Q}_A \hat{\rho}_A]. \quad (3.6.8)$$

These results hold for any choice of basis. Hence, knowing the density operator for  $A$ , we can determine the outcome probabilities of *any* partial measurement performed on  $A$ .

To better understand the properties of  $\hat{\rho}_A$ , let us write  $|\psi\rangle$  explicitly as

$$|\psi\rangle = \sum_{\mu\nu} \psi_{\mu\nu} |\mu\rangle |\nu\rangle, \quad (3.6.9)$$

where  $\sum_{\mu\nu} |\psi_{\mu\nu}|^2 = 1$ . Then

$$\begin{aligned} \hat{\rho} &= \sum_{\mu\mu'\nu\nu'} \psi_{\mu\nu} \psi_{\mu'\nu'}^* |\mu\rangle |\nu\rangle \langle \mu'| \langle \nu'| \\ \hat{\rho}_A &= \sum_{\mu\mu'\nu} \psi_{\mu\nu} \psi_{\mu'\nu}^* |\mu\rangle \langle \mu'| \\ &= \sum_{\nu} \left( \sum_{\mu} \psi_{\mu\nu} |\mu\rangle \right) \left( \sum_{\mu'} \psi_{\mu'\nu}^* \langle \mu'| \right) \\ &= \sum_{\nu} |\varphi_{\nu}\rangle \langle \varphi_{\nu}|, \quad \text{where } |\varphi_{\nu}\rangle = \sum_{\mu} \psi_{\mu\nu} |\mu\rangle. \end{aligned} \quad (3.6.10)$$

But  $|\varphi_{\nu}\rangle$  is not necessarily normalized to unity:  $\langle \varphi_{\nu} | \varphi_{\nu} \rangle = \sum_{\mu} |\psi_{\mu\nu}|^2 \leq 1$ . Let us define

$$|\tilde{\varphi}_{\nu}\rangle = \frac{1}{\sqrt{P_{\nu}}} |\varphi_{\nu}\rangle, \quad \text{where } P_{\nu} = \sum_{\mu} |\psi_{\mu\nu}|^2. \quad (3.6.11)$$

Note that each  $P_{\nu}$  is a non-negative real number in the range  $[0, 1]$ . Then

$$\hat{\rho}_A = \sum_{\nu} P_{\nu} |\tilde{\varphi}_{\nu}\rangle \langle \tilde{\varphi}_{\nu}|, \quad \text{where } \begin{cases} \text{each } P_{\nu} \text{ is a real number in } [0, 1], \text{ and} \\ \text{each } |\tilde{\varphi}_{\nu}\rangle \in \mathcal{H}_A, \text{ with } \langle \tilde{\varphi}_{\nu} | \tilde{\varphi}_{\nu} \rangle = 1. \end{cases} \quad (3.6.12)$$

In general, we can define a density operator as any operator that has the form of Equation (3.6.12), regardless of whether or not it was formally derived via a partial trace. We can interpret it as describing an ensemble of quantum states weighted by a set of classical probabilities. Each term in the sum consists of (i) a weighting coefficient  $P_{\nu}$  which can be regarded as a probability (the coefficients are all real numbers in the range  $[0, 1]$ , and sum to 1), and (ii) a projection operator associated with some normalized state vector  $|\tilde{\varphi}_{\nu}\rangle$ . Note that the states in the ensemble do not have to be orthogonal to each other.

From this point of view, a density operator of the form  $|\psi\rangle \langle \psi|$  corresponds to the special case of an ensemble containing only one quantum state  $|\psi\rangle$ . Such an ensemble is called a **pure state**, and describes a quantum system that is not entangled with any other system. If an ensemble is not a pure state, we call it a **mixed state**; it describes a system that is entangled with some other system.

We can show that any linear operator  $\hat{\rho}_A$  obeying Equation (3.6.12) has the following properties:

1.  $\hat{\rho}_A$  is Hermitian.
2.  $\langle \varphi | \hat{\rho}_A | \varphi \rangle \geq 0$  for any  $|\varphi\rangle \in \mathcal{H}_A$  (i.e., the operator is positive semidefinite).
3. For any observable  $\hat{Q}_A$  acting on  $\mathcal{H}_A$ ,

$$\begin{aligned} \langle Q_A \rangle &\equiv \sum_{\nu} P_{\nu} \langle \tilde{\varphi}_{\nu} | \hat{Q}_A | \tilde{\varphi}_{\nu} \rangle \\ &= \sum_{\mu\nu} P_{\nu} \langle \tilde{\varphi}_{\nu} | \mu \rangle \langle \mu | \hat{Q}_A | \tilde{\varphi}_{\nu} \rangle \quad (\text{using some basis } \{|\mu\rangle\}) \\ &= \sum_{\mu} \langle \mu | \hat{Q}_A \left( \sum_{\nu} |\tilde{\varphi}_{\nu}\rangle \langle \tilde{\varphi}_{\nu}| \right) | \mu \rangle \\ &= \text{Tr} [\hat{Q}_A \hat{\rho}_A]. \end{aligned} \quad (3.6.13)$$

This property can be used to deduce the probability of obtaining any measurement outcome: if  $|\mu\rangle$  is the eigenstate associated with the outcome, the outcome probability is  $\langle\mu|\hat{\rho}_A|\mu\rangle$ , consistent with Equation (3.6.7). To see this, take  $\hat{Q} = |\mu\rangle\langle\mu|$  in Equation (3.6.13).

4. The eigenvalues of  $\hat{\rho}_A$ , denoted by  $\{p_1, p_2, \dots, p_{d_A}\}$ , satisfy

$$p_j \in \mathbb{R} \quad \text{and} \quad 0 \leq p_j \leq 1 \quad \text{for} \quad j = 1, \dots, d_A, \quad \text{with} \quad \sum_{j=1}^{d_A} p_j = 1. \quad (3.6.14)$$

In other words, the eigenvalues can be interpreted as probabilities. This also implies that  $\text{Tr}[\hat{\rho}_A] = 1$ .

This property follows from Property 3 by taking  $\hat{Q} = |\varphi\rangle\langle\varphi|$ , where  $|\varphi\rangle$  is any eigenvector of  $\hat{\rho}_A$ , and then taking  $\hat{Q} = \hat{I}_A$ .

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