

## 1.2: Operators in Hilbert Space

The objects  $|\psi\rangle$  are vectors in a Hilbert space. We can imagine applying rotations of the vectors, rescaling, permutations of vectors in a basis, and so on. These are described mathematically as operators, and we denote them by capital letters A, B, C, etc. In general we write

$$A|\phi\rangle = |\psi\rangle \quad (1.6)$$

for some  $|\phi\rangle, |\psi\rangle \in \mathcal{V}$ . It is important to remember that operators act on all the vectors in Hilbert space. Let  $\{|\phi_j\rangle\}_j$  be an orthonormal basis. We can calculate the inner product between the vectors  $|\phi_j\rangle$  and  $A|\phi_k\rangle$ :

$$\langle\phi_j| (A|\phi_k\rangle) = \langle\phi_j|A|\phi_k\rangle \equiv A_{jk} \quad (1.7)$$

The two indices indicate that operators are matrices.

As an example, consider two vectors, written as two-dimensional column vectors

$$|\phi_1\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |\phi_2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (1.8)$$

and suppose that

$$A = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} \quad (1.9)$$

We calculate

$$A_{11} = \langle\phi_1|A|\phi_1\rangle = (1, 0) \cdot \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = (1, 0) \cdot \begin{pmatrix} 2 \\ 0 \end{pmatrix} = 2 \quad (1.10)$$

Similarly, we can calculate that  $A_{22} = 3$ , and  $A_{12} = A_{21} = 0$  (check this). We therefore have that  $A|\phi_1\rangle = 2|\phi_1\rangle$  and  $A|\phi_2\rangle = 3|\phi_2\rangle$ .

Complex numbers  $a$  have complex conjugates  $a^*$  and vectors  $|\psi\rangle$  have dual vectors  $\langle\phi|$ . Is there an equivalent for operators? The answer is yes, and it is called the adjoint, or Hermitian conjugate, and is denoted by a dagger  $\dagger$ . The natural way to define it is according to the rule

$$\langle\psi|A|\phi\rangle^* = \langle\phi|A^\dagger|\psi\rangle \quad (1.11)$$

for any  $|\phi\rangle$  and  $|\psi\rangle$ . In matrix notation, and given an orthonormal basis  $\{|\phi_j\rangle\}_j$ , this becomes

$$\langle\phi_j|A|\phi_k\rangle^* = A_{jk}^* = \langle\phi_k|A^\dagger|\phi_j\rangle = A_{kj}^\dagger \quad (1.12)$$

So the matrix representation of the adjoint  $A^\dagger$  is the transpose and the complex conjugate of the matrix A, as given by  $(A^\dagger)_{jk} = A_{kj}^*$ . The adjoint has the following properties:

1.  $(cA)^\dagger = c^*A^\dagger$ ,
2.  $(AB)^\dagger = B^\dagger A^\dagger$ ,
3.  $(|\phi\rangle)^\dagger = \langle\phi|$ .

Note the order of the operators in 2: AB is generally not the same as BA. The difference between the two is called the commutator, denoted by

$$[A, B] = AB - BA \quad (1.13)$$

For example, we can choose

$$A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (1.14)$$

which leads to

$$\begin{aligned}
 [A, B] &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} - \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} - \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \\
 &= \begin{pmatrix} 0 & -2 \\ 2 & 0 \end{pmatrix} \neq 0.
 \end{aligned}
 \tag{1.15}$$

Many, but not all, operators have an inverse. Let  $A|\phi\rangle = |\psi\rangle$  and  $B|\psi\rangle = |\phi\rangle$ . Then we have

$$BA|\phi\rangle = |\phi\rangle \quad \text{and} \quad AB|\psi\rangle = |\psi\rangle \tag{1.16}$$

If Eq. (1.16) holds true for all  $|\phi\rangle$  and  $|\psi\rangle$ , then  $B$  is the inverse of  $A$ , and we write  $B = A^{-1}$ . An operator that has an inverse is called invertible. Another important property that an operator may possess is positivity. An operator is positive if

$$\langle\phi|A|\phi\rangle \geq 0 \quad \text{for all } |\phi\rangle \tag{1.17}$$

We also write this as  $A \geq 0$ .

From the matrix representation of operators you can easily see that the operators themselves form a linear vector space:

1.  $A + B = B + A$ ,
2.  $A + (B + C) = (A + B) + C$ ,
3.  $a(A + B) = aA + aB$ ,
4.  $(a + b)A = aA + bA$ ,
5.  $a(bA) = (ab)A$ .

We can also define the operator norm  $\|A\|$  according to

$$\|A\| = \sqrt{\text{Tr}(A^\dagger A)} \equiv \sqrt{\sum_{ij} A_{ij}^* A_{ji}}, \tag{1.18}$$

which means that the linear vector space of operators is again a Hilbert space. The symbol  $\text{Tr}(\cdot)$  denotes the trace of an operator, and we will return to this special operator property later in this section.

Every operator has a set of vectors for which

$$A|a_j\rangle = a_j|a_j\rangle, \quad \text{with } a_j \in \mathbb{C} \tag{1.19}$$

This is called the eigenvalue equation (or eigenequation) for  $A$ , and the vectors  $|a_j\rangle$  are the eigenvectors. The complex numbers  $a_j$  are eigenvalues. In the basis of eigenvectors, the matrix representation of  $A$  becomes

$$A = \begin{pmatrix} a_1 & 0 & \cdots & 0 \\ 0 & a_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & a_N \end{pmatrix} \tag{1.20}$$

When some of the  $a_j$ s are the same, we speak of degenerate eigenvalues. When there are  $n$  identical eigenvalues, we have  $n$ -fold degeneracy. The eigenvectors corresponding to this eigenvalue then span an  $n$ -dimensional subspace of the vector space. We will return to subspaces shortly, when we introduce projection operators.

For any orthonormal basis  $\{|\phi_j\rangle\}_j$  we have

$$\langle\phi_j|A|\phi_k\rangle = A_{jk} \tag{1.21}$$

which can be written in the form

$$A = \sum_{jk} A_{jk} |\phi_j\rangle \langle\phi_k| \tag{1.22}$$

For the special case where  $|\phi_j\rangle = |a_j\rangle$  this reduces to

$$A = \sum_j a_j |a_j\rangle \langle a_j| \tag{1.23}$$

This is the spectral decomposition of  $A$ . When all  $a_j$  are equal, we have complete degeneracy over the full vector space, and the operator becomes proportional (up to a factor  $a_j$ ) to the identity  $\mathbb{I}$ .

Note that this is independent of the basis  $\{|a_j\rangle\}$ . As a consequence, for any orthonormal basis  $\{|\phi_j\rangle\}$  we have

$$\mathbb{I} = \sum_j |\phi_j\rangle \langle \phi_j| \quad (1.24)$$

This is the completeness relation, and we will use this many times in our calculations.

### Lemma

If two non-degenerate operators commute ( $[A, B] = 0$ ), then they have a common set of eigenvectors.

#### Proof

Let  $A = \sum_k a_k |a_k\rangle \langle a_k|$  and  $B = \sum_{jk} B_{jk} |a_j\rangle \langle a_k|$ . We can choose this without loss of generality: we write both operators in the eigenbasis of  $A$ . Furthermore,  $[A, B] = 0$  implies that  $AB = BA$ .

$$\begin{aligned} AB &= \sum_{klm} a_k B_{lm} |a_k\rangle \langle a_l| \langle a_m| = \sum_{lm} a_l B_{lm} |a_l\rangle \langle a_m| \\ BA &= \sum_{klm} a_k B_{lm} |a_l\rangle \langle a_m| \langle a_k| = \sum_{lm} a_m B_{lm} |a_l\rangle \langle a_m| \end{aligned} \quad (1.25)$$

Therefore

$$[A, B] = \sum_{lm} (a_l - a_m) B_{lm} |a_l\rangle \langle a_m| = 0 \quad (1.26)$$

If  $a_l \neq a_m$  for  $l \neq m$ , then  $B_{lm} = 0$ , and  $B_{lm} \propto \delta_{lm}$ . Therefore  $\{|a_j\rangle\}$  is an eigenbasis for  $B$ .

The proof of the converse is left as an exercise. It turns out that this is also true when  $A$  and/or  $B$  are degenerate.

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